

Appendix 12-2: 1st Dissemination Seminar

**TECHNICAL COOPERATION PROJECT (TCP) FOR CAPACITY DEVELOPMENT ON
IMPROVING SOLID WASTE MANAGEMENT (SWM) THROUGH ADVANCED/INNOVATIVE
TECHNOLOGIES IN THE PHILIPPINES**

DISSEMINATION SEMINAR
3 JUNE 2022, FRIDAY, 1:00PM-5:00PM
DISCOVERY SUITES HOTEL
CLERMONT FUNCTION HALL

Master of Ceremonies:
Ms. Andrei Mallare
JICA Expert Team

TOPIC	TIME	SPEAKER
Registration	1:00-1:15	-
OPENING CEREMONIES		
Invocation		JICA Expert Team
National Anthem		JICA Expert Team
Welcome Remarks		Engr. William P. Cuñado OIC-Director, EMB, DENR
Opening Remarks	1:15-1:30	Mr. Yo Ebisawa Senior Representative JICA Philippines Office
Introduction of the TCP and the Program of seminar		Ms. Juvinia Serafin OIC-Chief, SWMD-EMB
SWM Planning for WTE	1:30-2:00	Mr. Takahiro Kamishita JICA Expert Team
Technical Features of Appropriately Controlled Combustion Technology	2:00-2:30	Mr. Satoshi Higashinakagawa JICA Expert Team
Institutional and Financial features of WTE	2:30-3:00	Mr. Makoto Kosaka JICA Expert Team
QA	3:00-3:15	
Tea break	3:15-3:30	
WTE related technical standards	3:30-4:00	Mr. Makoto Kosaka JICA Expert Team
Good practice of SWM other than WTE	4:00-4:30	Ms. Kyoko Kimura JICA Expert Team
o Cost Recovery of SWM		

<ul style="list-style-type: none"> o Collection and Transportation o Intermediate treatment/3R o Landfill o Information Education and Communication (IEC) 		
QA	4:30-4:45	
<p>CLOSING CEREMONIES</p> <p>Closing Remarks</p> <p>Picture-taking, egress</p>	4:45-5:00	<p>Ms. Elvira Pausing Assistant Project Manager EMB-SWMD/PMO</p>

Background of the Project

- **Ecological Solid Waste Management Act (RA9003), 2001**
 - Conversion of inappropriate final disposal site into sanitary landfill.
 - Reduction of amount of disposed waste by 3R.
 - **LGUs' capacity on appropriate SWM is still limited because of technical and financial difficulties.**
- **Clean Air Act (RA8749), 1999**
 - Waste incineration was recognized to be practically prohibited.
 - Decision by the Supreme Court of the Philippines in January 2002.
 - DENR notified that “only incineration emitting hazardous & toxic gas shall be prohibited”.
- **Guidelines Governing the Establishment and Operation of WTE Technologies for MSW (NSWMC Resolution 669), 2016**
 - DENR has published DAO 2019-21 in accordance to this guideline.

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The Project for Capacity Development on Improving Solid Waste Management through Advanced/Innovative Technologies in the Republic of Philippines

- Project Outline -

May 2022
JICA Expert Team

Background of the Project (cont.)

- Under these circumstances, DENR requested for the Japanese Government on a **technical cooperation project** for Capacity Development on Improving Solid Waste Management through Advanced/Innovative Technologies
- Upon the request, basic framework of the project was discussed and examined by the **JICA missions**, and
- **Record of Discussion (R/D)** for implementation of the Project was signed by both sides on 7th November 2017

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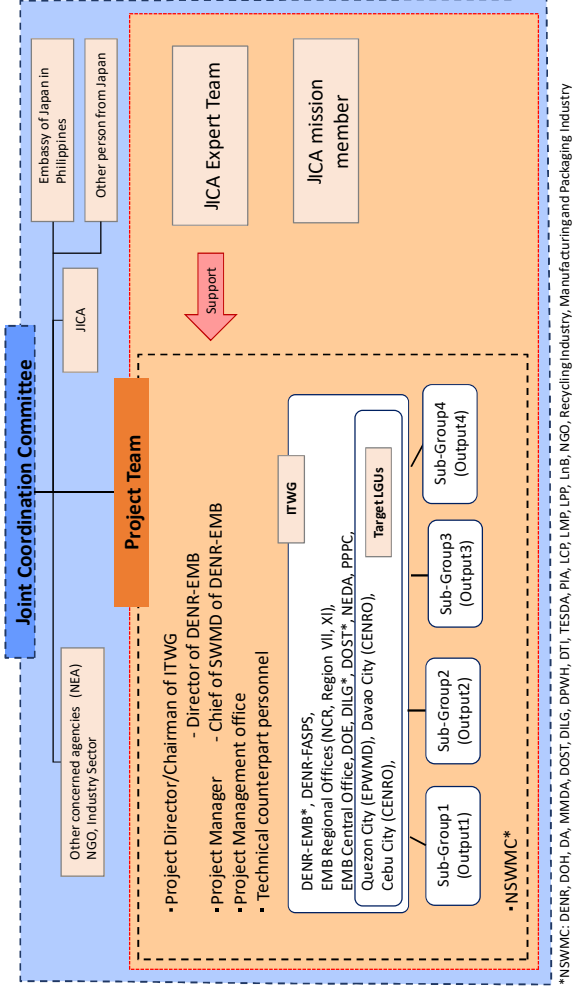
Project outline

- **Project objective:**
The Project intends to manifest the outputs and the project purpose by implementing activities based on the R/D and the Project Design Matrix (PDM).
- **Duration:**
from March 2019 to December 2022 (3years 9months)
- **Counterparts:**
 - Implementing agency: DENR-EMB
 - Target LGUs: Quezon City, Davao City & Cebu City
 - Inter-agency Technical Working Group (ITWG)
 - Cooperation agency: NSWMC
- **Target area:**
 - Whole Philippines with special attention to Quezon, Davao, and Cebu cities.

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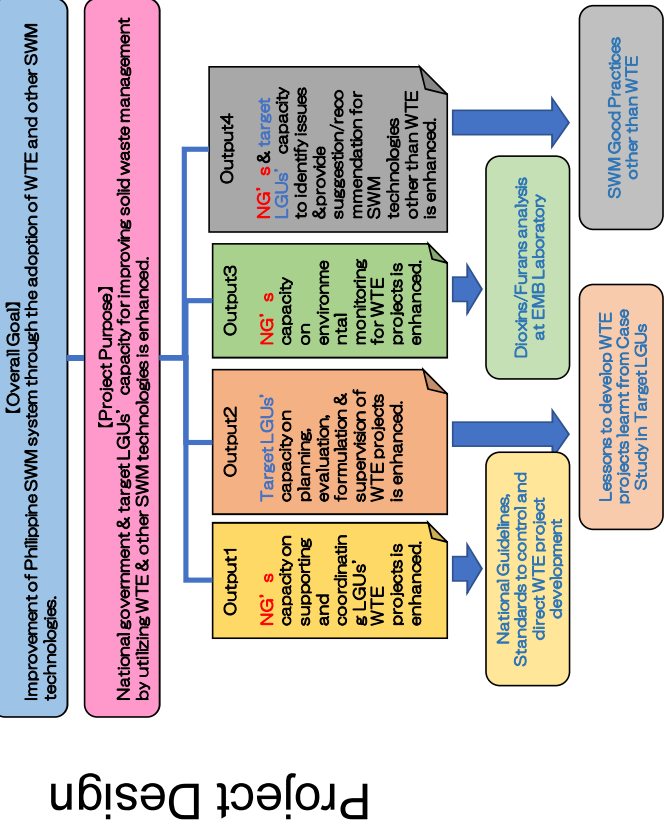
Project implementation structure (based on SOs)



*NSWMC: DENR, DOH, DA, MIMDA, DOST, DILG, DPWH, DTI, TESDA, PIA, LCP, LMP, LPP, LNB, NGO, Recycling Industry, Manufacturing and Packaging Industry

Related Official Orders

- **EMB Special Order No. 2019-347:** Creation of **ITWG** for the Full Implementation of the TCP, 19 Nov. 2019
- **DENR Administrative Order No.2019-21:** **Guidelines** governing Waste to Energy (WtE) Facilities, 26 Nov. 2019
- **DENR Special Order (No.2019-963, No.2020-523):** Creation of **JCC** for the TCP, 28 Nov. 2019 Amendment, 10 Dec. 2020
- **EMB Supplemental Special Order:** Creation of the four (4) **Sub-groups**, 12 July 2020



Project Design Matrix (PDM)

- **Overall Goal:** Improvement of Philippine SWM system through the adoption of WtE and other SWM technologies.
- **Project Purpose:** National government & target LGUs' capacity for improving solid waste management by utilizing WtE & other SWM technologies is enhanced.

Project Design Matrix (PDM) (cont.)

- **Output 1:**
National government's capacity on supporting and coordinating LGUs' WTE projects is enhanced.
- **Output 2:**
Target LGUs' capacity on planning, evaluation, formulation & supervision of WTE projects is enhanced.
- **Output 3:**
National government's capacity on environmental monitoring for WTE projects is enhanced.
- **Output 4:**
National Government's & target LGUs' capacity to identify issues & provide suggestion/recommendation for SWM technologies other than WTE is enhanced.

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Activities for Output 1

- | | | |
|------|---------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
| 1-1: | Prepare Best Available Technique (BAT) / Best Environmental Practice (BEP) guideline | Completed |
| 1-2: | Study policy & mechanism to promote WTE projects | Completed |
| 1-3: | Hold seminar to disseminate WTE technology | Being prepared |
| 1-4: | Prepare draft technical standards for WTE facility focused on waste incineration with power generation | Completed |
| 1-5: | Prepare manual for management of bottom & fly ash discharged from WTE facility | Completed |
| 1-6: | Prepare manual for planning, evaluation, formulation & supervision for WTE projects, and prepare evaluation criteria for EMB on 10-year SWM plans | On going |
| 1-7: | Illustrate model procedure to introduce WTE facility | On going |
| 1-8: | Review and update the existing regulations of sanitary landfill for municipal solid waste where incineration ash will be disposed of. | On going |

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Activities for Output 2

- | | | |
|------|---------------------------------------------------------------------------------------------------------|-----------------|
| 2-1: | Review current situation on introducing WTE facilities in the target LGUs | Completed |
| 2-2: | Clarify current waste flow & amount, set target on waste reduction in the existing SWM 10-year plans | Completed |
| 2-3: | Evaluate LGUs' land use plan for WTE projects | Completed |
| 2-4: | Analyze & verify candidate WTE projects selected from the existing F/S, unsolicited/solicited proposals | Completed |
| 2-5: | Define points & issues to be addressed for formulating WTE projects in the target LGUs | Completed |
| 2-6: | Define proper responsibility of the target LGUs in promoting WTE projects under PPP scheme | On going |
| 2-7: | Formulate technical specification of WTE facilities in each target LGU | Not started yet |
| 2-8: | Define points & issues to be addressed for supervising WTE projects in the target LGUs | Not started yet |

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Activities for Output 3

- | | | |
|------|----------------------------------------------------------------------------------------------------------------------------------|-------------------------------|
| 3-1: | Review current capacity & activities on monitoring, analysis & QA/QC of Dioxins and Furans (DXNs) in the central & regional EMBs | Completed |
| 3-2: | Analyze gap between present and required capacity of the central EMB Lab., and formulate training plan | Completed |
| 3-3: | Prepare Standard Operation Procedures (SOP) for sampling, analysis & QA/QC of DXNs in ambient air & emission gas | On going |
| 3-4: | Conduct training on sampling, analysis & QA/QC in ambient air & emission gas for the central EMB | On going |
| 3-5: | Prepare sampling plan (design) for DXNs in ambient air | Not started yet |
| 3-6: | Implement sampling, analysis & QA/QC of DXNs in ambient air & emission gas | Not started yet, to be onsite |

Appendix 12

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Activities for Output 4

4-1:	Grasp current situation by studying National SWM strategy & the 10-year SWM plans in the target LGUs	Completed
4-2:	Identify current issues for other SWM technologies in the target LGUs	Completed
4-3:	Collect information on “Good practice & Appropriate technology” of other SWM technologies in Japan & the third countries	Completed
4-4:	Summarize & provide suggestion & recommendation to improve utilization of other SWM technologies in the target LGUs	Completed
4-5:	Hold seminar to disseminate suggestion & recommendation	Not started yet



Introduction, SWM Planning for WTE

Dissemination Seminar

SWM Planning for WTE

3rd June 2022

The Technical Cooperation Project (TCP) for Capacity Development on Improving Solid Waste Management (SWM) through Advanced/Innovative Technologies

Appropriately Controlled Combustion

- WTE Definition in DAO 2019-21
“the process of converting wastes with various technologies, usually the conversion of non-recyclable waste materials into useable heat, electricity, or fuel through a variety of processes”
- WTE options: Gasification/Pyrolysis, Refused Derived Fuel (RDF), and Biomethanation (aerobic digestion, biogas)
- Main target: Appropriately Controlled Combustion (ACC)
 - Dominant in the existing cases
 - Reliable technologies with a long history of application

- The MSWM Plan (M/P) is the base for the implementation of the MSWM of LGUs.

- WTE facility can not be discussed without consideration of other components of MSWM.

- WTE facility is only one of the components/treatment options of entire MSWM, which could be selected to achieve the appropriate management of MSW of LGU.

- Prior to explanation of the technology of appropriately controlled combustion, the following information is shared:

1. MSWM-M/P in the process of WTE development
2. Waste flow diagram in MSWM plan
3. Example of WTE facilities plan and improvement by implementation of the M/P of MSWM

1. MSWM-M/P in the process of WTE development

Overall Schedule of WTE Facility Development [example of Japan]

- Approx. 10 years to complete the facility construction from the start of planning, the overall schedule is planned backwards.
- Many plans and studies/surveys are conducted before construction.

	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	Remarks
Existing Facility												
Operation	26	27	28	29	30	31	32	33	34	35		Renewed in 30-40 yrs (e.g. 35 yrs)
MSW Management Master Plan (MP)												
Facility Development/Conceptual Plan		2	3	4	5	6	7	8	9	10	1	Reviewed once every 5 yrs
Facility Basic Plan												Decision on renewal & site
PFI/PPP Feasibility Study												Decision on processing method
Topographic Survey												Decision on business scheme
Geological Survey												Reflected in facility basic plan
Environmental Impact Assessment												Reflected in facility basic plan
Selection of Winning Bidder												1.5-4 yrs
Construction Work							Construction					standard 2 yrs
Operation												standard 3-4 yrs
											Start	

In Japan, WTE-ACC facilities are to be upgraded based on the service life of the existing facilities. The service life is generally 30-40 years.

MSW Management Master Plan (M/P)

- A long-term plan for 10 to 15 years,
- Defined by the law as "the plan for municipalities responsible for the overall treatment of MSW to manage and ensure the proper treatment of it in their area"
- Required to be revised/updated approximately every five years
- Plan to discuss all components of MSWM, like 10-yr SWM in the Philippines

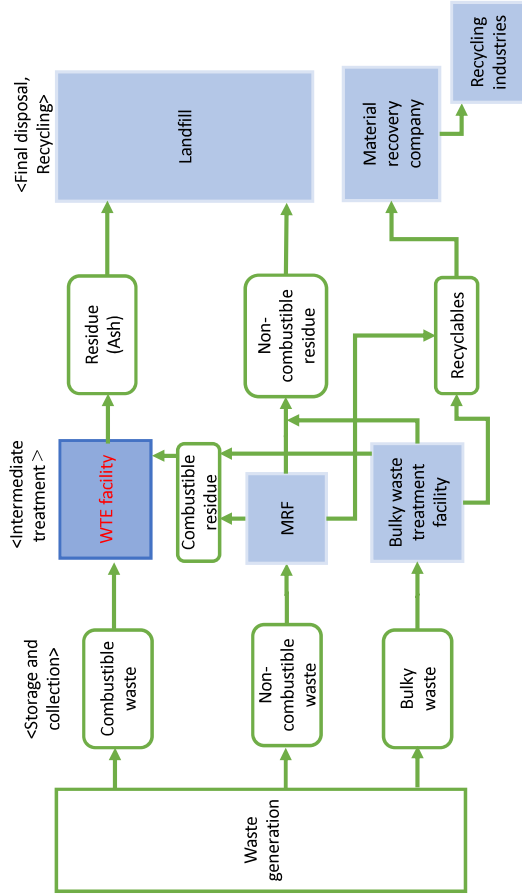
	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	Remarks
Existing Facility												
Operation	26	27	28	29	30	31	32	33	34	35		Renewed in 30-40 yrs (e.g. 35 yrs)
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Operation												standard 3-4 yrs
											Start	

Waste Flow Diagram in the Target Year

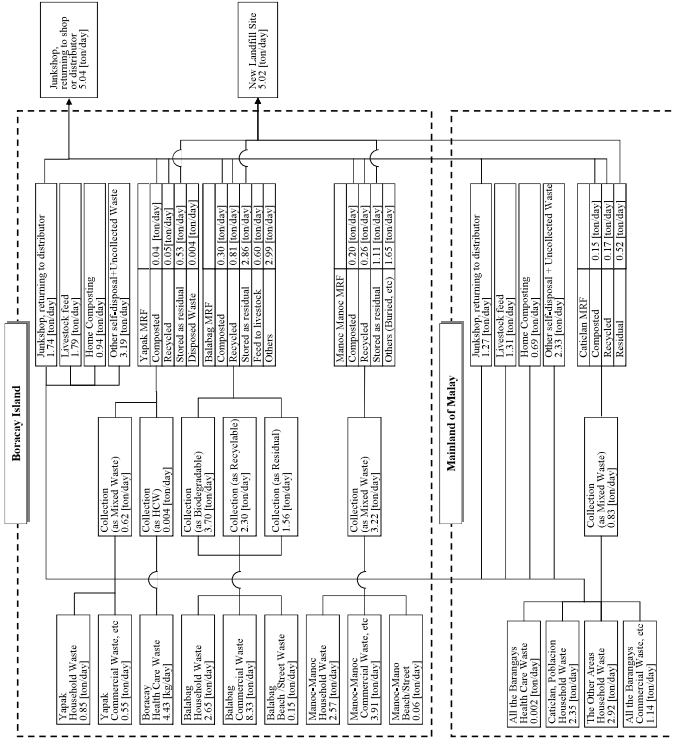
- To be prepared in the MSWM-M/P
 - For both the present condition and future plan
- Identify and illustrate:
 - the waste amount to be managed at all stages of MSWM from waste generation to final disposal
 - Capacity of waste facility and equipment such as waste storage, collection, transportation, treatment including MRF,
- The facility plan is prepared to treat/manage the identified waste amount
 - Capacity of equipment, machinery
 - Work force
 - Investment, operation and maintenance cost
 - Verification of feasibility before implementation

2. Waste flow diagram in MSWM plan

Example of Waste Flow Diagram with WTE

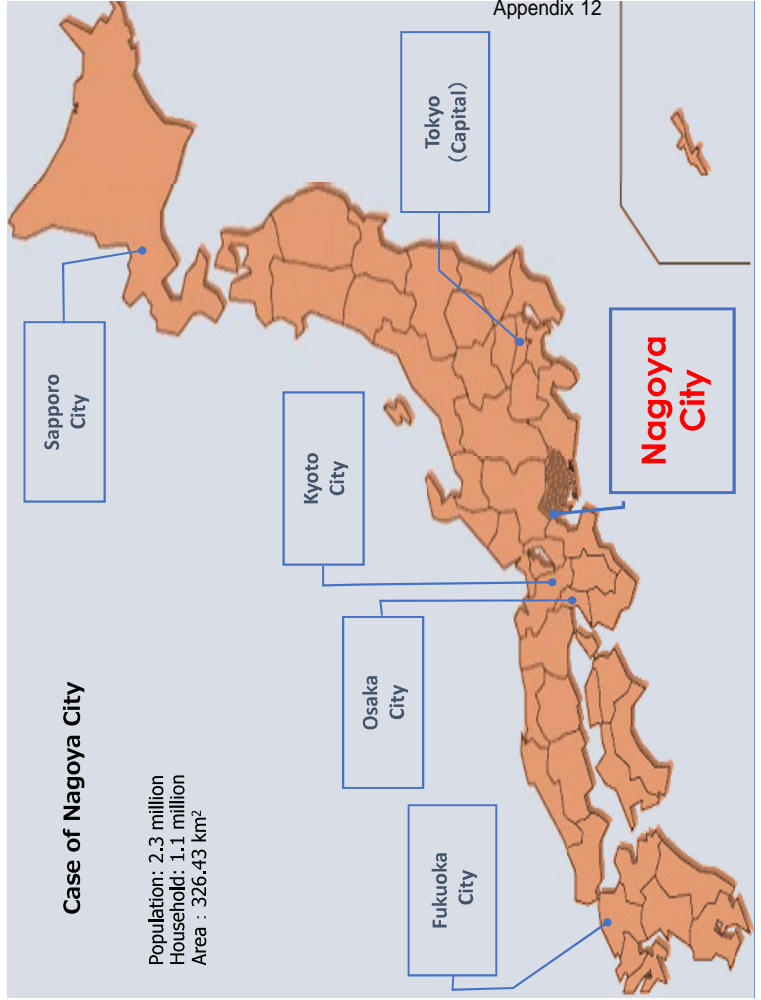


Example of Waste Flow Diagram



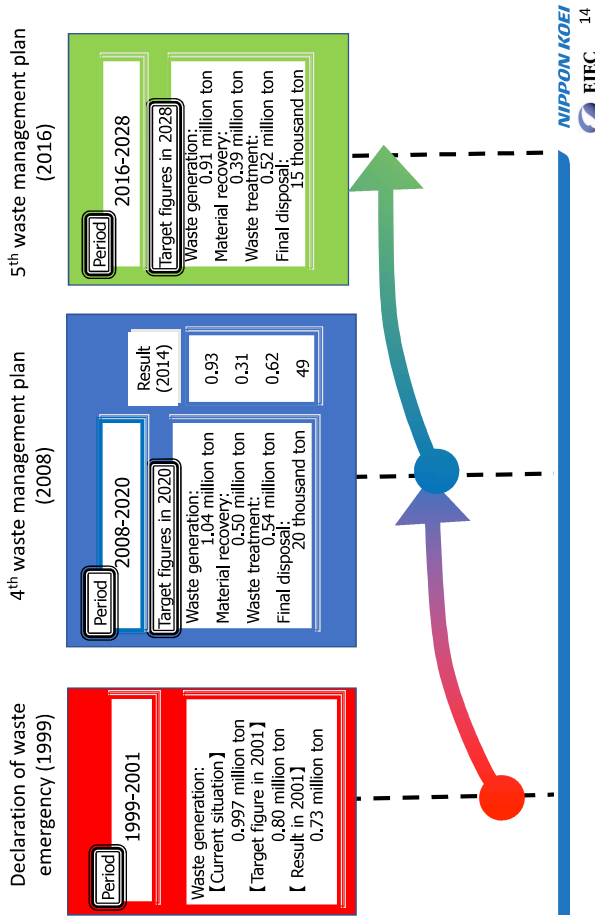
Case of Nagoya City

Population: 2.3 million
Household: 1.1 million
Area : 326.43 km²

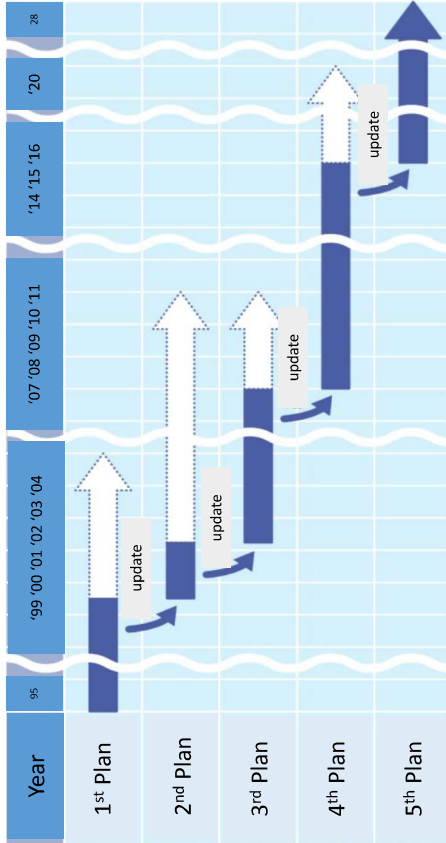


3. Example of WTE facilities plan, and improvement by implementation of the M/P of MSWM

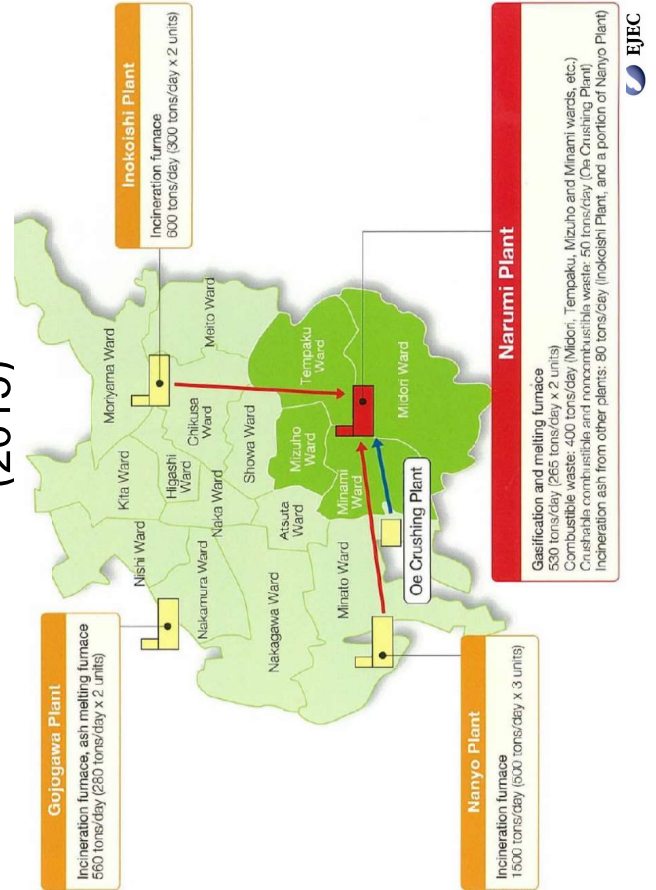
Achievements through Implementation of SWM M/P



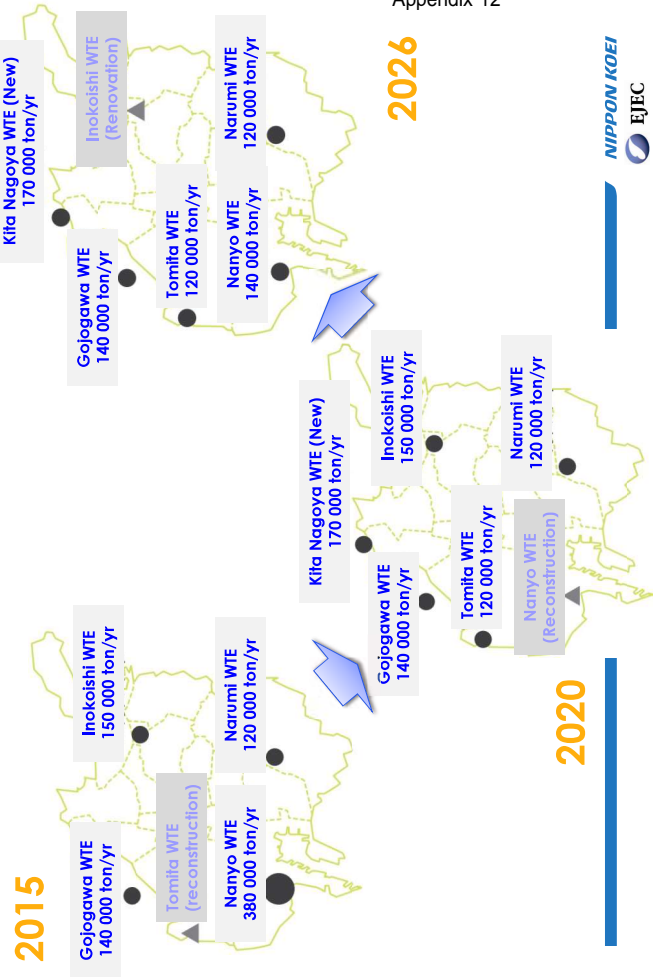
History of MSWM Master Plan of Nagoya



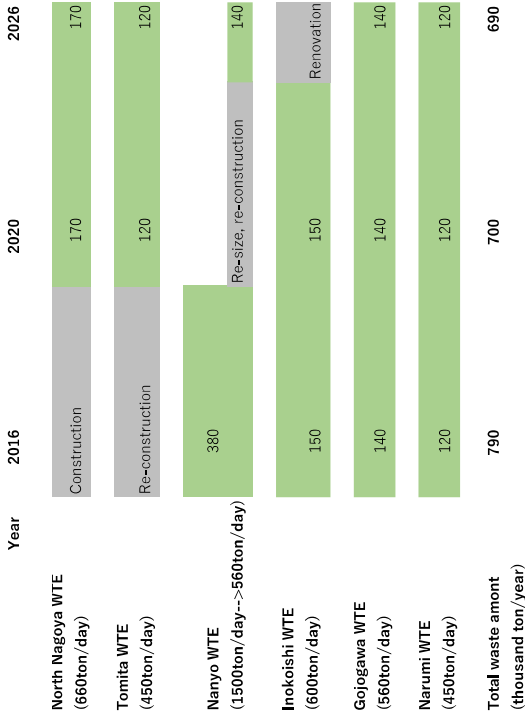
Location of Treatment Facility in Nagoya (2015)



WTE Facilities Plan toward 2026 (5th MP)



Planned Capacity of WTE Facilities



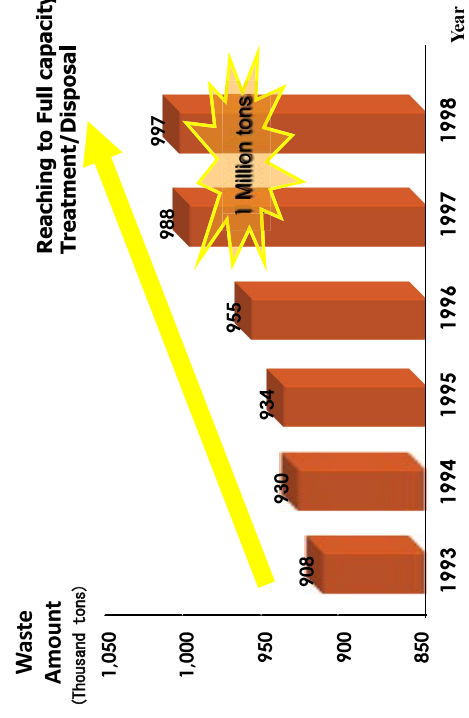
- Total capacity of waste treatment by WTE facilities is decreasing in accordance with the trend of waste amount prediction.
- However, in 1990s, the increasing waste amount was the big problem of the City.

“Declaration of waste emergency”

February 1999

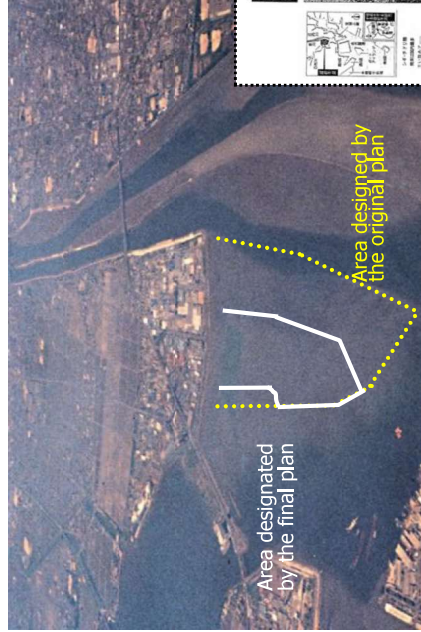
- Remaining capacity of final disposal: 2years only
- Reaching to full capacity of waste incineration
- Needs of waste reduction
- Needs of contribution of citizens, businesses and city

In 1990s, amount of waste kept increasing



Registration of the Fujimae Tidal Flat as a Wetland of International Importance under the Ramsar Convention

- It was cancelled in 1999.
- Fujimae tidal flat was registered in Ramsar Convention on Wetlands in 2002.



News article announcing the cancellation



Oxbirds feeding

Certificate



Newly Taken Measures

Household waste

- Reinforcing recovery of glass bottles and cans
- Reinforcing segregation of plastic and paper containers and packaging
- Promotion of resources recovery by community
- Introduction of designated waste bag

Business waste

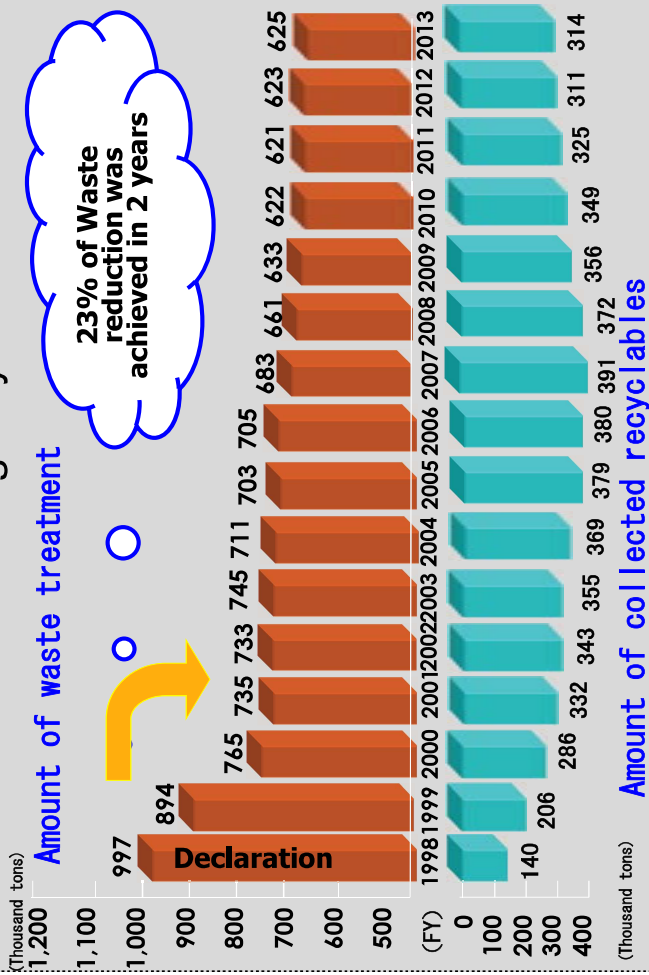
- Strict no acceptance of business waste
- Charging on all wastes
- Introduction of designated waste bag

Education and Public Relation

- Community meeting
 - 2,300 times meeting in 2months
 - Approx. 210 thousand people participated



Waste Decrease after Declaration of Waste Emergency



Conclusions

- MSWM is the important responsibility of LGU to provide and keep safe environment to citizens
- The PDCA cycle shall be applied for MSWM-M/P, preparation, implementation, monitoring and updating
- Waste facilities plan including WTE shall accord with MSWM-M/P
- While the communities and people shall contribute their MSWM, the public sector shall be responsible for developing and managing the MSWM facilities

THANK YOU FOR YOUR ATTENTION



Table of Contents

1. Overall system of WtE-Appropriately Controlled Combustion Technology (ACC)

2. Type of Combustion Technology for WtE-ACC

3. Capacity of WtE-ACC

4. Target Waste for WtE-ACC (Physical composition, Ash Contents, Lower Calorific Value, etc)

5. Thermal Energy Recovery Process

6. Environmental Pollution Control (Standard, treatment process)

7. Treatment of Residual Ash

Dissemination Seminar

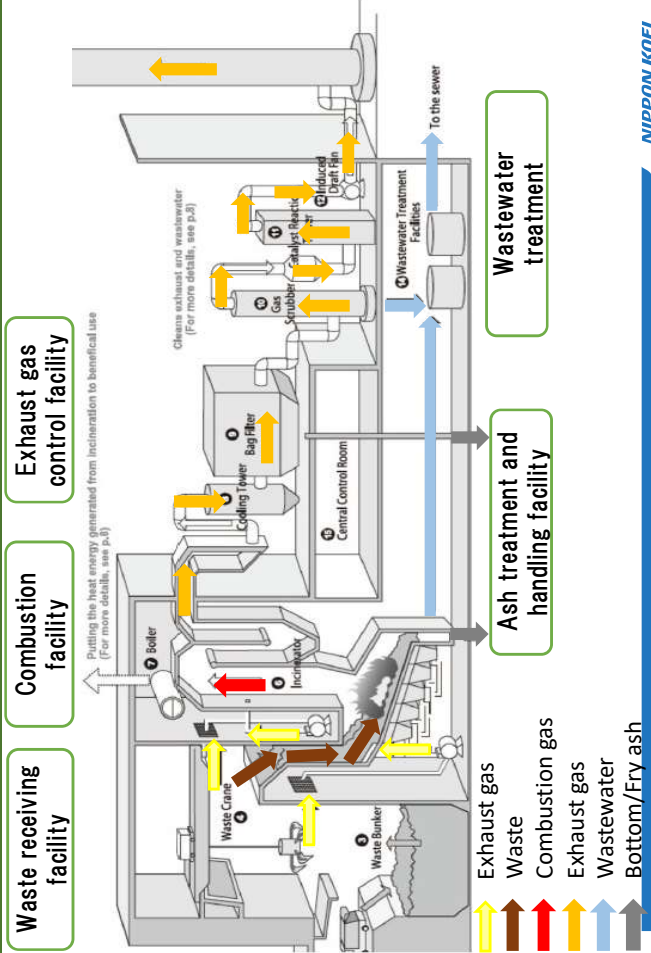
Technical Features of Appropriately Controlled Combustion Technology

3rd June 2022 (Friday)

The Technical Cooperation Project (TCP) for Capacity Development on Improving Solid Waste Management (SWM) through Advanced/Innovative Technologies

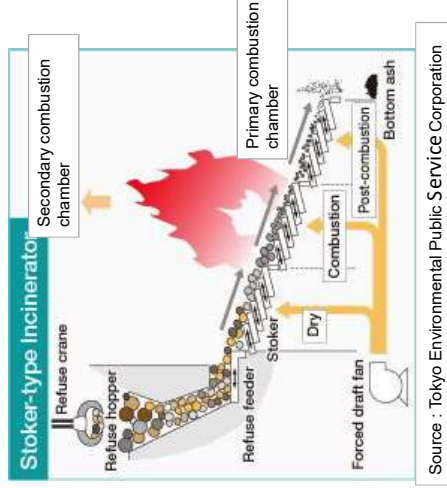
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Overall system of ACC-WtE (Example of Metropolitan Tokyo)



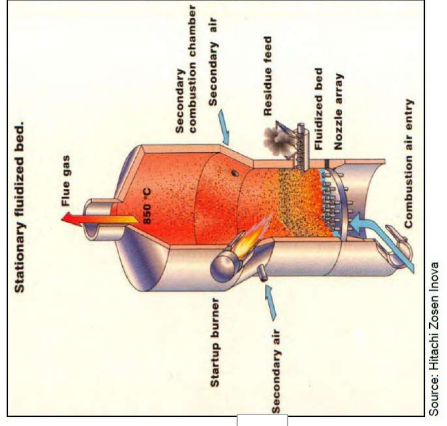
Type of Combustion Technology for WtE-ACC

Stoker type (Moving Grate)



83% of cases (48 out of 58 cases)

Fluidized Bed Combustion

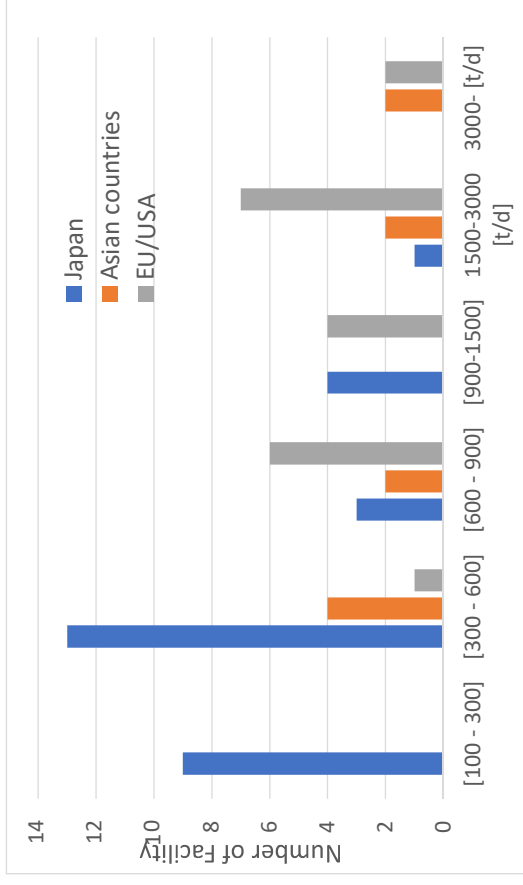


10% of cases (6 out of 58 cases)

Type of Combustion Technology for WtE-ACC

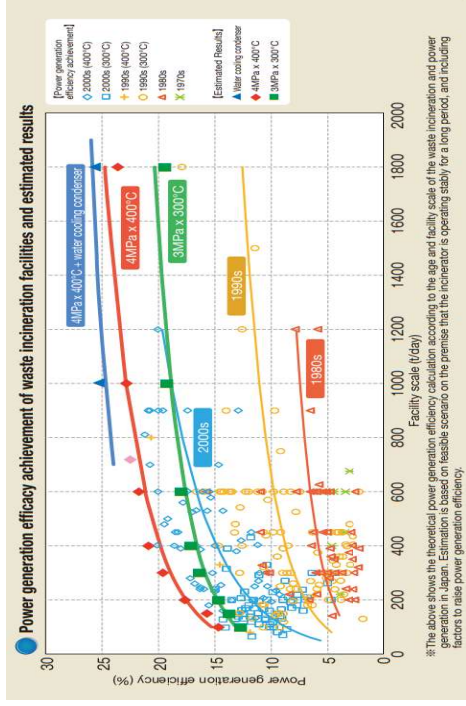
Items	Stoker Type	Fluidized Bed Combustion Type
Non acceptable waste	<ul style="list-style-type: none"> Much larger bulky waste (more than 50 cm) 	<ul style="list-style-type: none"> Bulky waste Liquid waste
Capacity of single furnace	<ul style="list-style-type: none"> Up to 1,000 tons/day (24 hours) 	<ul style="list-style-type: none"> Up to 200 tons/day (24 hours)
Advantage	<ul style="list-style-type: none"> High reliability Less electricity utilization Higher capacity of treatment No need for shredding of bulky waste before combustion 	<ul style="list-style-type: none"> High combustion speed Less oxidation of metal Requires a smaller space of combustion furnace than stoker type incinerators
Disadvantage	<ul style="list-style-type: none"> Much auxiliary fuel is necessary for starting the process of combustion Bigger area required than Fluidized Bed Type 	<ul style="list-style-type: none"> Waste shredding required for bulky waste before feeding to combustion furnace Lower capacity than stoker type High ratio of fly ash Relatively difficulty of Combustion control

Capacity of WtE-ACC Facility



According to 60 case studies in this project, the range of incineration is wide

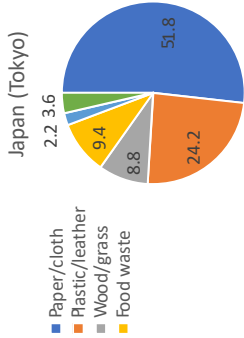
Capacity of WtE-ACC Facility



Source: Ministry of Environment, Japan

Most of WtE ACC facility is more than 100 [ton/day] considering power generation efficiency as above cases in Japan

Waste Characterization



Source: Ministry of Environment, Japan

Source: Can Tho City

Physical composition (dry base)	Rate (%)				
	Paper / cloth	Plastic/ Leather	Wood/ grass	Food waste	No-combustible Others
Japan (average)	51.8	24.2	8.8	9.4	2.2
Japan (max)	63.5	32.0	19.3	19.6	5.9
Japan (min)	42.6	17.4	2.4	5.3	0.7

Source: Ministry of Environment, Japan

Acceptable Waste for WtE Facility

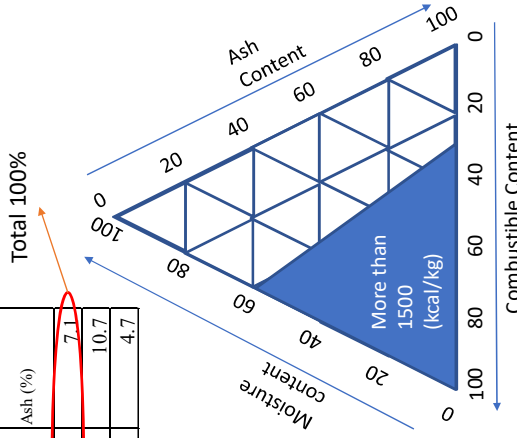
Bulk density, Moisture, Combustible, Ash Contents of the Case Studies in Japan

	Bulk density (kg/L)	Moisture contents (%)	Combustible (%)	Ash (%)
Japan (average)	145.8	40.9	52.0	7.1
Japan (max)	235.0	52.6	60.2	10.7
Japan (min)	104.2	33.3	41.1	4.7

Source: Ministry of Environment, Japan

- As one of examples, suitable moisture, combustible and ash contents are within the range of right figure.

- In the case study, moisture, combustible and ash contents are above table, which is within the range of right figure.



Source: National Institute for Environmental Study

Acceptable Waste for WtE Facility



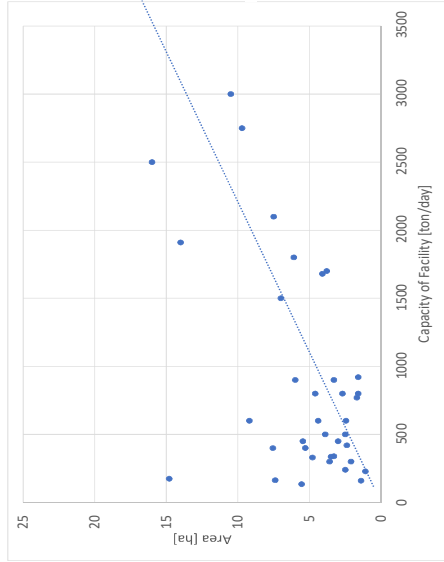
Source: Clean Authority of Tokyo



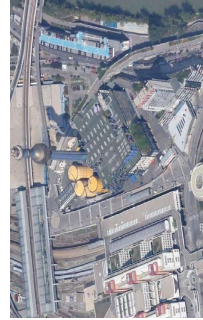
Non suitable waste for Appropriate Combustion

- Incombustible waste
- Bulky waste (ex. more than 50 cm)
- Waste including heavy metal such as mercury

Necessary area of WtE facility



Source: Clean Authority of Tokyo

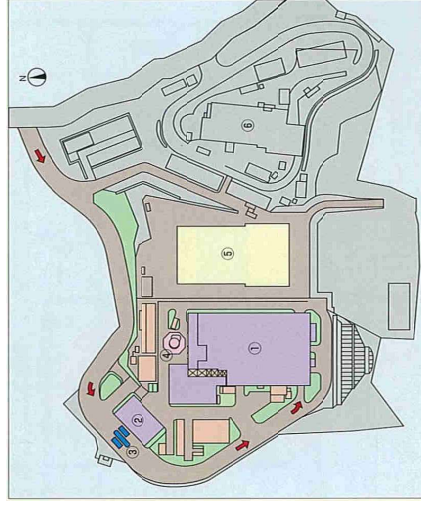


Source: Leaflet of Wfien Energie GmbH

Layout of WtE facility

Outline of the facilities (Tobuki Incineration Plant)
 Site area: 21,445 m²
 Construction area: 7,118 m² (including attached buildings)
 Incineration capacity: 300 t/day (100 t/day/furnace × 3)

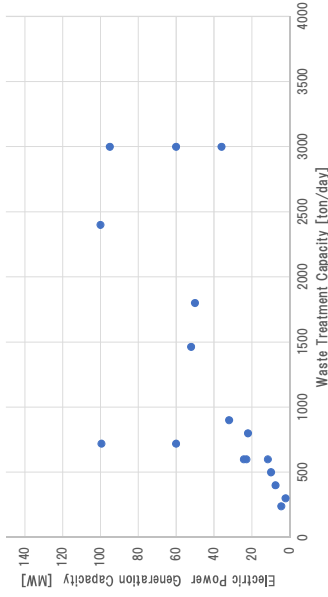
- (1) Incineration plant
- (2) Office
- (3) Truck weighing station
- (4) Stack
- (5) Incombustibles Treatment Center
- (6) Plastic Recycling Center
- (7) Tobuki Yuttari Hall



Source: Leaflet of Tobuki Incineration Plant

Thermal Energy Recovery Process

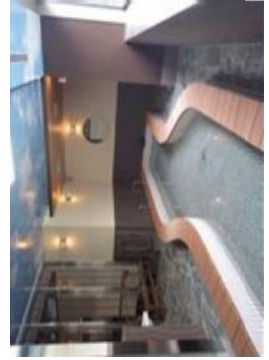
High electricity generation efficiency



- Electric power generation efficiency basically depends on the capacity of WtE
- However, pressure or temperature of the entrance of turbine generator or the utilization of surplus heat energy such as combined cycle affects the efficiency

Thermal Energy Recovery Process

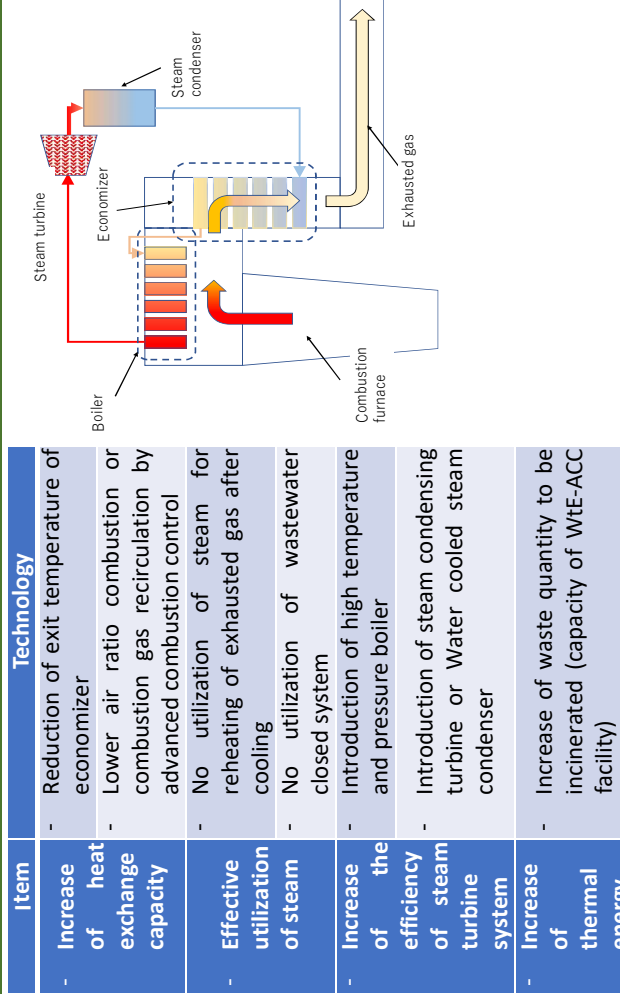
Utilization of surplus heat after electric power generation



- Utilization of surplus heat after utilization of electric power generation
 - District heating
 - Hot bath for community
 - Botanical garden
 - Sky resort
 - Pool, etc



Thermal Energy Recovery Process



Source: Based on Guideline for Planning and Designing of Waste Treatment Facility Development in Japan (2017) NIPPON KOEI
EJEC 14

Environmental Pollution Control (Standard)

Stricter standard for environmental pollution

Japan (Shinkoto)	Japanese Law	Facility standard	Actual
NOx [ppm]	250	60	36 - 41
HCl [ppm]	430	15	<2
SO2 [ppm]	Area basis	20	<1
HF [ppm]	-	-	-
Particulates [g/Nm3]	0.08	0.02	<0.001
Mercury [μg/Nm3]	50	-	<5
DXNs [ng/Nm3]	0.1	-	<0.00005

France (Isseane)	EU & French Law	Facility standard
NOx [mg/Nm3]	200	65
HCl [mg/Nm3]	10	5
SO2 [mg/Nm3]	50	17
HF [mg/Nm3]	1	0.8
Particulates [mg/Nm3]	10	3
Mercury [μg/Nm3]	50	30
DXNs [ng/Nm3]	0.1	0.07

Exhausted Gas EU (0.7-12%)	Japan (0.7-12%)	
NOx [ppm]	87.7	250
HCl [ppm]	5.5	430
SO2 [ppm]	15.7	28
Particulates [mg/Nm3]	9	80
Mercury [μg/Nm3]	45	50
DXNs [ng/Nm3]	0.09	0.1

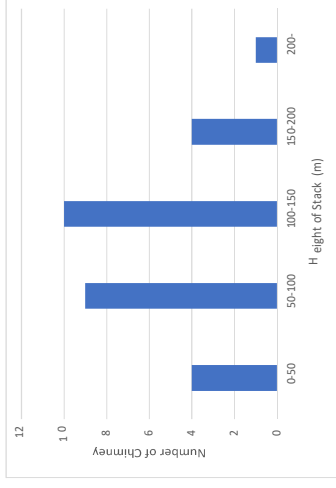
Japan and EU	Facility standard or actual data in Japan satisfies the EU standard
- Stricter standard for facility in Japan and EU	- Facility standard or actual data in Japan satisfies the EU standard

Environmental Pollution Control (Exhausted Gas Treatment)

Typical Pollution Control Technologies	Explanation of Each Pollution Control Technology	Feature
Bag filter	Bag filters are composed of filter bags, which capture particles in exhaust gas.	- Pollutant particles or gaseous pollutant absorbed with particle are removed effectively
SNCR and SCR	To accelerate the reaction between the NOx and catalyst or ammonia.	- Higher NOx removal is possible,
Scrubber (Wet scrubber, dry scrubber)	In wet scrubber, exhaust gases are brought into contact with water and liquid reagents to absorb pollutant gases. Both the dry scrubber type neutralizes acid gases and produce a dry residue.	- Wet scrubber is effective at removing acid gases , but is less efficient in thermal energy terms and produces a liquid residue - Dry scrubbers are generally preferred as the dry residue is easier to handle but they are less effective than wet scrubber .
Flue gas recirculation	Flue gas recirculation lowers excess air rate,	To reduce exhaust gas and NOx To increase thermal efficiency.

Source: Based on Guideline for Planning and Designing of Waste Treatment Facility Development in Japan (2017)

Environmental Pollution Control (Height of Stack)



Wastewater Treatment

Item	Wastewater comes from				
	Waste pit	Ash treatment	Wet scrubber	Domestic wastewater	Washing car
pH	5-7	7-12	5-8 (after treatment)	5-8	5-8
SS	○	●	●	○	◎
BOD	●	◎	○	○	○
COD	○	◎	◎	○	○
Oil	◎	-	-	○	◎
Salt	-	◎	●	-	-
Fe	○	●	◎	○	◎
Zn	○	●	◎	○	-
Mn	-	◎	◎	○	-
Cr	-	◎	◎	○	-
Cd	-	○	◎	-	-
Cu	-	○	◎	-	-
Pb	-	◎	◎	-	-
Hg	-	-	○	-	-

Note: ●: Especially high concentration, ◎: high concentration, ○: some concentration, - : Little concentration
Source: Based on Guideline for Planning and Designing of Waste Treatment Facility Development in Japan (2017)

Wastewater Treatment

Wastewater comes from	Characteristics	Treatment
Waste pit and car and platform washing	High organic contents (high BOD) from waste pit Fluctuation due to change of waste amount and characteristics. Oil in wastewater from car washing	- Organic wastewater can be treated by biological treatment method - Inorganic wastewater can be treated by coagulation/ chelate/ alkali/ sulfide filtration process, etc.
Wastewater from ash treatment	To be treated as inorganic wastewater (high heavy metal) in case of low ignition loss	- In principle, organic wastewater shall be separated from the inorganic content.
Wastewater from domestic facility	Wastewater from toilet and kitchen in the administrative office Quality is same as domestic wastewater	

Source: Based on Guideline for Planning and Designing of Waste Treatment Facility Development in Japan (2017)

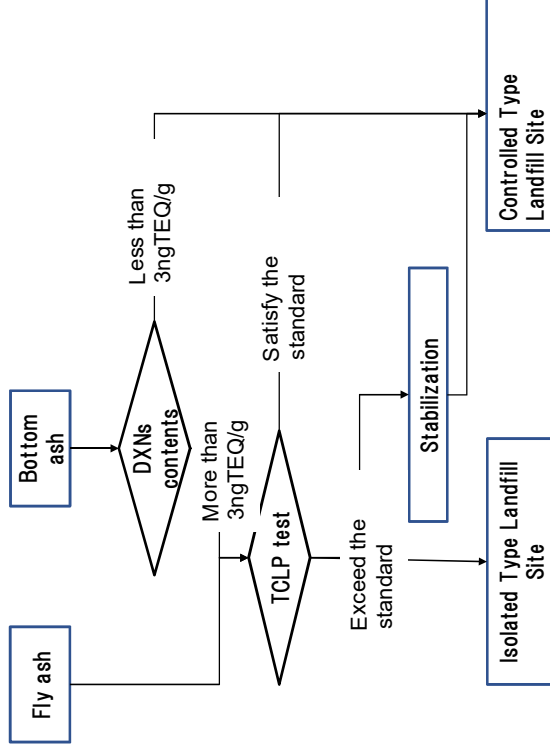
Environmental Monitoring and Dissemination to Public



Preparation of environment monitoring and management report in WtE facilities

Monitoring and dissemination to public of monitoring data

Ash Treatment



Ash Treatment (possible of recycling after separation and treatment)

Material recycle in bottom ash or slag

- Ferrous scrap metal in bottom ash is separated up by electro-magnetic separators to sell local steel mill factory.



Ash utilization

- Ash is utilized for road construction material after melting
- Bottom ash is utilized for cement ingredient after removing chloride composition.

Maraming salamat po !



*WTE-ACC: Waste-to-Energy with Appropriate Combustion Control

Institutional and Financial Features of WTE-ACC

Dissemination Seminar

At Discovery Suites Hotel Clermont Function Hall on 3rd June 2022

Makoto KOSAKA, SWM-PPP Expert



Objective and Contents of Session 3

Session 2 "Technical Features of Appropriately Controlled Combustion Technology" explains JET's case study analysis result from 58 WTE Projects in the world in Technical Aspects,

This Session 3 "Institutional and Financial Features of WTE-ACC" intends to introduce following aspects;

- Project Development and Implementation.**
 - Business Scheme / Project Implementation Framework
 - Development Approach,
 - Scope of Project,
 - Implementation Schedule,
- Finance of WTE-ACC Projects.**
 - Capital Expenditure (Capex),
 - Operation and Maintenance Expenditure (Opex),
- Public Involvement, Information, Education and Communication (IEC).**
- Cost-Sharing Scheme for WTE in neighboring countries.**
 - Japan
 - Indonesia
 - Feed-in Tariff (FiT) for Wte

1. Project Development and Implementation (1) Business Scheme / Project Implementation Framework

Major Project Schemes applied in WTE-ACC Projects

PPP Modalities	Role			Owner of Facility		Explanation
	Design	Const.	Finance	Op. Period	After Op. Period	
PFI	Private	Private	Private	Private	Private	PFI is a BOT/BOO/BTO, which Private sector provides funds, design, construct, and operate the facility thru project period. (BOO) Ownership will not be transferred to the public even after the operation period. (BOT) Ownership will be transferred to the public at the end of operation period. (BTO) Ownership will be transferred to the public after completion of the facility. The public sector raises funds through bonds and grants, and comprehensively outsources the design, construction, operation of the facility to the private. The public sector raises funds through bonds and grants, and comprehensively outsources the design, construction, maintenance of the facility to the private.
	Private	Private	Private	Private	Public	
	Private	Private	Private	Private	Public	
Non-PFIs	Public	Public	Public	Public	Public	The public sector designs and constructs the facility, and the private is entrusted with the operation for multiple years.
	Public	Public	Public	Public	Public	
Public Build + long term O&M contract	Public	Public	Public	Private	Public	

Notes: in DBO, Public entity orders private contractor to construct the facility.
Source: ITWG Subgroup Output.

1. Project Development and Implementation (1) Business Scheme / Project Implementation Framework

Table 2 Business Schemes applied to the Existing Wte-ACC Case Studies

Business Scheme	Number of cases	Ratio	In Japan	Outside of Japan	Remark
Public Build (DB) and Operate	21	35%	13	8	Japan, Singapore, Netherland, Italy, Denmark, Finland
DB+O (15yrs)	1		1	0	Japan
DBO (15yrs)	2	2%	0	2	France, Singapore
DBO (20yrs)	10	23%	9	1	Japan, USA
BTO (20yrs)	2	1%	2	0	Japan
BOO (20yrs)	3	2%	0	3	Thailand, Taiwan, India
BOO (25yrs)	1		0	1	Singapore
BOO (22yrs)	1	1%	0	1	Vietnam
-	15				Data can't be obtained in this Case Study
Total	60		29	16	

- ✓ Public Build and Operate (35%) and DBO (23%) where public has ownership (Capex shouldered by public) of WTE is majority
- ✓ BOT and BOO (3%) are applied in Thai, Taiwan, India, Singapore and Vietnam. Cases in Thai, India and Vietnam are first WTE installations in each country. > Procurement of front-end finance is the issue for developing countries, in particular LGUs.

* Even in Taiwan and Singapore, BOT or BOO is not majority of their business scheme in WTE-ACC development.

1. Project Development and Implementation (2) Development Approach

There are 2 development approaches, namely "Solicited" and "Unsolicited". According to PPP Center, these are explained as below;

Solicited vs Unsolicited Proposals;

Solicited proposal:

A solicited proposal refers to projects identified by the implementing agency (IA) from the list of their priority projects. In a solicited proposal, the IA formally solicits the submission of bids from the public. The solicitation is done through the publication of an invitation for interested bidders to submit bids, and selection of the private proponent is done through a public competitive process.

In case of WTE-ACC, this usually be LGU

Unsolicited proposal:

In an unsolicited proposal, the private sector project proponent submits a project proposal to an IA without a formal solicitation from the government. An unsolicited proposal may be accepted for consideration and evaluation by the IA, provided it complies with the following conditions:

1. It involves a new concept or technology and/or it is not part of the list of priority projects in the Philippine Investment Program (PIP) [Medium Term Public Investment Program, Comprehensive and Integrated Infrastructure Program (CIIP)] and the Provincial/Local Investment Plans;
2. It does not include a Direct Government Guarantee, Equity or Subsidy;
3. It has to go to ICC for the determination of reasonable Financial Internal Rate of Return (FIRR) and approval to negotiate with the Original Proponent; and
4. After successful negotiation, proceed to publication and request for competitive proposals according to Swiss Challenge Rules.

1. Project Development and Implementation (2) Development Approach

Table 3 Development Approach of WtE-ACCs Case Study

Development option	Cases	%
Solicited	51	85
Solicited (1993), Unsolicited (2007)	1	2
No information	8	13
Total	60	100%

- ✓ Solicited approach was taken in 51cases (85%) out of 60 cases, only one case (Aval Energie Bedrijf Amsterdam, ID301, Netherlands) adopted the unsolicited approach in their latest development while first phase of this facility was developed by solicited approach,
- ✓ There are numerical numbers of submitted unsolicited proposals in Philippines and ASEAN countries, where LGUs don't have enough budget for front-end cost e.g. planning, FS, procurement, etc. However, there are also a mountain of cases which private proposals without enough deliberation of the concept or master plan of LGUs' MSW management were cancelled or not materialized because of less due diligence, market change, loss of private interest, administration changes, etc.
- ✓ **WTE-ACC is a waste treatment project shall have right to handle the WTE-ACC project planning and implementation.** Since implementation of MSWM as planned is the obligation of LGUs, LGU flexibility can be increased.
- ✓ For the purpose to increase the number of private interest, national government shall specify minimum technical requirements and specifications, and LGUs shall detail out the facility requirements based on local MSWM conditions and expectations.
- ✓ Therefore, it is suggested that LGUs to prepare its MSW facility plan by themselves first (not rely on private proposal at beginning). In which, main objectives and expectation of WTE-ACC facility, waste stream (mass balance), scope of private company shall be at least identified. By this, evaluation of unsolicited proposals can be easy drastically.

1. Project Development and Implementation (3) Scope of Project

Table 4 Case studies on Scope of Works between Public/Private

Business Scheme	Scope of Works				Fly ash
	Collection	Transp.	Processing WTE-ACC	Energy Sale	
Public Build and Operate	LG	LG	CAT23 (Public)	CAT23 (Public)	Tokyo Metropolitan Government
DB+O (15yrs)	Lgs	Lgs	Federation (Public)	Federation (Public)	Federation (Public)
DBO (15yrs)	LG	LG	SPC	LG	LG
Mito city incineration plant (ID111)	LG	LG	SPC	LG	SPC
Tuas South WTE Plant (ID202)	NEA	NEA	NEA	NEA	NEA
Keppel Seghers Tuas WTE Plant (BOT (25yrs))	NEA	NEA	SPC	SPC	NEA
Nong Khaiem WTE plant (BOT (20yrs))	BMA	BMA	SPC	SPC	BMA
Public Build (DB) and Operate	AEB+LGS	AEB+LGS	AEB	JV with energy grid	AEB
Amsterdam (AEB) (ID301)	SYCTOM	SYCTOM	Private	SYCTOM	Private
Isy-les-Moulineaux WTE plant (Isseane) (ID303)	LGS	LGS	ARC	ARC	ARC
Amager Bakke (ID307)	SWA	SWA	PBRRC	PBRRC	SWA
Palm Beach Renewable Energy Facility 2 (ID318)					

Note) ■ responsibility of public entity, ■ responsibility of private partner.

- ✓ Table 4 shows the task allocation throughout the waste management flow (from collection of MSW to final disposal of ash) in 11 selected cases.
- ✓ There is no case which LGS contract out to WTE-ACC partner to do from MSW collection and transportation services. This is because contract from collection up to final disposal (called as Removal contract) is highly depending on private partner and there is risk in the contract price negotiation, bankruptcy of private partner, etc.

1. Project Development and Implementation (4) Implementation Schedule

Table 5 Case Studies on the Duration of Original Plan to Bid and Construction

Duration (Years)	Effective number	Average	Maximum	Minimum*
From Facility Plan to Bid	15 cases	2.9 years	5.3 years	1.0 years
Construction (incl. design)	37 cases	4.0 years	6.6 years	2.0 years +

Note: Some cases don't have the month of bid or completion of construction so minimum years might be deliberated more.

- ✓ Table 5 shows the required periods for the development of WTE-ACC by case study.
- ✓ Average project implementation timeline is 2.9 years for preparation of bid, 4.0 years for construction (including design) according to the outstanding cases in operation.
- ✓ 2.9 years from planning to bid announcement seems a bit longer. However, considering the longest case takes 5.3 years, and there are a lot of projects which are not materialized, implementation agencies must know that due deliberation of facility plan as well as bidding document must be taking time.
- ✓ With regard to the construction period, since most of facilities are ordered based on design-build basis, designing, construction and commissioning requires 4.0 years in average, at maximum 6.6 years. In minimum a case shows 2.0 years but this case only has the information of year, so actual construction period might be longer than 2 years.

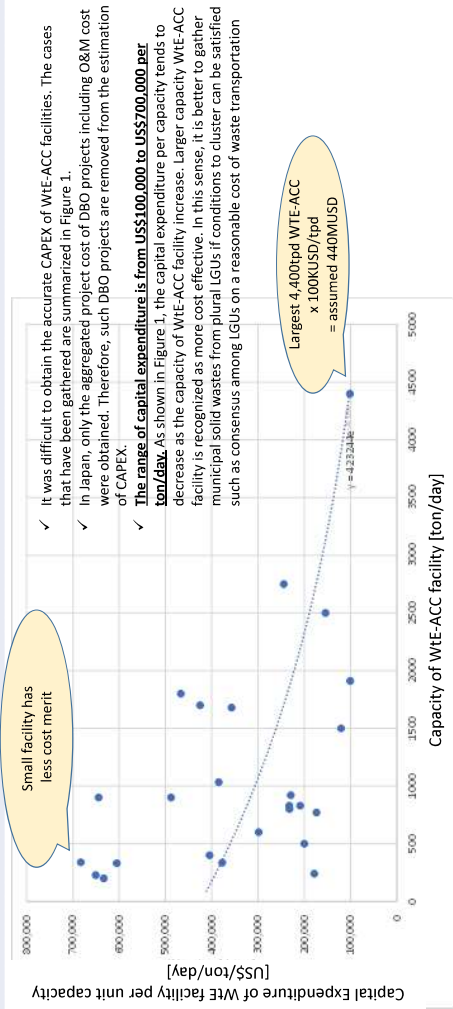


Figure 1 Relationship between CAPEX (USD/t/day) and WTE Capacity (t/day)

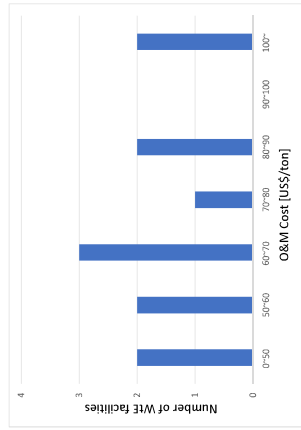


Figure 2 OPEX of each WTE facility

- ✓ O&M expenditure include fuel, electricity, chemical agent and personnel cost.
- ✓ The O&M of WTE-ACC facilities, especially in EU and Asian countries other than Japan are not published and are difficult to access.
- ✓ However, according to the data collected in Japan, the OPEX of incineration is approximately US\$50 - 100/ton.
- ✓ Table 6 shows the breakdown of O&M expenditure of 3 WTE-ACCs in Clean Authority of Tokyo. Biggest cost items is maintenance in Shinkoto (44%) and Toshima (37%) which are operated by CAT directly while Ota WTE-ACC contract to private to operate the facility therefore Others is the biggest ratio (55%) and Personnel is lowest (5%).

Table 6 Examples of Operation and Maintenance Cost of WTE-ACC Facility (Japan)

Item	Unit	Shinkoto (ID102)	Toshima (ID123)	Ota (ID101)			
Personnel cost	million US\$	7.0	22%	1.9	13%	0.7	5%
Utility cost	million US\$	2.3	7%	0.8	5%	0.9	6%
Maintenance	million US\$	13.8	44%	5.2	37%	2.0	13%
Ash handling	million US\$	4.7	15%	1.3	9%	3.2	21%
Others	million US\$	3.6	12%	5.0	35%	8.3	55%
Total O&M cost	million US\$	31.4	100%	14.2	100%	15.0	100%
O&M unit cost	US\$/ton	76.4	-	154.1	-	86.8	-
Waste amount	1,000 ton/yr	411.6	-	92.1	-	173.1	-

- ✓ **Consensus with public, and public involvement is an essential part of the smooth implementation of WTE-ACC project.**
- ✓ EIA systems in each country, which facilitates the public involvement process of the project. **As part of EIA, public hearing and public consultation meetings are held during the planning and design stage.** In case of Japan, the procedure of public consultation meeting for WTE-ACC project is stipulated in the EIA Act as well as EIA ordinance in each prefecture.
- ✓ A public consultation meeting is open to anyone including residents near the site, NGO, academic experts. The meeting date or venue and the project profile are disseminated before a certain day and the project so that the participants have time to prepare the questions or explain their opinions.
- ✓ **WTE-ACC facilities basically accept facility tours by the public including residents.** NGOs and students so that the WTE-ACC operator can verify their environmental compliance and performance of MSW treatment. The tour is often utilized as an opportunity of environmental education to visitors as well. **The visitors of WTE-ACC are reminded and encouraged to think about MSW issues, their lifestyle and behavior in daily life.** Some pictures from a WTE-ACC facility tour exhibiting the environmental education area, air quality monitor in a WTE-ACC facility is shown in left figure.



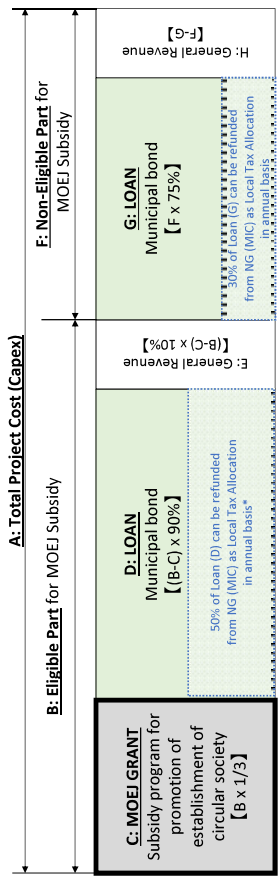
In 1950s, Japanese LGUs didn't have knowledge/technology and finance/budget to control the increased waste. NG amended the law to introduce NG's technical and financial support to LGUs to implement appropriate waste treatment. **The table below shows the Subsidy program for Waste Treatment Facilities (WTFs) provided by MOE from 1977. This subsidy program itself doesn't differ in priority depending on the situation of applied LGU such as tax revenue, urgency of waste treatment, geological situation, etc.**

Name	Subsidy program for promotion of establishment of circular society
Eligible entity	A LG or clustered LGs in Japan who has population above 50K or area 400km ² Some exceptions for island, peninsula, mountain, heavy snow, etc.
Conditions	1/3 of total cost of target facility (See Next Slide) LGs shall prepare the facility plan (Feasibility Study) as the application document, which shall be along with LG's MSW management master plan and have quantitative targets e.g. waste generation reduction, recycle ratio, energy recovery, final disposal amount.
Budget of MOEJ	FY2021 JPY 62,741M (USDe 627M) FY2020 JPY 118,665M (USDe 1,187M) FY2019 JPY 116,487M (USDe 1,165M)
Remarks	All LGs who meet with above condition can apply. No prioritization condition (e.g. low income LG, urgent necessity, etc.). To prepare application, renewing master plan, FS, TA, etc. shall be needed so it takes at least 3-4 years.

Next Slide shows more detail about MOEJ subsidy and other supports on debt part.

4. Cost-Sharing Scheme for WTE in Neighboring Countries

(1) Funding support for SWM facilities by National Government in Japan



- There are at least 3 NG incentives for the WTE project in Japan, which targets Capex support only.
- For the calculation of MOEJ Grant Amount, Capex shall be divided to Eligible part (above B) and Non-Eligible Part (above F).
- NG/MOEJ provides grant (above C) to LG 1/3 of eligible part of Capex, *1.
- LG shall procure rest part by Municipal bond (above D) at low interest rate (0.5-0.8% *2) and general revenue source (above E).
- LG shall also procure Non-Eligible part by Municipal bond (above G) and general revenue source (above H).
- LG shall repay principle and pay interest for these Municipal bond part in annual basis.
- However, a part (50% of D, and 30% of G) of principal repayment and interest payment in previous year can be refunded by NG/MIC in the form of addition to the Local Tax Allocation in next year *3.

Note1) Since Blue-dot boxes will be "REFUNDED" by NG/MIC (Ministry of Interior and Communication) in the form of addition to the Local Tax Allocation in next year, payment of interest/repayment of principle in previous year is subject condition, therefore, while local tax allocation itself is NOT limited if usage, it can be said that its usage is substantially appropriated (can be said as "financed") to the payment of interest/repayment of principle of Note2) Furthermore, it can be said that there are non-eligible municipalities for the "Local Tax Allocation", e.g., Tokyo Metropolitan Government, Nara city and Toyota city who have higher tax revenue by industrial concentration, etc.

4. Cost-Sharing Scheme for WTE in Neighboring Countries

(2) WtE-ACC project promotion by PPP scheme in Indonesia

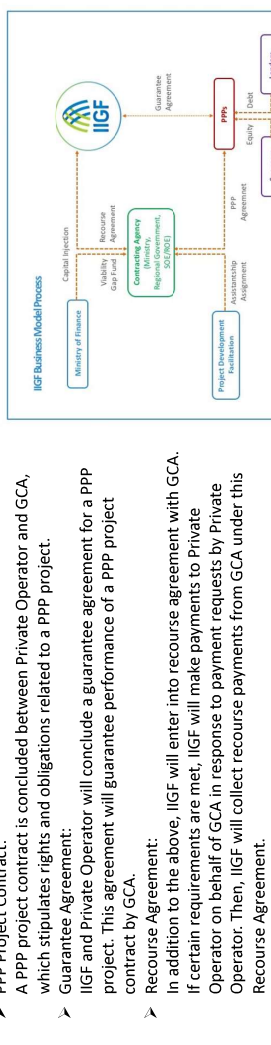
- The Government of Indonesia declared promotion of WtE-ACC projects in the country and designated the 12 priority areas for development of WtE-ACC facilities by the Presidential Decree 2018-35. Although the WtE-ACC facility is not yet operational in Indonesia, some LGUs are currently preparing to develop WtE-ACC projects.
- The following policy instruments were installed in Indonesia to promote WtE-ACC projects by PPP scheme:
 - A) Viability Gap Funding (VGF):** Government's subsidy for investment cost of PPP projects
 - A financial support funded by Ministry of Finance, to support establishment of PPP projects by providing part of Construction Cost for projects which have high social/economic benefits but low financial profitability.
 - Form of payment: Cash
 - Eligibility for payment: Part of construction cost.
 - Timing of payments: To be stipulated in PPP project agreement.
 - (Certain stages during construction period and commercial operation date.)
 - PPP projects implemented by LGUs can be funded from LGUs' fund in addition to VGF.
- B) Availability Payment (AP):** Government's subsidy for operational cost of PPP projects.
- A system whereby Government Contracting Agency (GCA) promises a fixed payment to the private operator in return for the provision of infrastructure services at a specified quality under a PPP contract. Adequate return on investment for PPP projects involving O&M of infrastructure over a long term can be guaranteed from private operator's perspective.
- C) Feed-in-tariff (FIT):** set at US\$13.35/kWh

4. Cost-Sharing Scheme for WTE in Neighboring Countries

(2) WtE-ACC project promotion by PPP scheme in Indonesia

D) Indonesian Infrastructure Guarantee Fund (IIGF): Government's Guarantee for PPP project

IIGF is a public guarantor established and 100% owned by Ministry of Finance. IIGF guarantees the performance of GCA in PPP projects and promises financial compensation on behalf of GCA in case GCA defaults on its obligations, thus making a significant contribution to reducing risk of private operators. In PPP projects where IIGF guarantee contracts are signed, three types of contracts (i) PPP project contract, (ii) guarantee agreement, and (iii) recourse agreement are basically signed by private sector, GCA, and IIGF. Figure 9 illustrates the business model process of IIGF.



A PPP project contract is concluded between Private Operator and GCA, which stipulates rights and obligations related to a PPP project.

Guarantee Agreement: IIGF and Private Operator will conclude a guarantee agreement for a PPP project. This agreement will guarantee performance of a PPP project contract by GCA.

Recourse Agreement: In addition to the above, IIGF will enter into recourse agreement with GCA. If certain requirements are met, IIGF will make payments to Private Operator on behalf of GCA in response to payment requests by Private Operator. Then, IIGF will collect recourse payments from GCA under this Recourse Agreement.

4. Cost-Sharing Scheme for WTE in Neighboring Countries

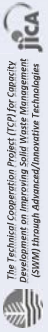
(3) Feed-in-tariff (FIT) for WTE

- The FIT scheme applied for WTE projects in the Philippines as well as for other Southeast Asian countries is summarized in Table 8 below.
- In Philippines, FIT had applied for renewable energy such as wind, biomass (including WtE-ACC), solar and run-of-river hydropower pursuant to ERC Resolution No. 10 Series of 2012. Application of the FIT for WtE-ACC project is already ended on 31Dec2019.

Table 8 FIT applied to WtE-ACC Project in the Southeast Asian Countries + Japan

Country	Enforcement	Tariff (US cent/kWh)	Condition, Remarks
Indonesia	2018	13.35	Capacity: < 20MW
		14.54 - (0.076 * [Capacity])	Capacity: > 20MW
Thailand	2015	20.9	Capacity: < 1MW
		19.2	Capacity: 1-3MW
Vietnam	2014	16.8	Capacity: > 3MW
		10.05	Applied for incineration
Malaysia	2011	7.28	Applied for landfill gas
Philippines	2013	6.5 - 7.4	Applied for biomass/biogas
		13.3 (0.5% degression rate after 2years from effectivity of FIT)	Installation target - fully subscribed
Japan	2013	15.0 (as of 2021)	Period for qualification - ended on 31 December 2019
			Only biomass fraction

Source: ITWG Subgroup Output



The Technical Cooperation Project (TCP) for Capacity Development of the Government of Somalia through Advanced/Innovative Technologies (SOM/ITAGP)

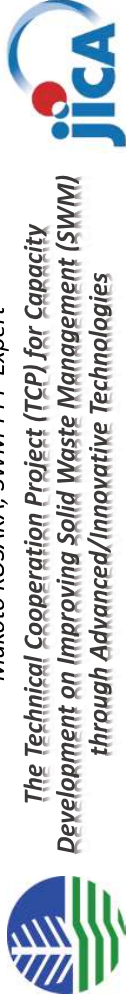
Thank you for your attention!

*WTE-ACC: Waste-to-Energy with Appropriate Combustion Control

WTE-ACC* Related Technical Standards

Dissemination Seminar

3rd June 2022 @ Discovery Suite Hotel
Makoto KOSAKA, SWM-PPP Expert



a. Recent Updates on WTE-ACC Related Technical Standards in Philippines

- Clean Air Act 1999 / Section 20. Incineration Ban
 - DENR MC2002-05 "Clarification on Incineration Ban"
 - Exhaust gas controlled incineration is not prohibited
 - NSWMC Resolution 2016-699; "Guidelines governing the Establishment and Operation of Waste to Energy Technologies for MSW"
 - DAO2019-21; "Guidelines governing Waste-to-Energy (WtE) Facilities for the Integrated Management of MSW"
- Present situation;
- DAO2019-21 is not so specific on the technical issue,
 - Main initiator of WTE development is LGU who is responsible of MSW in their jurisdiction, however LGU doesn't have capacity in particular the WTE technical aspect,
 - National Government (e.g. DENR, DOST, etc.) shall regulate minimum requirement – **based on globally applicable standards;**
 - Recommendation to the Philippines;

- a. Recent Updates on WTE-ACC Related Technical Standards in Philippines
- b. WTE-ACC Technical Standards set force in Japan/EU
- c. Components of Technical Standards ~ Recommendation to Philippines ~
 1. General Conditions
 2. Complete Combustion Control
 3. Exhaust Gas Control
 4. Wastewater Control
 5. Ash Management
 6. Other Environmental Pollution Control,
 7. Other Requirement,

a. WTE Technical Standards set force in Japan/EU

- Japan has approx. 1,127 Waste Incineration Facilities in operation, which complies following standards,
 - IRR of "Waste Treatment and Public Cleaning Law (1971~)" set following technical standards;
 - (1) **Structural Standards of Waste Treatment Facilities* (S/S),**
 - (2) **Operation and Maintenance Standards of Waste Treatment Facilities* (OM/S),**
- *WTF includes WTE-ACC, Biogas, Compost, MRFs, RDF Production, etc.
- ✓ S/S is mainly used when the facility basic plan is applied to provincial government for the building permit.
 - ✓ While S/S aims to be a checklist for both applicant/evaluation body whether each functional requirement of the facility meet with the standards or not at the WTFs building permission stage, OM/S is used in daily operation as well as periodical inspection.
 - ✓ Permission applicant shall prepare O&M plan and corresponding O&M report every year to disclose how the facility meet with this O&M/S in their website, etc.
 - ✓ This Japanese WTFs permitting system, thorough the evaluation of applied WTF plan by provincial government, enables to prevent frequently occurred MSW violations such as:
 - (1) Pollution for the living environment caused by careless handling of waste, (2) Improper disposal of waste to avoid proper waste treatment cost,
- LGUs can construct WTE-ACC as long as it comply with S/S and OM/S, however, Ministry of Environment Japan set another technical standards namely "**Guidelines for Performance Standards of WTFs for National Subsidy Program (P/S)**", which request LGU to follow stringent standards in case if LGU wants to use subsidy program.
 - ✓ Caused by recent mass-production, mass disposal society at 2000s, social problems such as increase of environmental impact as well as running out of disposal space are occurred.
 - ✓ For the conservation of living environment in the future, together with waste prevention and reuse/ recycle activities, installation of waste treatment facilities (WTFs) subject to the improvement of stability and reliability on the waste treatment is absolutely necessary.
 - ✓ Therefore, in addition to the S/S and OM/S regulated in Waste Treatment and Public Cleaning Law, this performance requirements are set for the national subsidy projects.

b. WTE Technical Standards set force in Japan/EU



- EU has approx. 499 WTE Plants in operation,
- **EU Directive 2010/75/EU "On Industrial Emissions (Integrated Pollution Prevention and Control)"** provides regulatory frameworks of waste incineration plants; which consolidated relevant waste management directives such as;
 - **Directive 2000/76/EC on the Incineration of Waste,**
 - Directive 2001/80/EC on the Limitation of emissions of certain pollutants into the air from large combustion plants,
 - Directive 2008/1/EC concerning integrated pollution prevention and control, etc.
- Annex I of Directive 2010/75 stipulates activities covered by this Directive, in which;
 - (1) Energy industries, (2) Production of metals, (3) Mineral Industry, (4) Chemical Industry, (5) **Waste Management**, etc.
- Articles under "Chapter IV Special Provision for Waste Incineration Plants and Waste Co-Incineration Plants" and Annex VI "Technical Provisions relating to Waste Incineration Plants and Waste Co-Incineration Plants" introduces technical requirements for the Waste Incineration Plants such as but not limited to, permission condition, emission control, monitoring, operating conditions, waste acceptance, residues, etc.

 **This session aims to lay down these outstanding standards and figure out the necessary refinement of DAO2019-21**

c. Components of Technical Standards ~ Recommendation to Philippines

1. General Conditions
2. Complete Combustion Control
3. Exhaust Gas Control
4. Wastewater Control
5. Ash Management
6. Other Environmental Pollution Control,
7. Other Requirement,

1.1 Requirement of Facility Structure

1.1 Facility Structure	"Waste Treatment and Public Cleaning Law" (1971-) (1) Structural Standards of WTEFs (S/S) (2) O&M Standards of WTEFs (OM/S) (3) Performance Standards of WTEFs for National Subsidy 2008 (P/S)	Article 4 of IRR of "Waste Treatment and Public Cleaning Law" (1971-) (1) Structural Standards of WTEFs (S/S) (2) O&M Standards of WTEFs (OM/S) (3) Performance Standards of WTEFs for National Subsidy 2008 (P/S)	Proposed refinement to DAO2019-21, Guidelines governing WTE Facilities for the Integrated Management of MSW in Philippines
1. To be safe in terms of structural strength against self-weight, loading capacity and other loads, seismic force and temperature stress.	No Specific Requirement	No Specific Requirement	DAO2019-21 Sec. 5 requires WTE facility shall comply with EIS process. => Recommend to refer any structural/civil/building codes applicable in the Philippines, e.g.: - Building Code of DPWH - Geographical and geological requirements in EIA. - Siting requirement for SLEs, etc.





1.2 Material, Anti-Corrosion for WTE

1.2 Material, Anti-corrosion	"Waste Treatment and Public Cleaning Law" (1971-) (1) Structural Standards of WTEFs (S/S) (2) O&M Standards of WTEFs (OM/S) (3) Performance Standards of WTEFs for National Subsidy 2008 (P/S)	Article 4 of IRR of "Waste Treatment and Public Cleaning Law" (1971-) (1) Structural Standards of WTEFs (S/S) (2) O&M Standards of WTEFs (OM/S) (3) Performance Standards of WTEFs for National Subsidy 2008 (P/S)	Proposed refinement to DAO2019-21, Guidelines governing WTE Facilities for the Integrated Management of MSW in Philippines
3. Necessary measures have been taken to prevent corrosion due to exhaust gas and wastewater, etc. generated from waste and as a result of waste treatment.	N/A	N/A	No Specific Condition for the material of WTE in DAO2019-21. => Recommend to include.







High-temperature corrosion in Boiler tube must be prevented by use of anti-corrosion material (e.g. stainless steel).

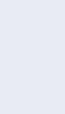
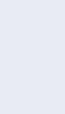
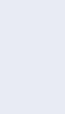
1.3 Firefighting Procedure and Equipment

<p>Article 4 of IRR of "Waste Treatment and Public Cleaning Law" (1971-)</p> <p>(1) Structural Standards of WTEFs (S/S)</p> <p>(2) O&M Standards of WTEFs (OM/S)</p> <p>(3) Performance Standards of WTEFs for National Subsidy 2008 (P/S)</p> 	<p>Proposed refinement to DAO2019-21, Guidelines governing WTE Facilities for the Integrated Management of MSW in Philippines</p> 	<p>DIRECTIVE 2010/75/EC</p> <p>DIRECTIVE 2000/76/EC</p> 	<p>N/A</p>
<p>1.3 Requirement for firefighting procedure and equipment.</p> <p>Because of contamination of explosive waste, mobile battery, etc., which shall not be mixed with MSW, fire accident of WTE sometimes happen. Due consideration for fire shall be necessary. (If WTE operation will be stopped, there is no other place to accept waste and waste would be overflowed in the city)</p>	<p>DAO2019-21, Sec. 5 f) WTE facility must be equipped with adequate fire-fighting devices certified by the Bureau of Fire Protection.</p> 	<p>N/A</p>	<p>1.4 Requirement for operation stability</p> <p>I. 1 (c) For the continuous running incinerator, it is required to be capable for continuous and stable operation at least 90 days or more per line. For the intermittent running incinerator, it is required to be capable for continuous and stable operation as planned at least 90 days or more per line.</p> <p>* To be checked by operational data of commercial facility. (Detail is omitted)</p> <p>While 90 days continuous operation shall be one of the performance requirements, it is tough to demonstrate it within the commissioning period. Therefore, as a compromised solution, this 90 days continuous operation test can be done after the Commercial Operation Date (COD) in Japan.</p>

1.4 Operation Stability

<p>Article 4 of IRR of "Waste Treatment and Public Cleaning Law" (1971-)</p> <p>(1) Structural Standards of WTEFs (S/S)</p> <p>(2) O&M Standards of WTEFs (OM/S)</p> <p>(3) Performance Standards of WTEFs for National Subsidy 2008 (P/S)</p> 	<p>Proposed refinement to DAO2019-21, Guidelines governing WTE Facilities for the Integrated Management of MSW in Philippines</p> 	<p>DIRECTIVE 2010/75/EC</p> <p>DIRECTIVE 2000/76/EC</p> 	<p>N/A</p>
<p>1.3 Requirement for firefighting procedure and equipment.</p> <p>Because of contamination of explosive waste, mobile battery, etc., which shall not be mixed with MSW, fire accident of WTE sometimes happen. Due consideration for fire shall be necessary. (If WTE operation will be stopped, there is no other place to accept waste and waste would be overflowed in the city)</p>	<p>DAO2019-21, Sec. 5 f) WTE facility must be equipped with adequate fire-fighting devices certified by the Bureau of Fire Protection.</p> 	<p>N/A</p>	<p>1.4 Requirement for operation stability</p> <p>I. 1 (c) For the continuous running incinerator, it is required to be capable for continuous and stable operation at least 90 days or more per line. For the intermittent running incinerator, it is required to be capable for continuous and stable operation as planned at least 90 days or more per line.</p> <p>* To be checked by operational data of commercial facility. (Detail is omitted)</p> <p>While 90 days continuous operation shall be one of the performance requirements, it is tough to demonstrate it within the commissioning period. Therefore, as a compromised solution, this 90 days continuous operation test can be done after the Commercial Operation Date (COD) in Japan.</p>



1.5 Effective Use of Residual Heat

<p>(3) Performance Standards of WTEFs for National Subsidy 2008 (P/S)</p> 	<p>Proposed refinement to DAO2019-21, Guidelines governing WTE Facilities for the Integrated Management of MSW in Philippines</p> 	<p>DIRECTIVE 2000/76/EC OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL "on the incineration of waste"</p> 	<p>N/A</p>
<p>Requirement for effective use of residual heat</p> <p>N/A in S/S and OM/S as they're standing on appropriate waste treatment aspect.</p> <p>Only P/S of WTEFs for National Subsidy says; I. 1 (d) For the continuous running incinerator, it is required to be capable to effective use of residual heat such as power generation, external heat supply, etc.</p> <p>* To be checked by operational data of experimental facility or commercial facility.</p>	<p>Application and permit 2. Without prejudice to Directive 96/61/EC, the application for a permit for an incineration or co-incineration plant to the competent authority shall include a description of the measures which are envisaged to guarantee that:</p> <p>(b) the heat generated during the incineration and co-incineration process is recovered as far as practicable e.g. through combined heat and power, the generating of process steam or district heating.</p>	<p>Article 4</p> <p>Application and permit 2. Without prejudice to Directive 96/61/EC, the application for a permit for an incineration or co-incineration plant to the competent authority shall include a description of the measures which are envisaged to guarantee that:</p> <p>(b) the heat generated during the incineration and co-incineration process is recovered as far as practicable e.g. through combined heat and power, the generating of process steam or district heating.</p>	<p>While 90 days continuous operation shall be one of the performance requirements, it is tough to demonstrate it within the commissioning period. Therefore, as a compromised solution, this 90 days continuous operation test can be done after the Commercial Operation Date (COD) in Japan.</p>




c. Components of Technical Standards ~ Recommendation to Philippines

1. General Conditions
2. Complete Combustion Control
3. Exhaust Gas Control
4. Wastewater Control
5. Ash Management
6. Other Environmental Pollution Control,
7. Other Requirement,

2.1 Processing Capacity


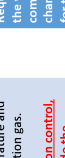
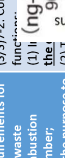
<p>The Technical Cooperation Project (TCP) for Capacity Enhancement on Improving Waste Management (ISWM) through Advanced/Innovative Technologies</p>	<p>Article 4 of IRR of "Waste Treatment and Public Cleaning Law" (1971-)</p> <p>(1) Structural Standards of WTEs (S/S)</p> <p>(2) O&M Standards of WTEs (OM/S)</p> <p>(3) Performance Standards of WTEs for National Subsidy 2008 (P/S)</p>		<p>DIRECTIVE 2010/75/EC DIRECTIVE 2000/79/EC</p>	<p>Proposed refinement to DAO2019-21, Guidelines governing WTE Facilities for the Integrated Management of MSW in Philippines</p>
<p>Processing Capacity</p> <p>Requirement for feeding quantity, to avoid overloading of the facility and incomplete combustion result.</p> <p>WTE operator is not allowed to accept waste more than permitted daily waste capacity.</p>	<p>Article 4 of IRR of "Waste Treatment and Public Cleaning Law" (1971-)</p> <p>(1) Structural Standards of WTEs (S/S)</p> <p>(2) O&M Standards of WTEs (OM/S)</p> <p>(3) Performance Standards of WTEs for National Subsidy 2008 (P/S)</p>		<p>DIRECTIVE 2010/75/EC DIRECTIVE 2000/79/EC</p>	<p>DAO2019-21 Section 6/ 6.1 Waste Delivery Control specifies category of MSW but not specific condition for the processing capacity of WTE.</p> <p>Para. 4 of 6.1 requires maintenance of operational record of accepted quantity in weight, source, type of waste, etc.</p> <p>⇒ Highly recommend to include to state processing capacity in 1/day in EIS, and to prohibit operator to accept waste over the processing capacity to avoid incomplete combustion and adherent environmental pollutions.</p>

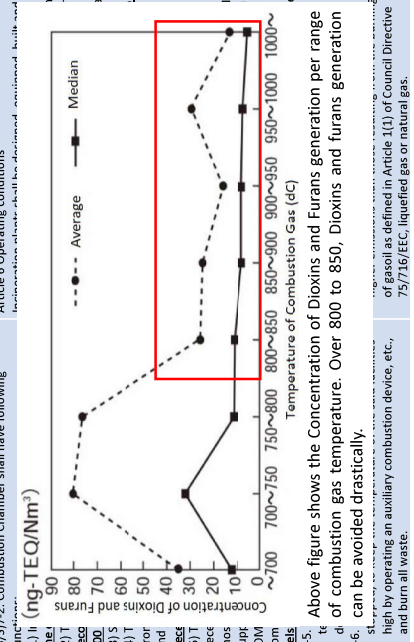
2.2 Waste Feeding System

<p>The Technical Cooperation Project (TCP) for Capacity Enhancement on Improving Waste Management (ISWM) through Advanced/Innovative Technologies</p>	<p>Article 4 of IRR of "Waste Treatment and Public Cleaning Law" (1971-)</p> <p>(1) Structural Standards of WTEs (S/S)</p> <p>(2) O&M Standards of WTEs (OM/S)</p> <p>(3) Performance Standards of WTEs for National Subsidy 2008 (P/S)</p>		<p>DIRECTIVE 2010/75/EC DIRECTIVE 2000/79/EC</p>	<p>Proposed refinement to DAO2019-21, Guidelines governing WTE Facilities for the Integrated Management of MSW in Philippines</p>
<p>Feeding System</p> <p>Sealing</p> <p>Mixing waste in the pit before feeding waste into the chamber, requires the feeder shall have function to isolate from outside air.</p>	<p>Article 4 of IRR of "Waste Treatment and Public Cleaning Law" (1971-)</p> <p>(1) Structural Standards of WTEs (S/S)</p> <p>(2) O&M Standards of WTEs (OM/S)</p> <p>(3) Performance Standards of WTEs for National Subsidy 2008 (P/S)</p>		<p>DIRECTIVE 2010/75/EC DIRECTIVE 2000/79/EC</p>	<p>Mixing waste in the pit before feeding waste into the chamber, requires the feeder shall have function to isolate from outside air.</p>
<p>Highly recommend to include the following:</p> <ul style="list-style-type: none"> Mixing waste in the pit before feeding waste into the chamber. Feeder shall have function to isolate waste from outside air. 	<p>Mixing waste in the pit before feeding waste into the chamber, requires the feeder shall have function to isolate from outside air.</p>		<p>Mixing waste in the pit before feeding waste into the chamber, requires the feeder shall have function to isolate from outside air.</p>	<p>Highly recommend to include the following:</p> <ul style="list-style-type: none"> Mixing waste in the pit before feeding waste into the chamber. Feeder shall have function to isolate waste from outside air.

If mixing is not enough, complete combustion and stable operation will be difficult because waste quality is un-even enough (e.g. very wet food waste and very dry plastic waste), it should be averaged. Skilled crane operator can mix them well and recently automated (AI) crane operation and remote crane operation system are developed.

2.3 Waste Combustion Chamber / Temperature

<p>The Technical Cooperation Project (TCP) for Capacity Enhancement on Improving Waste Management (ISWM) through Advanced/Innovative Technologies</p>	<p>Article 6 of IRR of "Waste Treatment and Public Cleaning Law" (1971-)</p> <p>(1) Structural Standards of WTEs (S/S)</p> <p>(2) O&M Standards of WTEs (OM/S)</p> <p>(3) Performance Standards of WTEs for National Subsidy 2008 (P/S)</p>		<p>DIRECTIVE 2010/75/EC DIRECTIVE 2000/79/EC</p>	<p>Proposed refinement to DAO2019-21, Guidelines governing WTE Facilities for the Integrated Management of MSW in Philippines</p>
<p>Requirements for the waste combustion chamber:</p> <p>for the purpose to complete and stable combustion and to avoid generation of pollutants.</p> <p>Requirement of temperature of combustion gas.</p>	<p>Article 6 of IRR of "Waste Treatment and Public Cleaning Law" (1971-)</p> <p>(1) Structural Standards of WTEs (S/S)</p> <p>(2) O&M Standards of WTEs (OM/S)</p> <p>(3) Performance Standards of WTEs for National Subsidy 2008 (P/S)</p>		<p>DIRECTIVE 2010/75/EC DIRECTIVE 2000/79/EC</p>	<p>Incineration plants shall be designed, equipped, built and operated in such a way that the gas resulting from the process is raised, after the last injection of combustion air, in a controlled and homogeneous fashion and even under the most unfavorable conditions, to a temperature of 850°C as measured near the inner wall or at another representative point of the combustion chamber as authorized by the competent authority.</p> <p>Each line of the incineration plant shall be equipped with at least one auxiliary burner. This burner must be switched on automatically when the temperature of the combustion gases after the last injection of combustion air falls below 850°C as the case may be. It shall also be used during plant start-up and shut-down operations in order to ensure that the temperature of 850°C as the case may be is maintained at all times during these operations and as long as unburned waste is in the combustion chamber.</p> <p>During start-up and shut-down or when the temperature of the combustion gas falls below 850°C, the auxiliary burner shall not be fed with fuels which can cause higher emissions than those resulting from the burning of gasoil as defined in Article 1(1) of Council Directive 75/716/EEC, liquefied gas or natural gas.</p>
<p>Requirements for the waste combustion chamber:</p> <p>for the purpose to complete and stable combustion and to avoid generation of pollutants.</p> <p>Requirement of temperature of combustion gas.</p>	<p>Article 6 of IRR of "Waste Treatment and Public Cleaning Law" (1971-)</p> <p>(1) Structural Standards of WTEs (S/S)</p> <p>(2) O&M Standards of WTEs (OM/S)</p> <p>(3) Performance Standards of WTEs for National Subsidy 2008 (P/S)</p>		<p>DIRECTIVE 2010/75/EC DIRECTIVE 2000/79/EC</p>	<p>Incineration plants shall be designed, equipped, built and operated in such a way that the gas resulting from the process is raised, after the last injection of combustion air, in a controlled and homogeneous fashion and even under the most unfavorable conditions, to a temperature of 850°C as measured near the inner wall or at another representative point of the combustion chamber as authorized by the competent authority.</p> <p>Each line of the incineration plant shall be equipped with at least one auxiliary burner. This burner must be switched on automatically when the temperature of the combustion gases after the last injection of combustion air falls below 850°C as the case may be. It shall also be used during plant start-up and shut-down operations in order to ensure that the temperature of 850°C as the case may be is maintained at all times during these operations and as long as unburned waste is in the combustion chamber.</p> <p>During start-up and shut-down or when the temperature of the combustion gas falls below 850°C, the auxiliary burner shall not be fed with fuels which can cause higher emissions than those resulting from the burning of gasoil as defined in Article 1(1) of Council Directive 75/716/EEC, liquefied gas or natural gas.</p>



Above figure shows the Concentration of Dioxins and Furans generation per range of combustion gas temperature. Over 800 to 850, Dioxins and furans generation can be avoided drastically.




Highly recommend to include the following:

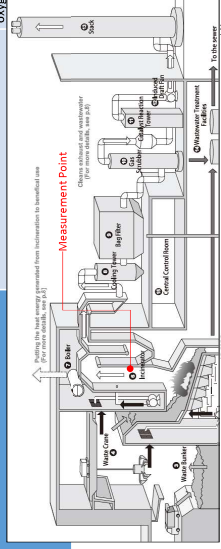
- Requirement of combustion gas temperature as 850°C or more.
- Its retention time of 2 seconds or more.
- Combustion chamber has to be isolated from outside air.
- Requirement for quick start-up.
- Requirement of equip the auxiliary fuel combustion device.

2.3 Waste Combustion Chamber / (2) Ignition Loss

<p>Requirements for the waste combustion chamber;</p> <p>Requirement for characteristics of incinerated bottom ash as "ignition loss" (unburned combustible matter remaining in incinerated bottom ash in weight-%).</p> <p>Ignition loss indicates complete combustion level and stable combustion of the furnace.</p> <p>In Japan, ignition loss shall be regulated to monitor once in every month in KANSEI 95 (Circular No. 95 in 1977).</p>	 <p>(OM/S) 2-4. Incorporate so that ignition loss of the bottom ash to be 10% or less. However, this shall not apply to the case where the bottom ash is used so as not to cause hindrance to the preservation of the living environment.</p> <p>(P/S) 1. 1. (b) Ignition loss of continuous running incineration residue (excluding fly ash) shall be 5% or less, and same of intermittent running incineration residue shall be 7% or less. These are not applied for waste carbonization facility.</p> <p>* To be checked by operational data of experimental facility or commercial facility. (Detail is omitted)</p>	 <p>Article 6 Operating conditions</p> <p>1. Incineration plants shall be operated in order to achieve a level of incineration such that the slag and bottom ashes Total Organic Carbon (TOC) content is less than 3 % or their loss on ignition is less than 5 % of the dry weight of the material, if necessary appropriate techniques of waste pretreatment shall be used.</p>	 <p>No requirement neither for Ignition Loss or TOC in bottom ash.</p> <p>⇒ for the complete combustion control, together with combustion chamber temperature, JET recommended to include the ignition loss requirement as 5% or less.</p>
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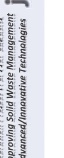
2.4 Continuous Measurement System in Combustion Chamber

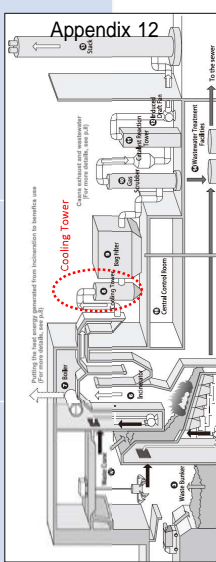
<p>Requirements for measurement system inside of combustion chamber</p> <p>Basically, it composes thermocouple, transmitter, and DCS.</p> <p>Requirement for continuous monitoring/recording of temperature of combustion chamber.</p>	 <p>(S/S) 7-3. Equipment is provided for continuously measuring and recording the temperature of the combustion gas in the combustion chamber.</p> <p>(OM/S) 2-7. To continuously measure and record the temperature of the combustion gas in the combustion chamber.</p>	 <p>Article 11 Measurement requirements</p> <p>2. The following measurements of air pollutants shall be carried out in accordance with Annex III at the incineration and co-incineration plant:</p> <p>(b) continuous measurements of the following process operation parameters: temperature near the inner wall or at another representative point of the combustion chamber as authorized by the competent authority, concentration of oxygen, pressure, temperature and water</p>	 <p>DAO2019-21 6.3 Environmental Monitoring</p> <p>b) The WVE facility operator shall install CEMS, linked with the EMB, measuring PM, NO₂, CO, HCl, Temperature and other parameters as determined by Bureau.</p> <p>6.4 Documentation and Data Management</p> <p>i) Daily processing operation log sheet showing or attaching the following information:</p> <p>i. Quantity of waste materials processed</p> <p>ii. CEMS data online submission to EMB</p> <p>⇒ DAO2019-21 already contains CEMS requirement, so recommend to add the monitoring of operational parameters as well.</p>
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Source: Clean Authority of Tokyo

c. Components of Technical Standards ~ Recommendation to Philippines

<p>Requirements for the capacity of cooling system of combusted gas for the purpose to avoid regeneration of DXNs and burnout of bag filter.</p> <p>Requirement for cooling management of combusted gas. Usually, this is managed by Distributed Control System (DCS).</p>	 <p>(S/S) 7-4. A cooling facility capable of cooling the temperature of the combustion gas flowing into the dust collector to approximately 200 degrees Celsius or less shall be secured. However, this shall not apply to the case where the temperature of the combustion gas can be quickly cooled to approximately 200 degrees Celsius or less in the dust collector.</p> <p>(OM/S) 2-8. To cool the temperature of the combustion gas flowing into the dust collector to approximately 200 degrees Celsius or less. However, this shall not apply to the case where the temperature of the combustion gas can be quickly cooled to approximately 200 degrees Celsius or less in the dust collector.</p>	 <p>N/A</p>	 <p>No requirement about cooling tower.</p> <p>⇒ Highly recommend to include.</p>
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Source: Clean Authority of Tokyo

When reducing the flue gas temperature, there is opportunity for Dioxins and furans to be re-generated at 300-500°C range. So, quick quenching system to be 200°C or less is required.

1. General Conditions
2. Complete Combustion Control
3. Exhaust Gas Control
4. Wastewater Control
5. Ash Management
6. Other Environmental Pollution Control,
7. Other Requirement,