CHAPTER 8 HIGHWAY DESIGN

8.1 Sections for Highway Design

Many locations between Thlea M'am and Battambang as well as between Sri Sophorn and Poitet were inundated during the flood which occurred in 2013 and the pavements of these sections were severely damaged. To prevent inundation due to flood and subsequent failure of pavement, height of road surface needs to be set sufficiently higher than the flood water level (see Subsection 8.2.5 for explanation on height of road surface and flood water level). Thus, Thlea Ma'am – Battambang Section and Sri Sophorn – Poipet Section are divided into following 6 sections for the purpose of highway design with regard to flood:

Thlea Ma'am – Battambang Section

- Design Section 1 (KP 171 KP 138): Flood water level is lower than the existing road surface by 50 cm or more. Thus, the new pavement is constructed on top of the existing pavement.
- Design Section 2 (KP 183 KP 190: Pursat Bypass): The bypass is newly constructed traversing mainly agricultural land. The level of flood water is not high.
- Design Section 3 (KP 190 KP 255): Flood water level is low and basic concept of design is same to that of Design Section 1
- Design Section 4 (KP 255 KP 283): Flood water level exceeded road surface during the flood in 2013. Height of the new road surface is planned so that the bottom of the pavement structure becomes 50 cm above the recorded flood water level.

Sri Sophorn - Poipet Section

Design Section 5 (KP 366 – KP 371): Flood water level exceeded road surface during the flood in 2013. The design policy same as that of Design Section 4 is adopted.

Design Section 6 (KP 371 - KP 402): Flood water level is low. The new pavement is constructed on top of the existing pavement utilizing the existing pavement as the subgrade.

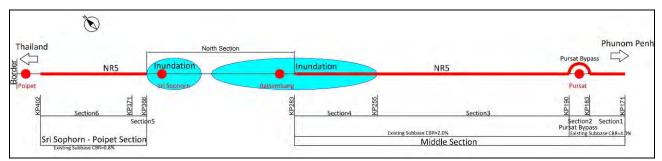
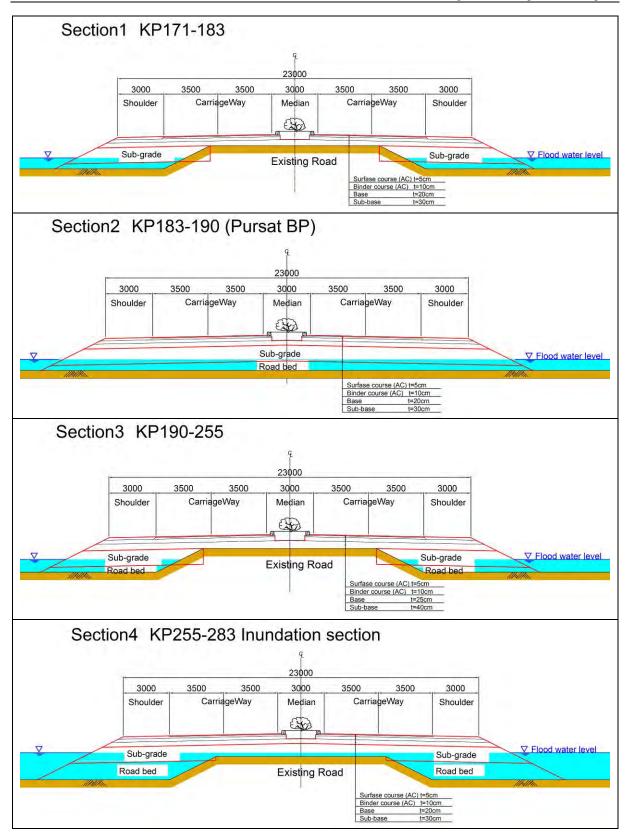


Figure 8.1-1 Design Sections



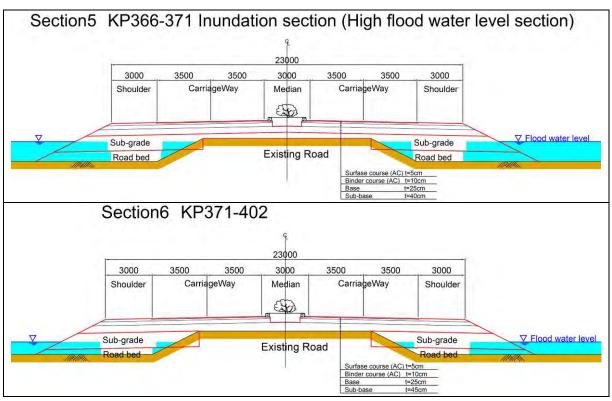


Figure 8.1-2 Typical Cross Sections on the Middle Section and Sri Sophorn – Poipet Section

8.2 Middle Section

8.2.1 Basic Design Policy and Design Criteria

The design of the North Section (Battambang – Sri Sophorn) and the South Section (Prek Kdam – Thlea Ma'am) of NR 5 were studied in the reports of previous preparatory surveys for these sections. Such design includes the preliminary design for the improvement of NR 5 and construction of four bypasses (Odongk, Kampong Chhnang, Battambang, and Sri Sophorn). Since the Middle Section and Sri Sophorn - Poipet Section are the remaining parts of NR 5, the design policy for the these sections should be consistent with those of other sections.

NR 5 is designated as a Class I Road in the hierarchy of the Asian Highway Network. Thus, it is desirable to satisfy the design criteria of Asian Highway Class I Road. At the same time, NR 5 is an arterial national road of Cambodia and it needs to satisfy the Road Design Standard of Cambodia. Table 8.2-1 compares the design criteria of Asian Highway Class I and Road Design Standard of Cambodia. The table also shows the criteria recommended for the Project. These recommended criteria have been discussed and agreed between MPWT and the JICA Team during the Survey of North section.

| Standard | Asian Highway | Cambodia | an Standard | Recommended | | |
|-------------------|-----------------|-----------------|-----------------|-------------|---------|--|
| Road Class | Class I | R5 (Rural) | U5 (Urban) | Rural | Urban | |
| Design Speed | 100 km/h (Flat) | 100 km/h (flat) | 50 km/h (Type3) | 100 km/h | 50 km/h | |
| Min. Curve Radius | 350 m | 415 m | 90 m | 350 m | 80 m | |
| (Super elevation) | (10%) | (6%) | (6%) | (10%) | (10%) | |

 Table 8.2-1
 Comparison of Design Speed and Criteria

8.2.2 Urban Sections

At present, the maximum speed on the urban section of NR 5 is regulated to be 40 km/h. Nevertheless, the design speed of 50 km/h is proposed for the urban section, considering the fact that many vehicles travel at 50 km/h or higher.

Table 8.2-2 lists the urban sections where the speed is currently regulated at 40 km/h. Design speed of 50 km/h is applied to these sections.

| KP | Length | Name of Location |
|-------------------------|---------|------------------|
| KP 184+100 ~ KP 188+200 | 4,100 m | Pursat |
| KP 197+500 ~ KP 198+300 | 800 m | Andoung Krasang |
| KP 200+800 ~ KP 202+500 | 1,700 m | Bakan |
| KP 208+100 ~ KP 212+700 | 4,600 m | Boeung Khnar |
| KP 215+100 ~ KP 217+000 | 1,900 m | Ou Ta Paong |
| KP 218+800 ~ KP 220+000 | 1,200 m | Svay Daun Keo |
| KP 222+400 ~ KP 224+900 | 2,500 m | Pray Svay |
| KP 230+600 ~ KP 231+600 | 1,000 m | Kalaom Phluk |
| KP 235+900 ~ KP 237+000 | 1,100 m | Pray Svay |
| KP 243+700 ~ KP 245+600 | 1,900 m | Moung Russei |

 Table 8.2-2
 List of Urban Sections with Design Speed of 50 km/h is Applied

8.2.3 Cross Section

Table 8.2-3 compares the design criteria of cross-sectional composition of Asian Highway and the design standard of Cambodia.

| Items | Asian Highway | Cambodia | Recommend | |
|--------------------|---------------|------------------------------|---------------------|--------|
| Road Class | Class I | R5 (Rural) | U5 (Urban) | |
| Lane Width | 3.50 m | 3.5 | 0 m | 3.50 m |
| Shoulder Width | 3.00 m (Flat) | 3.00 m (Flat) 2.50 m (Type3) | | 3.00 m |
| Median Strip | 3.00 m (Flat) | 4.0 ~ 12.0 m (Flat) | 2.0 ~ 4.0 m (Type3) | 3.0 m |
| Cross Slope | 2.0% (AC) | 2.5 ~ 3.0% (AC) | | 2.0% |
| Shoulder Slope | 3.0 ~ 6.0% | 3 ~ 4% (sealed) | 3% | |
| Vertical Clearance | 4.5 m | | | 4.5 m |

 Table 8.2-3
 Comparison of Design Criteria of Cross-Sectional Composition

As discussed in Chapter 7, it is proposed that the existing NR 5 to be widened to 4 lanes. From viewpoint of consistency of design standard, it is recommended that the cross section adopted in the North and South Sections be adopted also in the Middle Section. In the North and South Sections, two types of cross section were adopted depending on the roadside land use; rural area

and urbanized area. With regard to the structure of median strip, raised type median is recommended from the view point of traffic safety. Considerations as mentioned below shall be given for convenience of road user in the detail design stage:

- Opening of median division for left-turn and u-turn should be provided at regular interval and at necessary place.
- U-turn lane shall be provided at a regular interval and at necessary locations.

Figure 8.2-1 shows the proposed typical cross sections for rural area and urbanized area. For the sections passing through urbanized areas where many vehicles are anticipated to park on the street, a 2.5 m-wide parking spaces is proposed on both sides.

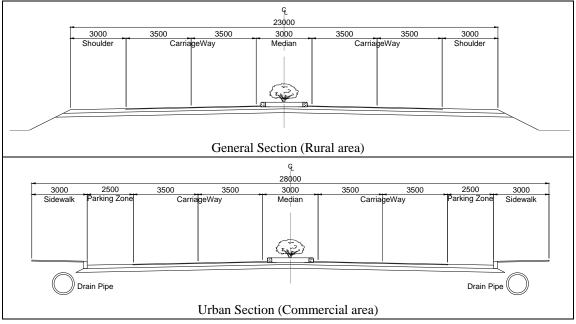


Figure 8.2-1 Typical Cross Section

8.2.4 Horizontal Alignment

The horizontal alignment of the Middle Section of NR 5 is mostly composed of long straight sections connected each other by relatively short curve sections. These curves sections need to be improved if the existing configurations do not satisfy the requirements of design criteria.

(1) Existing Horizontal Alignment

There are 41 curve sections and 125 bending points with small intersecting angles without curve between the straight lines along the Middle Section.

The radii of many curves are generally small and some of them do not satisfy the requirement of design criteria. In addition, there are some curve sections where the lengths of curve are too short to meet the design criteria. In such short curves, the drivers are confused and, are forced quick steering operation. These short curve sections and many bending points need to be improved by introducing proper lengths of curves.

(2) Improvement of Horizontal Alignment

(a) Small radius of curve

There are 6 curve sections where the existing curve radii are smaller than the minimum value defined in the design criteria. Table 8.2-4 shows the curve sections with substandard curve radii and proposed curve radii after improvement. The table also shows the distances of centerline shift due to the improvement. Examples of the improvements of curve sections are shown in Figures 8.2-2 and 8.2-3.

(b) Section with short curve length

There are 25 curve sections where the existing curve length is insufficient. The lengths of these curve sections are planned to be extended by introducing road sections with larger curve radii. Minimum curve lengths of 170 m and 80 m are achieved for design speed of 100 km/h (general section) and 50 km/h (urban section), respectively.

| IP | KP of IP | Land | Radii | of Curve | Center |
|-----|----------|-------|----------|----------|--------|
| IP | KP OI IP | Land | Existing | Proposed | Shift |
| 93 | 242+693 | Rural | 322 | 1,000 | 2.6 |
| 149 | 276+259 | Rural | 155 | 400 | 6.2 |
| 153 | 277+750 | Rural | 121 | 550 | 5.8 |
| 156 | 278+015 | Rural | 312 | Move IP | |
| 158 | 278+479 | Rural | 248 | 1,000 | 2.9 |
| 160 | 279+264 | Rural | 270 | 350 | 3.1 |

 Table 8.2-4
 Curves with Small Radii



Figure 8.2-2 Proposed Alignment at KP 276 + 498 – KP 276 + 676 (IP149) (Radius of the existing curve is 155 m: Proposed to improve to radius of 400 m)



Figure 8.2-3 Proposed Alignment at KP 277 + 996 – KP 278 + 179 (IP153) (Radius of existing curve is 121 m: Proposed to improve to radius of 550 m)

(c) Bending alignment without curve

As stated above, there are 125 bending points with small intersecting angles without curves between the straight lines. Curves with sufficient lengths and relatively large radii are inserted between the two straight lines in order to secure smooth and comfortable travel of vehicles.

8.2.5 Vertical Alignment and Height of Road Surface

The Middle Section experienced inundation due to the flood which occurred in 2013 and the pavement was severely damaged on many sections. The rain water overflowed the road from mountain side to the Tonle Sap side. Installation of additional cross drainage to lower the flood water level was studied. The details of cross drainage is discussed in Chapter 6.

Road embankment is planned to be raised enough considering the past flood level. Table 8.2-5 shows the depth of flood on the road surface and the embankment level to be raised.

| Location | Flood Water Level (Below Road Surface) | Countermeasure |
|-------------------------|---|---------------------------------|
| KP 256+000 ~ KP 257+000 | 0.05 m | Raise embankment by 0.55 meters |
| KP 258+500 ~ KP 260+000 | 0.05 m | Raise embankment by 0.55 meters |
| KP 270+500 ~ KP 271+500 | 0.25 m | Raise embankment by 0.75 meters |
| KP 272+000 ~ KP 274+000 | 0.15 m | Raise embankment by 0.65 meters |
| KP 276+500 ~ KP 277+000 | 0.10 m | Raise embankment by 0.60 meters |
| KP 280+500 ~ KP 281+500 | 0.20 m, low surface | Raise embankment by 0.70 meters |

 Table 8.2-5
 Countermeasures for Flood and Inundation

In principle, the height of road surface is designed to be raised so that the top of embankment (subgrade) be 50 cm higher than flood water level to seepage of flood water into subgrade and reduction in bearing capacity of subgrade. Also, the height of road surface needs to be high enough to prevent the inundation and/or overflow during flood. Figure 8.2-4 shows the conceptual illustration of the minimum height of embankment above flood water level.

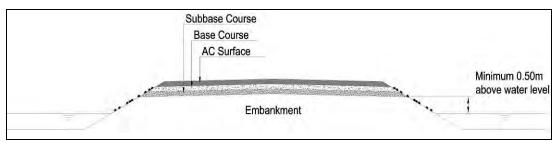


Figure 8.2-4 Conceptual Illustration of Minimum Height of Embankment

8.2.6 Pavement Design

Pavement structure is usually designed based on forecasted traffic load and CBR. AASHTO's Pavement Design Manual is one of the most widely used text books of pavement design. In the design method presented in this manual, the traffic load is converted from estimated traffic volume to cumulative 18-Kip Equivalent Single Axle Load (ESAL), using a parameter called 'Axle Load Equivalent Factor (ALEF)'. The required strength of the pavement structure is expressed in terms of Structural Number (SN).

(1) Structural Number (SN)

Structural number (SN) is an abstract number that expresses the structural strength of a pavement required for given combinations of soil support, total traffic loading expressed in terms of ESALs, terminal serviceability and environment such as climate.

The following equation can be used to relate individual material types and thicknesses to the structural number:

SN = a1D1 + a2D2M2 + a3D3M3

where:

a1, a2, a3 = structural-layer coefficients of the wearing surface, base, and subbase layers, respectively,

D1, D2, D3 = thickness of the wearing surface, base, and subbase layers in inches, respectively, and

M2, M3 = drainage coefficients for the base and subbase, respectively

(2) ALEF and ESAL

In the design method presented in the AASHTO's manual, traffic load is converted from

estimated traffic volume to ESAL, using a parameter of ALEF. As a part of the traffic survey in South Section, the actual axle loads of heavy vehicles were surveyed utilizing the facility of the weighing station at KP 48 of NR 5. The axle loads of 219 heavy vehicles travelling on both directions were measured. As the result of analysis, the average ALEF of heavy vehicle travelling on NR 5 was calculated to be 2.48/veh.

ESAL is obtained by multiplying ALEF with number of heavy vehicles passing the design section during the design period (usually 10 years). Thus,

ESAL = 2.48 x [Traffic Volume of Heavy Vehicle per Day] x 365 days/year x 10 years.

(3) Minimum Thickness of AC Layer

'Road Design Standard of Cambodia; Part 2: Pavement' designates standard pavement structures taking into account traffic volume and type of subgrade. According to this standard, a pavement structure with 150 mm-thick AC layer is adopted for highways with a large traffic volume of heavy vehicles, while 100 mm-thick AC surface course is adopted for highways with less traffic volume of heavy vehicles. Also, a 150 mm-thick AC surface course is commonly adopted in many countries for highways where large volumes of heavy vehicles are anticipated. Thus, it is recommended to adopt 150 mm-thick AC layer.

(4) Design of Pavement Structure

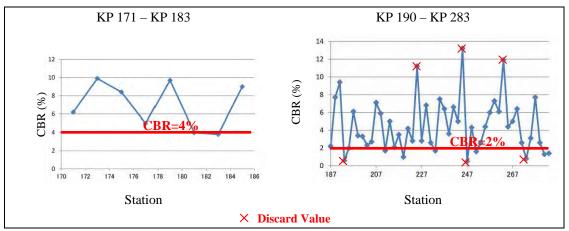
(a) Ratio of Heavy Vehicle

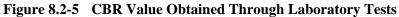
The ratio of heavy vehicles is approximately 43% and 49% at Station 4 and Station 5, respectively in pcu units in 2033 in Existing NR 5 as shown in Table 8.2-6.

| | | | | | | (Unit: pcu/day) |
|----------------|-----------|-------|--------|--------|--------|----------------------------|
| | | MC | LV | HV | Total | Ratio of Heavy Vehicles |
| Station 4 | Year 2023 | 1,217 | 7,718 | 6,078 | 15,013 | 40.49% |
| Station 4 | Year 2028 | 1,569 | 11,191 | 8,913 | 21,673 | 41.12% |
| (KP 171 ~ 186) | Year 2033 | 1,876 | 14,355 | 12,483 | 28,714 | 43.47% |
| Station 5 | Year 2023 | 1,242 | 6,209 | 6,777 | 14,228 | 47.63% |
| Station 5 | Year 2028 | 1,578 | 9,384 | 9,816 | 20,778 | 47.24% |
| (KP 187 ~ 283) | Year 2033 | 1,849 | 12,108 | 13,527 | 27,483 | 49.22% |

(b) CBR Value of Subgrade

Figure 8.2-5 shows the CBR values of existing subgrade obtained through the laboratory tests. Design CBR values of 4% is adopted for the section between KP 171 and KP 183 and that of 2% is adopted for the section between KP 190 and KP 283.





| Table 8.2-7 | CBR of Existing Subgrade | | | | |
|--------------------|--------------------------|-----------------|--|--|--|
| | KP 171 ~ KP 183 | KP 190 ~ KP 255 | | | |
| Existing Subgrade | 4% | 2% | | | |

Subgrade Improvement (c)

The CBR of existing subgrade of KP 190 - KP 255 is under 3%, it is too soft to support pavement. The CBR of existing subgrade in this section needs to be improved to more than 3 %. Three methods for improving existing CBR are compared:

Method (i): Using the existing pavement as for the subgrade of planned new pavement,

Method (ii): Replace the existing pavement with suitable material, and

Method (iii): Cement stabilization.

Table 8.2-8 shows comparison of the improvement methods. As a result of comparison of these three methods, Method (i): "using the existing pavement as subgrade of planned pavement" is the most economical. Therefore, Method (i) is adopted.

| Existi | ng CBR of | Targeted CBR of Improved Subgrade | | | | | | | | |
|---------------------|---------------|-----------------------------------|---------------|-----------|---------------|-----------|---------------|-----------|---------------|--|
| KP 195-255: CBR=2% | | CBR=3% | | CBR= | CBR=4% | | CBR=6% | | CBR=8% | |
| | Surface (cm) | | 5 | | 5 | | 5 | | 5 | |
| Pavement | Binder (cm) | | 10 | | 10 | | 10 | | 10 | |
| Structure | Base (cm) | 30 | | 25 | | 20 | | 20 | | |
| | Sub-base (cm) | | 50 | 40 | | 30 | | | 25 | |
| Cost (incluimprovem | ide subgrade | Thickness | Cost (USD) | Thickness | Cost (USD) | Thickness | Cost (USD) | Thickness | Cost (USD) | |
| mprovem | Method (i) | - | - | 30 cm | 1089 | - | - | - | - | |
| | Method (ii) | 40 cm | 1238 | 50 cm | 1194 | 70 cm | 1129 | - | - | |
| | Method (iii) | 30 cm | 1223 | 40 cm | 1190 | - | - | 70 cm | 1019 | |

Table 8.2-8 Comparison of Subgrade Improvement

(d) Conditions of Pavement Design and SN

The new pavement is to be placed on top of the existing pavement. The thickness of new pavement can be reduced by evaluating the strength (CBR) of the existing pavement as a part of the subgrade. The result of evaluation is as shown below.

| | Adopted Values | | | | | | | |
|--------------------------|--|-----|---------------------------|------------|------------|---------|--|--|
| Item | KP 171 ~ 183 KP 190 ~ 255 KP 255 (Inundation) | | KD 1 | VD 100 255 | | i ~ 283 | | |
| Item | | | KP 1/1 ~ 183 KP 190 ~ 255 | | n Section) | | | |
| | Thickness | CBR | Thickness | CBR | Thickness | CBR | | |
| CBR of selected subgrade | _ | _ | — | _ | 100 cm | 6% | | |
| CBR of existing pavement | 30 cm | 20% | 30 cm | 20% | | | | |
| CBR of existing subgrade | 70 cm | 4% | 70 cm | 2% | | | | |
| Composite CBR value | 100 cm | 6% | 100 cm | 4% | 100 cm | 6% | | |

 Table 8.2-9
 CBR for Pavement Design on the Middle Section

Table 8.2-10 shows the design conditions and required SN of the pavement of the Middle Section. Table 8.2-11 and Figure 8.2-6 show the designed pavement structures.

| | Adopted Values | | | | | |
|---|-------------------------|---------------------|----------------------|--|--|--|
| Item | KP 171 ~ 183 | KP 190 ~ 255 | KP 255 ~ 283 | | | |
| | KF 1/1 ~ 105 | KF 190 ~ 233 | (Inundation Section) | | | |
| Design Period | 10 years | 10 years | 10 years | | | |
| Reliability | 80% | 80% | 80% | | | |
| CBR Value of Subgrade | 6% | 4% | 6% | | | |
| Traffic Load | 1.483 x 10 ⁷ | 1.627×10^7 | $1.627 \ge 10^7$ | | | |
| (W ₁₈ =Cumulative 18 kip ESAL) | | | | | | |
| Structural Number (SN) | SN=4.64 | SN=5.33 | SN=4.64 | | | |

 Table 8.2-10
 Conditions of Pavement Design of the Middle Section

As shown in the table above design section is divided into two sections: KP 171 ~ 183, KP 190 ~ 283 and KP 255 ~ 283 considering traffic load, subgrade's CBR and inundation.

 Table 8.2-11
 Designed Pavement Structure of the Middle Section

| | Thickness | | | | | | |
|------------------|-------------------|---|---------------|----------------------|--|--|--|
| Layer | Material | VD 171 VD 192 | VD 100 VD 255 | KP 255 ~ KP 283 | | | |
| | Material | KP 171 ~ KP 183 KP 190 ~ KP 255 | | (Inundation Section) | | | |
| Surface & Binder | AC | 15 cm | 15 cm | 15 cm | | | |
| Base | Stabilized gravel | 20 cm | 25 cm | 20 cm | | | |
| Subbase | Crusher run | 30 cm | 40 cm | 30 cm | | | |
| Subgrade | Selected Material | N/A | N/A | 75 cm | | | |

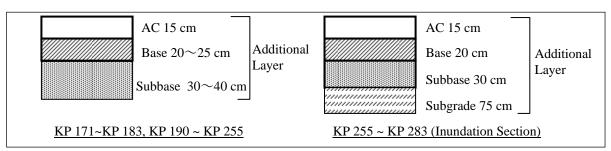


Figure 8.2-6 Pavement Structure of the Middle Section

8.2.7 Intersection

There are intersections with 2 digits national road along the Middle Section. In the urban sections, many major streets are directly connected to the NR 5. In rural sections, numerous minor roads are connected to the NR 5. These minor roads are used for daily activities by the local residents. From a viewpoint of smooth and safe traffic on an arterial highway, the access from those minor roads should be limited as much as possible. However, the NR 5 is indispensable for the daily activities of the local residents and access from the minor roads cannot be limited.

The 3 m-wide median on NR 5 is expected to function as the space for waiting or stopping to turn left in order not to disturb other vehicles.

The typical design for intersections of the NR 5 with major roads and those with minor roads are shown in Figure 8.2-7.

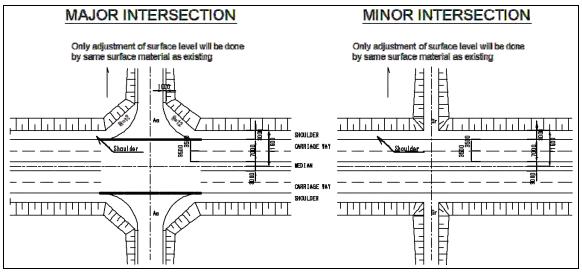


Figure 8.2-7 Typical Plan of Intersection

8.2.8 Appurtenances

(1) Drainage Facilities

The Middle Section of NR 5 passes through flat terrain and crosses many streams. The inventory survey conducted by the Survey Team found there are 35 box culverts and 62 pipe culverts on the Middle Section. All of those culverts are required to be extended to fit with a widened road

width, and it is necessary for the headwalls to be re-constructed.

In addition to the extension of the existing culverts, the capacity of cross drainage facilities needs be increased to meet the volume of discharge from the mountain side. The details of this issue are discussed in Chapter 6.

The typical cross section for urbanized area includes a raised sidewalk and underground drainage pipes. The construction of catch basin at appropriate interval and outlet facilities will be considered during the detailed design stage.

(2) Guardrail and Guide Post

Guardrails will be installed in the following places:

- Any section with an embankment height larger than 4 meters (to prevent vehicles running down the embankment)
- Twenty meters on the both sides of bridges (to prevent vehicles running into river or hitting the wall of a bridge)
- Ten meters on the up-stream side of heavy and sturdy structures, such as a traffic signal control boxes, located within 5 meters of the outside edge of the shoulder.

The locations of box culverts are also hazardous if a vehicle runs out of the road area. However, the height of culvert is much lower than the height of bridges and the stream itself is narrow. Thus, the guide post, instead of guardrail, is to be placed for the caution to the drivers.

Figure 8.2-8 shows an example of a plan view of guardrail on the both sides of a typical bridge and Figure 8.2-9 shows an example of a side view and plan view of a guardrail.

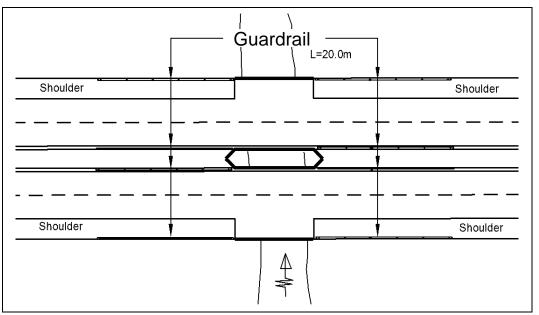


Figure 8.2-8 Plan of Guardrail at Approach of Bridge

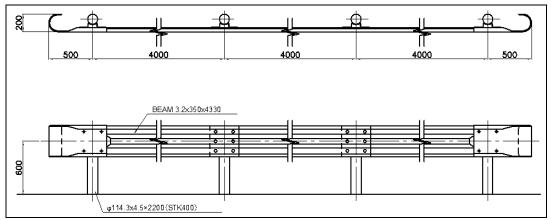


Figure 8.2-9 Example of Guardrail

(3) **Rumble Strip**

A rumble strip is special pavement with rough surface which causes noise when a vehicle passes over it. It is placed in multiple strips across the carriageway to give drivers an audible warning. Rumble strips shall be placed at entrances to town areas, near schools and markets, and other strategic locations.



Figure 8.2-10 Example of Rumble Strip

(4) Street Light

Lighting is provided at hazardous locations. During the night time, such hazardous locations need to be lit and provide good visibility for drivers. Lighting is planned at the following locations:

- ➤ Major intersections
- ➤ Bridges

(5) Safety Devices for Crossing

The widened road makes crossing road long distance and time for the pedestrians. To prevent traffic accidents, pedestrian crossings shall be provided at the crowded places, markets, schools,

hospitals and other public buildings, as well as places where the local resident request for certain reason, such as crossing of cattle.

The road sign and marking shall be provided. The regulatory sign such as "speed limit" and the warning sign such as "intersection ahead" are considered. The marking on the pavement such as center line, driving lane and stop line shall be provided. Their detail locations will be studied in the detail design stage.

8.3 Sri Sophorn - Poipet Section

8.3.1 Basic Deign Policy and Design Criteria

The design speed of Sri Sophorn – Poipet Section is proposed to be same as that of the Middle section.

| Standard | Recommended | | |
|-------------------|-------------|---------|--|
| Road Class | Rural | Urban | |
| Design Speed | 100 km/h | 50 km/h | |
| Min. Curve Radius | 350 m | 80 m | |
| (Super elevation) | (10%) | (10%) | |

Table 8.3-1 Design Speed and Criteria of Alignment

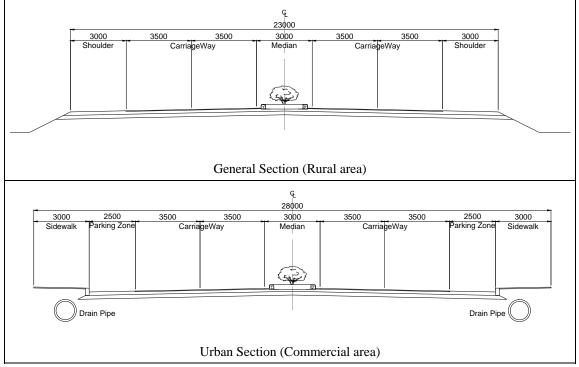
8.3.2 Urban Sections

Through the site inspection, the sections as listed below are classified as urban sections and design speed of 50 km/h is applied:

| KP | Length | Name of Location |
|-------------------------|---------|------------------|
| KP 372+600 ~ KP 373+200 | 620 m | Soryathmi |
| KP 376+900 ~ KP 377+400 | 500 m | Soryathmi |
| KP 380+000 ~ KP 389+200 | 1,700 m | Nimit |
| KP 392+300 ~ KP 394+100 | 9,200 m | Koun Damrei |
| KP 401+900 ~ KP 407+300 | 5,400 m | Poipet |

 Table 8.3-2
 List of Urban Sections Where Design Speed of 50 km/h is Applied

8.3.3 Cross Section



Typical cross sections of Sri Sophorn - Poipet Section are same as those of the Middle Section.

Figure 8.3-1 Typical Cross Section of Sri Sophorn – Poipet Section

8.3.4 Horizontal Alignment

No serious problem is found on the existing horizontal alignment of the Sri Sophorn - Poipet Section. This may be attributed to the fact that this section was improved in 2008 under ADB finance.

8.3.5 Vertical Alignment and Height of Road Surface

The Sri Sophorn – Poipet Section was not damaged during the flood of 2013, but flood water level rose close to the road surface. Therefore, it is recommended to raise the embankment height considering the flood level. Table 8.3-3 shows the level of flood water and the proposed raising of embankment height.

| Table 8.3-3 | Countermeasures for Flood and Inundation |
|--------------------|---|
|--------------------|---|

| Location | Depth of Flood from Road Surface | Countermeasure | |
|-------------------------|----------------------------------|---------------------------------|--|
| KP 366+000 ~ KP 371+000 | -0.20 m | Raise embankment by 0.30 meters | |

8.3.6 Pavement Design

The design procedure of Sri Sophorn – Poipet Section is same to that of the Middle Section.

(1) Design of Pavement Structure

(a) Ratio of Heavy Vehicle

The ratios of heavy vehicles at Station 9 for years 2023, 2028 and 2033 are approximately 32%.

Table 8.3-4 Predicted Traffic Volume and Ratio of Heavy Vehicle (Sri Sophorn – Poipet Section)

| | | | | | | (Unit: pcu/day) |
|----------------------------|-----------|-------|----------|-------|--------|-----------------|
| | | MC LV | | HV | Total | Ratio of Heavy |
| | | MC LV | Vehicles | | | |
| Station 0 | Year 2023 | 3,363 | 7,154 | 5,095 | 15,566 | 32.44% |
| Station 9 (KP366 ~ 371) | Year 2028 | 4,239 | 9,459 | 6,540 | 20,238 | 32.32% |
| | Year 2033 | 5,225 | 12,075 | 8,214 | 25,514 | 32.19% |

(b) CBR Value of Subgrade

Figure 8.3-2 shows the CBR values of the existing subgrade obtained through the laboratory tests. Design CBR value of 0.8% is adopted for the Sri Sophorn – Poipet Section.

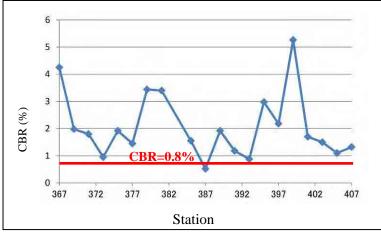


Figure 8.3-2CBR Value Obtained Through Laboratory Tests

Improved pavement will be placed on top of the existing pavement. The thickness of new pavement can be reduced by evaluating the strength (CBR) of the existing pavement as .a part of the subgrade. The result of evaluation is as shown below.

| | | 0 | | | | |
|--------------------------|---------------------------------|---------|--------------|------|--|--|
| Item | Adopted Values | | | | | |
| | KP 366 ~ 37 (Inundation Sect | | KP 371 ~ 402 | | | |
| | (Inundation Sect | | | | | |
| | Thickness | CBR | Thickness | CBR | | |
| CBR of selected subgrade | 30 cm | 6% | | | | |
| CBR of existing pavement | 30 cm 20% | | 30 cm | 20% | | |
| CBR of existing subgrade | 40 cm 0.8% | | 70 cm | 0.8% | | |
| Composite value | 100 cm | 8% (6%) | 100 cm | 3% | | |

 Table 8.3-5
 CBR for Pavement Design on Sri Sophorn – Poipet Section

(c) Subgrade Improvement

The CBR of existing subgrade of KP 371 - KP 402 is 0.8%, which is very low and insufficient for supporting pavement structure. The CBR of existing subgrade in this section needs to be improved to more than 3%. Likewise to the Middle Section, three methods for improving existing CBR are compared:

Method (i): Using the existing pavement as for the subgrade of planned new pavement,

Method (ii): Replace the existing pavement with suitable material, and

Method (iii): Cement stabilization.

As a result of comparison of these three methods, Method (i): "using the existing pavement as subgrade of planned pavement" is the most economical. Therefore, Method (i) is adopted.

| KP 371-402 (CBR=0.8%) | | CBR=3% | | CBR=4% | | CBR=6% | |
|-----------------------|------------------------|--------|------------|-----------|------------|-----------|------------|
| | Surface (cm) | | 5 | | 5 | | 5 |
| Pavement | Binder (cm) | | 10 | | 10 | 10 | |
| composition | Base (cm) | | 30 | 25 | | 20 | |
| | Sub-base (cm) | 50 | | 40 | | 30 | |
| Cost (include | Cost (include subgrade | | Cost (USD) | Thickness | Cost (USD) | Thickness | Cost (USD) |
| improvement | 0 | 30 cm | 1163 | - | - | - | - |
| | Method (ii) | 60 cm | 1311 | 70 cm | 1262 | - | - |
| | Method (iii) | 40 cm | 1264 | 50 cm | 1207 | 70 cm | 1168 |

 Table 8.3-6
 Comparison of Subgrade Improvement

(d) Conditions of Pavement Design and SN

Table 8.3-7 shows the design conditions and required SN of the pavement the Sri Sophorn – Poipet Section. Table 8.3-8 and Figure 8.3-3 show the designed pavement structures.

 Table 8.3-7
 Conditions of Pavement Design of the Sri Sophorn - Poipet Section

| | 6 | | | |
|---|----------------------|-------------------------|--|--|
| | Adopted Values | | | |
| Item | KP 366 ~ 371 | KP 371 ~ 402 | | |
| | (Inundation Section) | 111 0/1 102 | | |
| Design Period | 10 years | 10 years | | |
| Reliability | 80% | 80% | | |
| Subgrade's CBR for pavement design | 6% | 3% | | |
| Traffic Load | 1.082×10^7 | $1.082 - 10^7$ | | |
| (W ₁₈ =Cumulative 18 kip ESAL) | 1.082 X 10 | $1.082 \text{ x } 10^7$ | | |
| Structural Number (SN) | SN=5.067 | SN=5.55 | | |

As shown in the table above, design section is divided into two sections: KP $366 \sim 371$, KP $371 \sim 402$, considering both traffic load and CBR of subgrade.

| | Thickness | | | | |
|------------------|-------------------|--------------------------------------|--------------|--|--|
| Layer | Material | KP 366 ~ 371 (Inundation Section) | KP 371 ~ 402 | | |
| Surface & Binder | AC | 15 cm | 15 cm | | |
| Base | Stabilized gravel | 20 cm | 25 cm | | |
| Subbase | Crusher run | 40 cm | 45 cm | | |
| Subgrade | Selected Material | 30 cm | N/A | | |

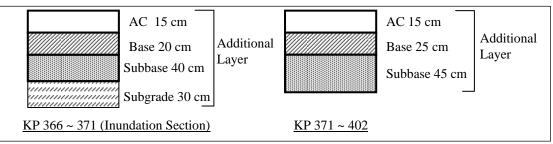
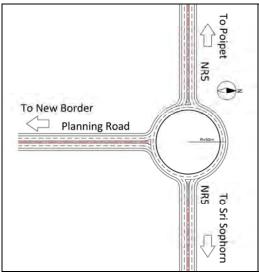


Figure 8.3-3 Pavement Structure of Sri Sophorn – Poipet Section

8.3.7 Intersection

The policy for intersection design for the Sri Sophorn Section is same to that of the Middle Section, except the intersection for access road to the new border facility. The feasibility study for the access road is currently implemented by the government of Thailand, and the route of the access road and the location of intersection of the access road with NR 5 has not been fixed yet. The construction cost of the access road is expected to be financed by the government of Thailand. Under such circumstances, it is difficult to design the intersection of the access road with NR 5. Possible configurations of the intersection are shown in Figure 8.3-4 (roundabout intersection) and Figure 8.3-5 (grade-separated ramp for right-turn traffic from NR 5). It is proposed that the cost of this intersection be excluded from the cost of this project (Project of improvement of the Middle Section and Sri Sophorn – Poipet Section) since the access road is expected be constructed with a financial assistance of Thailand.





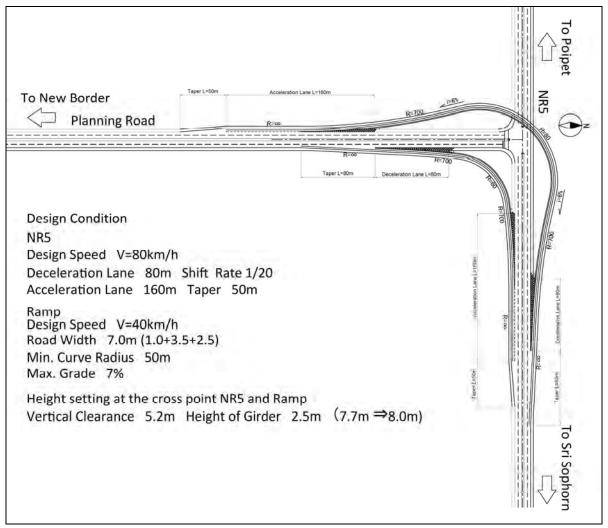


Figure 8.3-5 Grade-Separated Ramp for Right-Turn Traffic from NR 5

8.3.8 Appurtenances

Drainage facilities, guardrails, guide post, ramble strip, street light and safety devices of Sri Sophorn – Poipet Section are planned in a same manner to those of the Middle Section as described in Subsection 8.2.3.

8.4 Pursat Bypass

8.4.1 Cross Section

The design criteria for cross-sectional composition of Pursat Bypass are same as those for the Middle Section.

(1) Estimated Traffic Volume and Number of Lane

As discussed in Chapter 5, the estimated traffic volume on Pursat Bypass in 2033 requires the capacity of 4-lane.

(2) Consistency with Existing Section

After completion of the project, the bypass becomes the main route of NR 5. It implies that the function of Asian Highway No. 1 will be diverted to the bypass from the existing route of NR 5 passing through the urbanized area of Pursat City. Therefore, it is adequate to design the bypass with same design policy with that of the Middle Section. Thus, the cross-sectional composition same to that of the Middle Section, as shown in Figure 8.4-1, is proposed for Pursat Bypass.

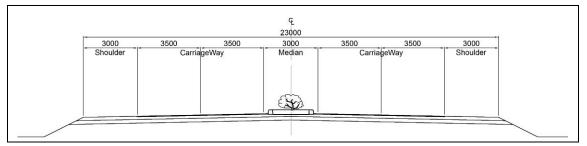


Figure 8.4-1 Typical Cross Section of Pursat Bypass

8.4.2 Horizontal Alignment

N-1 Route is selected as discussed in Chapter 7. The design criteria same with those discussed in Subsection 8.2.2 are proposed to be adopted.

Horizontal alignment was studied on the satellite photograph to avoid major control points such as reservoirs, temples and houses. The route of bypass thus selected is shown in Figure 8.4-2.

Table 8.4-1 shows the curve elements of the bypass route. The total length of the bypass is 8.760 kilometers.

| Station | | Radius (m) | Curve Length (m) | Tangent (m) |
|-----------|-------|------------|------------------|-------------|
| 0+553.915 | IP 01 | 800 | 1103.397 | 656.951 |
| 2+261.830 | IP 02 | 800 | 771.736 | 418.866 |
| 4+098.901 | IP 03 | 800 | 512.341 | 265.301 |
| 8+553.425 | IP 04 | 700 | 413.734 | 214.616 |

Table 8.4-1IP & Elements of Curves

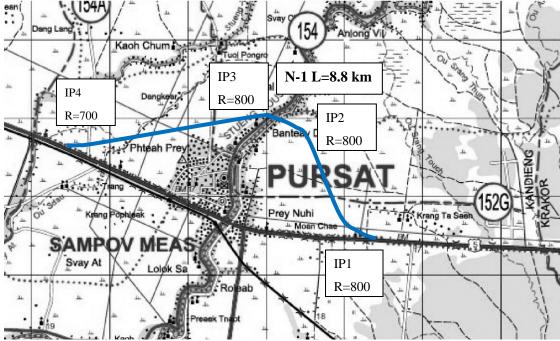


Figure 8.4-2 Route of Pursat Bypass

Topographical survey was carried out along the bypass route in order to obtain the information/data needed for the preliminary design of the bypass.

8.4.3 Vertical Alignment

The proposed route traverses mostly paddy areas. The paddy area is often covered by the water for cultivation of rice and/or by accumulated rain water. The height of embankment of the bypass is needs to be planned sufficiently higher than usual water level of paddy.

The surface levels of the existing NR 5 at the starting point and the end point of the bypass are 16.5 m and 16.0 m MSL, respectively. Thus, the elevation of the surface along the first few kilometers of the bypass will be substantially higher than the flood level of Tonle Sap River (11.13 m MSL). Occurrence of inundation on road surface was not found at these locations through the flood survey. Thus the elevations of the bypass at the intersections with the NR 5 are sufficiently high.



Figure 8.4-3 Photo at Pursat Bypass Route

Higher embankment height is desirable from viewpoint of flood/overflow. However, higher embankment height results in higher construction cost of embankment and wider land to be acquired. Considering these, the embankment height is planned at half meter (0.5 m) above the flood water level. With the thickness of pavement structure (65 cm), the road surface will be more than 1.00 m above the flood water level.

In general, embankment height in paddy area is designed to be 1.5 m to keep sufficient safety margin from water level during cultivation. This embankment height (1.5 m) is also necessary to provide sufficient thickness of coverage to for pipe culverts.

8.4.4 Pavement Design

The design procedure of pavement structure for Pursat Bypass is same to that of the Middle Section and is based on the AASHTO's Pavement Design Manual which takes into account the forecasted traffic load and CBR of subgrade and obtain structural number (SN).

(1) Design of Pavement Structure

(a) Ratio of Heavy Vehicle

The ratio of heavy vehicles is forecasted to be approximately 49%, as shown in Table 8.4-2.

| | | | | | (Unit: pcu/day) |
|-----------|-------|-------|-------|--------|----------------------------|
| | MC | LV | HV | Total | Ratio of Heavy Vehicles |
| Year 2023 | 864 | 5,187 | 5,860 | 11,911 | 49.2% |
| Year 2028 | 1,110 | 7,912 | 8,618 | 17,639 | 48.9% |
| Year 2033 | 1,205 | 8,824 | 9,463 | 19,492 | 48.5% |

 Table 8.4-2
 Predicted Traffic Volume and Ratio of Heavy Vehicle

(b) CBR Value of Subgrade

CBR value of 6.0% is adopted for the subgrade assuming that a selected material be used for

the top portion of the embankment.

(c) Conditions of Pavement Design and SN

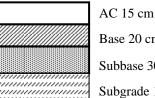
Table 8.4-3 shows the design conditions of the pavement for Pursat Bypass. Table 8.4-4 and Figure 8.4-4 show the designed pavement structure for Pursat Bypass.

 Table 8.4-3
 Conditions of Pavement Design for Pursat Bypass

| | 0 | |
|---|------------------|--|
| Item | Adopted Values | |
| Design Period | 10 years | |
| Reliability | 80% | |
| Subgrade's CBR for pavement design | 6% | |
| Traffic Load | $4.010 \ge 10^7$ | |
| (W ₁₈ =Cumulative 18 kip ESAL) | 4.010 X 10 | |
| Structural Number (SN) | SN=5.33 | |

| 5 | | | | | | |
|------------------|-------------------|-----------|--|--|--|--|
| Layer | Material | Thickness | | | | |
| Surface & Binder | AC | 15 cm | | | | |
| Base | Stabilized gravel | 20 cm | | | | |
| Subbase | Crusher run | 30 cm | | | | |
| Subgrade | Selected Material | 100 cm | | | | |

 Table 8.4-4
 Designed Pavement Structure



Base 20 cm Subbase 30 cm

Subgrade 100 cm

Figure 8.4-4 Pavement Structure of Pursat Bypass

8.4.5 Drainage

The embankment of the bypass will behave as a dike during the flood season and block water flow. In addition, the bypass traverses the paddy fields which needs free flow of surface water. thus, it is necessary to install sufficient cross drainage in order to provide adequate cross-sectional area for water flow.

There are many cannels across the proposed bypass route. The direction of flood water flow is basically from south to north (towards Tonle Sap Lake). Cross drainage facilities will be scheduled as required. For larger streams, such as Pursat River, a bridge is to be constructed. The plans of bridges are presented in Chapter 9.

(1) Box Culvert

Box culverts are installed at the crossing points of relatively wide water channels including irrigation channels. Table 8.4-5 shows the list of box culvert.

| STA | TYPE | |
|-------|-----------|--|
| | (P-B*H) | |
| 1+480 | 2-3.0*2.0 | |
| 1+800 | 1-3.0*2.0 | |
| 1+900 | 2-3.0*2.0 | Note: |
| 2+660 | 1-3.0*2.0 | P = the number of cells |
| 2+980 | 2-3.0*2.0 | $\mathbf{B} = \mathbf{breadth} \ \mathbf{of} \ \mathbf{a} \ \mathbf{cell}$ |
| 4+780 | 2-3.0*2.0 | H = height of a cell |
| 4+805 | 2-3.0*2.0 | Example: |
| 5+080 | 1-3.0*2.0 | 2-3.0*2.0 = 3.0 m wide x 2.0 m |
| 5+685 | 2-3.0*2.0 | high x 2 cells |
| 6+315 | 1-3.0*2.0 | |
| 7+495 | 1-3.0*2.0 | |

Table 8.4-5 List of Box Culvert

(2) Pipe Culvert

Pipe culverts are installed basically at an interval of 250 m and at every crossing point of the existing irrigation canal. This policy is same to those of the North Section and the South Section. The purpose of this is to minimize the difference of the water level between the both sides of the bypass.

8.4.6 Intersection

Intersections of the Bypass with the existing NR 5 are designed in such a way that the main direction is for the Bypass and the direction for the city center of Pursat branches out from the Bypass. Degree of saturation, if it is constructed as an at-grade intersection with signal control, is calculated to be 0.5 for the traffic volume of year 2033. Thus, at-grade intersection can accommodate the traffic. Figure 8.4-5, 8.4-6 show plans of the intersection with the existing NR 5.

8.4.7 Weigh Station

RGC is exerting effort to enforce overloaded trucks. Currently there are three weigh stations along NR 5: Poipet (KP 389+000), Pursat (KP 191+800) and Kampong Chhnang (KP 48+000). These weighbridges need to be relocated as the road is widened. Also, construction of new weighbridges is necessary. The current weigh stations measure the weights of vehicle travelling on the both direction. After the improvement, the two direction of traffic will be physically separated by the median division and weighbridges will be needed on the both sides of the road. Thus the number of the weighbridges needs to be increased to double of the existing ones. Further, the existing weighbridges are old and need renewal, according to MPWT. In addition, MPWT wish to construct a new weigh station near Battambang City and the JICA Team supports this idea. Thus, the number of weigh station will be increased to 4 after the road improvement.

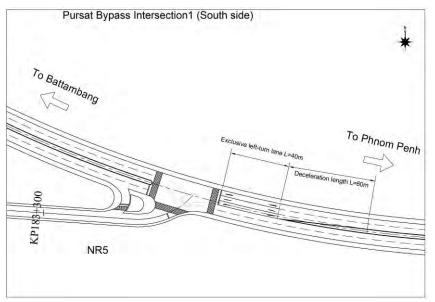


Figure 8.4-5 Southern Intersection of Pursat Bypass

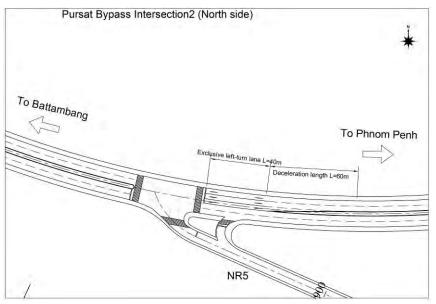


Figure 8.4-6 Northern Intersection of Pursat Bypass

CHAPTER 9 BRIDGE PLANNING

9.1 General Design Policy and Design Criteria

9.1.1 Bridge Design Standard

(1) Design Standard

The Cambodian Road and Bridge Design Standard and Construction Specifications were established in 1999 and are to be used for the design and construction of all new roads and bridges and related rehabilitation works in the Kingdom of Cambodia. The design standards for bridges are:

- CAM PW 04-101-99 Bridge Design Code 1996 (the Base Document)
- CAM PW 04-102-99 Amendments and additions to the Base Document and to the Commentaries on the Cambodian Bridge Design Standard.

The Base Document is in fact the Australian Bridge Design Code 1996 and associated Commentaries. (Note that in Australia and New Zealand, the Australian Bridge Design Code 1996 has now been superseded by the Australian Bridge Design Code AS5100.)

The Base Document is an International Bridge Standard making use of modern limit state design philosophy. The amendments and additions to the Base Document reflect conditions in Cambodia from the viewpoint of loading (traffic, environmental and earthquake loads), design for durability and material requirements. A comparison of nominal traffic loading for a typical 20 m span pre-stressed concrete bridge is presented below. As can be seen the total maximum traffic load effects based on the Cambodian Bridge Design Standard are reasonably comparable to both AASHTO and Japan Road Association (JRA) standards.

As a conclusion, Cambodian Standard is adopted in this survey.

Table 9.1-1Comparison of Nominal Load Effects for 20 m Span BridgeCambodian, AASHTO and JRA Standards

| | | Single lane Standard 10 m wid | | | | | n wide roadv | way bridge deck | | | |
|------|----------------------|-------------------------------|-------------------------|------------------|-----------------|--------------------------|-------------------------|----------------------------------|-----------------|------------------|--|
| Case | Load Standard | Max Shear (kN) | Max Moment (kN-m) | Impact Factor | No. of Lanes | Load Mod. Factor * | Total Max Shear (kN) | Total Max Moment (kN-m) | Shear Factor | Moment Factor | |
| 1 | CAM T44 | 358.3 | 1,639.2 | 0.35 | 3 | 0.80 | 1,161.0 | 5,311.0 | 1.00 | 1.00 | |
| 2 | CAM HLP 240 | N/A | N/A | 0.10 | N/A | N/A | 1,333.2 | 6,160.0 | 1.15 | 1.16 | |
| 3 | AASHTO LRFD HL-93 | 368.1 | 1,690.8 | 0.33 | 3 | 0.85 | 1,248.5 | 5,734.4 | 1.08 | 1.08 | |
| 4 | JRA L-Load | N/A | N/A | 0.22 | N/A | N/A | 1,184.0 | 5,209.7 | 1.02 | 0.98 | |

Note:

*Case 1 & 2 : Cambodian Bridge Design Standard; Case 3 : AASHTO LRFD; Case 4 : JRA Specifications for Highway Bridges * Load Modification Factor to account for multiple lane loading*

(2) Traffic Loading

The design traffic load specified in the Base Document consists of T44 Truck loading and L44 Lane loading.

The design T44 Truck load is a 44 tonne vehicle with five axles and with maximum axle load of 9.8 tonnes (96 kN). One design truck can occupy one standard design lane width of 3.0 m. Refer to Figure 9.1-1. L44 Lane loading shall consist of the loads shown in Figure 9.1-2. The lane loading shall be assumed uniformly distributed over a 3 m Standard Design Lane. Only one tandem of concentrated loads shall be used per lane except that one additional tandem of concentrated loads of equal force shall be placed in each lane in one other span in such a position to produce maximum negative effect. L44 Lane loading does not apply for spans less than 10 m.

The Dynamic Load Allowance for T44 and L44 loadings shall be 0.35.

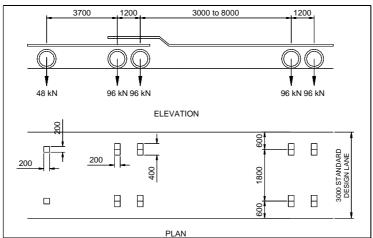
T44 Truck and L44 Lane loadings shall be assumed to occupy one Standard Design Lane of 3 m width.

The number of Standard Design Lanes n shall be:

$$n = \frac{b}{3.1}$$
 (rounded down to next integer)
where b = carriageway width (in meters) between traffic barriers

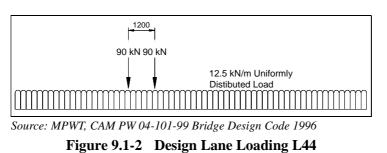
These Standard Design Lanes shall be positioned laterally on the bridge to produce the most adverse effect.

The design of bridges for the simultaneous application of road traffic loading and pedestrian loading is not required.

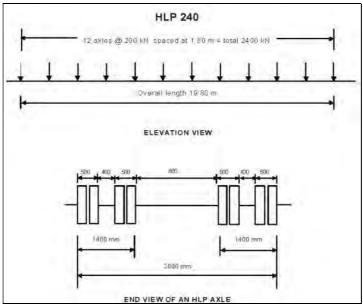


Source: MPWT, CAM PW 04-101-99 Bridge Design Code 1996





Heavy Load Platform Loading HLP 240 shall be applied in accordance with the Cambodian Bridge Design Standard. The roads on which Heavy Load Platform Loading apply for bridge design generally will comply with design standards R6/U6, R5/U5 and R4/U4 of the Cambodian Road Design Standard Part 1 – Geometry. On this basis, bridges on National Road No. 5 (NR 5) will be required to support Heavy Load Platform Loading. The configuration of the HLP 240 axle loads is presented in Figure 9.1-3. Heavy Load Platform Loading HPL 240 shall be assumed to centrally occupy two Standard Design Lanes. If the two Standard Design Lanes containing the Heavy Load Platform loadings are positioned such that one or more marked traffic lanes are unobstructed, then a loading of ½ of either the T44 Truck loading or L44 Lane loading shall be applied in those lanes.



Source: MPWT, CAM PW 04-101-99 Bridge Design Code 1996 Figure 9.1-3 Heavy Load Platform Loading

The load modification factors given below shall be applied to T44 Truck and L44 Lane Loading when loading Standard Design Lanes simultaneously. The modification factors shall not apply to Heavy Load Platform loadings.

| Number of Standard Design Lanes Loaded | Load Modification Factor |
|---|--------------------------|
| 1 | 1.0 |
| 2 | 0.9 |
| 3 | 0.8 |
| 4 | 0.7 |

A 70 kN single dual-tyred wheel load, with a contact area of 500 mm x 200 mm, shall be applied for all deck elements for which this loading is critical. This wheel load is designated as the W7 Wheel loading.

(3) Standard Bridges in Cambodia

Standard drawings for pipe culverts, box culverts and bridges have been prepared for MPWT approval under The Strengthening of Construction Quality Control Project, JICA.

With regard to bridges, plans are prepared for carriageway widths of 7 m, 8 m, 10 m, and 12 m for the following bridge types and spans:

- RC Flat Slab (RCS) with spans of 10 m, 12 m, 15 m and 18 m
- RC Deck Girder (RCDG) with spans of 12 m, 15 m, and 18 m
- Pre-tensioned Precast Plank hollow slab (PSC) with spans of 15 m, 18 m, 20 m and 25 m
- Post-tensioned Plank hollow slab with spans of 15 m, 18 m, 20 m and 25 m $\,$
- Post-tensioned Precast Concrete Deck Girder (PCDG) with spans of 18 m, 20 m, 25 m and 30 m

Features of these bridge types are as summarized below;

(i) Reinforced concrete flat slab

The reinforced concrete flat slab (RCS) bridge is the simplest form of construction applicable to short spans and offers the largest span/depth ratio of all the options, i.e. the deck slab is minimum thickness. This type of construction will therefore have minimal impact on the road profile. The deck is simply supported on a 30 mm thick cement mortar bed and is located with dowels.

(ii) Reinforced concrete deck girder

The reinforced concrete deck girder (RCDG) bridge is more economic for the longer spans in the range assigned. However this form of construction offers the smallest span/depth ratio of all the options, i.e. the deck construction is relatively deep. Such a relatively deep deck will have a significant effect on the road profile in cases where high flood level controls the deck elevation. The deck also requires the construction of diaphragms, both at the girder ends and in-span, to promote lateral load distribution. The deck is simply supported on rubber pads and is located with dowels.

(iii) Pre-tensioned precast plank hollow slab

The pre-tensioned precast plank hollow slab (PSC) bridge offers the advantages of precast construction, in terms of construction speed and construction quality control, and provides a large span/depth ratio for spans up to 25 m. This type of construction will therefore also have minimal impact on the road profile. The planks are pre-tensioned and incorporate voids, circular or rectilinear, to reduce weight. The planks are placed side by side to form the deck with the narrow gap filled with cement mortar. Once the mortar has gained sufficient strength, the planks are transversely post-tensioned using high tensile strength steel bars posted through holes in the planks and anchored in recesses at each side of the deck. The full depth planks do not require any in-situ concrete topping and can directly

receive the pavement surfacing. The deck is simply supported on a 30 mm thick cement mortar bed and is located with dowels. This type of bridge deck has become the defector standard in Cambodia for short span bridges, with many examples already constructed ranging from 10 m span length.

(iv) Post-tensioned precast concrete deck girder

The post-tensioned precast concrete deck girder (PCDG) bridge spans up to 30 m in the standard established. This type can in fact be applied to spans up to 40 m or so and is economic for the longer spans in the range assigned. The precast concrete girders again offer advantages in terms of construction speed and construction quality control. The precast girders may or may not incorporate a part of the deck slab, with the reinforced concrete deck slab either totally or partially constructed in-situ. The deck slab may feature transverse prestress. The girders also require diaphragm to promote lateral load distribution. This form of construction however has a relatively small span/depth ratio, i.e. the deck construction is relatively deep. Such a relatively deep deck will therefore have a significant effect on the road profile in cases where high flood level controls the deck elevation. The deck is simply supported on elastomeric pads and is located with dowels.

Two types of reinforced concrete abutment are featured in the standard drawings:

- Stub Type
- Cantilever Type
- (v) Stub type abutment

The stub type abutment features a simple coping beam, providing a bearing shelf for the deck, supported on a single row of piles, with the wing walls hung off each side. This type is suitable for all the standard deck forms where the approach embankments are relatively low and where there is no threat of local scour attack.

(vi) Cantilever abutment

The cantilever abutment is a substantial structure suitable for high approach embankment situations, or deep waterway locations, and where protection to local scour attack is required. The abutment comprises of a cantilever wall, providing a bearing shelf for the deck, supported on a pile cap with multiple rows of piles. The wing walls are hung off short counterforts at each side. The abutment can support large vertical and horizontal loads.

Refer to Figure 9.1-4 for typical sections of the proposed standard bridges (draft). Refer to Figure 9.1-5 for typical abutment layouts for the standard bridges. The standard bridges show a minimum freeboard of 80 cm to high water level.

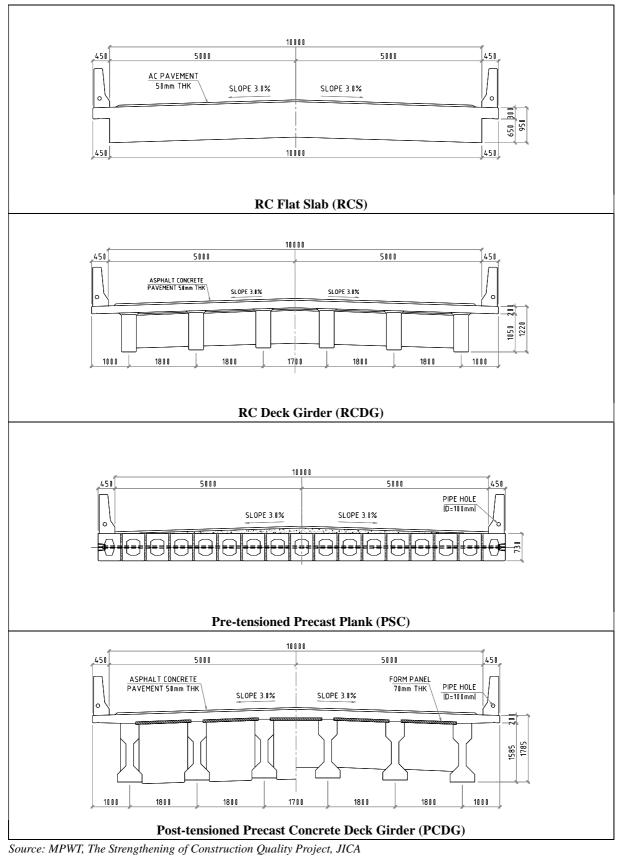
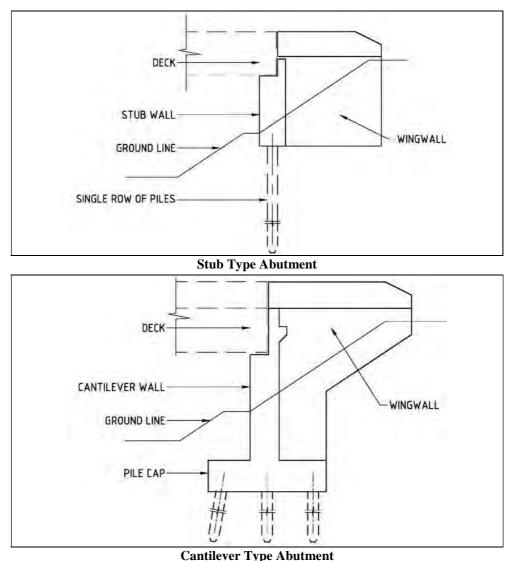


Figure 9.1-4 Standard Bridge Typical Sections for 10 m-Wide Carriageway



Source: MPWT, The Strengthening of Construction Quality Project, JICA Figure 9.1-5 Standard Bridge Abutments

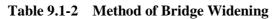
9.1.2 Selection of Widening Method on the Bridges

(1) Basic Policy

The substantial carriageway width needed to accommodate a 4-lane road will require that all bridges will either have to be widened or to be supplemented with an additional adjacent bridge. The bridges that have tangential road approaches are recommended to be equally widened on each side in order to maintain the tangent horizontal alignment of the existing road.

A few widening methods as listed in Table 9.1-2 are considered and employed depending on the conditions of the existing bridge, including the road section on the both sides of the bridge. Figure 9.1-6 shows the flow and criteria for selecting these widening methods and Figure 9.1-7 schematically shows the basic concepts of these widening methods.

| Method | Applicable Condition | Remarks |
|-------------------------------------|--|---------------------|
| Demolish Existing Bridge and | Existing is does not have sufficient bearing | Old bridge |
| Newly Construct 4-lane Bridge | capacity. | |
| Construct an Additional Bridge | Existing bridge is intact & has sufficient bearing | |
| alongside Existing Bridge | capacity. | |
| Widen Existing Bridge by Installing | Existing bridge is intact and has sufficient bearing | PC hollow slab type |
| Additional Girders & Deck Slab | capacity. The structure of the bridge allows | |
| | installation of additional girders and deck slabs. | |



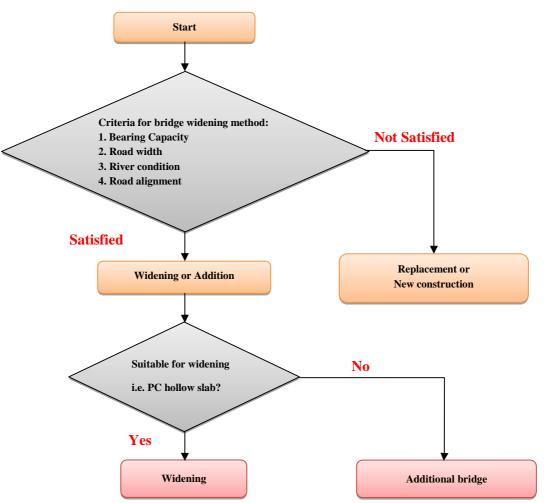


Figure 9.1-6 Flow of Selection of Bridge Widening Method

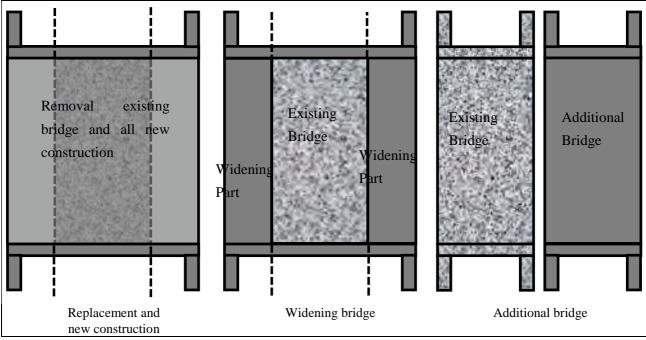


Figure 9.1-7 Concept of Bridge Widening Methods

Table 9.1-3 compares advantage and disadvantage of these widening methods. In the table, three cases are defined as follows:

Case I: Replacement (Demolish the existing bridge and construct a new bridge)

Case II: Widening on both sides of existing bridge

Case III: Construction of additional bridge alongside the existing bridge

| | Conceptual Drawing | Land Acquisition | Construction Cost | Structural Performance, Workability | | Evalua tion |
|----------|--------------------|---------------------|----------------------|---|------|----------------|
| Existing | | - | - | - | | - |
| Case I | | Good | Poor | Good | | 3 |
| Casa II | | Card | Card | PC Hollow | Good | 1 |
| Case II | | Good | Good | Others | Poor | |
| Case III | | Fair | Good | Good | | 2 |

 Table 9.1-3
 Comparison of Widening Methods of the Bridges

As for the method of widening the existing superstructure in Case II, there are two methods in general. One method is unifying the added portion with the existing portion by tightening the two portions laterally with anchor bars which are extended by connecting additional length. The other is installation of longitudinal joint between existing bridge and widening part allowing separate deflection between them. Either methods are used in case of widening of PC hollow type bridges.

Table 9.1-4 lists the bridge type usually adopted depending on the span length. (These are applied to newly constructed bridges.)

| | Bridge Type | | Denotation | Applicable span length (m) |
|---------------|--|--|------------|----------------------------|
| Simple | Pre-tensioned Slab bridge | | PSC | 5~25 |
| girder bridge | lge Post-tensioned T-shape girder bridge | | PCDG | 15~25 |
| Connected | cted Pre-tensioned Slab bridge | | PSC | 15~25 |
| girder bridge | | | PCDG | 25~40 |

 Table 9.1-4
 Standard Relations between Span Lengths and Bridge Types

(2) Classification of Existing Bridges

There are 38 bridges on the Middle Section. Among them, 10 bridges (Br. Nos. 44 to 53) are located out of proposed scope of the project (located on the sections parallel to the proposed bypass: see Table 9.1-7). Thus, 28 bridges are required to be widened or to be supplemented with an additional adjacent bridge.

The hearing survey showed that the Middle Section had not been inundated, but the road was actually inundated during the heavy rainfall and flood which occurred from 6 to 8 on October 2013. Accordingly, the elevation of the road surface of this section (KP 255 - 277), as well as the bridges located on the inundation section, is proposed to be raised by around 1.5 m. Thus, the existing bridges (Br. Nos. 66 to 77) on this section are proposed to be replaced (see Table 9.2-1).

The widths of Br. 42, Br. 48 and Br. 66 are 9.0 m, 8.5 m, 9.0 m, respectively. These bridges cannot accommodate full 2-lane (7.0 m) with 3.0 m-wide right shoulder plus 0.5 m-wide left shoulder. Accordingly, these bridges are proposed to be replaced.

Table 9.1-5 summarizes the types of existing bridges and methods of widening.

| Tuble / T C List of Chuschication of Lindshing Drages | | | | | | | |
|---|--------|-----------|-------------|--------------|-------------|------------------|---------------------------|
| Bridge | No. of | No. of | Total | Construction | Span | Bridge Condition | Method of |
| Туре | Bridge | Span | Length | Year | Length | bridge Condition | Widening |
| PSC | 23 | 1 ~ 3 | 12.1 ~ 54.0 | 2003 | 12.1 ~ 18.0 | New, Good | Widening/ Replacement* |
| Steel Girder | 3 | 1, 3 | 23.0 ~ 91.0 | 1996 | 23.0, 30.3 | New, Good | Additional Br. |
| RCDG | 2 | Br. 42: 4 | 18.6 | - | 4.7 | Bad | Replacement |
| KCD0 | KCDG 2 | Br. 27: 2 | 9.0 | - | 4.5 | Old* | Replacement |

 Table 9.1-5
 List of Classification of Existing Bridges

*Replacement for raising road surface as the countermeasure for inundation

(a) PSC Bridges

PSC accounts for the majority of the bridge of this section. These bridges have 1 to 3 spans. The lengths of bridges are 12.0 m to 54.0 m, and the span lengths are less than 20 m. As shown in Table 9.1-2: "Method of Bridge Widening", PSC bridges are suitable for widening, and thus, the existing PSC bridges are planned to be widened by installing additional girder

and deck slab. The span arrangement of the existing bridges can be maintained and will not cause any change in hydrological conditions.

Because PSC girders can be produced in a factory, it is possible that the production cost can be reduced and good quality control is practiced.

(b) Other Types of Bridge

Steel girder bridge

The existing steel girder bridges have been constructed in recent years and their structural condition is still good. Thus, these bridges can be used as 2-lane bridges for one direction, and additional bridges will be constructed beside the existing bridges. Span arrangement of an additional bridge should be, in principle, same to that of the existing bridge or the number of piers should be less than that of the existing bridge so that the influence to the flow of river water be minimum.

RCDG bridge

Two RCDG bridges (Br. 42 and Br. 66) are old and structural conditions are poor. The lengths of these bridges are short. Therefore, these bridges are proposed to be replaced.

Bridge types of additional bridges and replacing bridges will be selected considering the standard relation between span length and bridge type as listed in Table 9.1-4. PSC and PCDG will be mainly adopted. Main features of these bridge types are summarized in Table 9.1-6.

| Bridge types | Span Length | Main Feature | |
|--------------|-------------|--|--|
| PSC | 0 ~ 25 m | Can be fabricated in the workshop. Max. girder length is around 25 m (limited by capability for transport). Can be erected with truck crane. Production cost can be lowered as the work volume increases. | |
| PCDG | 20 ~ 42 m | Fabricated at site.Erection can be done with truck crane or erection girder. | |

Table 9.1-6Bridge Type and Main Features

Table 9.1-7 shows the proposed types of bridge widening.

| | | | Existing | _ | | |
|-----|--------|---------|---------------|--------|---------------|---|
| No. | Code | KP | Bridge | No. of | Existing Type | Widening Method |
| | | | Length (m) | Span | | C C |
| 1 | Br.40 | 177+200 | 23.0 | 1 | Steel Girder | Construction of additional bridge (LHS) |
| 2 | Br.41 | 178+500 | 15.1 | 1 | PSC | Widening of existing bridge |
| 3 | Br.42 | 181+800 | 18.6 | 1 | RCDG | Replacement of existing bridge |
| 4 | Br.43 | 182+800 | 36.0 | 2 | PSC | Widening of existing bridge |
| 5 | Br.44 | 183+300 | 45.6 | 3 | Steel Girder | Out of scope of the project |
| 6 | Br.45 | 183+900 | 36.0 | 2 | PSC | Out of scope of the project |
| 7 | Br.46 | 184+100 | 20.0 | 1 | PSC | Out of scope of the project |
| 8 | Br.47 | 185+700 | 120.0 | 6 | PCDG | Out of scope of the project |
| 9 | Br.48 | 187+400 | 28.0 | 1 | PCDG | Out of scope of the project |
| 10 | Br.49 | 187+700 | 24.0 | 2 | PSC | Out of scope of the project |
| 11 | Br.50 | 188+100 | 54.0 | 3 | PSC | Out of scope of the project |
| 12 | Br.51 | 188+250 | 45.0 | 3 | PSC | Out of scope of the project |
| 13 | Br.52 | 189+250 | 30.0 | 2 | PSC | Out of scope of the project |
| 14 | Br.53 | 189+900 | 18.0 | 1 | PSC | Out of scope of the project |
| 15 | Br.54 | 190+150 | 18.0 | 1 | PSC | Widening of existing bridge |
| 16 | Br. 55 | 191+100 | 30.0 | 2 | PSC | Widening of existing bridge |
| 17 | Br. 56 | 201+800 | 12.0 | 1 | PSC | Widening of existing bridge |
| 18 | Br. 57 | 208+500 | 28.0 | 2 | PSC | Widening of existing bridge |
| 19 | Br. 58 | 215+750 | 45.6 | 3 | Steel Girder | Construction of additional bridge (LHS) |
| 20 | Br. 59 | 219+600 | 91.0 | 3 | Steel Girder | Construction of additional bridge (LHS) |
| 21 | Br. 60 | 220+800 | 24.1 | 2 | PSC | Widening of existing bridge |
| 22 | Br. 61 | 222+650 | 12.1 | 1 | PSC | Widening of existing bridge |
| 23 | Br. 62 | 223+650 | 12.1 | 1 | PSC | Widening of existing bridge |
| 24 | Br. 63 | 242+850 | 18.0 | 1 | PSC | Widening of existing bridge |
| 25 | Br. 64 | 243+600 | 30.1 | 2 | PSC | Widening of existing bridge |
| 26 | Br. 65 | 244+400 | 24.2 | 2 | PSC | Widening of existing bridge |
| 27 | Br. 66 | 245+900 | 9.0 | 2 | RCDG | Replacement of existing bridge |
| 28 | Br. 67 | 255+250 | 15.1 | 1 | PSC | Replacement of existing bridge |
| 29 | Br. 68 | 255+600 | 24.0 | 2 | PSC | Replacement of existing bridge |
| 30 | Br. 69 | 256+550 | 15.0 | 1 | PSC | Replacement of existing bridge |
| 31 | Br. 70 | 257+900 | 12.1 | 1 | PSC | Replacement of existing bridge |
| 32 | Br. 71 | 265+900 | 12.1 | 1 | PSC | Replacement of existing bridge |
| 33 | Br. 72 | 270+900 | 12.1 | 1 | PSC | Replacement of existing bridge |
| 34 | Br. 73 | 271+700 | 18.5 | 1 | PSC | Replacement of existing bridge |
| 35 | Br. 74 | 272+650 | 12.1 | 1 | PSC | Replacement of existing bridge |
| 36 | Br. 75 | 273+300 | 24.1 | 2 | PSC | Replacement of existing bridge |
| 37 | Br. 76 | 275+650 | 12.1 | 1 | PSC | Replacement of existing bridge |
| 38 | Br. 77 | 276+550 | 12.1 | 1 | PSC | Replacement of existing bridge |

 Table 9.1-7
 Summary of Bridge Widening- Full 4-Lane Design

The numbers of bridges by type of widening are summarized in Table 9.1-8.

| Table 7.1 0 1 amber of Dridge by Type of Widening | | | | | | | |
|---|---------------|--|--|--|--|--|--|
| Type of Widening | No. of Bridge | | | | | | |
| Widening of Existing Bridge | 12 | | | | | | |
| Construction of Additional Bridge | 3 | | | | | | |
| Replacement | 13 | | | | | | |
| Total | 28 | | | | | | |

Table 9.1-8 Number of Bridge by Type of Widening

9.2 Replacement of Existing Bridge

Br.42, Br.66 are proposed to be replaced by new bridges since old and do not have bearing abilities. The others are proposed to be replaced for insufficient of clearance above water table in the river. A type of new bridge is selected with taking the following aspects into consideration, (i) to minimize impact on road profile, (ii) to secure required river clearance, and (iii) to ensure necessary waterway opening.

The planed span lengths of bridges in inundation section are rounded up by 5 m from those of existing bridges to prepare future floods.

Span arrangements of Br. 68 and Br. 75 have two possibilities; 2@15 m and 1@30 m. Because PSC girders are used in large quantity, efficiency of production of girders can be improved resulting in lower production cost and shorter production time. Because of these advantages, PSC is planned to be adopted.

Table 9.2-1 shows proposed plan of new bridges.

| | Table 7.2-1 Troposed Tian of Replacement Bruges | | | | | | | |
|--------|---|------------|---------------|------|---------------|----------------|------------|--|
| | | Existing E | Bridge | | New Bridg | e | | |
| Code | KP | Туре | Length (m) | Туре | Length (m) | Number of Span | Remark | |
| Br. 42 | 181+800 | RCDG | 18.6 | PSC | 20.0 | 1 | Old | |
| Br. 66 | 245+900 | RCDG | 9 | PSC | 10.0 | 1 | Old | |
| Br. 67 | 255+250 | PSC | 15.1 | PSC | 15.0 | 1 | Inundation | |
| Br. 68 | 255+600 | PSC | 24.0 | PSC | 30.0 | 2 | Inundation | |
| Br. 69 | 256+550 | PSC | 15.0 | PSC | 15.0 | 1 | Inundation | |
| Br. 70 | 257+900 | PSC | 12.1 | PSC | 15.0 | 1 | Inundation | |
| Br. 71 | 265+900 | PSC | 12.1 | PSC | 15.0 | 1 | Inundation | |
| Br. 72 | 270+900 | PSC | 12.1 | PSC | 15.0 | 1 | Inundation | |
| Br. 73 | 271+700 | PSC | 18.5 | PSC | 20.0 | 1 | Inundation | |
| Br. 74 | 272+650 | PSC | 12.1 | PSC | 15.0 | 1 | Inundation | |
| Br. 75 | 273+300 | PSC | 24.1 | PSC | 30.0 | 2 | Inundation | |
| Br. 76 | 275+650 | PSC | 12.1 | PSC | 15.0 | 1 | Inundation | |
| Br. 77 | 276+550 | PSC | 12.1 | PSC | 15.0 | 1 | Inundation | |

 Table 9.2-1
 Proposed Plan of Replacement Bridges

Preparatory Survey for National Road No.5 Improvement Project (*Middle Section: Thlea Ma'am – Battambang, and Sri Sophorn – Poipet*)

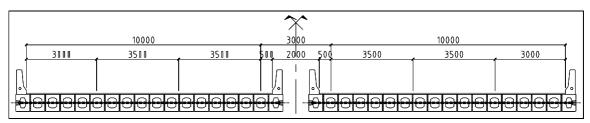


Figure 9.2-1 Typical Cross Section of Replacement Bridge

9.3 Construction of Additional Bridge

As for three bridges (Br. 17, Br, 58 and Br. 59), it is proposed that the existing structure be used to accommodate one of the 2-lane carriageways and an additional bridge be constructed to accommodate the other carriageway. The width of the existing bridge is 10 m. Ten meter width of existing bridge is insufficient for required standard width of 10.5 m for a 2-lane, but is very close to it. The Survey Team proposes to maintain the width of existing bridge, considering expansion of width of existing bridge will required considerable time and cost.

Types of additional bridges are selected taking the following aspects in to consideration, (i) to minimize impact on road profile, (ii) to ensure existing river clearance, (iii) to construct new piers on the same alignment with those of the existing bridge, and (iv) to minimize the maintenance cost. Typical cross sections of a PSC bridge and a PCDG bridge are shown in Figure 9.3-1. An example of general view of PSC is shown in Figure 9.3-2.

| | | | Exi | Additional Bridge | | | | | | |
|--|--------|---------|--------------|-------------------|--------|-------|------|--------|--------|-------|
| | Code | KP | T | Length | No. of | Width | T | Length | No. of | Width |
| | | | Туре | (m) | Span | (m) | Туре | (m) | Span | (m) |
| | Br. 40 | 177+200 | Steel Girder | 23.0 | 1 | 9.7 | PSC | 23.0 | 1 | 10.5 |
| | Br. 58 | 215+750 | Steel Girder | 45.6 | 3 | 10.0 | PSC | 15.0 | 3 | 10.5 |
| | Br. 59 | 219+600 | Steel Girder | 91.0 | 3 | 9.6 | PCDG | 30.0 | 3 | 10.5 |

 Table 9.3-1
 Proposed Plan of Additional Bridges

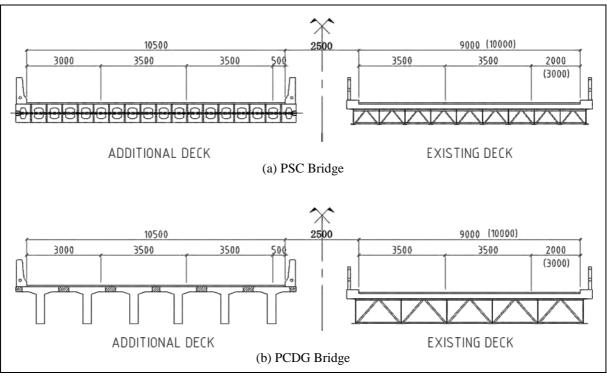


Figure 9.3-1 Typical Cross Section of Additional Bridge

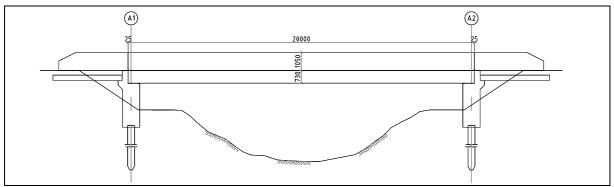


Figure 9.3-2 General View of PSC Bridge

9.4 Widening of Existing Bridge

Widening of existing bridge by adding deck slab and beam, as necessary, is proposed for 4-lane bridges. Substructure may also be widened. Such widening of bridge requires less cost because it does not demolish the existing structure but effectively utilize it. On the other hand, this method requires high-level engineering skill in execution.

This method has been practically adopted in some developed countries including Japan. On the other hand, there has been no such case in Cambodia. Thus, this Project (widening of NR 5) will become the pilot case for this method in Cambodia.

Adoption of the method requires employment of consultant(s) and contractor(s) who have sufficient experience in this method. Once this method is successfully introduced and disseminated in Cambodia, it will substantially reduce the cost of bridge widening which is foreseen in the future as further strengthening of the function of road network will become necessary to accommodate increased traffic demand which will, in turn, support future socio-economic development.

Twelve bridges of PSC deck are proposed to be widened by adding deck slab. The deck widening concept will therefore be substantially the same for all affected bridges. The deck widening concept will make use of similar section PSC units placed on extended substructure and transversely pre-stressed to the existing units of the deck Refer to Figure 9.4-1 for a typical cross-section of a widened bridge and Figure 9.4-2 for deck widening details.

| | | | Widenine Width | | | |
|-------|---------|------|----------------|-------------------|--------------|-----------------------|
| Code | КР | Туре | Length (m) | Number of Span | Width (m) | Widening Width (m) |
| Br.41 | 178+500 | PSC | 15.1 | 1 | 10.0 | 11.5 |
| Br.43 | 182+800 | PSC | 36.0 | 2 | 10.0 | 11.5 |
| Br.54 | 190+150 | PSC | 18.0 | 1 | 10.0 | 11.5 |
| Br.55 | 191+100 | PSC | 30.0 | 2 | 10.0 | 11.5 |
| Br.56 | 201+800 | PSC | 12.0 | 1 | 10.0 | 11.5 |
| Br.57 | 208+500 | PSC | 28.0 | 2 | 10.0 | 11.5 |
| Br.60 | 220+800 | PSC | 24.1 | 2 | 10.0 | 11.5 |
| Br.61 | 222+650 | PSC | 12.1 | 1 | 10.0 | 11.5 |
| Br.62 | 223+650 | PSC | 12.1 | 1 | 10.0 | 11.5 |
| Br.63 | 242+850 | PSC | 18.0 | 1 | 10.0 | 11.5 |
| Br.64 | 243+600 | PSC | 30.1 | 2 | 10.0 | 11.5 |
| Br.65 | 244+400 | PSC | 24.2 | 2 | 10.0 | 11.5 |

 Table 9.4-1
 Proposed Plan of Widening Bridges

Two options are presented to achieve the extension of the transverse pre-stress for the PSC decks.

Option 1

Option 1 proposes to break out the cement mortar at each anchorage recess and to use couplers to extend the pre-stressing bars. This option using couplers, may not be practicable as the length of existing threaded bar protruding beyond the anchor nut at each anchorage may not be long enough to develop sufficient pre-stress force with the coupler (extended length bars would have been used during construction to enable the pre-stressing operations and then cut back near the anchor nut) or the thread may have been damaged. A trial application of this technique is recommended prior to implementation should this option be selected.

Option 2

Option 2 proposes to construct separate superstructure connected by longitudinal joint. With this option, the additional deck can be constructed regardless of existing bridge condition. However trafficability is less preferable than Option 1, because longitudinal joint which appears on the road surface will be installed.

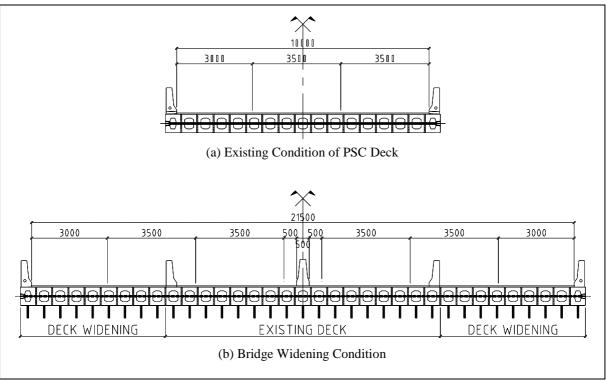


Figure 9.4-1 Typical Cross-Section of Widened Bridge for Full 4-Lane

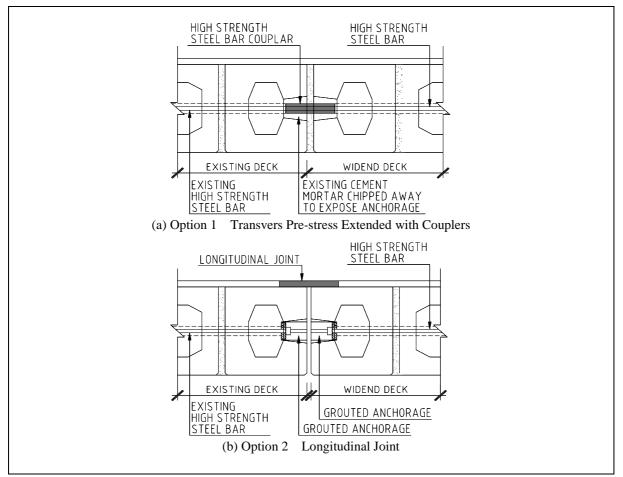


Figure 9.4-2 Deck Widening Connection Details for Full 4-Lane

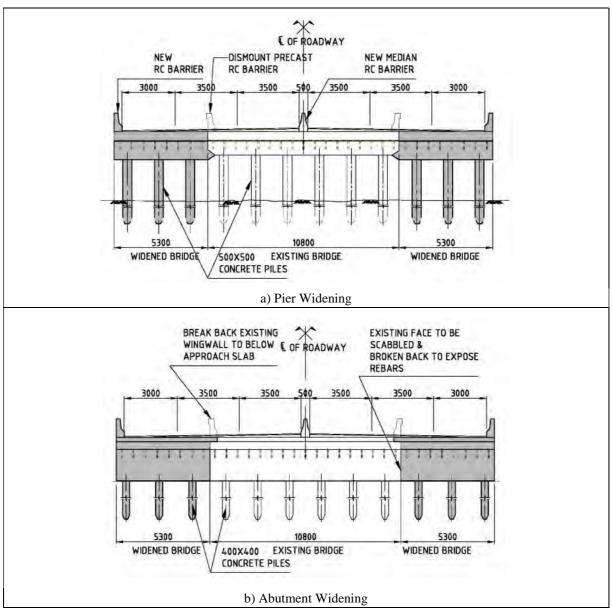


Figure 9.4-3 Typical Cross-Section of Substructure Widening for Full 4-Lane

9.5 Rehabilitation of Existing Bridge

Slope protection has been damaged at four bridges (Br. 40, Br. 59, Br. 60, Br. 61). Stone masonry of these slope protections is sitting on sand back fill. It is suspected that sand under the stone was washed away by water flow in rainy season. The damaged part needs to be replaced with new slope protection. Figure 9.5-2 shows details of the proposed rehabilitation.



Br. 40 View on Pursat Side Abutment



Br. 60 View on Phnom Penh Side Abutment



Br. 59 View on Phnom Penh Side Abutment



Br. 60 View on Pursat Side Abutment



Br. 61 View on Abutment



Br. 61 View on Phnom Penh Side Abutment

Figure 9.5-1 Damaged Slope Protections of Existing Bridges

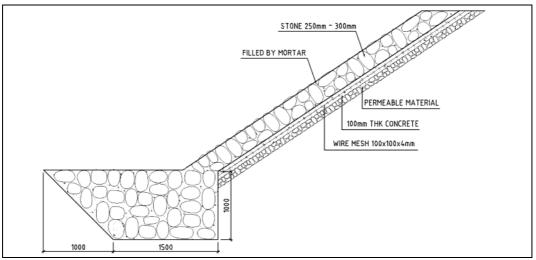


Figure 9.5-2 Repairing Method of Existing Slope Protection

9.6 Bridge on Bypass

Five bridges are planned on Pursat Bypass as follows. Bridge P3 is the only long bridge among the bridges on Purusat Bypass. Other bridges are relatively short.

| | | e ••• | |
|--------|----------------|--------------------------------|--------------|
| No. | Type of Bridge | Bridge length | Remark |
| Br. P1 | PSC | 3@20=60 m | Waterway |
| Br. P2 | PSC | 4@25=100 m | Waterway |
| Br. P3 | PSC+PCDG+PSC | 9@25+ (42+3@37+42)+11@42=695 m | Pursat River |
| Br. P4 | PSC | 5@25=125 m | Waterway |
| Br. P5 | PSC | 4@20=80 m | Waterway |

Table 9.6-1Bridge on Pursat Bypass

Bridge P3 is a bridge which crosses Pursat River. The river is approximately 140 m in width at the crossing point. As a result of the consultation with DPWT of Pursat Province, the bypass is planned to cross the roads on the banks of Pursat River with grade-separation. Thus the height of it is proposed an approximately 695 m-long bridge be constructed to cross the river. Figure 9.6-1 shows the general view of P3 Bridge.

PCDG is proposed for the central spans of P3 Bridge considering that the span lengths are 37 m and 42 m. As discussed in Subsection 9.1.2, PCDG is adopted in relatively long span length. Figure 9.6-2 shows typical cross section of PCDG on the bypass bridge.

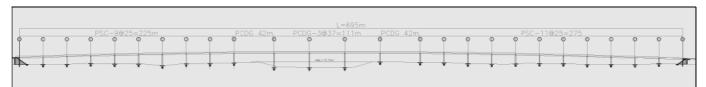


Figure 9.6-1 General View of P3 Bridge of Pursat Bypass over Pursat River

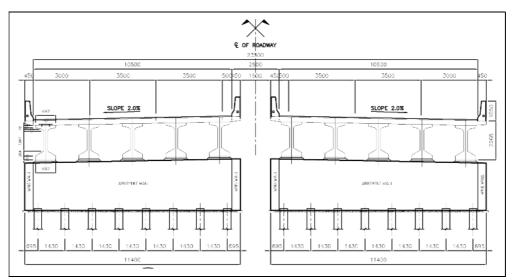


Figure 9.6-2 Typical Cross Section of PCDG Bridges of Pursat Bypass

9.7 Bridge Accessories

(1) Handrail

There are two types of handrail which are concrete type and steel type. Concrete type handrail is heavier than steel type, but it does not need periodical painting. Thus, maintenance cost of concrete type handrail is lower than that of steel type. Concrete handrail has been proposed in "the Strengthening of Construction Quality Project" implemented by JICA. Figure 9.7-1 shows handrail.

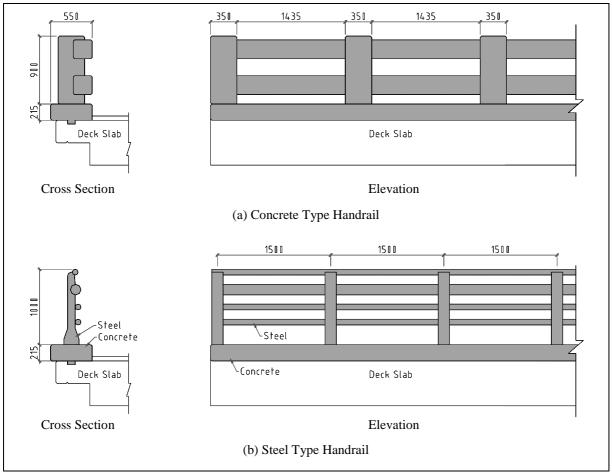


Figure 9.7-1 Handrail

(2) Expansion Joint

Function of expansion joint is to secure smooth running for vehicles, allowing thermal expansion/contraction of bridge decks and beams. Expansion joints of existing bridge are steel angle type or joint less type. Table 9.7-1 shows five types of expansion joint.

| Туре | Movement (mm) | Type of Bridge | Cross Section |
|------------------|------------------|----------------|--|
| Joint-Less Type | ≤ 20 | RC , PC | |
| Sealing Type | \leq 50 | RC, PC, Steel | |
| Steel Angle Type | \leq 50 | RC, PC, Steel | PAVEMENT ANGLE CONCRETE |
| Rubber Type, | 20~100 | RC, PC, Steel | PAVEMENT RUBBER CONCRETE ANCHOR BAR |
| Steel Plate Type | 20~1000 | RC, PC, Steel | PAVEMENT STEEL CONCRETE ANCHOR BAR |

| Table 9.7-1 | Typical Type of Expansion Joint |
|--------------------|---------------------------------|
|--------------------|---------------------------------|

Joint-less type, sealing type and steel angle type are proposed for the bridges on the Middle Section because movements of the planed bridges on the Middle Section are small (less than 50 mm) due to the small magnitude of fluctuation of temperature. These type expansion joints recommended also because they can be repaired without special parts or technique.

(3) Bearing Shoe

Bearing shoes are generally classified into two types; rubber type and steel type. Rubber type bearing is superior to steel type with regard to maintenance and seismo-resistance. Steel type is used for large movement bridge. Figure 9.7-2 shows side views of rubber type bearing and steel type bearing.

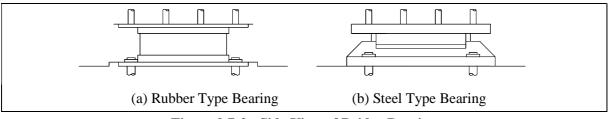


Figure 9.7-2 Side View of Bridge Bearing

(4) Aseismatic Connector

There are many type of aseismatic connector. Anchor bar type aseismatic connector is proposed in "the Strengthening of Construction Quality Project" implemented by JICA. This type is suitable for new concrete bridge. Figure 9.7-3 shows anchor bar type aseismatic connector.

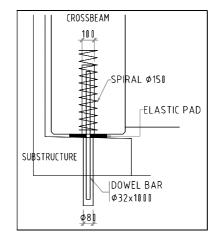


Figure 9.7-3 Anchor Bar Type Aseismatic Connector

9.8 Waterway Opening

There are some sections where capacities for water flow are insufficient as described in Section 6.1. Thus, additional water openings are needed on these sections.

In these sections, additional box culverts need to be constructed to lower the flood water level and protect road bed and pavement from water infiltration. Installation of 16 box culvert (3.0 x 2.0-2) per 1 km can lower the flood water to acceptable level. The detail of this measure is described in subsection 6.1.5 "Study of the flood in 2013". Figure 9.8-1 lists the planned box culverts.

| КР | Length (km) | Type of Opening Structure | Number of Opening Structure | Note |
|-------------------------|----------------|------------------------------|-----------------------------------|-----------------------------------|
| KP 227+700 ~ KP 235+800 | 8.1 | Box culvert 3.0 x 2.0-2 | 3 | Insufficient of drainage capacity |
| KP 235+800 ~ KP 239+900 | 4.1 | Box culvert 3.0 x 2.0-2 | 4 | Insufficient of drainage capacity |
| KP 256+0 ~ KP 260+0 | 4.0 | Box culvert 3.0 x 2.0-2 | 64 | Inundation section |
| KP 270+0 ~ KP 277+0 | 7.0 | Box culvert 3.0 x 2.0-2 | 112 | Inundation section |
| KP 280+0 ~ KP 282+0 | 2.0 | Box culvert 3.0 x 2.0-2 | 32 | Inundation section |

Table 9.8-1 Additional Waterway Opening

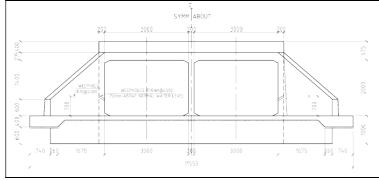


Figure 9.8-1 Box Culvert (3.0 x 2.0-2)

CHAPTER 10 COST ESTIMATION

10.1 Construction Cost

The Middle Section is divided into three components (Middle Section, North Extension and Pursat Bypass), as shown in Figure 10.1-1 below. Figure 10.1-1 also details the start and end points of each component with their lengths.

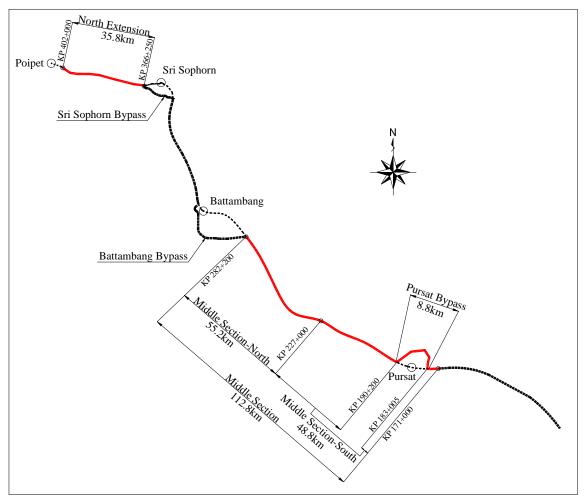


Figure 10.1-1 Map of Packages

Considering the road length and work volume of the components, the project is proposed to be divided into four packages and they are proposed to be implemented in parallel. Package 1 (Middle Section–South) starts at KP 171+000 (Thlea Ma'am; the end point of South Section) and ends at KP 227+000. Package 2 (Middle Section–North) starts at KP 227+000 and ends at KP 282+200 (Battambang; the start point of North Section). Package 3 (Pursat Bypass) is located at Pursat City. Package 4 (North Extension) starts at KP 366+250 (Sri Sophorn) and ends at KP 402+000 (Poipet).

Package 5 (Weigh Stations) is composed of constructions of eight weigh bridges.

The concept of the packaging is described in Section 10.8.

| | Table 10.1-1 Start/End Points and Road Length of Packages | | | | | | | | |
|-----------|---|--------------------|-------------|------------|-------------|--|--|--|--|
| Packages | Package Names | Components | Start Point | End Point | Length (km) | | | | |
| Package 1 | Middle Section-South | Existing Road No.5 | KP 171+000 | KP 227+000 | 48.8 | | | | |
| Package 2 | Middle Section-North | Existing Road No.5 | KP 227+000 | KP 282+200 | 55.2 | | | | |
| Package 3 | Pursat Bypass | New bypass | KP 183+005 | KP 190+000 | 8.8 | | | | |
| Package 4 | North Extension | Existing Road No.5 | KP 366+250 | KP 402+000 | 35.8 | | | | |
| Package 5 | Weigh Station | Eight weighbridges | | | | | | | |
| | | | | Total | 148.6 | | | | |

The start and end points of these sections are presented in Table 10.1-1.

The components and packages to be actually implemented are to be selected through consultation between the Royal Government of Cambodia (RGC) and the Japan International Cooperation Agency (JICA) in the appraisal process of the Project.

10.1.1 Cost Estimate

The main points of estimation of construction costs are as listed below:

- (a) Costs are calculated in United States dollars (USD). This is applied to both the Foreign Currency Portion and Local Currency Portion. Although the official local currency is the Khmer Riel (KHR), the US dollar is widely used in actual business and trade.
- (b) Costs are calculated with prices of the year 2013.
- (c) Exchange rate of USD 1.00 = JPY 101.7 (as of August 2014) is used for cost estimations, as necessary.
- (d) Costs are calculated for Middle Section-South, Middle Section-North, Pursat Bypass, North Extension, and Weigh Stations respectively.
- (e) Costs of civil works are calculated based on the basic rates collected in Cambodia and crosschecked against experiences in similar projects in the past in Cambodia after making relevant adjustments to fit the Project.
- (f) Materials and equipment not produced in Cambodia, such as cement, reinforcements, PC strands, guardrails, street lights, precast beam launching systems and fuel are assumed to be imported into Cambodia.

Based on the considerations shown above and quantities of work components, unit prices for road works, bridge works, and culvert works are calculated. The unit prices estimated are as shown below.

| Description | Unit price (USD 1,000) | Comments |
|---|---------------------------|------------------------------|
| Middle Section-South (existing Road No.5) | **** | Existing road rehabilitation |
| Road works (Pursat Bypass) | **** | New construction |
| Middle Section-North (existing road No.5) | **** | Existing road rehabilitation |
| North Extension (existing road No.5) | **** | Ditto |

Table 10.1-2 Unit Price of Road Works

***** Closed due to confidentiality

| Table 10.1-3 | Unit Price of Bridge Works |
|--------------|-----------------------------------|
|--------------|-----------------------------------|

| | Description | Unit price (USD 1,000) | Comments |
|----------------|------------------------------|---------------------------|-----------------------|
| | PSC Bridge Widening Works | **** | PC Hollow Slab Bridge |
| Middle Section | PSC Bridge Adding Works | **** | Ditto |
| -South | PCDG Bridge Adding Works | **** | PC T-Girder Bridge |
| | PSC Bridge Replacement Works | **** | PC Hollow Slab Bridge |
| Dumont Dumona | PSC Bridge Works | **** | PC Hollow Slab Bridge |
| Pursat Bypass | PCDG Bridge Works | **** | PC I-Girder Bridge |
| Middle | PSC Bridge Widening Works | **** | PC Hollow Slab Bridge |
| Section -North | PSC Bridge Replacement Works | **** | Ditto |

***** Closed due to confidentiality

Table 10.1-4Unit Price of Culvert Works

| Description | Unit price (USD 1,000) | Comments |
|---|---------------------------|----------------------|
| Pipe Culvert (\u03c61000 mm x 1) 16.1 m Widening | **** | Middle Section-South |
| Pipe Culvert (\u03c61000 mm x 2) 15.4 m Widening | **** | Middle Section-South |
| Pipe Culvert (\u03c61000 mm x 3) 16.2 m Widening | **** | Middle Section-South |
| Pipe Culvert (\u03c61000 mm x 4) 18.9 m Widening | **** | Middle Section-South |
| Pipe Culvert (\u03c61000 mm x 8) 16.3 m Widening | **** | Middle Section-South |
| Pipe Culvert (q1200 mm x 1) 13.9 m Widening | **** | Middle Section-South |
| Pipe Culvert (q1200 mm x 3) 15.2 m Widening | **** | Middle Section-South |
| Box Culvert (3 m x 2 m x 1 cell) 15.8 m Widening | **** | Middle Section-South |
| Box Culvert (3 m x 2 m x 2 cells) 15.7 m Widening | **** | Middle Section-South |
| Box Culvert (3 m x 2 m x 3 cells) 17.1 m Widening | **** | Middle Section-South |
| Pipe Culvert (\u03c61000 mm x 2) 33.3 m New Construction | **** | Pursat Bypass |
| Box Culvert (3 m x 2 m x 1 cell) 33.3 m New Construction | **** | Pursat Bypass |
| Box Culvert (3 m x 2 m x 2 cells) 33.3 m New Construction | **** | Pursat Bypass |
| Pipe Culvert (\u03c61000 mm x 1) 14.5 m Widening | **** | Middle Section-North |
| Pipe Culvert (q1000 mm x 2) 16.4 m Widening | **** | Middle Section-North |
| Pipe Culvert (q1000 mm x 3) 17.3 m Widening | **** | Middle Section-North |
| Pipe Culvert (q1200 mm x 2) 15.1 m Widening | **** | Middle Section-North |
| Pipe Culvert (q1500 mm x 1) 13.9 m Widening | **** | Middle Section-North |
| Box Culvert (3 m x 2 m x 1 cell) 16.3 m Widening | **** | Middle Section-North |
| Box Culvert (3 m x 2 m x 2 cells) 30 m New Construction | **** | Middle Section-North |
| Box Culvert (3 m x 2 m x 2 cells) 16.5 m Widening | **** | Middle Section-North |
| Box Culvert (3 m x 2 m x 3 cells) 16.3 m Widening | **** | Middle Section-North |
| Pipe Culvert (\u03c61000 mm x 1) 16.5 m Widening | **** | North Extension |
| Pipe Culvert (φ1000 mm x 2) 16.5 m Widening | **** | North Extension |
| Pipe Culvert (\u03c61000 mm x 4) 16.5 m Widening | **** | North Extension |
| Pipe Culvert (q1200 mm x 1) 16.5 m Widening | **** | North Extension |
| Pipe Culvert (φ1200 mm x 2) 16.5 m Widening | **** | North Extension |

***** Closed due to confidentiality

| Using the above data (quantities and rates), the construction costs are calculated as below. |
|--|
|--|

| Item | Unit | Quantity | Rate (USD 1,000) | Amount (USD 1,000) |
|---|----------------|----------|---------------------|-----------------------|
| Road Widening Works in Middle Section South | km | 49.0 | **** | **** |
| Pipe Culvert (\u03c61000 mm x 1) 16.1 m Widening | no | 22 | **** | **** |
| Pipe Culvert (\u03c61000 mm x 2) 15.4 m Widening | no | 11 | **** | **** |
| Pipe Culvert (\u03c61000 mm x 3) 16.2 m Widening | no | 4 | **** | **** |
| Pipe Culvert (\u03c61000 mm x 4) 18.9 m Widening | no | 1 | **** | **** |
| Pipe Culvert (\u03c61000 mm x 8) 16.3 m Widening | no | 1 | **** | **** |
| Pipe Culvert (\u03c61200 mm x 1) 13.9 m Widening | no | 3 | **** | **** |
| Pipe Culvert (\u03c61200 mm x 3) 15.2 m Widening | no | 1 | **** | **** |
| Box Culvert (3 m x 2 m x 1 cell) 15.8 m Widening | no | 6 | **** | **** |
| Box Culvert (3 m x 2 m x 2 cells) 15.7 m Widening | no | 4 | **** | **** |
| Box Culvert (3 m x 2 m x 3 cells) 17.1 m Widening | no | 2 | **** | **** |
| PSC Bridge Widening Works | m ² | 2,151 | **** | **** |
| PSC Bridge Adding Works | m ² | 798 | **** | **** |
| PCDG Bridge Adding Works | m ² | 1,026 | **** | **** |
| PSC Bridge Replacement Works | m ² | 456 | **** | **** |
| Weigh Station Embankment Works | place | 2 | **** | **** |
| Total | | | | **** |

Table 10.1-5 Construction Cost of Middle Section-South

***** Closed due to confidentiality

Table 10.1-6 Construction Cost of Middle Section-North

| Item | Unit | Quantity | Rate (USD 1,000) | Amount (USD 1,000) |
|---|----------------|----------|---------------------|-----------------------|
| Road Widening Works in Middle Section North | km | 55.2 | **** | **** |
| Pipe Culvert (\u03c61000 mm x 1) 14.5 m Widening | no | 4 | **** | **** |
| Pipe Culvert (\u03c61000 mm x 2) 16.4 m Widening | no | 6 | **** | **** |
| Pipe Culvert (\u03c61000 mm x 3) 17.3 m Widening | no | 3 | **** | **** |
| Pipe Culvert (\u03c61200 mm x 2) 15.1 m Widening | no | 4 | **** | **** |
| Pipe Culvert (\u03c61500 mm x 1) 13.9 m Widening | | 1 | **** | **** |
| Box Culvert (3 m x 2 m x 1 cell) 16.3 m Widening | no | 7 | **** | **** |
| Box Culvert (3 m x 2 m x 2 cells) 30 m New Construction | no | 215 | **** | **** |
| Box Culvert (3 m x 2 m x 2 cells) 16.5 m Widening | no | 10 | **** | **** |
| Box Culvert (3 m x 2 m x 3 cells) 16.3 m Widening | no | 6 | **** | **** |
| PSC Bridge Widening Works | m ² | 828 | **** | **** |
| PSC Bridge Replacement Works | m ² | 4,788 | **** | **** |
| Weigh Station Embankment Works | place | 2 | **** | **** |
| total | | | | **** |

***** Closed due to confidentiality

| Item | | Quantity | Rate (USD 1,000) | Amount (USD 1,000) |
|---|----|----------|---------------------|-----------------------|
| Pursat Bypass Road Works | km | 7.9 | **** | **** |
| Pipe Culvert (\u03c61000 mm x 2) 33.3 m New Construction | no | 31 | **** | **** |
| Box Culvert (3 m x 2 m x 1 cell) 33.3 m New Construction | | 5 | **** | **** |
| Box Culvert (3 m x 2 m x 2 cells) 33.3 m New Construction | no | 6 | **** | **** |
| PSC Bridge Works (Pursat Bypass Flyover + Viaduct) | | 19,722 | **** | **** |
| PCDG Bridge Works (Pursat Bypass Flyover + Viaduct) | | 4,447 | **** | **** |
| total | | | | **** |

Table 10.1-7 Construction Cost of Middle Section-North

***** Closed due to confidentiality

Table 10.1-8 Construction Cost North Extension

| Item | Unit | Quantity | Rate (USD 1,000) | Amount (USD 1,000) |
|--|-------|----------|---------------------|-----------------------|
| Road Widening Works in North Extension | km | 35.8 | **** | **** |
| Pipe Culvert (\u03c61000 mm x 1) 16.5 m Widening | no | 13 | **** | **** |
| Pipe Culvert (\u03c61000 mm x 2) 16.5 m Widening | no | 1 | **** | **** |
| Pipe Culvert (\u03c61000 mm x 4) 16.5 m Widening | no | 1 | **** | **** |
| Pipe Culvert (\u03c61200 mm x 1) 16.5 m Widening | no | 31 | **** | **** |
| Pipe Culvert (\u03c61200 mm x 2) 16.5 m Widening | no | 12 | **** | **** |
| Weigh Station Embankment Works | place | 2 | **** | **** |
| total | | | | **** |

***** Closed due to confidentiality

Table 10.1-9 Summary of Construction Cost

| Package | Road Length | Amount (USD 1,000) |
|----------------------------------|-------------|-----------------------|
| Package 1 (Middle Section-South) | 58.0 km | **** |
| Package 2 (Middle Section-North) | 55.2 km | **** |
| Package 3 (Pursat Bypass) | 8.9 km | **** |
| Package 4 (North Extension) | 35.8 km | **** |
| Package 5 (Weigh Station) | 8 places | **** |
| Total | 149.0 km | **** |

***** Closed due to confidentiality

For reference, major rates are compared with similar projects in the past as shown below. According to the comparison table, the rates in the Middle Section are situated towards the middle of the range. A comparison of contractual components with similar projects in the past is provided in Section 10.8.

| | | | | | - | | - | (Unit: USD) | | |
|-----------------------------|---------|---------|---------|---------|---------|---------|---------|-------------|------|------|
| Items | Middle | South | North | Project | Project | Project | Project | Project | | |
| Items | Section | Section | Section | (1) | (2) | (3) | (4) | (5) | | |
| Excavation /m ³ | **** | **** | **** | **** | **** | **** | **** | **** | | |
| Embankment /m ³ | **** | **** | **** | **** | **** | **** | **** | **** | | |
| Subbase /m ³ | **** | **** | ***** | **** | **** | **** | **** | **** | **** | **** |
| Base course /m ³ | **** | **** | | **** | **** | **** | **** | **** | | |
| AC pavement /m ³ | **** | **** | **** | **** | **** | **** | **** | **** | | |
| Road /m | **** | **** | **** | **** | **** | **** | **** | **** | | |
| Bridge /m ² | **** | **** | **** | **** | **** | **** | **** | **** | | |
| Year | (*1) | (*1) | (*2) | 2009 - | 2010 - | 2013 - | 2009 - | 2011 - | | |
| of construction | (*1) | (*1) | (*3) | 2010 | 2015 | 2015 | 2011 | 2014 | | |

Table 10.1-10Comparison of Basic Rates in Similar Projects

Source: Relevant documents in each project

*1: price estimated based on 2013 rates

*2: laterite to be used for subbase

*3: price estimated based on 2011 rates

*4: mostly DBST pavement, thus price for AC (small quantity) is high

***** Closed due to confidentiality

[List of Similar Projects]

Project (1): Improvement of National Road No. 1 Phase 3 (Japanese Grant)

Project (2): Construction of Neak Loeung Bridge (Japanese Grant)

Project (3): Flood Disaster Rehabilitation and Mitigation (Japanese Grant)

Project (4): Sihanoukville Port SEZ Development (Japanese Loan)

Project (5): Improvement of NR 31, 33, PR 117 and Kampot Bypass (Korean Loan)

10.2 Consultancy Services

Consultancy services are required to support the implementing agency (MPWT) in all phases of the Project, such as the engineering service stage, tender assistance stage and construction supervision stage.

It is recommended that the consultancy services in all phases of the Project are carried out by a consultant employed through the selection procedure for consultants as indicated in the Implementation Schedule of Table 11.3-2. It should be noted that arrangement of consultants will be subject to the discussions between the RGC and JICA.

Major tasks to be undertaken by the consultant, including the professional assignment schedule, are described below.

10.2.1 Major Tasks to be Undertaken by Consultant

(1) Scope of Work

The scope of work for the consultant consists of the following tasks.

- (a) Engineering study and basic/detailed design
- (b) Project Master Program
- (c) Preparation of tender documents for construction
- (d) Assistance to the Employer in bidding and bid evaluation
- (e) Construction supervision
- (f) Inspection for provisional handover
- (g) Inspection for final handover
- (h) Training for Cambodian engineers
- (i) Research of cultural heritage, if applicable

(2) Detailed Task Requirements

The above tasks are to be undertaken in two major stages, namely, the engineering study stage, and the selection of contractors and construction supervision stage. Detailed task requirements of each stage are as listed below.

A. Engineering Service Stage

Task 1-1. Review the previous and ongoing related studies and data collected

- Task 1-2. Conduct traffic survey
- Task 1-3. Analyze the traffic demand forecast and capacity requirement

Task 1-4. Field survey and investigation

- a. Alignment investigation, topographic survey and mapping
- b. Soil condition, geological data, water level and deep well impact
- c. River, canal, drainage networks, etc.
- d. ROW adjacency
- e. Utilities survey
- f. Road traffic survey for traffic management planning during construction
- g. Hydrological survey
- h. Survey on cultural/historic heritage and archaeological survey

Task 1-5. Assist the Employer in processing, monitoring and reporting on land acquisition

- a. Resettlement plan and procedure for land arrangements
- b. Land acquisition plan and resettlement action plan (LAP/RAP)
- c. LAP/RAP monitoring and report
- d. Temporary land arrangement
- e. Assist the Employer in public consultation

Task 1-6. Prepare the construction arrangement plan

- a. Land for construction activities (permanent and temporary)
- b. Utilities relocation, removal or protection
- c. Traffic management plan and road detour/alternative road design
- d. Public relations and stakeholder socialization materials
- Task 1-7. Design standards and design criteria
- Task 1-8. Prepare detailed design for civil works (roads, structures, etc.)
- Task 1-9. Review road design in view of traffic safety

Task 1-10. Review and update the Project Master Program

- Task 1-11. Review the environmental impact assessment (EIA) and conduct supplemental EIA
- Task 1-12. Prepare tender documents including pre-qualification documents
- Task 1-13. Cost estimation through tender packages
- Task 1-14. Public relations
- Task 1-15. Training on design and tendering for Cambodian engineers

Task 1-16. Research on cultural heritage, including review of archives during design stage

B. Tender Assistance Stage

Task 2-1. Selection of contractors

- a. Pre-qualification of bidders, including invitation for pre-qualification
- b. Tender call and pre-tender conference
- c. Tender evaluation and clarification
- d. Contract negotiations and contracting

C. Construction Supervision Stage

Task 2-1. Selection of contractors

- a. Pre-qualification of bidders, including invitation for pre-qualification
- b. Tender call and pre-tender conference

- c. Tender evaluation and clarification
- d. Contract negotiations and contracting
- Task 2-2. Establish project management system
- Task 2-3. Review the contractors submittals and design interface
- Task 2-4. Site inspection and factory inspection
 - a. Confirm use of/adherence to approved materials, drawings, work methods and schedule
 - b. Confirm adherence to approved quality control system
 - c. Confirm adherence to approved mitigation of environmental impact
 - d. Confirm third party safety
 - e. Confirm adherence to health and safety plan
 - f. Confirm adherence to traffic management plan
- Task 2-5. Public relations during construction
- Task 2-6. Monitor environmental management plan
- Task 2-7. Issue interim payment certificates
- Task 2-8. Review and report on alterations, variations and solution of disputes
- Task 2-9. Initiate meetings and reports
- Task 2-10. Review and inspect road/s in view of traffic safety
- Task 2-11. Inspect testing and as-built drawings at completion
- Task 2-12. Prepare guideline for HIV/AIDS protection activities
- Task 2-13. Inspect and report during defects liability period
- Task 2-14. Inspect testing for final handover
- Task 2-15. Conduct training for Cambodian engineers and administrators on tendering, contract management, construction management and maintenance of roads
- Task 2-16. Research cultural heritage at the commencement of construction

10.2.2 Consultant Assignment Schedule

Based on the tasks to be undertaken by the consultant, the professional assignment schedule is proposed as shown in Tables 10.2-1 for the engineering service, 10.2-2 for the tender assistance, and 10-2-3 for the construction supervision, respectively.

| | 8 | | | | Engin | 8 | 1 | | 1 | |
|--------|--|------|------|------|-------|------|------|------|------|-------|
| No. | Title | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 | Total |
| Intern | ational Professional | | | | | | | | | |
| 1 | Project Manager | 1.0 | 9.5 | | | | | | | 10.5 |
| 2 | Road & Pavement Expert | 1.0 | 9.5 | | | | | | | 10.5 |
| 3 | Structure Expert | | 9.5 | | | | | | | 9.5 |
| 4 | Hydrological & Hydraulic Expert | | 9.5 | | | | | | | 9.5 |
| 5 | Construction Planner | | 9.5 | | | | | | | 9.5 |
| 6 | Cost Estimate Expert | | 8.5 | | | | | | | 8.5 |
| 7 | Specification/Quality Management Expert | | 8.5 | | | | | | | 8.5 |
| 8 | HIV/AIDS Protection Campaign Expert | | 2.0 | | | | | | | 2.0 |
| 9 | Traffic Demand Forecast Expert | 1.0 | 1.0 | | |] | | | | 2.0 |
| 10 | Traffic Safety Expert | | 1.0 | | | | | | | 1.0 |
| 11 | Social Environment Expert | | 4.0 | | | | | | | 4.0 |
| 12 | Natural Environment Expert | | 1.0 | | | | | | | 1.0 |
| 13 | Capacity Development Expert | | 2.0 | | | | | | | 2.0 |
| 14 | Weighbridge Expert | | 3.0 | | | | | | | 3.0 |
| 15 | Building Expert | | 3.0 | | | | | | | 3.0 |
| | Total | | | | | | | | | 85.5 |
| Local | Professional | | | | | | | | | |
| 1 | Deputy Project Manager | 1.0 | 9.5 | | | | | | | 10.5 |
| 2 | Civil Engineer – 1 | 1.0 | 9.5 | | | | | | | 10.5 |
| 3 | Civil Engineer – 2 | 1.0 | 9.5 | | | | | | | 10.5 |
| 4 | Civil Engineer – 3 | 1.0 | 9.5 | | | | | | | 10.5 |
| 5 | Civil Engineer – 4 | | 7.0 | | | | | | | 7.0 |
| 6 | Geotechnical Engineer | 1.0 | 6.0 | | | | | | | 7.0 |
| 7 | Hydrological & Hydraulic Engineer | | 6.0 | | | | | | | 6.0 |
| 8 | Traffic Management Engineer | | 6.0 | | | | | | | 6.0 |
| 9 | Utilities Management Engineer | | 7.0 | | | | | | | 7.0 |
| 10 | Cost Engineer – 1 | | 7.5 | | | | | | | 7.5 |
| 11 | Cost Engineer – 2 | | 5.0 | | | | | | | 5.0 |
| 12 | Specification Engineer | | 7.5 | | | | | | | 7.5 |
| 13 | Quality Management / Safety Engineer | | 7.5 | | | | | | | 7.5 |
| 14 | HIV/AIDS Protection Campaign Assistant | | 2.0 | | | | | | | 2.0 |
| 15 | Traffic Demand Forecast Assistant | 1.0 | 2.0 | | | | | | | 3.0 |
| 16 | Social Environment Engineer | | 7.5 | | - | | | | | 7.5 |
| 17 | , , , , , , , , , , , , , , , , , , , | | 3.0 | 5 | - | | | | - | 3.0 |
| 18 | Weighbridge Expert | | 3.0 | | | 1 | | | 1 | 3.0 |
| | Building Expert | | 3.0 | | -3 | | | g | | 3.0 |
| | Total | | | | | | | l | | 124.0 |

Table 10.2-1 Assignment Schedule for Engineering Service

| No. | Title | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 | Total |
|--------|--|------|------|------|------|------|------|------|------|-------|
| Intern | ational Professional | | | | | | | | | |
| 1 | Project Manager | | 1.5 | 8.0 | | | | | | 9.5 |
| 2 | Road & Pavement Expert | | 1.5 | 2.0 | | | | | | 3.5 |
| 3 | Structure Expert | | 1.5 | 2.0 | | | | | | 3.5 |
| 4 | Construction Planner | | 1.5 | 2.0 | | | | | | 3.5 |
| 5 | Cost Estimate Expert | | 1.5 | 2.0 | | | | | | 3.5 |
| 6 | Specification/Quality Management Expert | | 1.5 | 2.0 | | | | | | 3.5 |
| 7 | Procurement & Contract Administration Expert | | 1.0 | 2.0 | | | | | | 3.0 |
| 8 | Social Environment Expert | | 1.0 | 3.0 | | | | | | 4.0 |
| 9 | Capacity Development Expert | | | 2.0 | | | | | | 2.0 |
| 10 | Weighbridge Expert | | | 1.5 | | | | | | 1.5 |
| | Total | | | | | | - | | | 37.5 |
| Local | Professional | | | | | | | | | |
| 1 | Deputy Project Manager | | 2.5 | 8.0 | | | | | | 10.5 |
| 2 | Civil Engineer- 1 | | 2.5 | 3.0 | | | | | | 5.5 |
| 3 | Civil Engineer- 2 | | 2.5 | 3.0 | | | | | | 5.5 |
| 4 | Geotechnical Engineer | | 2.5 | 3.0 | | | | | | 5.5 |
| 5 | Utilities Management Engineer | | 1.0 | | | | | | | 1.0 |
| 6 | Cost Engineer – 1 | | 2.5 | 3.0 | | | | | | 5.5 |
| 7 | Specification Engineer | | 2.5 | 3.0 | | | - | | | 5.5 |
| 8 | Procurement & Contract Administration Assistant | | 1.0 | 2.0 | | | | | | 3.0 |
| 9 | Quality Management / Safety Engineer | | 2.5 | 3.0 | | | | | | 5.5 |
| 10 | Social Environment Engineer | | 2.5 | 5.0 | | | | | | 7.5 |
| 11 | Mechanical Engineer | | | 1.0 | | | | | | 1.0 |
| | Total | | | | | | | | | 56.0 |

| Table 10.2-2 | Assignment Schedule for Tender Assistance |
|--------------|---|
|--------------|---|

 Table 10.2-3
 Assignment Schedule for Construction Supervision

| No. | Title | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 | Total |
|--------|---|------|------|------|------|------|------|------|------|-------|
| Intern | ational Professional | | | | | | | | | |
| 1 | Project Manager | | | 4.0 | 11.0 | 11.0 | 9.0 | 1.0 | 1.0 | 37.0 |
| 2 | Road & Pavement Expert | | | 4.0 | 11.0 | 11.0 | 8.0 | | | 34.0 |
| 3 | Structure Expert | | | 4.0 | 11.0 | 11.0 | 8.0 | | | 34.0 |
| 4 | Hydrological & Hydraulic Expert | | | 4.0 | 11.0 | | | | | 15.0 |
| 5 | Construction Planner | | | 4.0 | 11.0 | 11.0 | 8.0 | | | 34.0 |
| 6 | Cost Estimate Expert | | | 4.0 | 11.0 | 11.0 | 8.0 | 1.0 | 1.0 | 36.0 |
| 7 | Specification/Quality Management Expert | | | 4.0 | 11.0 | 10.0 | | | | 25.0 |
| 8 | Procurement & Contract Administration Expert | | | 1.0 | 2.0 | 2.0 | 1.0 | 1.0 | 1.0 | 8.0 |
| 9 | Traffic Safety Engineer | | | | | | 1.0 | | | 1.0 |
| 10 | HIV/AIDS Protection Campaign | | | 1.0 | 2.0 | 0.0 | | | | 3.0 |

Preparatory Survey for National Road No.5 Improvement Project (Middle Section: Thlea Ma'am – Battambang, and Sri Sophorn – Poipet)

| No. | Title | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 | Total |
|---------|--|------|------|------|------|------|------|------|------|-------|
| | Expert | | | | | | | | | |
| 11 | Social Environment Expert | | | 1.0 | 1.0 | 1.0 | | | | 3.0 |
| 12 | Natural Environment Expert | | | 2.0 | 1.0 | 1.0 | 1.0 | | | 5.0 |
| 13 | Capacity Development Expert | | | | 2.0 | 2.0 | 2.0 | | | 6.0 |
| 14 | Weighbridge Expert | | | | | | 4.0 | 2.0 | | 6.0 |
| 15 | Building Expert | | | | | | 5.0 | 1.0 | | 6.0 |
| | Total | | | | | | | | | 253.0 |
| Local I | Professional | | | | | | | | | |
| 1 | Deputy Project Manager | | | 4.0 | 12.0 | 12.0 | 10.0 | 1.0 | 1.0 | 40.0 |
| 2 | Civil Engineer – 1 | | | 4.0 | 12.0 | 12.0 | 10.0 | | | 38.0 |
| 3 | Civil Engineer – 2 | | | 4.0 | 12.0 | 12.0 | 8.0 | | | 36.0 |
| 4 | Civil Engineer – 3 | | | 4.0 | 12.0 | 12.0 | 8.0 | | | 36.0 |
| 5 | Civil Engineer – 4 | | | 4.0 | 12.0 | 9.0 | | | | 25.0 |
| 6 | Geotechnical Engineer | | | 4.0 | 12.0 | 9.0 | | | | 25.0 |
| 7 | Hydrological & Hydraulic Engineer | | | 4.0 | 8.0 | | | | | 12.0 |
| 8 | Traffic Management Engineer | | | 4.0 | 12.0 | 2.0 | | | | 18.0 |
| 9 | Utilities Management Engineer | | | 4.0 | 12.0 | 8.0 | | | | 24.0 |
| 10 | Cost Engineer – 1 | | | 4.0 | 12.0 | 12.0 | 10.0 | 1.0 | 1.0 | 40.0 |
| 11 | Cost Engineer – 2 | | | 4.0 | 12.0 | 12.0 | 8.0 | | | 36.0 |
| 12 | Specification Engineer | | | 4.0 | 12.0 | 12.0 | 10.0 | | | 38.0 |
| 13 | Procurement & Contract Administration Assistant | | | 1.0 | 2.0 | 2.0 | 1.0 | 1.0 | 1.0 | 8.0 |
| 14 | Quality Management & Safety Engineer | | | 4.0 | 12.0 | 12.0 | 10.0 | | | 38.0 |
| 15 | Resident Engineer for Package 1 | | | 4.0 | 12.0 | 12.0 | 10.0 | 1.0 | | 39.0 |
| 16 | Resident Engineer for Package 2 | | | 4.0 | 12.0 | 12.0 | 10.0 | 1.0 | | 39.0 |
| 17 | Resident Engineer for Package 3 | | | 4.0 | 12.0 | 12.0 | 10.0 | 1.0 | | 39.0 |
| 18 | Resident Engineer for Package 4 | | | 4.0 | 12.0 | 10.0 | 1.0 | | | 27.0 |
| 19 | Resident Engineer for Package 5 | | \$ | | | | 6.0 | 4.0 | 1.0 | 11.0 |
| 20 | HIV/AIDS Protection Campaign Assistant | | | 4.0 | 4.0 | | | | | 8.0 |
| 21 | Social Environment Engineer | | | 3.0 | 4.0 | 3.0 | 3.0 | | | 13.0 |
| | Natural Environment Engineer | | | 2.0 | 1.0 | 1.0 | | | | 5.0 |
| | Mechanical Engineer | | | | | | 4.0 | 2.0 | | 6.0 |
| | Building Engineer | | | | | | 5.0 | 1.0 | | 6.0 |
| | Total | | | | | | | | | 607.0 |

10.2.3 Roles of Professional Staff

The roles of professionals are summarized in Table 10.2-4 below.

| Professionals | Roles |
|---|--|
| [International Professional] | • |
| Project Manager | Overall management during engineering study, contractor selection and supervision stage |
| Road & Pavement Expert | Plan, survey, design and control on construction of road and pavement, and provisions of traffic safety education to local people |
| Structure Expert | Plan, survey, design and control on construction of road structures, and provision of traffic safety education to local people |
| Hydrological & Hydraulic Expert | Plan, survey, design and review hydrology and hydraulics of project site, including catchment area |
| Construction Planner | Plan and scheduling of overall construction (road and structure, etc.) and its review |
| Cost Estimate Expert | Calculation cost estimate & analysis of project progress, costs and variations |
| Specification/Quality Management Expert | Compilation of specification and review & control on quality and safety |
| Procurement & Contract Administration Expert | Plan, review and monitoring in procurement to ensure compliance with frameworks administration of process including necessary documentation under the Contract during construction |
| HIV/AIDS Protection Campaign Expert | Campaign and public relation on HIV/AIDS protection |
| Traffic Demand Forecast Expert | Conduct of traffic survey and computation of traffic demand forecast |
| Traffic Safety Expert | Review of traffic safety during design stage as well as construction stage prior to traffic opening |
| Social Environment Expert | Review of EIA, conduct of supplemental assessment during engineering stage and guide for monitor of social environmental management plan during construction |
| Natural Environment Expert | Review of EIA, conduct of supplemental assessment during engineering stage and guide for monitor of natural environmental management plan during construction |
| Capacity Development Expert | Plan and conduct of training to Cambodian engineers, provision of traffic safety education to local people |
| Weighbridge Expert | Plan, survey, design and control on construction of weighbridge, and provision of traffic safety education to local people |
| Building Expert | Plan, survey, design and control on construction of weighbridge building |
| [Local Professional] | |
| Deputy Project Manager | Overall management and assistance of project manager |
| Civil Engineer | Plan, survey, design, and review / control on construction road, pavement and structures, Assisting the expert |
| Geotechnical Engineer | Plan, survey, design and review on plans submitted in regard to geotechnical matters, Assisting the expert |
| Hydrological & Hydraulic Engineer | Plan, survey and design of hydrology and hydraulics of project site, including catchment area, Assisting the expert |
| Traffic Management Engineer | Survey and plan of traffic management and review of traffic management plan submitted, Assisting the expert, provision of traffic safety education to local |

Table 10.2-4Roles of Professionals

| Professionals | Roles |
|--------------------------------------|---|
| | people |
| | Survey and plan of utilities relocation etc. and review of utilities |
| Utilities Management Engineer | management plan submitted, |
| | Assisting the expert |
| Cost Engineer | Calculation & analysis of construction costs and assisting the expert |
| Specification Engineer | Compilation of specification and review & control on specification, |
| | Assisting the expert |
| | Assisting the expert for review and monitoring in procurement to |
| Procurement & Contract | ensure compliance with frameworks and administration of process |
| Administration Assistant | including necessary documentation under the Contract during construction |
| | Compilation of requirements in regard to quality & safety and review |
| Quality Management & Safety Engineer | & control on them, |
| | Assisting the expert |
| | Review on construction plan submitted and check & inspection on |
| Resident Engineer for Package 1 | daily activities on site in Package 1 |
| Resident Engineer for Package 2 | Ditto in Package 2 |
| Resident Engineer for Package 3 | Ditto in Package 3 |
| Resident Engineer for Package 4 | Ditto in Package 4 |
| Resident Engineer for Package 5 | Ditto in Package 5 |
| HIV/AIDS Protection Campaign | Campaign and public relation on HIV/AIDS protection, |
| Assistant | Assisting the expert |
| Traffic Demand Forecast Assistant | Conduct of traffic survey and assisting computation of traffic demand |
| Traffic Demand Forecast Assistant | forecast |
| | Assisting the expert for review of EIA, conduct of supplemental |
| Social Environment Engineer | assessment during engineering stage and monitor of social |
| 8 | environmental management plan during construction and two years |
| | after the completion of construction Assisting the expert for review of EIA, conduct of supplemental |
| | assessment during engineering stage and monitor of natural |
| Natural Environment Engineer | environmental management plan during construction and two years |
| | after the completion of construction. |
| | Plan, survey, design, and review / control on construction |
| Mechanical Engineer | weighbridge, |
| <u> </u> | Assisting the expert |
| | Plan, survey, design, and review / control on construction weighbridge |
| Building Engineer | building, |
| | Assisting the expert |

10.2.4 Composition of Consultant Team

Composition of consultant team during the engineering service, tender assistance and construction supervision stages is indicated below.

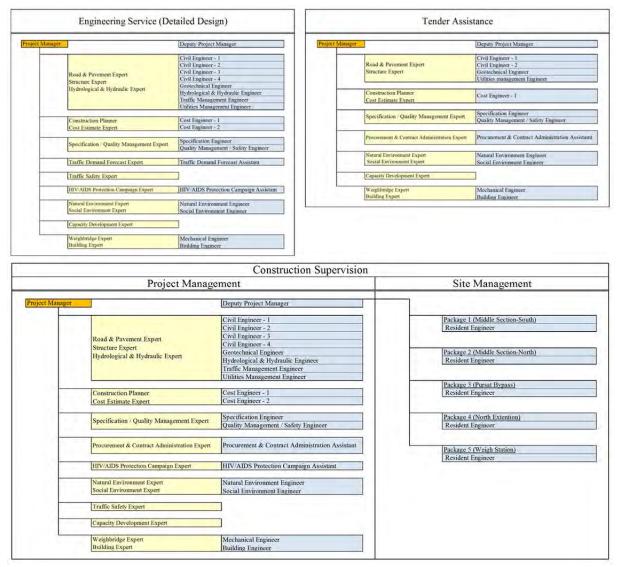


Figure 10.2-1 Organization of Consultant

10.2.5 Cost of Consulting Services

Using the above schedule of professionals (international and local), the costs of consulting services are calculated.

In addition, it is recommended that training for technical and administrative staff in MPWT be conducted utilizing the consultancy services in order to develop their capacity for designing, tendering, contract management, construction management and maintenance of roads as well as public relations and public consultation.

There are two training schemes, which consist of on-the-job training (OJT), etc., in Cambodia and technical training in developed countries, such as Japan. The former comprises the OJT and regular

workshops during the engineering study, selection of contractors and supervision stage in Cambodia and the latter comprises several modules of overseas training. The cost for the latter is calculated assuming training in Japan with a total of 20 staff.

The total cost for consulting services including the training mentioned above is shown below.

| Description | Amount (USD 1,000) |
|---|--------------------|
| 1) Engineering Service (Basic/Detailed Design and Tender Documents) | **** |
| 2) Tender Assistance | **** |
| 3) Construction Supervision | **** |
| 4) Technical Training | **** |
| Total | **** |

Table 10.2-5Cost of Consulting Services

*****Closed due to confidentiality

10.3 Cost Borne by the RGC

The costs borne by the Royal Government of Cambodia (RGC) are comprised of the following items.

- (a) Land acquisition and resettlement costs
- (b) Utilities relocation, removal and/or protection costs
- (c) Detection and removal costs of mines and UXOs
- (d) Taxes
- (e) Administration costs

It is to note that the above items are to be undertaken by the RGC and special attention is to be paid by the RGC and JICA (and also the consultant) not to hinder the progress of the Project due to budget deficiencies for those items to be prepared by the RGC.

10.3.1 Land Acquisition and Resettlement Cost

Based on what is written in Chapters 15 and 16, land acquisition and resettlement costs are estimated as shown below.

Table 10.3-1 Land Acquisition and Resettlement Cost

| Description | Amount(USD 1,000) |
|--|-------------------|
| Land Acquisition and Resettlement Cost | **** |

*****Closed due to confidentiality

10.3.2 Cost of Relocation, Removal and/or Protection of Utilities

Various utilities, such as electric and telephone cables with posts, have been installed along NR 5 and some of them need to be relocated for the Project, depending on the final design. Underground utilities such as water pipes, optic cables and electric cables are also found attached to bridges. Figure 10.3-1 shows utilities attached to bridges. Hence, some of underground utilities need to be relocated and/or replaced for the Project, also depending on the final design. Those buried near bridges (that are to be replaced or widened) definitely need to be removed and re-installed.

These utilities above ground and/or underground are detailed in Section 4.6.



Figure 10.3-1 Utilities at Bridges

The JICA Team has discussed these matters with the counterparts and it was confirmed as current practice in Cambodia that these relocations, removals and/or protections be carried out by relevant organizations utilizing government funds, except those that were laid illegally.

It is difficult to calculate the exact magnitude of this task in the Project at this stage. Referring to past results for utility relocation, removal and/or protection in road widening projects, the cost per kilometer for the Project has been allowed at USD 80,000 /km (similar to in NR 1 Phases 1 to 3) for the whole stretch of NR 5 being widened (Middle Section and North Extension) and 10 percent of the length of the Pursat Bypass of the new road.

| Component | Length | Rate (USD 1,000 /km) | Costs (USD 1,000) | Remarks | | | |
|--------------------|----------|-------------------------|----------------------|--------------------------|--|--|--|
| 1) Middle Section | 104.0 km | **** | **** | | | | |
| 2) North Extension | 35.8 km | **** | **** | | | | |
| 3) Pursat Bypass | 8.8 km | **** | **** | 10% of for existing road | | | |
| Total | | | **** | | | | |

 Table 10.3-2
 Utilities Relocation, Removal and/or Protection Cost

*****Closed due to confidentiality

10.3.3 Cost of Detection and Removal of Mines and UXOs

In accordance with the Minutes of Discussion on the Preparatory Survey for NR 5 Rehabilitation Project between JICA and MPWT, clearance of landmines and UXOs has been carried out for Pursat Bypass, whereas clearance has not been carried out for the section to be widened of NR 5 (Middle Section and North Extension). The clearance for the whole project site is to be carried out before construction works commence. The cost for this part is calculated on the same basis as Pursat Bypass carried out.

| Description | Area (1,000 m ²) | Rate (USD $/m^2$) | Amount (USD 1,000) |
|-----------------------------|------------------------------|--------------------|--------------------|
| 1) Clearance in road area | 2,600 | **** | **** |
| 2) Clearance in bridge area | 82 | **** | **** |
| Total | | | **** |

 Table 10.3-3
 Detection and Removal Cost of Mines and UXOs

*****Closed due to confidentiality

10.3.4 Taxes

In the cost estimation for taxes, value added tax for the project is calculated and summarized as shown in Table 10.5-1.

10.3.5 Administration Cost

The project management unit of the Employer for the Project is being established, the details of which are described in Section 11.2.1.

Following past cases of Japanese ODA Loan projects, the cost of administration is assumed at 1 percent of the total of construction costs, consultancy services and other costs.

10.4 Escalation and Contingency

Escalation factors are applied to the project cost, as it is calculated using prices of the year 2014.

- (a) Different escalation factors are applied on the foreign currency portion and local currency portion although both are estimated in US dollars. 2.0 percent is used for the foreign currency portion and 4.4 percent is used for the local currency portion, in consideration of the average price escalation over the past few years.
- (b) The project cost is calculated in US dollars and the escalation factor for foreign currency is applied on the items directly related to international market prices like imported materials, fuel, major construction equipment and systems etc. and the escalation factor for local currency is applied on those related to domestic market prices like workers, and earthwork and quarry materials
- (c) Following contingencies are estimated in the project cost additionally.

| Contingency for construction cost | 10% of base cost + escalation |
|--|-------------------------------|
| Contingency for consulting service | 5% of base cost + escalation |
| Contingency for | |
| Utilities Relocation, Mines and UXOs Removal | 10% of base cost + escalation |

10.5 Summary of Project Cost

The summary of project costs calculated in Sections 10.1 to 10.4 is shown below.

Table 10.5-1 Summary of Project Cost

| Base Year for Cost Estimation: | August, 20 | 14 |
|--|------------|-------------|
| Exchange Rates | USD 1.00 | = Yen 101.7 |
| Price Escalation: | FC: | 2.0% |
| | LC: | 4.4% |
| Physical Contingency for Construction | 10% | |
| Physical Contingency for Consultant | 5% | |
| Physical Contingency for Utilities Relocation, | 10% | |
| Utilities Relocation, Mines and UXOs Removal | 10% | |
| FC & Total : USD 1,000 | | |
| LC : USD 1,000 | | |

| | Item | FC | LC | Total |
|-------------|----------------------------------|------|------|-------|
| A. E | LIGIBLE PORTION | | | |
| I) | Procurement / Construction | **** | **** | **** |
| | Package 1 (Middle Section-South) | **** | **** | **** |
| | Package 2 (Middle Section-North) | **** | **** | **** |
| | Package 3 (Pursat Bypass) | **** | **** | **** |
| | Package 4 (North Extension) | **** | **** | **** |
| | Package 5 (Weigh Stations) | **** | **** | **** |
| | Dispute Board (PKG1) | **** | **** | **** |
| | Dispute Board (PKG2) | **** | **** | **** |
| | Dispute Board (PKG3) | **** | **** | **** |
| | Dispute Board (PKG4) | **** | **** | **** |
| | Base cost for JICA financing | **** | **** | **** |
| | Price escalation | **** | **** | **** |
| | Physical contingency | **** | **** | **** |
| II) | Consulting services | **** | **** | **** |
| | Base cost | **** | **** | **** |
| | Price escalation | **** | **** | **** |
| | Physical contingency | **** | **** | **** |
| Tota | l (I+II) | **** | **** | **** |
| <u>B. N</u> | ON ELIGIBLE PORTION | | | |
| а | Procurement / Construction | **** | **** | **** |
| | Utilities Relocation | **** | **** | **** |
| | Mines and UXOs Removal | **** | **** | **** |
| | Base cost | **** | **** | **** |
| | Price escalation | **** | **** | **** |
| | Physical contingency | **** | **** | **** |
| b | Land Acquisition | **** | **** | **** |
| | Base cost | **** | **** | **** |
| с | Administration cost | **** | **** | **** |
| d | VAT and Import Tax | **** | **** | **** |
| Tota | l (a+b+c+d+e) | **** | **** | **** |

Preparatory Survey for National Road No.5 Improvement Project (Middle Section: Thlea Ma'am – Battambang, and Sri Sophorn – Poipet)

| TO | <u>ΓΑL (A+B)</u> | **** | **** | **** |
|---------------------|--|------|------|------|
| C. I | nterest during Construction | **** | **** | **** |
| | Interest during Construction(Construction) | **** | **** | **** |
| | Interest during Construction (Consultant) | **** | **** | **** |
| GRAND TOTAL (A+B+C) | | **** | **** | **** |
| E. J | ICA finance portion (A+C) | **** | **** | **** |

10.6 Annual Progress

Annual progress is calculated by expanding the project cost of each year in accordance with the implementation schedule discussed in Section 11.3. Then, escalation factors for foreign currency (2.0 percent/year) and local currency (4.4 percent/year) are applied to the amount of each year.

Annual progress, after applying escalation factor, is shown below.

| | | | | | | | | | (Unit: US | SD 1,000) |
|----------|--------------|------|------|-------|------|------|------|------|-----------|-----------|
| Items | Year | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 | Total |
| . 1 | JICA portion | **** | **** | **** | **** | **** | **** | **** | **** | ***** |
| Annual | RGC portion | **** | **** | **** | **** | **** | **** | **** | **** | **** |
| Progress | Total | **** | **** | ***** | **** | **** | **** | **** | **** | **** |

Table 10.6-1 Annual Progress

*****Closed due to confidentiality

It should be noted that annual progress for the RGC will be significantly large in the first few years due to land acquisition and resettlement. Ensuring sufficient budget in each year, particularly in the first few years is indispensable for successful implementation of the Project. As land acquisition and resettlement are pre-requisites for commencing construction, special attention to the progress of these tasks will be paid in the years 2015 and 2016.

10.7 Repayment Schedule

JICA loan conditions applying to Cambodia are as follows.

| Interest rate | : | 0.01% |
|--------------------|---|----------|
| ➢ Repayment period | : | 40 years |
| ➤ Grace period | : | 10 years |

As shown in Table 10.6-1 Annual Progress, the loan will commence in the year 2015 and the total cumulative amount including interest at the end of the grace period is calculated below.

| | | | | | | | | (Un | it: USD | 1 million) |
|-------------------|------|-------|------|------|------|------|------|------|---------|------------|
| Year | 2015 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 | 2022 | 2023 | 2024 |
| Loan amount | **** | **** | **** | **** | **** | **** | **** | **** | **** | **** |
| Cumulative amount | **** | ***** | **** | **** | **** | **** | **** | **** | **** | **** |

Table 10.7-1Loan Amount in Grace Period

*****Closed due to confidentiality

After the grace period, repayment shall begin which the total of the principal and the interest are equally divided over the years of repayment period. The amount per year is calculated using the following formula.

Repayment per year =
$$\frac{P \times I}{1 - (1 + I)^{-t}}$$

Where 'P' is the total amount at the end of the grace period, 'I' is the interest rate and 't' is the repayment period.

Using the above formula, repayment is calculated at approximately USD 13.2 million per year over thirty years from 2025 till 2054.

10.8 Contract Package and Contract Conditions

There are four components (Middle Section, North Extension, Pursat Bypass, and Weigh Stations) in the Project shown in Figure 10.1-1. Although, Middle Section component is over 100 km long and seems to be too long for one package contract. On the other hand Pursat Bypass is less than 10 km long but it contains several bridges. Pursat Bypass seems to be enough project size for one package.

Therefore, in view of the volume and length of the works, the JICA Team recommends that the packaging should be divided into following five packages.

| Packages | Package Names | Components | Length (km) | | | | |
|-----------|----------------------|--------------------|-------------|--|--|--|--|
| Package 1 | Middle Section-South | Existing Road No.5 | 48.8 | | | | |
| Package 2 | Middle Section-North | Existing Road No.5 | 55.2 | | | | |
| Package 3 | Pursat Bypass | New bypass | 8.8 | | | | |
| Package 4 | North Extension | Existing Road No.5 | 35.8 | | | | |
| Package 5 | Weigh Stations | Eight weighbridges | | | | | |
| | | Total length | 148.6 | | | | |

 Table 10.8-1
 Recommended Packaging

The JICA Team also recommends that the conditions of contract for the above contract packages use the General Conditions of Contract prepared by the International Federation of Consulting Engineers (Fédération Internationale des Ingénieurs-Conseils, or FIDIC) as a base. Other contractual components are recommended as follows.

- Construction period: 36 months
- Tender process: prequalification then tender
- Contract type: Bills of Quantity contract
- Payment terms: advance payment of 10-20 percent then monthly payments with 10 percent retention
- Performance security: 10 percent of contract price
- Defect notification period: one year

As Table 10.1-10 in Section 10.1 shows the Comparison of Basic Rates with Similar Projects, the contractual components of those similar projects are provided below in Tables 10.8-2 and 10.8-3 for comparison with the above.

| Funding country | Japan | | | | | | |
|--|---|---|--|-----------------|--|--|--|
| | (1) Improvement of National Road | (2) Construction of Neak | (3) Flood Disaster Rehabilitation and Mitigation | | | | |
| Project name | No. 1 Phase 3 | Loeung Bridge | NR 5 in Kampong Chhnang | Bridge in NR 11 | | | |
| Grant/Loan | Grant Grant Grant | | - | | | | |
| Constantion monited | November 2009 – June 2011 | December 2010 – March 2015 | January 2013 – Ja | anuary 2015 | | | |
| Construction period | (20 months) | (51 months) | (25 mont | ths) | | | |
| Contract price | JPY 998 million | JPY 7,874 million | JPY 1,088 million | | | | |
| Tender process | PQ/tender | PQ/tender | PQ/tender | | | | |
| Conditions of contract (CC) | CC for grant | CC for grant | CC for grant | | | | |
| Scope of works | NR 1 rehabilitation: 9.1 km (car lane + bike lane) x 2 | Cable stayed bridge: 640 m Approach bridge: 900 m + 675 m Embankment: 840 m + 2,405 m | NR 5 rehabilitation: 2.2 km Street rehabilitation: 2.4 km Drainage way: 2.6 km | 8 bridges | | | |
| Contract type | Lump sum contract | Lump sum contract | Lump sum c | ontract | | | |
| Payment term4 terms (40+30+20+10) %Advance/interim twice/completio | | 5 terms (3+29+33+28+7) % In portion to expected progress | 4 terms (40+30+20+10) % Advance/progress 50%/ and 85%/completion | | | | |
| Performance security | 10% of contract price | 10% of contract price | 10% of contract price | | | | |
| Defect notification period | 1 year | 1 year | 1 year | r | | | |
| Supervision | Consultant | Consultant | Consult | ant | | | |

Table 10.8-2 Comparison of Contractual Components in Similar Projects (1)

Source: Relevant documents of each project

| Table 10.8-5 Comparison of Contractual Components in Similar 110jects (2) | | | | | | |
|---|--|---|---|---|--|--|
| Funding country | Japan | Korea | ADB | China | | |
| Project name | | (5) Improvement of NR 31, 33, PR 117 and Kampot Bypass | (6) Improvement of National Road No. 5 Package No. 5F | Enlargement Project of NR 5 from Chruoy Changvar Bridge to Prek Kdam bridge | | |
| Grant/Loan | Loan | Loan | Loan | Loan | | |
| Construction period | September 2009 – August 2011 (700 days, about 23 months) | August 2011 – January 2014 (913 days, about 30 months) | October 2005 – September 2008 (36 months) | March 2012 – June 2015 (40 months) | | |
| Contract price | Contract priceUSD 24.8 million & JPY 847 million (Total JPY 3,131 million)KRW 27,216 million (USD 24.9 million) | | USD 11.6 million | USD 56.8 million | | |
| Tender process | PQ/tender | PQ/tender | Information not available | Information not available | | |
| Conditions of contract (CC) | FIDIC 1987 edition FIDIC 1999 edition D & B | FIDIC 1999 edition | FIDIC Fourth Edition 1987 | No information available | | |
| Scope of works | Earthworks: 541,000 m ³ Pavement works: 88,666 m ² Buildings | NR 31: rehabilitation 55 km NR 33: rehabilitation 36 km PR 117: rehabilitation 11 km Kampot Bypass: new 4 km | NR 5: improvement 47 km Bridge: 102 m (4 span) PC girder | NR 5:widening 30 km Bridges: 4 Interchange: 1 | | |
| Contract type | BQ contract | BQ contract | BQ contract | Lump sum | | |
| Payment term | Advance payment 10% Monthly payment with 10% retention | Advance payment 15% Monthly payment with 10% retention | Advance payment 15% Monthly payment with 10% retention | Information not available | | |
| Performance security | 10% of contract price | 10% of contract price | 10% of contract price | Information not available | | |
| Defect notification period | 365 days | 548 days | 364 days | Information not available | | |
| Supervision | Engineer | Engineer | Engineer | Supervisor | | |

| Table 10.8-3 Comparison of Contractual Components in Similar Projects | Table 10.8-3 | Comparison of Contractual | Components in Similar Project | s (2) |
|---|---------------------|----------------------------------|-------------------------------|-------|
|---|---------------------|----------------------------------|-------------------------------|-------|

Source: Relevant documents of each project

10.9 Value Engineering

Value analysis and engineering (VA/VE) is a systematic method to improve the "value" of objects by examining their function. In the field of value analysis and engineering, value is defined as the ratio of function to cost i.e., Value = Function/Cost.

Value can, therefore, be increased by either improving the function, reducing the cost, or both. In construction, quality is usually specified in technical specifications and therefore VA/VE is often meant to be achieved by lowering costs. However, providing better function with a higher price may also be within the definition of VA/VE, as long as the value becomes increases.

The process of the feasibility study is to select the best option out of several and in this sense, the feasibility study itself is similar to carrying out the VA/VE process with the result of selection of the best option.

In this study, items of VA/VE are summarized below.

| | Item | Criteria | Chapter Reference |
|--------------------|---|---|----------------------|
| Road and | To select best option of typical cross section of road. | Road geometry and future traffic demand. | 8 |
| pavement design | To utilize existing materials of subbase course and base course in new design. | Thickness and CBR of existing subbase and base course. | 8 |
| | Widening of existing bridges instead of reconstruction. | Cost, existing condition, constructability, and traffic management. | 9 |
| | Construction of additional bridge next to existing bridges instead of reconstruction. | Cost, existing condition, constructability, and traffic management. | 9 |
| Bridge design | 1 1 | | 9 |
| | Span configuration (number of spans and span length) for bridge in Pursat Bypass. | Cost, river width and water depth, soil conditions, girder launching equipment requirements, and site access. | |

Table 10.9-1Items of Value Engineering

CHAPTER 11 IMPLEMENTATION PLAN

11.1 Execution Plan

11.1.1 Road Works

In this Project, there are two types of road works widening of the existing road (Middle Section and North Extension) and construction of bypass (Pursat Bypass) around the city of Pursat.

The improvement projects of the Middle Section and North Extension are to widen the existing NR 5 on one or both sides from one lane in each of both directions to accommodate two lanes in each of both directions mainly by filling. Since NR 5 is part of the major road network in Cambodia, hindrance to traffic during construction needs to be kept minimum. Therefore, construction works should be carried out on one half of the road at a time in order to maintain the traffic capacity of the existing road during the construction period.

The project of Pursat Bypass comprises construction of a new road mainly through paddy fields or vacant land, and construction in this section is relatively straightforward.

Need for special technology is not anticipated in either case.

Generally, the construction of roads is executed in the process as shown below:

- (a) Work area is cleared and unsuitable material, if any, is removed.
- (b) Embankment is constructed by filling soil in horizontal layers with specified thickness and compaction, and tests are conducted to confirm required dimensions and quality.
- (c) Slope is formed as specified and protected with sodding except those near rivers and swampy areas where rip-rap are placed as slope protection.
- (d) Subgrade is prepared before pavement structure is constructed.
- (e) Subbase course and base course are spread and compacted as specified, and tests are conducted to confirm required dimensions and quality.
- (f) Asphalt concrete is laid on top of base course as specified, and tests are conducted to confirm required dimension and quality.

Major materials needed for the road works of this Project are common embankment materials and quarry products for pavement works.

The JICA Team's field survey indicated that embankment materials are obtainable from lands adjacent to, or near NR 5, although such materials are subject to laboratory tests before being used for embankments. It will be spelt out in the specifications during the engineering study that borrow areas for embankment materials should be leveled and drained off during excavation and after removing materials for embankment in order to maintain dry conditions in these areas. It shall also be included in the specifications that a dewatering system should be implemented during

excavation to avoid muddy water from spilling out from the site.

There are several quarries (one at Phnom Tippadei, three at Phnom Sampeaul) near the city of Battambang, three quarries (at Phnom Thom) near the city of Sri Sophon, two quarries near the city of Kampong Chhnang. They are producing aggregates for concrete, asphalt concrete, subbase course, base course and crusher-runs for pavement works. Unfortunately there is no quarry near the city of Pursat, with exception of a dormant quarry, no operational quarry has been recognized. However, Pursat being located near mountain area, there is high potentiality that a new quarry will be operated at the time of construction of the project.

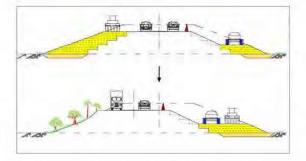
The JICA Study Team observed that these quarries are being managed well and are adequately dealing with dewatering and surrounding road conditions. It appears that the capacity of these quarries is more than sufficient to supply materials to the Project. Hence, the quarries will operate in a highly disciplined manner after the Project. The locations of these quarries are shown in Figure 11.1-1.



Figure 11.1-1 Location of Quarry

The JICA Study Team's survey indicated that there is no commercial asphalt plant in this region. However it was confirmed that several contractors in Cambodia possess movable asphalt plants. The capacities of these movable plants are 60 - 80 tons/hour. It is normal practice in Cambodia that these movable plants are mobilized and used for projects like the NR 5 Improvement Project. The process of road works for Middle Section and North Extension allowing the flow of traffic is described below.

Filling works are carried out on one side first. After completion of filling to the existing road level and additional space for traffic to travel is available, traffic is shifted to the newly filled space. Then filling on the other side is commenced. This practice is shown in Figure 11.1-2 below. If the embankment needs to be filled higher than the existing road surface, the works shall be executed as shown in Figure 11.1-3.



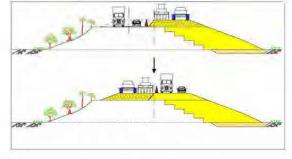
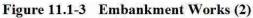


Figure 11.1-2 Embankment Works (1)



After embankment and subgrade preparation is completed, subbase course and base course works are carried out in the same manner as the embankment, with one side being carried out while the other side is maintained for traffic. These are shown in Figures 11.1-4 and 11.1-5, respectively.

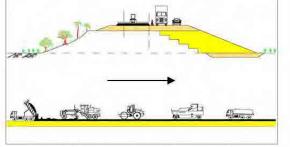


Figure 11.1-4 Subbase Course Works

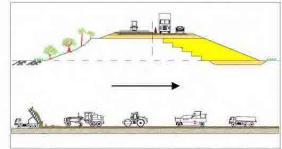


Figure 11.1-5 Base Course Works

Following the base course works, asphalt concrete works are carried out. The asphalt concrete works are also done on one side first, then done on the other side. This is shown in Figure 11.1-6 below.

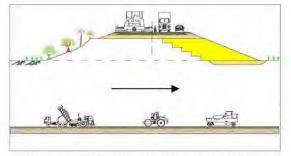


Figure 11.1-6 Asphalt Concrete Works

For Pursat Bypass, the works can be executed without consideration for traffic except at intersections with existing roads, where certain measures are necessary to maintain traffics on the existing roads. It should also be noted that swampy areas are prevalent in certain areas of the route of Pursat Bypass, and therefore the extent of removal of unsuitable materials and replacement with selected materials shall be further investigated and specified during the engineering study.

11.1.2 Bridge Works

There are three types of bridge works: rehabilitation of existing bridges (on the existing NR 5).

- Widening (Existing bridge widened)
- Adding (Additional bridge)
- Replacement (Replace with new bride)

All bridges on the bypass are to be newly constructed.

Three kinds of bridge works are planned as part of the rehabilitation of bridges on the existing NR 5; construction of additional bridges, widening of existing bridges, and construction of new bridges after demolishing the existing bridges. These are described in Chapter 9 in detail and the basic aspects for construction plans are summarized in Table 11.1-1. It should be noted that temporary bridges for detours are required during replacement of bridges.

| | | Existing Bridges | | | | | | Proposed Bridges | | | | | |
|----|-------------|------------------|----------------|---------------|------------|-----------------------|--------------|-------------------------------|----------------|---------------|-------------|-------------|--------------|
| | ridge ID | KP (Km) | Bridge Type | Length (m) | Span No | Span (m) | Width (m) | Way of Rehabili- tation | Bridge Type | Length (m) | Span No. | Span (m) | Width (m) |
| 1 | Br40 | 177 + 200 | Steel Girder | 23.0 | 1 | 23.0 | 9.7 | Adding (L) | PSC | 25 | 1 | 25.0 | 11.4 |
| 2 | Br41 | 178+500 | PC Hollow | 15.0 | 1 | 15.0 | 10.0 | Widening | PSC | 15 | 1 | 15.0 | 11.5 |
| 3 | Br42 | 181 + 800 | RC Girder | 20.0 | 1 | 20.0 | 9.0 | Replacement | PSC | 20.0 | 1 | 20.0 | 22.8 |
| 4 | Br43 | 182+800 | PC Hollow | 36.0 | 2 | 18.0 | 10.0 | Widening | PSC | 36.0 | 2 | 18.0 | 11.5 |
| 15 | Br54 | 190+150 | PC Hollow | 18.0 | 1 | 18.0 | 10.0 | Widening | PSC | 18.0 | 1 | 18.0 | 11.5 |
| 16 | Br55 | 191+100 | PC Hollow | 30.0 | 2 | 15.0 | 10.0 | Widening | PSC | 30 | 2 | 15.0 | 11.5 |
| 17 | Br56 | 201+800 | PC Hollow | 12.0 | 1 | 12.0 | 10.0 | Widening | PSC | 12 | 1 | 12.0 | 11.5 |
| 18 | Br57 | 208+500 | PC Hollow | 28.0 | 2 | 14.0 | 10.0 | Widening | PSC | 28 | 2 | 14.0 | 11.5 |
| 19 | Br58 | 215+750 | Steel Girder | 45.0 | 3 | 15.0 | 10.0 | Adding (R) | PSC | 45 | 3 | 15.0 | 11.4 |
| 20 | Br59 | 219+600 | Steel Girder | 90.0 | 3 | 30.5 ×3 | 9.6 | Adding (R) | PCDG | 90.0 | 3 | 30.0 | 11.4 |
| 21 | Br60 | 220+800 | PC Hollow | 24.0 | 2 | 12.0 | 10.0 | Widening | PSC | 24.0 | 2 | 12.0 | 11.5 |
| 22 | Br61 | 222+650 | PC Hollow | 12.0 | 1 | 12.0 | 10.0 | Widening | PSC | 12.0 | 1 | 12.0 | 11.5 |
| 23 | Br62 | 223+650 | PC Hollow | 12.0 | 1 | 12.0 | 10.0 | Widening | PSC | 12.0 | 1 | 12.0 | 11.5 |
| 24 | Br63 | 242+850 | PC Hollow | 18.0 | 1 | 25.52 1.1- 25.5 | 10.0 | Widening | PSC | 18.0 | 1 | 18.0 | 11.5 |
| 25 | Br64 | 243+600 | PC Hollow | 30.0 | 2 | 15.0 | 10.0 | Widening | PSC | 30.0 | 2 | 15.0 | 11.5 |
| 26 | Br65 | 244+400 | PC Hollow | 24.0 | 2 | 12.0 | 10.0 | Widening | PSC | 24.0 | 2 | 12.0 | 11.5 |
| 27 | Br66 | 245+900 | RC Girder | 9.0 | 1 | 9.0 | 9.0 | Replacement | PSC | 10.0 | 1 | 10.0 | 22.8 |
| 28 | Br67 | 255+250 | PC Hollow | 15.0 | 1 | 15.0 | 10.0 | Replacement | PSC | 15.0 | 1 | 15.0 | 22.8 |

Table 11.1-1Bridge Rehabilitation in Middle Section of NR 5

Preparatory Survey for National Road No.5 Improvement Project (Middle Section: Thlea Ma'am – Battambang, and Sri Sophorn – Poipet)

| | | | Exis | sting Bridg | ges | | Proposed Bridges | | | | | | |
|----|-------------|---------|----------------|---------------|------------|--------------------------|------------------|-------------------------------|----------------|---------------|-------------|-------------|--------------|
| | ridge ID | KP (Km) | Bridge Type | Length (m) | Span No | Span (m) | Width (m) | Way of Rehabili- tation | Bridge Type | Length (m) | Span No. | Span (m) | Width (m) |
| 29 | Br68 | 255+600 | PC Hollow | 24.0 | 2 | 12.0 | 10.0 | Replacement | PSC | 30.0 | 2 | 15.0 | 22.8 |
| 30 | Br69 | 256+550 | PC Hollow | 15.0 | 1 | 15.0 | 10.0 | Replacement | PSC | 15.0 | 1 | 15.0 | 22.8 |
| 31 | Br70 | 257+900 | PC Hollow | 12.0 | 1 | 12.0 | 10.0 | Replacement | PSC | 15.0 | 1 | 15.0 | 22.8 |
| 32 | Br71 | 265+900 | PC Hollow | 12.0 | 1 | 12.0 | 10.0 | Replacement | PSC | 15.0 | 1 | 15.0 | 22.8 |
| 33 | Br72 | 270+900 | PC Hollow | 12.0 | 1 | 12.0 | 10.0 | Replacement | PSC | 15.0 | 1 | 15.0 | 22.8 |
| 34 | Br73 | 271+700 | PC Hollow | 18.0 | 1 | 18.0 | 10.0 | Replacement | PSC | 20.0 | 1 | 20.0 | 22.8 |
| 35 | Br74 | 272+650 | PC Hollow | 12.0 | 1 | 12.0 | 10.0 | Replacement | PSC | 15.0 | 1 | 15.0 | 22.8 |
| 36 | Br75 | 273+300 | PC Hollow | 24.0 | 2 | 15.15- 12.0- 15.15 | 10.0 | Replacement | PSC | 30.0 | 2 | 15.0 | 22.8 |
| 37 | Br76 | 275+650 | PC Hollow | 12.0 | 1 | 12.0 | 10.0 | Replacement | PSC | 15.0 | 1 | 15.0 | 22.8 |
| 38 | Br77 | 276+550 | PC Hollow | 12.0 | 1 | 12.0 | 10.0 | Replacement | PSC | 15.0 | 1 | 15.0 | 22.8 |

In summary, 28 bridges in Middle Section-South and Middle Section-North are to be rehabilitated as listed below.

 Table 11.1-2
 Summary of Bridges in Middle Section of NR 5

| | Way of rehabilitation | No. of bridges |
|---|---------------------------------------|--|
| a | Adding (Additional bridge) | 3 in total (Br. 40, 58, 59) |
| b | Widening (Existing bridge widened) | 12 in total (Br. 41, 43, 54, 55, 56, 57, 60, 61, 62, 63, 64, 65) |
| c | Replacement (Replace with new bridge) | 13 in total (Br. 42, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77) |

In North Extension there is no bridge works.

In Section Pursat Bypass, there is five bridges to be newly constructed as described in Chapter 9. The basic aspects are summarized in Table 11.1-3 below.

| Bric | lge ID | Lane (no) | Bridge Type | Length (m) | Spans (no) | Width (m) | Abutment (no) | Pier (no) | Surface Area (m ²) |
|------|--------|--------------|----------------|---------------|---------------|--------------|---------------|--------------|--------------------------------------|
| | P1 | 4 | PSC | 60 | 3 | 22.8 | 2 | 2 | 1,368 |
| | P2 | 4 | PSC | 100 | 4 | 22.8 | 2 | 3 | 2,280 |
| | P3-1 | 4 | PSC | 225 | 9 | 22.8 | 1 | 9 | 5,130 |
| | P3-2 | 4 | PCDG | 42 | 1 | 22.8 | | 1 | 958 |
| P3 | P3-3 | 4 | PCDG | 111 | 3 | 22.8 | | 2 | 2,531 |
| | P3-4 | 4 | PCDG | 42 | 1 | 22.8 | | 1 | 958 |
| | P3-5 | 4 | PSC | 275 | 11 | 22.8 | 1 | 11 | 6,270 |
| | P4 | 4 | PSC | 125 | 5 | 22.8 | 2 | 4 | 2,850 |
| | P5 | 4 | PSC | 80 | 4 | 22.8 | 2 | 3 | 1,824 |

 Table 11.1-3
 Bridge Construction in Pursat Bypass

In general, bridge construction is executed through the process as described below;

- Piling works

If required, a preliminary test pile is to be constructed to confirm pile capacity prior to construction of working piles. Working piles will then be constructed the following procedures.

- a) Setting out pile positions
- b) Driving piles as per drawings with data (number of blows per each length etc.)
- c) Taking data (hammer height, settlement and rebound per blow etc.) at final depth to calculate pile capacity
- d) Re-driving, if required

Some piles selected from working piles are to be tested to confirm capacity and quality with either a static load test or a dynamic test.

- Substructure

Because all substructures are near to or in rivers or canals, temporary shoring is to be installed before excavation. Shoring is also necessary to minimize smearing of water in the river. Temporary shoring in general shall be watertight and well braced to sustain earth pressure during excavation. A typical shoring sketch (plan and section) is shown below.

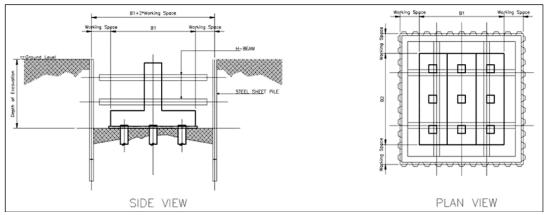


Figure 11.1-7 Schematic View for Structural Excavation

When excavation is completed, pile heads are to be treaded as specified without damage to the piles and lean concrete can be placed. Following the lean concrete, reinforcing bars are to be arranged and forms installed. Prior to placing footing concrete, an inspection is to be conducted and then concreting as per requirements in the specification is to be completed.

Walls, columns and column heads are to be constructed with treatment of construction joints, and firm scaffolding and supports are to be provided. Concrete is to be cured through an appropriate method in a specified period.

After being properly backfilled, temporary shoring is to be carefully removed without damaging

concrete structures.

- Superstructure

PCS (pre-tensioned precast concrete plank hollow slab) and PCDG (pre-tensioned precast concrete deck girder) with tensioning are to be produced in a casting yard, delivered to site and then erected. The PCS is then to be cast in-situ. Quality control of girders for casting, tensioning and grouting is to be undertaken properly. Delivery and erection of girders is to be planned and carried out as per the requirements detailed in the specification.

In the case of the existing bridges (12 in total) to be widened in Middle Section-South and Middle Section-North, new PCS beams are to be added to the existing beams with due diligence, as described in Chapter 9.

11.1.3 Widening of Existing Bridges

Twelve bridges are proposed to be widened as listed in Table 11.1-1. Widening of existing bridges has been is practiced in developed countries such as Japan in recent years. The general process of widening is explained in Chapter 9. Details of bridge widening need to be designed and finalized by a bridge design engineer and general contractor, respectively, with substantial experience in bridge widening works.

11.1.4 Other Structural Works

Pipe/box culvert works mainly consist of two kinds of works, earthworks and concrete works. Earthworks for culverts are to be executed in a manner similar to that described in Section 11.1.1 Road Works. Likewise, concrete works for culverts are to be executed in a manner similar to that described in Section 11.1.2 Bridge Works. In the case of culverts in Middle Section and North Extension, pipe/box culverts need to be extended as the road is widened, and these extension works are to be undertaken in conjunction with embankment works stated in Section 11.1.1.

11.1.5 Traffic Management During Construction

When works for Middle Section and North Extension are carried out while allowing traffic to continue to flow, with minimum disruption of traffic. Traffic management is one of the most important tasks during construction, particularly in town areas. The traffic capacity of the road should remain similar to the existing conditions during construction. This can be achieved by providing the same carriageway width. Sometimes providing detours or alternative routes and other measures may be adopted in order to minimize interference to road users. The same principle is to be applied when constructing Pursat Bypass at intersections with the existing roads.

Figure 11.1-8 below shows a general flow chart for preparing a traffic management plan.

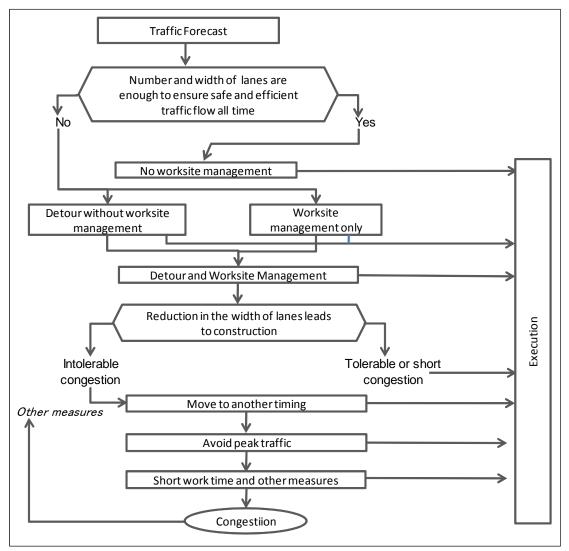


Figure 11.1-8 Flow of Traffic Management Plan

11.1.6 Utilities

Utilities such as electric cables, street light posts, telephone lines, optic fiber cables, water supply pipes and so on are to be checked thoroughly along the route prior to commencing construction. The following activities are to be conducted before, during and after construction.

- (a) Contact relevant authorities to access as-built documents and drawings for utilities
- (b) Survey actual locations of utilities above ground and underground on site, using detectors for the latter
- (c) Excavate trial pits at certain intervals to ascertain exact locations of utilities
- (d) Temporarily relocate, remove and/or protect utilities as required
- (e) Reinstate utilities after construction works are completed

As per experiences in similar projects in Cambodia, the relocation, removal, protection and reinstatement of utilities is to be carried out by the relevant utility organizations or their designated

companies. This shall be under separate local contracts between the RGC and the utility organizations or designed companies in order to avoid negative impacts on the civil works of the Project.

11.2 Organization for Implementation

11.2.1 Employer

As requested by JICA, the Minister of Public Works and Transport prepared a proposal to the Prime Minister in September 2011 that the Joint Coordinating Committee (JCC) be established to lead and manage the rehabilitation project of NR 5 as well as NR 1 (Asian Highway AH-1) under Japanese Loan and the proposal is being processed. It was specified that the JCC was to be organized with participation from the MPWT, the Ministry of Economy and Finance (MEF), the Council of Ministers and relevant provincial governments.

The Minutes of Discussions between the Royal Government of Cambodia (RGC) and Japan International Cooperation Agency (JICA) on the Project for Improvement of National Road No. 5 (Battambang – Sri Sophorn: North Section) under Japanese ODA Loan were signed in September 2012. Then, the Prakas No. 525 on the Establishment of Project Management Unit (PMU) for the Implementation of the Project for Improvement of National Road No. 5 (Battambang – Sri Sophorn) under Japanese ODA Loan was issued in November 2012 and the PMU was established for the North Section.

A similar PMU to that of the North Section will be established for the project of Middle Section in due course, which is illustrated in the Figure 11.2-1 below based on the above-cited Prakas (No. 525).

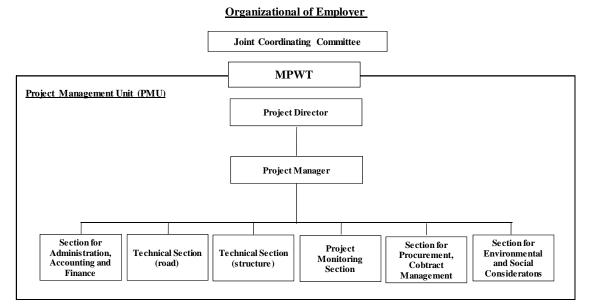


Figure 11.2-1 Organization of Employer

The JCC and PMU are to be established as soon as a similar Prakas for the Middle Section and Sri Sophorn – Poipet Sectionis issued in order to facilitate constructive and effective discussions and negotiations with JICA regarding the loan agreement. During the engineering study and selection of contractors, the PMU will be a small organization that will be developed to full scale during the construction stage.

MPWT is currently accumulating experiences in procurement and project management in JICA loans through implementation of the Project of North Section. Hence, it is assumed that MPWT will have a minimum level of knowledge and capability for project management by the time when the Project of the Middle Section and Sri Sophorn – Poipet Section will start. Nevertheless, the JICA Team recommends enhancing their capacity through trainings sessions referred to in Section 10.2 be implemented.

11.2.2 Consultant

The consultant will be selected after the loan agreement is finalized through "the Guideline for the Employment of Consultants under Japanese ODA Loans" and will be contracted by the Employer in accordance with the contract concurred by JICA. Composition of consultant team during stages of the engineering service, the tender assistance and construction supervision are indicated in Section 10.2.4.

11.2.3 Contractor

Contractor(s) are to be selected through "the Guideline for Procurement under Japanese ODA Loans" and be contracted by the Employer in accordance with the contract recommended by JICA. A detailed design including bill of quantities which are prepared by the consultant, and the conditions of contract between the Employer and the Contractor shall be based on "the Bank Harmonized Edition" of "the General Conditions of Contract" prepared by the International Federation of Consulting Engineers (Fédération Internationale des Ingénieurs-Conseils, or FIDIC). Under FIDIC conditions, the relationship between the Employer, the Consultant (the Engineer) and the Contractor are shown in Figure 11.2-2 below.

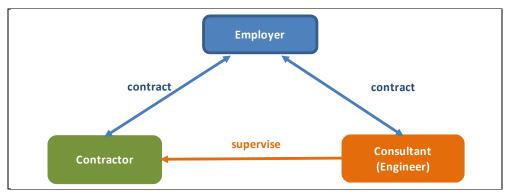


Figure 11.2-2 Relationship of the Employer, Consultant and Contractor

11.3 Implementation Schedule

The JICA Team held discussions with JICA and MPWT counterparts as well as various stakeholders of the Project and local consultants/contractors regarding the implementation of the Project. The following shows the most probable schedule for each task in consideration of the results of these discussions.

(a) Feasibility Study (FS)

The JICA Team commenced the Survey in April 2013 and after incorporating North Extension, the Draft Final Report and Final Report are to be submitted in August and October 2014, respectively.

(b) Royal Government of Cambodia (RGC) Action for Approval on FS

According to interviews with staff in relevant departments in RGC regarding the ODA loan, RGC needs to accept the FS and to prepare a formal request to the Japanese Government. This task is estimated to take a few months.

(c) Negotiation of Loan Agreement

The standard processing time period for an ODA loan project is set by the Japanese Government and the process will commence immediately following receipt of the formal request from RGC. The major activities of the process are as follows.

- (i) Fact finding mission from by JICA
- (ii) JICA appraisal mission
- (iii) Pledge of loan
- (iv) Signing of loan agreement
- (d) Selection of Consultant

There is a standard schedule for the consultant selection process, which consists of three major stages as follows:

- (i) Short-listing or Expression of Interest and Request for Proposal Preparation Stage (approximately 2.6 months)
- (ii) Proposal Stage (approximately 5.3 to 5.8 months)
- (iii) Contract Negotiation and Signing Stage (approximately 2.6 months)

Total 10 – 11 months

In earlier projects in Cambodia under JICA, the duration of the consultant selection varied from 10 months to 24 months, taking 10 months in the most recent project (West Tonle Sap Irrigation and Drainage Rehabilitation and Improvement Project). Therefore, the JICA Team assumes that selection of the consultant will take 12 months by taking advance action prior to the formal loan agreement.

(e) Engineering Study and Supervision

The selected consultant is to carry out an engineering study and tender process/evaluation for contractors followed by construction supervision.

The first task, the engineering study consists of a basic design and detailed design and preparation of tender documents. Usually the tender documents (pre-qualification document and tender document) will be compiled simultaneously with the detailed design or soon after the basic design.

As the project is relatively uncomplicated, it is estimated that the engineering study, including the preparation of tender documents, will be completed in a twelve-months, as a twelve months period was allowed in the Final Report for the South Section of NR 5.

The tender process/evaluation for contractors usually consists of two stages: pre-qualification (PQ) stage and tender stage. The former starts during the detailed design stage and the latter is commenced as soon as the detailed design is completed. The duration of this task is discussed in "(f) Selection of Contractors" below. Supervision will follow the selection of contractors.

(f) Selection of Contractors

The selection of contractors begins during the PQ stage and then proceeds through the tender stage. The following tasks and durations comply with the standard time frames stipulated by JICA.

| (i) Preparation of Tender Docum (including JICA's concurrence | Jinonting |
|--|--|
| (ii) Tender period | 2 months |
| (iii) Tender Evaluation | 2 months |
| (iv) JICA's concurrence of Tender | Evaluation 1 months (actual period 2 months) |
| (v) Negotiation of Contract | 2 months |
| (vi) Signing of Construction Contr | act 1 months |
| (vii) L/C Opening, L/Com Effectua | te 1 months |
| Total | 12 months |

According to past experience in previous projects in Cambodia, the average duration is much longer (approximately 19 months), however the above process could plausibly be achieved in 12 months as stated above through concerted efforts by all concerned parties.

(g) Land Acquisition/Resettlement

The length of time required for land acquisition and relocation is dependent mainly on the number of affected families. JICA has provided technical assistance through the "Project on Capacity Enhancement of Environmental and Social Considerations for Resettlement". This technical assistance is expected to provide positive effects in relation to the land acquisition and relocation for the NR 5 Project.

Thus far, most of the earlier projects under Japanese ODA Loans have not experienced

problems related to land acquisition and relocation, as land was cleared before the commencement of civil works in several projects in the past. According to the study at the current stage, the JICA Team conclude the estimated necessary time to be 19 months.

(h) Relocation, Removal and/or Protection of Utilities

The relocation, removal and/or protection of utilities can be explored after the detailed design is finalized, and those works shall be completed prior to commencing construction works. The duration allowed for those works is therefore 12 months, and this can be achieved providing the Employer manages all stakeholders well.

(i) Detection and Removal of Mines/UXOs

The prerequisites for the calculation of the duration for detection and removal of mines/UXOs are as follows;

- The route to be surveyed for the detection and clearing of mines and UXOs comprises Middle Section-South, Middle Section-North, North Extension, and Pursat Bypass.
- Detection shall be conducted in the dry season. Water in paddy fields and/or excess water in the soil must be avoided.
- Soon after detection of landmines and UXOs, demining works are to be conducted.
- There is no problem for detection and removal works in regard to the land owners after the completion of land acquisition.

The organization responsible for detection and demining is to be the Cambodia Mine Action Center (CMAC). The necessary period for the detection of landmines and UXO is estimated to be around four months in the dry season.

(j) Construction

There are three packages in this Project, which are Package 1: Middle Section-South (National Road No.5) and Pursat Bypass, Package 2: Middle Section-North (National Road No.5), Package 3: Pursat Bypass, Package 4: North Extension and Package 5: Weigh Stations. The scope of work in each package is shown below.

| Tuste The T Scope of Work of Contract Tuchage | | | | | | | | |
|---|--------------------------|--------------------------|---------------|-----------------|----------------|--|--|--|
| | Package 1 | Package 2 | Package 3 | Package 4 | Package 5 | | | |
| Description | Middle Section -South | Middle Section -North | Pursat Bypass | North Extension | Weigh Stations | | | |
| Road length | 49.0 km | 55.2 km | 8.8 km | 35.8 km | | | | |
| No. of bridges | 13 | 15 | 5 | - | | | | |
| No. of culverts | 55 | 256 | 42 | 58 | | | | |
| No.of weighbridges | | | | | 8 | | | |

 Table 11.3-1
 Scope of Work of Contract Package

Based on the above and the execution plan, the construction periods for Package 1, Package 2 and Package 3 are estimated to be three years, and that of Package 4 is estimated to be two years. Construction Period of Package 5 is estimated to be 10 months.

Utilizing the explanation above, the implementation schedule is drawn and prepared. The schedule is shown in Table 11.3-2 Implementation Schedule.

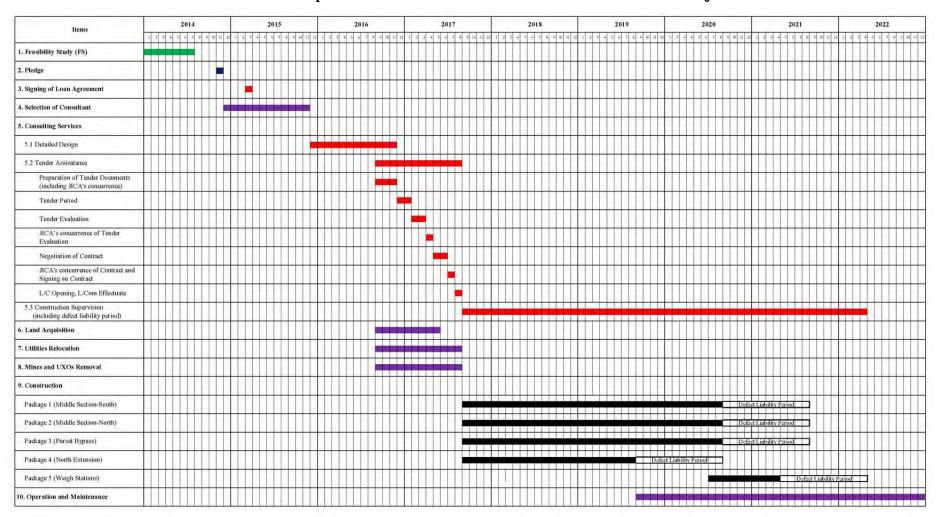


Table 11.3-2 Implementation Schedule for National Road 5 Rehabilitation Project

CHAPTER 12 MAINTENANCE AND OPERATION PLAN

12.1 Maintenance and Operation Cost

12.1.1 Organization in Charge of Road Maintenance

The functions of Ministry of Public Works and Transport (MPWT) are stipulated in the Sub-decree on the Organization and Function of MPWT and those of Department of Public Works and Transport (DPWT) in provinces and cities are stipulated in the Declaration on the Management and Process of DPWT. The important articles in the Sub-Decree and Declaration in respect of road maintenance are extracted and shown in Table 12.1-1 below.

Table 12.1-1 Functions and Duties of MPWT and DPWT with Respect to Maintenance

[Sub-Decree]

Article 3: MPWT has functions and duties as below;

(2nd Clause)

- Completion, maintenance and management of road, bridge, port, railway, maritime and state building infrastructure.
- Article 11: General Department of Public Works and Transport is responsible for direction, introduction, following up and control of construction and maintenance of road and bridge infrastructure, public building construction and construction management, maintenance of national vestiges assigned by the Royal Government of Cambodia. General department is ...(omitted)...

Article 12: Road Infrastructure Department (RID) is responsible for:

- Completion, maintenance, management and make regulation for business on road infrastructure, such as road, local road, ferry dock, ferry and urban street.

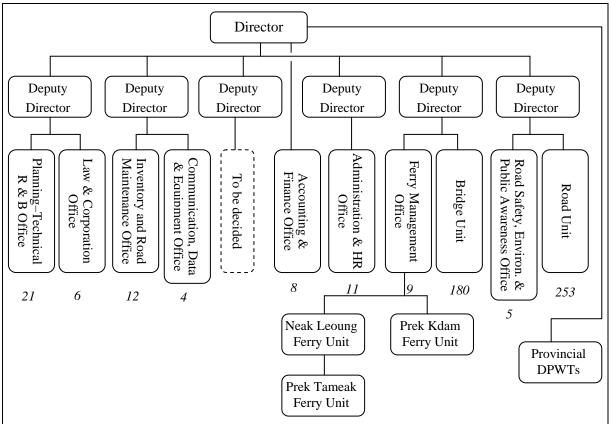
- For this responsibility, department has two functions.
- a) Organize maintenance program and manage roads and bridges
 - Selecting data and utilizing data to understand road network.
 - Manage technical documents on roads and road network related documents.
 - Organize budget, divide follow-up means and control the maintenance.
 - Manage public properties, road transport, water transport and rail transport.
- b) Manage road and bridge working site.
 - Study, manage and organize road and bridge maintenance program.
 - Organize budget, divide follow-up means and control road and bridge working site.
 - Assess complete working site.
 - Manage ferry docks and ferry.
- Article 23: In the whole Cambodia, there are Provincial Departments of Public Works and Transport that is responsible for implementation and coordination with Ministry activities. Arrangement and operation of local organization is defined by other document.

[Declaration]

- Article 1: This proclamation indicates the management and process of the base units under supervision of MPWT- so called Department of Public Works and Transport, Provinces and Cities has the following duties;
- (4th Clause)
- Control and maintain all completed works of infrastructures, such as roads, bridges, ports, airports, drainage system, drainage & exhaust pipe stations, harbors, buildings, land plots.

Source: Sub-Decree 14 and Declaration 344, Cambodia

Referring to the above, it is noted that Road Infrastructure Department (RID) under General Department of Public Works and Transport in MPWT and DPWT are responsible for maintaining all roads and bridges in Cambodia. Figure 12.1-1 shows the organizational chart of RID, including number of staff (*italic*) in each office and unit this year. Table 12.1-2 shows number of staff in DPWT office along NR 5.



Source: Road Infrastructure Department, MPWT

Figure 12.1-1 Organizational Chart of Road Infrastructure Department, MPWT

| Province | Number of Staff |
|-----------------------------------|-----------------|
| DPWT of Phnom Penh | 297 |
| DPWT of Kandal Province | 139 |
| DPWT of Kampong Chhnang | 56 |
| DPWT of Pursat Province | 93 |
| DPWT of Battambang Province | 124 |
| DPWT of Banteay Meanchey Province | 63 |

 Table 12.1-2
 Staff Number in DPWT along National Road 5

Source: Road Infrastructure Department, MPWT

12.1.2 Practice of Road Maintenance and Operation

MPWT prepared and compiled four guidelines together with JICA experts in 2008 and the maintenance works are being carried out in accordance with those guidelines. Four guidelines are as listed below:

- Guideline for Regular Inspection
- Guideline for Supervision of Routine Maintenance
- Guideline for Supervision of Periodic Maintenance
- Guideline for Repairing Defects of Roads

According to the guidelines, road maintenance works are classified into three types; namely, routine, periodic and emergency.

Table 12.1-3 summarizes typical activities of each type of maintenance works.

| Туре | Activity | | | |
|-----------------------|--|--|--|--|
| | Cleaning of pavement | | | |
| | Mowing and maintenance of plants | | | |
| | Cleaning of ditches and culverts | | | |
| Routine Maintenance | Repair of traffic signs and road markings | | | |
| Routine Maintenance | Shoulder grading | | | |
| | Pothole patching and crack sealing | | | |
| | Repair of sealants and expansion joints of bridges | | | |
| | Repair of cut and fill slopes | | | |
| | Re-graveling | | | |
| Periodic Maintenance | Resealing/surface dressing | | | |
| Periodic Maintenance | Overlay | | | |
| | Maintenance of traffic signs and road markings | | | |
| Emananay maintananaa | Removal of debris or obstacles from natural causes | | | |
| Emergency maintenance | Repair of damage caused by traffic accidents | | | |

 Table 12.1-3
 Typical Maintenance Activities

Routine maintenance is planned based on regular (daily) inspection of the condition of road on the items as listed below:

- Pavement: potholes, cracks, ruts/settlements, deformations, local aggregate loss, edge break, scratches, bleeding etc.
- Cut and fill slopes
- ➤ Drainage
- > Bridges: bottom, expansion joint etc.
- > Other structures and facilities: markings, guardrails/handrails, signboards etc.

The results of regular inspection are categorized into three ranks as listed below.

| Rank A | Severe defects that may be harmful to traffic or structure and it requires urgent countermeasures. |
|--------|---|
| Rank B | Defects that may be harmful to traffic or structure and it requires countermeasures but not urgent. |
| Rank C | Small defects that do not require countermeasures but it requires continuous observation. |

Table 12.1-4Rank of Defects

The results of regular inspection are promptly reported to the operation office for follow-up maintenance works to be undertaken either continually throughout a year or at certain intervals

every year.

Periodic maintenance is substantial repairs carried out at an appropriate time interval (every 3-year, 5-year, 8-year, 10-year etc.) based on the age, investment and initial design of the road. It could also be required when vehicle weight and traffic volume increased. It includes reconstruction, improvement, or rehabilitation works on any road section.

Emergency maintenance basically comprises works to restore road and road related facilities to their normal operating conditions after they are damaged by road accidents or natural causes. It is impossible to foresee the frequency, but such maintenance requires immediate action.

In addition to the above three types of maintenance, there is still another type of maintenance called 'preventive maintenance'. The term "preventive maintenance" refers to repair that addresses causes of deterioration leading to the need for costly rehabilitation work in future.

12.1.3 Necessity of Capacity Enhancement for Road Maintenance

In the past, actual works of road maintenance have been executed mainly by DPWT and the Army under contracts with MPWT. In this case, type of pavement has been mainly DBST or Macadam. DPWTs and the Army have capacity for such types of pavement but they are not supposed to have sufficient capacity for maintenance of AC pavement. Thus, a new system needs to be introduced for maintenance of roads with AC pavement, including to increase staff in the road maintenance office of MPWT and DPWT, and capacity enhancement for maintenance of AC pavement is necessary.

As a part of the effort to improve the capacity for road maintenance, the Strengthening Construction Quality Control Project (SCQCP) in MPWT was completed in 2012 under assistance of JICA and the Follow-up to SCQCP are being implemented in MPWT. Another project, the Road Asset Management Project (RAMP) also implemented under assistance of ADB and WB. As roads are currently being improved in Cambodia and AC pavement roads are increasing, it is highly needed to have capacity development project for AC pavement road maintenance in Cambodia. For this purpose, the project for strengthening of inspection and maintenance of roads and bridges is expected to start in MPWT under assistance of JICA near future and JICA Team recommends that such project shall start in due course and due time.

12.1.4 Budget for Road Maintenance and Operation Works

In the budget situation for road maintenance and operation works under MPWT, it is found that budget has been increased in recent years and the following table shows budget in each category of works under MPWT, including those in year 2013.

| | | | | | | (Unit: US | SD million) |
|-------------------------|------|------|------|------|------|-----------|-------------|
| Items | 2007 | 2008 | 2009 | 2010 | 2011 | 2012 | 2013 |
| Routine maintenance | 5.7 | 8.8 | 17.1 | 17.9 | 16.1 | 15.8 | 20.0 |
| Periodic maintenance | 12.2 | 14.3 | 13.3 | 15.0 | 26.6 | 32.5 | 37.5 |
| Emergency maintenance | 1.6 | 1.9 | 2.4 | 2.9 | 3.7 | 4.0 | 5.0 |
| Flood restoration works | 2.4 | 2.4 | 0 | 0 | 0 | 23.7 | 0 |
| Total | 21.9 | 27.4 | 32.8 | 35.8 | 46.3 | 76.0 | 62.5 |

Table 12.1-5Budget for Road Maintenance under MPWT

Source: Road Infrastructure Department, MPWT

As per discussion with staff in the road maintenance of Road Infrastructure Department (RID) under MPWT and in the Department of Public Works and Transport (DPWT) in Kampong Chnnang Province, it is found that there are budget for routine maintenance of road spent by MPWT as well as by DPWTs. The amounts of expenditure spent for routine maintenance in 2012 for paved roads are average USD 2,900 /km.

12.1.5 Maintenance and Operation Cost

As described in Chapter 8 Highway Design, the design period of the pavement is 10 years. Thus, overlay of 5 cm thickness as the periodic maintenance becomes necessary every 10-year after completion. Also, routine maintenance needs to be implemented every year after completion.

Unit rate of future routine maintenance cost of the Project road is estimated at USD 3,000 /km as the current unit rate of routine maintenance cost for asphalt concrete and DBST roads is slightly less than USD 3,000. Hence routine maintenance cost for the Project in each year is estimated as follows:

| Section | Unit Rate (USD 1,000 /km) | Length (km) | Amount (USD 1,000) |
|----------------------|------------------------------|----------------|-----------------------|
| Middle Section-South | 3 | 49.0 | 147 |
| Middle Section-North | 3 | 55.2 | 166 |
| North Extension | 3 | 35.8 | 107 |
| Pursat Bypass | 3 | 9.0 | 27 |
| Total | | 149.0 | 447 |

 Table 12.1-6
 Routine Maintenance of the Project Road

Periodic maintenance cost in each 10-year is computed as follows based on unit price of USD 14 $/m^2$ of overlay (5 cm thick asphalt concrete).

| Items | Unit Rate (USD) | Length (km) | Amount (USD 1,000) |
|----------------------|--|----------------|-----------------------|
| Middle Section-South | | | |
| Rural Area | USD $14 / m^2 x 15.0 m x 1,000 = USD 210,000 / km$ | 47.6 | 9,996 |
| Urban Area | USD $14 / m^2 x 20.0 \text{ m x } 1,000 = \text{USD } 280,000 / \text{km}$ | 1.4 | 392 |
| Sub-Total | | 49.0 | 10,388 |
| Middle Section-North | | | |
| Rural Area | USD $14 / m^2 x 15.0 m x 1,000 = USD 210,000 / km$ | 54.7 | 11,487 |
| Urban Area | USD $14 / m^2 x 20.0 \text{ m x } 1,000 = \text{USD } 280,000 / \text{km}$ | 0.5 | 140 |
| Sub-Total | | 55.2 | 11,627 |
| North Extension | | | |
| Rural Area | USD $14 / m^2 x 15.0 m x 1,000 = USD 210,000 / km$ | 35.1 | 7,371 |
| Urban Area | USD $14 / m^2 x 20.0 \text{ m x } 1,000 = \text{USD } 280,000 / \text{km}$ | 0.7 | 196 |
| Sub-Total | | 35.8 | 7,567 |
| Pursat Bypass | | | |
| Rural Area | USD $14 / m^2 x 15.0 m x 1,000 = USD 210,000 / km$ | 9.0 | 1,890 |
| Urban Area | USD $14 / m^2 x 20.0 \text{ m x } 1,000 = \text{USD } 280,000 / \text{km}$ | 0 | 0 |
| Sub-Total | | 9.0 | 1,890 |
| Total | | 149.0 | 31,472 |

 Table 12.1-7
 Periodic Maintenance of the Project Road

In summary, road maintenance and operation cost after completion is estimated in the price of 2013 as shown below.

- Routine maintenance: USD 447,000 /year
- Periodic maintenance: USD 31,472,000 /10-year

12.2 Annual Road Maintenance and Operation Cost

Road maintenance and operation costs after completion of the Project is calculated in the prices of 2013 as described in Section 12.1 above. Thus, escalation factor needs be applied in order to have annual cost in future years. Escalation factor is assumed as follows.

- (a) Escalation factor in year 2014 to 2025: 2.0% and 4.4% /year for foreign and local currency portions, respectively, as stated in the Section 10.4.
- (b) Escalation factor from year 2026: 0.6% and 1.5% /year for foreign and local currency portions, after twelve years growth with escalation in 1) above
- (c) It is assumed that items directly related to international market prices like imported materials, fuel, major construction equipment and systems etc. are applied to the factor for foreign currency and those related to domestic market prices like workers, earthwork and quarry material to the factor for local currency.

Annual road maintenance and operation cost in each year is as shown in Table 12.2-1.

| | - | | | | | Jnit: USD 1,000 |
|------|-------------|---------------------|--------|-------------|--------------------|-----------------|
| | | osts with 2013 pric | ce | | with escalation ap | plied |
| Year | Routine | Periodic | Total | Routine | Periodic | Total |
| | Maintenance | Maintenance | | Maintenance | Maintenance | |
| 2020 | 447 | | 447 | 536 | | 536 |
| 2021 | 447 | | 447 | 551 | | 551 |
| 2022 | 447 | | 447 | 565 | | 565 |
| 2023 | 447 | | 447 | 581 | | 581 |
| 2024 | 447 | | 447 | 596 | | 596 |
| 2025 | 447 | | 447 | 613 | | 613 |
| 2026 | 447 | | 447 | 618 | | 618 |
| 2027 | 447 | | 447 | 623 | | 623 |
| 2028 | 447 | | 447 | 628 | | 628 |
| 2029 | 447 | 31,472 | 31,919 | 634 | 44,571 | 45,205 |
| 2030 | 447 | | 447 | 639 | | 639 |
| 2031 | 447 | | 447 | 644 | | 644 |
| 2032 | 447 | | 447 | 649 | | 649 |
| 2033 | 447 | | 447 | 655 | | 655 |
| 2034 | 447 | | 447 | 660 | | 660 |
| 2035 | 447 | | 447 | 666 | | 666 |
| 2036 | 447 | | 447 | 672 | | 672 |
| 2037 | 447 | | 447 | 677 | | 677 |
| 2038 | 447 | | 447 | 683 | | 683 |
| 2039 | 447 | 31,472 | 31,919 | 689 | 48,450 | 49,139 |
| 2040 | 447 | | 447 | 695 | | 695 |
| 2041 | 447 | | 447 | 700 | | 700 |
| 2042 | 447 | | 447 | 706 | | 706 |
| 2043 | 447 | | 447 | 712 | | 712 |
| 2044 | 447 | | 447 | 718 | | 718 |
| 2045 | 447 | | 447 | 725 | | 725 |
| 2046 | 447 | | 447 | 731 | | 731 |
| 2047 | 447 | | 447 | 737 | | 737 |
| 2048 | 447 | | 447 | 743 | | 743 |
| 2049 | 447 | 31,472 | 31,919 | 750 | 52,750 | 53,500 |
| 2050 | 447 | - , | 447 | 756 | - , | 756 |
| 2051 | 447 | | 447 | 763 | | 763 |
| 2052 | 447 | | 447 | 769 | | 769 |

Table 12.2-1 Annual Road Maintenance and Operation Cost

CHAPTER 13 PROJECT EVALUATION

13.1 General

Project evaluation has been performed by adopting the same method the adopted for the North and South Sections of the NR 5 improvement projects. The objective of the project evaluation is also same as those for North and South Sections of the NR 5 improvements project which is to measure the Project's operational and effectiveness conditions. Appropriate indices are established based on the goals, objectives and functional characteristics of the Project. Improvement of the sections of NR 5 between Thlea Ma'am and Battambang, Sri Sophorn and Poipet and construction of Pursat Bypass, have direct positive impacts on transportation of goods and passengers. As the result of improvement of traffic and transportation, the Project will contribute to socio-economic development of Cambodia as well as to promote regional development. With this concept, goals and objectives of the Project are set as follows:

Goals:

- To facilitate transportation of goods and passengers
- To promote and activate regional economy

Direct Objectives:

- To separate through traffic and intra-urban traffic (mitigation of traffic congestion in Pursat City)
- To dissolve traffic congestion and reduce travel time and travel cost
- To ensure the safety of pedestrian and comfort of travel
- · To reduce road maintenance cost by improving the pavement structure
- To improve the condition of environmental pollution

Based on these goals and objectives, indicators of the performance to be achieved during the Project life in specific and measurable terms are selected. Selected indices can, if measured, contribute to attaining better performance of the Project.

13.2 Evaluation Index

Performance of a project is usually evaluated in two aspects; degree of achievement of the targets in operation stage and their effectiveness. Degree of achievement in operation, in case of a road project, mainly refers to traffic volume. Effectiveness of a road project is degree of improvement of traffic conditions against increase of traffic demand.

Selection of Operation and Effect Indicators

Operation and effect indicators to evaluate and monitor the project performance and its effectiveness are selected as shown in Table 13.2-1. The indicators are divided into two; indicators for direct benefit accruing from use of the road and those for indirect benefits which are brought about as the results of improvement in traffic/transport conditions.

| Impact Indicators | Definition | Purpose of Indicator | Method of Measurement |
|---|--|--|---|
| 1. Indicator for Direct | Effect | | |
| Traffic Volume | Average Traffic Volume (V) = Σ Vi / Σ Km Where; Vi: traffic volume on each link in terms of PCU Km: Length on each link | To evaluate to what extent the movement of people and goods | Traffic Volume Counting |
| Reduction of traffic congestion | Vehicle congestion degree (V/C ratio) is mitigated. Average Congestion Degree (V/C) = Σ V-Km / Σ C-Km Where; V-Km: traffic volume on each link in terms of PCU times length of each link C-Km: capacity on each link in terms of PCU times length of each link | is encouraged. | Calculation of V/C ratio using the traffic volume measured in above. |
| Reduction of travel time | Average travel time required for the whole length of the project road | To evaluate the effect of road | Travel speed survey |
| Reduction of travel cost | Saving in total travel time cost for all vehicles running on the project road | improvement on the traffic/ | Vehicles running cost |
| Reduction of traffic accident | Record of the number of traffic accidents | transport and living environment, as | Accident statistical data |
| Savings in road maintenance cost | Road maintenance cost is reduced from DBST to AC pavement. | well as public expenditure | Annual maintenance cost |
| Emission gas reduction | Reduction in vehicle emissions and vehicle noise can be lead to environmental benefits | | Surveillance of NO ₂ |
| 2. Indicator for Indired | ct Effect | | |
| Promotion of regional development | Reduced transportation costs and the time cost saving for economic activities promote development of regional economic and industrial activities | To evaluate the extent of the regional development. | Population, Regional GDP, No. of factories, increase of job opportunity, etc. |
| Product market expansion | Product market is expanded owing to transport time reduction. | | Distance between the place of production and place of consumption |
| Creation of employment opportunities with project construction | Employment opportunities will increase during the construction period. | | Number of people locally employed during construction |

Table 13.2-1 Performance Indicator with Project Operation and Effectiveness Measurement

13.3 Consideration on Indirect Benefits not Listed in the Table Above

In addition to the listed in Table 13.2-1 above, some more indirect benefits can be considered.

13.3.1 Promotion of Poverty Reduction

Poor people's inability to access jobs and services is an important element of the social exclusion that defines poverty. Regional and transport development can reduce poverty, by contributing to economic growth.

- During the construction period, there will be job opportunities for the local people without particular skills.
- After construction, this Project road will promote development of the region along the Project road by enhancing promotion of agriculture, industry and commerce. It is expected that job opportunities are increased in proportion to the economic development.

13.3.2 Investment Promotion of Local and Foreign Firms

NR 5 is expected to promote economic activities such as foreign and domestic investment by providing efficient land transport to Phnom Penh and Thailand. GMS regional economic cooperation is expected to create opportunities for various types of investments.

13.3.3 Mitigation of the Flood Damage

The road inundation by flood which occur every year. As a result of road improvement, the local people will be able to move with much less difficulty in their daily activities such as going to school, commuting, hospitals, and shopping than today. It is possible to secure a safety and smooth road traffic operation.

13.4 Operation and Effect Monitoring Plan

The operation and effect of the Project will be monitored by measuring impact indicators. The targets of the indictors are estimated in accordance with the planned monitoring timing as shown in Table 13.4-1.

| Indicators | Road | Original | Present | 2 years after completion, |
|---------------|----------------------|-------------------|---------|---------------------------|
| | | (2012) | (Year) | projected as year 2022 |
| | Thlea Ma'am - | 6,062 | | 12,748 * |
| Daily Traffic | Battambang | 0,002 | | 12,740 |
| (PCU/day) | Pursat bypass | - | | 11,276 * |
| | Sri Sophorn - Poipet | 7,421 | | 14,688 * |
| | | (Existing NR 5 of | | (Improved NR 5+ Pursat |
| Travel Time | Middle Section | Middle Section) | | bypass) |
| (minute) | | 116 | | 107 ** |
| | Sri Sophorn - Poipet | 44 | | 36 |

 Table 13.4-1
 Operation and Effect Indicator

* Interpolated from value of 2023

** Estimated using STRADA

13.5 Economic Analysis

13.5.1 Objective

The main purpose of economic analysis for this survey is to show the effects of the road improvement of the project from viewpoint of national economy and it aims at evaluating the economic viability of the project implementation.

Economic analysis estimates the project benefits to national economy by analyzing the costs and benefits of the project. The costs component includes various cost items such as cost of road improvement, land acquisition cost and O&M cost. Similarly, the benefits components includes travel time saving, and reduction of VOC which ultimately contribute in national economy.

The approach used for this follows the standard evaluation methodology for road improvement project. "With Project" and "Without Project" cases are compared. "With Project" covers the situation where the proposed road improvement and new bypass are implemented, and "Without Project" covers the situation where no such investment takes place. The quantified economic benefits, which would be realized from the implementation of the project, are defined as savings in vehicle travel costs (vehicle operating costs and vehicle travel time costs) derived from the difference between "With Project" and "Without Project".

The economic analysis procedure as illustrated in Figure 13.5-1 is employed in this survey. In order to estimate the benefit, traffic assignment to the road networks with and without the Project is considered.

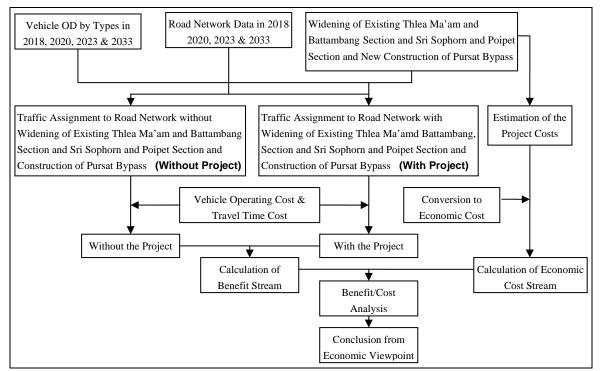


Figure 13.5-1 Procedure of Economic Analysis

13.5.2 Estimation of Economic Cost

Economic cost is a monetary expression of goods and services to be actually consumed for implementation of the Project. Economic cost is converted from financial cost by deducting tax portions and applying the standard conversion factor to the non-trade. Economic benefit is defined as the amount saved in travel costs due to a Project. Travel costs consist of two component;

- Vehicle operating costs (VOC): VOC is the physical costs of operating a vehicle such as consumption of fuel, lubricants, spare parts, deprecation, crew costs, and so on.
- Travel time costs (TTC): TTC is the value of time spent in traveling that could be used in the other activities.

(1) Vehicle Operating Cost (VOC)

The VOC, estimated in "the Preparatory Survey for National Road No. 5 (South Section) Improvement Project in the Kingdom of Cambodia" implemented by JICA in 2012-2013, was used as the basic reference for this survey. The VOC in this Survey was estimated considering consumer price index in 2013. Inputs for vehicle operating costs required for calculating the VOC are as follows.

(a) Shadow wage rate (SWR)

The shadow wage rate (SWR) is an estimate of the economic price of labor. The labor divided into two categories: skilled, and unskilled corresponding on degrees of scarcity. The SWRs as shown below are applied.

| Iuc | ne isle i Bhuuon muge | Rute |
|----------------------------|-----------------------|--------------|
| | Skilled | Unskilled |
| Shadow Wage Rate | 1.00 | 0.50 |
| Course Course Doug Contain | -1 | 1 C. 1. 2012 |

Table 13.5-1Shadow Wage Rate

Source: Cost-Benefit Analysis for development a practical Guide 2013

(b) Vehicle Price

The vehicle price is estimated on the basis of average prices for new vehicles purchased from car dealer ships. Most of vehicles are imported to Cambodia as second hand reconditioned vehicles. However, as second hand price is uncertain and depends on the frequency of use, a new vehicle prices are used in this Survey. For the purpose of calculating the economic price of each vehicle taxes and import duties have been subtracted from the retail price. The resulting economic price includes elements of Cost Insurance and Freight (CIF) price, retailer's margin.

| | Trues | Fuel | Km per driven | Service | Financial | Economic |
|--------------|------------------------|-------|---------------|---------|--------------|--------------|
| | Туре | Type* | (Annual Km) | Life | Price (US\$) | Price (US\$) |
| Motorcycle | Honda Dream 110 | Р | 10,000 | 10 | 1,500 | 936 |
| Sedan Car | Camry 2000 | Р | 30,000 | 10 | 40,000 | 23,250 |
| Pick-Up | Toyota Hilux | Р | 30,000 | 10 | 30,000 | 21,360 |
| Mini Bus | Toyota Hiace Commuter | Р | 30,000 | 10 | 47,500 | 33,428 |
| Big Bus | Hyundai Bus for 45 Pax | D | 70,000 | 10 | 83,000 | 58,420 |
| Light Truck | Isuzu NPR 55 E | D | 60,000 | 10 | 32,000 | 22,535 |
| Medium Truck | Isuzu FSR 33 | D | 100,000 | 12 | 85,000 | 59,808 |
| Heavy Truck | Isuzu CYR 80 | D | 100,000 | 12 | 108,000 | 75,988 |

Table 13.5-2Vehicle Prices and Characteristics

Fuel Type : P: Petrol D: Diesel

Source: Car dealerships

(c) Tire Cost

The economic costs of tires are assessed in the same way as vehicle prices. Suppliers in Phnom Penh were surveyed to assess general prices of different types (motorcycle, passenger car, bus and truck) of tire. New tires are subject to import duty and VAT, whose rates vary depending on the type of tire. Custom import duty is principally charged at 15% of the CIF value of the tire. The rate of VAT and special tax are 25% and 15% for all types of tire. Special tax (zero) is applied for motorcycle tire. For the purpose of calculating the economic price of each vehicle tire, taxes and import are subtracted from the retail price. The resulting economic price includes elements of CIF price and retailer's margin.

| Туре | Tire Size | No. of Tire | Financial Price (US\$) | Economic Price (US\$) |
|--------------|-----------|----------------|---------------------------|--------------------------|
| | | The | Flice (US\$) | Flice (US\$) |
| Motorcycle | 100/17 | 2 | 44.0 | 35.9 |
| Sedan Car | 185/70-14 | 4 | 224.0 | 149.3 |
| Small Bus | 195/70-14 | 4 | 292.0 | 194.7 |
| Large Bus | 11.00-20 | 6 | 2,280.0 | 1,520.0 |
| Light Truck | 7.50-16 | 4 | 700.0 | 466.7 |
| Medium Truck | 8.25-16 | 6 | 1,770.0 | 1,180.0 |
| Heavy Truck | 11.00-20 | 10 | 3,800.0 | 2,533.3 |

Table 13.5-3 Tire Cost

Source: Retail shop,

(d) Fuel and Lubrications

Fuel and lubricants prices are estimated based on a survey of market prices. There are a number of suppliers in Cambodia operating competitively. Fuels are subject to import duty, special tax and VAT. For the purpose of calculating the economic price of fuel and lubricants, these taxes and import duty are subtracted from the retail price. The resulting economic price includes elements of CIF price, customs import duty, value added tax and retailer's margin.

| Туре | Financial Price (US\$) / liter | Economic Price (US\$) / liter |
|------------------------------|-----------------------------------|----------------------------------|
| Gasoline Regular | 1.28 | 1.04 |
| Diesel | 1.23 | 1.00 |
| Lubricant (motorcycle) | 3.60 | 2.93 (0.8ℓ) |
| Lubricant (4 wheels or more) | 7.50 | 6.11 |

| Table 13.5-4Fuel and Tire Cost |
|--------------------------------|
|--------------------------------|

Source: Retail shop

(e) Spare Parts Cost

Spare parts costs are assumed to be 1% of the vehicle price .

(f) Maintenance Labor Cost

The maintenance costs estimated based on a survey of the average monthly cost of skilled supervisors and mechanics. Average working hours applied 200 hours per month.

| | Motor | Sedan | Pick-up | Mini | Large | Light | Medium | Heavy |
|----------------------------|-------|-------|----------|-------|-------|-------|--------|-------|
| | Cycle | Car | r ick-up | Bus | Bus | Truck | Truck | Truck |
| Wages per month (US\$) | | | | | | | | |
| Supervisor | 600 | 600 | 600 | 600 | 600 | 600 | 600 | 600 |
| Mechanic | 300 | 300 | 300 | 300 | 300 | 300 | 300 | 300 |
| Owner | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Maintained by (%) | | | | | | | | |
| Supervisor | 10 | 25 | 25 | 25 | 50 | 25 | 50 | 50 |
| Mechanic | 40 | 50 | 50 | 50 | 50 | 50 | 50 | 50 |
| Owner | 50 | 25 | 25 | 25 | 0 | 25 | 0 | 0 |
| Maintenance hours per year | 40 | 70 | 70 | 250 | 300 | 250 | 300 | 350 |
| Average hourly rate for | 36.0 | 105.0 | 105.0 | 375.0 | 675.0 | 375.0 | 675.0 | 787.5 |
| services (US\$) | | | | | | | | |
| Shadow wage rate factor | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 |
| Economic Price (US\$/hr) | 36.0 | 105.0 | 105.0 | 375.0 | 675.0 | 375.0 | 675.0 | 787.5 |

 Table 13.5-5
 Maintenance Labor Cost

(g) Crew Cost

Crew cost of a vehicle per km is calculated over certain time period (hours) and divided by the distance (km) traveled during this time period. The crew costs per unit of vehicle are estimated based on a survey of drivers and conductors or assistants. In Cambodia, unit costs for drivers are estimated at around US\$150 to \$300 per driver per month, depending on the type of vehicle, while unit cost for conductors or assistants are estimated to be one half of the average monthly cost of skilled supervisor and semi-skilled worker, respectively.

| | Motor- cycle | Sedan Car | Pick-up | Mini Bus | Large Bus | Light Truck | Medium Truck | Heavy Truck |
|--|-----------------|--------------|---------|-------------|--------------|----------------|-----------------|----------------|
| Number of drivers | 0.01 | 0.1 | 0.1 | 1 | 1 | 1 | 1 | 1 |
| Average monthly wage rate (\$) | 150 | 200 | 200 | 250 | 300 | 250 | 300 | 300 |
| Working Hour | 200 | 200 | 200 | 200 | 200 | 200 | 200 | 200 |
| Average hourly rate for driver (\$/hr) | 0.008 | 0.100 | 0.100 | 1.250 | 1.500 | 1.250 | 1.500 | 1.500 |
| Skilled wage factor – Semi - skilled | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 | 1.00 |
| Driver cost (Economic) (\$/hr) | 0.008 | 0.100 | 0.100 | 1.250 | 1.500 | 1.250 | 1.500 | 1.500 |
| Number of conductors | 0 | 0 | 0 | 0.5 | 1 | 1 | 1 | 1 |
| Average monthly wage rate | 0 | 0 | 0 | 125 | 150 | 125 | 150 | 150 |
| Working Hour | 200 | 200 | 200 | 200 | 200 | 200 | 200 | 200 |
| Average hourly rate for conductor | 0.000 | 0.000 | 0.000 | 0.313 | 0.750 | 0.625 | 0.750 | 0.750 |
| Skilled wage factor – Unskilled | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 | 0.5 |
| Conductor cost (Economic) (\$/hr) | 0.000 | 0.000 | 0.000 | 0.156 | 0.375 | 0.313 | 0.375 | 0.375 |
| Total Crew Cost (\$/hr) | 0.008 | 0.100 | 0.100 | 1.406 | 1.875 | 1.563 | 1.875 | 1.875 |

Table 13.5-6Crew Cost

(h) Depreciation

Depreciation cost can be expressed as a percent of new vehicle cost and is given by the following formula:

Vehicle per 1,000 veh-km = DEP/ New vehicle prices

A vehicle is a medium-term asset. The purchase cost represents an investment which yields services over several years. The market value of the asset declines with both the passage of time and with amount and type of usage. It is this loss of market value that represents vehicle depreciation. The vehicle depreciation per km is a function of the average annual depreciation and annual utilization.

DEP = ADEP/AKM

Where: ADEP: Average annual depreciation, expressed as % of average new vehicle cost ADEP: (1 / LIFE)*100

LIF is average vehicle service life

AKM: Average number of kilometers driven per vehicle per year

(i) Insurance Cost

Insurance cost was assumed to be 1% or 3% of vehicle price.

(j) Overhead Cost

Overhead cost was calculated at 10% of the sub-total of the VOC. Based on the above mentioned discussion and estimations the basic vehicle operating costs are calculated and are shown in Table 13.5-7.

| | | | | - | U | · | • 1 | | (Unit US\$) |
|----------------|-------------------|----------------|--------------|---------|----------|--------------|----------------|-----------------|----------------|
| Туре | Item | Motor Cycle | Sedan Car | Pick-up | Mini Bus | Large Bus | Light Truck | Medium Truck | Heavy Truck |
| | Fuel cost | 311.7 | 3,116.7 | 3,116.7 | 4,051.7 | 13,974.1 | 10,181.1 | 19,963.0 | 32,938.9 |
| | Lubricant cost | 5.9 | 73.3 | 91.7 | 122.2 | 1604.2 | 366.7 | 1833.3 | 1833.3 |
| D' | Tire cost | 17.9 | 112.0 | 112.0 | 146.0 | 2128.0 | 560.0 | 2360.0 | 5066.7 |
| Distance | Maintenance cost | 9.4 | 232.5 | 213.6 | 334.3 | 584.2 | 225.3 | 598.1 | 759.9 |
| related VOC | Depreciation cost | 0.6 | 14.4 | 13.2 | 20.6 | 36.1 | 13.9 | 30.8 | 39.1 |
| voc | Sub total | 345.4 | 3,548.9 | 3,547.1 | 4,674.8 | 18,326.5 | 11,347.0 | 24,785.2 | 40,637.9 |
| | Overhead cost | 0.0 | 0.0 | 354.7 | 467.5 | 1,832.7 | 1,134.7 | 2,478.5 | 4,063.8 |
| | Total | 345.4 | 3,548.9 | 3,901.8 | 5,142.3 | 20,159.2 | 12,481.7 | 27,263.7 | 44,701.7 |
| | Crew cost | 4.5 | 75.0 | 75.0 | 2,250.0 | 3,375.0 | 2,500.0 | 3,750.0 | 4,500.0 |
| | Maintenance cost | 36.0 | 105.0 | 105.0 | 375.0 | 675.0 | 375.0 | 675.0 | 787.5 |
| Time | Insurance cost | 28.1 | 697.5 | 640.8 | 334.3 | 584.2 | 225.3 | 598.1 | 759.9 |
| related | Depreciation cost | 0.3 | 7.7 | 7.1 | 11.1 | 19.4 | 7.5 | 16.6 | 21.1 |
| VOC | Sub total | 68.9 | 885.2 | 827.9 | 2,970.4 | 4,653.6 | 3,107.8 | 5,039.7 | 6,068.4 |
| | Overhead cost | 0.0 | 0.0 | 82.8 | 297.0 | 465.4 | 310.8 | 504.0 | 606.8 |
| | Total | 68.9 | 885.2 | 910.7 | 3,267.4 | 5,119.0 | 3,418.6 | 5,543.6 | 6,675.3 |
| | Total | 414.3 | 4,434.1 | 4,812.5 | 8,409.7 | 25,278.1 | 15,900.4 | 32,807.3 | 51,376.9 |
| VC | DC /1000 km | 41.4 | 147.8 | 160.4 | 280.3 | 361.1 | 265.0 | 328.1 | 513.8 |

Table 13.5-7Vehicle Operating Cost by Vehicle Type

(2) Travel Time Cost (TTC)

Travel time cost (TTC) is the cost of time spent on transport/travel. It includes various factors such as the following:

- (i) Value of time spent on going to or coming back from to office (passenger car).
- (ii) Cost of the crews of trucks/buses.
- (iii) Income of trucks/buses obtained by transporting cargo/passenger car.

However, the results of the roadside interview survey, asked to truck drivers, showed that the truck drivers are not aware of the three item above. Thus, item (iii) is not considered for the trucks here. If the alternative activity can have monetary value assigned to it, this can be used as a part of road user cost in the economic appraisal of the projects, particularly road improvement projects.

In order to estimate the travel time costs, the average wage approach method is adopted. The time travel cost of a vehicle is calculated based on the wage level of a passenger/driver and occupancy ratio of each vehicle type. Time value of a passenger/driver is assessed for working time and non-working time as shown in Table 13.5-8.

| | Travel T | ime Value | Trip | Purpose | Weighted | Average | Time Value by | |
|---------------|----------|-----------|-------|---------|------------------|------------|---------------|--|
| Vehicle Type | (US | \$/hour) | r | | Average Value | No. of | Vehicle Type | |
| | Work | Non-Work | | | (US\$/hr/person) | Passengers | (US\$/hr) | |
| Motorcycle | 1.27 | 0.42 | 31.0% | 69.0% | 0.38 | 1.80 | 0.68 | |
| Light Vehicle | 9.93 | 3.28 | 57.0% | 43.0% | 2.02 | 3.50 | 7.07 | |
| Bus | 7.09 | 2.34 | 69.0% | 31.0% | 0.31 | 18.00 | 5.61 | |
| Truck | 1.31 | 0.43 | 95.0% | 5.0% | 0.63 | 2.00 | 1.27 | |

 Table 13.5-8
 Estimation of Travel Time Cost

TTC in 2018, 2023 and 2033 were calculated with 2014 value derived from the estimated growth rate of GDP per capita. Future result are shown in Table 13.5-9.

| | - | | (U | nit: US\$/ hour) |
|------|------------|---------------|-------|------------------|
| Year | Motorcycle | Light Vehicle | Bus | Truck |
| 2014 | 0.68 | 7.07 | 5.61 | 1.27 |
| 2018 | 0.99 | 10.23 | 8.12 | 1.84 |
| 2023 | 1.28 | 13.25 | 10.52 | 2.38 |
| 2033 | 1.63 | 16.84 | 13.37 | 3.02 |

Table 13.5-9Forecast of Time Value Per Vehicle

The benefit is regarded as various desirable effects given to the national economy when the project is implemented, and the cost is regarded as all national economical expenditure required for the project implementation concerned.

(3) Construction Cost, Maintenance Cost and Land Acquisition Cost

The cost of construction, maintenance and land acquisition presented in Chapter 11 and Chapter 12 are used in the economic evaluation. Maintenance costs are classified into annual routine maintenance cost and periodic maintenance cost. Periodic maintenance costs are assumed to be expended each 10 years. Some basic presumptions adopted in the economic analysis are as follows:

| • | Escalation factor | : | Price escalation is not taken into account for construction cost, |
|---|-----------------------|---|---|
| | | | maintenance cost and land acquisition cost. |
| • | Tax and import duty | : | Value added tax and import duty are excluded from cost. |
| • | Land acquisition cost | : | Land acquisition cost is included. |

13.6 Economic Evaluation

13.6.1 Methodology

Economic evaluation is conducted in terms of comparative analysis between benefits and costs. Benefits contain i) time saving benefit and ii) vehicle operating cost saving benefit, while costs consist of construction cost, land acquisition cost and operation/maintenance cost. Indicators adopted for economic evaluation are the conventional "economic internal rate of return (EIRR)", "benefit-cost ratio (B/C ratio)" and "net present value (NPV)". Evaluation was conducted on the basis of transport demand forecast.

In order to evaluate the road projects from an economic view point, the following economic indicator were considered:

- The net present value (NPV) of a given cost flow is obtained by subtracting the present value of the costs from the present value of the future benefits. The benefits as well as the costs are discounted at the opportunity cost of capital. The investment is viable if the NPV is positive.
- The economic internal rate of return (EIRR) of a given project is defined as the discount rate at which the present value of benefits and the present value of costs are equal. It is a measure of the marginal efficiency of capital. For a project to be viable, the EIRR has to be greater than the opportunity cost of capital rate. Normally the NPV and EIRR will give the same indications of viability and priority ranking between projects.
- The benefit cost ratio (B/C ratio) refers to the ratio of the present value of the economic benefits stream to the present value of the economic cost stream. The investment is viable for the project if the B/C ratio is greater than 1.0.

(1) Implementation Plan of the Project and Evaluation Period

The economic analysis is based on the Project implementation schedule proposed in Chapter 11 as shown in Table 11.3-2. The evaluation period is assumed to be 30 years from 2020 to 2049 taking the service life of the Project into account.

| 5 | 2016 | 2017 | 2018 | 2019 | 2020 | 2021 |) | 2049 |
|---|------|------|------|------|------|------|-----|------|
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(2) Evaluation Period and Daily Factor

Evaluation period is set as 30 years after opening to traffic. The annualized factor of the daily benefits is assumed to be 340 days per year taking into consideration the weekly variation in the volume of traffic on the roads.

(3) Social Discount Rate

The social discount rate of 12% is assumed.

(4) Residual Value and Inflation

Residential value and inflation are not considered in this economic evaluation

(5) Standard Conversion Factor (SCF)

The standard conversion factor (SCF) is a standard method for adjusting domestic prices which are considered to be distorted in the domestic market price. SCF used 0.90 in this Survey.

Standard Conversion Factor (SCF) = $\frac{(\text{Import} + \text{Export})}{(\text{Import} + \text{Import Custom}) + (\text{Export - Export Custom})}$

| | | | | (Un | it: US\$ million) |
|---------------|---------|---------|---------|----------|-------------------|
| | 2008 | 2009 | 2010 | 2011 | 2012 |
| CIF | 5,076.6 | 4,489.9 | 5,466.0 | 5,219.5 | 6015.7 |
| FOB | 3,492.3 | 2,995.7 | 3,884.3 | 6,709.5 | 7964.9 |
| Import Custom | 1,123.8 | 1,080.8 | 1,444.0 | 1,378.9* | 1,589* |
| Export Custom | 76.6 | 78.1 | 130.9 | 226* | 268* |
| SCF | 0.891 | 0.882 | 0.877 | 0.912 | 0.914 |
| | | | | Average | 0.90 |

Table 13.6-2Calculation of SCF

Source: Key Indicators for Asia and the Pacific 2013, ADB * Estimation of Study Team

(6) Cost Benefit Analysis

The result of the economic analysis is shown in Table 13.6-3. The economic analysis is based on the annual user's benefit and VOC shown in Table 13.5-7 above. The result of analysis shows EIRR of 17.0% which is usually considered to be sufficient.

| | | | | | | | | (Unit: x | (1,000 US\$) |
|----|-------|--------------|------------|------------|-----------|-------------|---------|-------------------|--------------|
| | | | Maintenanc | | | | Discoun | t Cash Flow (at 1 | 2%) |
| SQ | Year | Project Cost | e Cost | Total Cost | Benefit | Net Benefit | | | |
| | | | 0 0001 | | | | Cost | Benefit | Net Benefit |
| | 2015 | ***** | | ***** | | ***** | ***** | ***** | ***** |
| | 2016 | ***** | | ***** | | ***** | ***** | ***** | ***** |
| | 2017 | ***** | | ***** | | ***** | ***** | ***** | ***** |
| | 2018 | ***** | | ***** | | ***** | ***** | ***** | ***** |
| | 2019 | ***** | | ***** | | ***** | ***** | ***** | ***** |
| | 2020 | ***** | | ***** | | ***** | ***** | ***** | ***** |
| 1 | 2021 | ***** | 436 | ***** | 13,148 | ***** | ***** | ***** | ***** |
| 2 | 2022 | ***** | 436 | ***** | 15,021 | ***** | ***** | ***** | ***** |
| 3 | 2023 | | 436 | 436 | 19,965 | 19,529 | 176 | 8,064 | 7,888 |
| 4 | 2024 | | 436 | 436 | 23,570 | 23,135 | 157 | 8,500 | , |
| 5 | 2025 | | 436 | 436 | 27,604 | 27,168 | 140 | 8,888 | , |
| 6 | 2026 | | 436 | 436 | 32,110 | 31,674 | 125 | 9,231 | , |
| 7 | 2027 | | 436 | 436 | 37,136 | 36,701 | 112 | 9,532 | 9,420 |
| 8 | 2028 | | 436 | 436 | 54,297 | 53,861 | 100 | 12,443 | 12,343 |
| 9 | 2029 | | 436 | 436 | 71,285 | 70,849 | 89 | 14,586 | |
| 10 | 2030 | | 30,685 | 30,685 | 90,348 | 59,663 | 5,606 | 16,506 | 5 10,900 |
| 11 | 2031 | | 436 | 436 | 111,692 | 111,256 | 71 | 18,219 | 18,148 |
| 12 | 2032 | | 436 | 436 | 135,539 | 135,103 | 63 | 19,740 | 19,677 |
| 13 | 2033 | | 436 | 436 | 200,918 | 200,482 | 57 | 26,127 | 26,071 |
| 14 | 2034 | | 436 | 436 | 213,192 | 212,756 | 51 | 24,753 | 3 24,702 |
| 15 | 2035 | | 436 | 436 | 226,222 | 225,786 | 45 | 23,452 | 2 23,406 |
| 16 | 2036 | | 436 | 436 | 240,037 | 239,601 | 40 | 22,218 | 8 22,177 |
| 17 | 2037 | | 436 | 436 | 254,716 | 254,280 | 36 | 21,050 | 21,014 |
| 18 | 2038 | | 436 | 436 | 341,621 | 341,186 | 32 | 25,208 | 8 25,175 |
| 19 | 2039 | | 436 | 436 | 362,482 | 362,046 | 29 | 23,881 | 23,852 |
| 20 | 2040 | | 30,685 | 30,685 | 384,615 | 353,930 | 1,805 | 22,624 | 20,819 |
| 21 | 2041 | | 436 | 436 | 408,099 | 407,663 | 23 | 21,434 | 21,411 |
| 22 | 2042 | | 436 | 436 | 433,015 | 432,579 | 20 | 20,306 | 20,285 |
| 23 | 2043 | | 436 | 436 | 553,582 | 553,147 | 18 | 23,178 | 3 23,160 |
| 24 | 2044 | | 436 | 436 | 587,375 | 586,939 | 16 | 21,958 | 3 21,942 |
| 25 | 2045 | | 436 | 436 | 617,388 | 616,952 | 15 | 20,607 | 20,593 |
| 26 | 2046 | | 436 | 436 | 648,827 | 648,392 | 13 | 19,336 | 5 19,323 |
| 27 | 2047 | | 436 | 436 | 681,940 | 681,504 | 12 | 18,146 | 6 18,134 |
| 28 | 2048 | | 436 | 436 | 716,742 | 716,306 | 10 | 17,028 | 17,018 |
| 29 | 2049 | | 436 | 436 | 587,601 | 587,165 | 9 | 12,464 | 12,455 |
| 30 | 2050 | | 30,685 | 30,685 | 617,539 | 586,854 | 581 | 11,696 | 5 11,115 |
| | Total | ***** | 103,823 | ***** | 8,707,625 | ***** | ***** | ***** | ***** |

Table 13.6-3 Cost Benefit Stream of the Project

| NPV (US\$ million) | **** |
|-----------------------|------|
| B/C | 1.61 |
| EIRR (%) | 15.1 |
| | |

*****Closed due to confidentiality

(7) Sensitive Analysis

A sensitivity analysis is conducted to see the influence of fluctuation of benefit and construction cost. Sensitivity analysis is made on the cases with +10% and -10% in the cost and benefit, respectively. These changes in cost and benefit are supposed to represent favorable and unfavorable scenarios. The results of the sensitivity analysis are shown in Table 13.6-4.

As the results of sensitivity analysis, even in the worst case which the benefits are decreased by 10% and the project costs are increased by 10% is occurred, the project EIRR of the all cases exceeds 12 % which is usually considered to be sufficient for road projects. Thus, implementation of the project is economically feasible from view point of national economy.

| C | | | Benefits | | | | |
|-------|-----------|--------------------|----------|-----------|-------|--|--|
| C | lase | Economic Indicator | -10% | Base Case | +10% | | |
| | | NPV (US\$ million) | **** | **** | **** | | |
| | -10% | B/C | 1.61 | 1.79 | 1.97 | | |
| | | EIRR | 15.0% | 15.8% | 16.4% | | |
| | Base Case | NPV (US\$ million) | **** | **** | **** | | |
| Costs | | B/C | 1.45 | 1.62 | 1.77 | | |
| | | EIRR | 14.4% | 15.1% | 15.7% | | |
| | +10% | NPV (US\$ million) | **** | **** | **** | | |
| | | B/C | 1.32 | 1.47 | 1.61 | | |
| | | EIRR | 13.8% | 14.4% | 15.1% | | |

 Table 13.6-4
 Results of the Sensitivity Analysis

*****Closed due to confidentiality

13.7 Conclusion

The benefit of the project are represented by the reduction of transport cost and reduction of travel time. Environmental conservation and increase job opportunities for the local people have also considered as indirect benefit which are not taken into consideration the above economic analysis.

Project roads serve as the most important freight transport road for Cambodia and Thailand. Also, the majority of domestic product from western provinces which need to be transported through NR 5. The project influential area has a high development potential for Cambodia.

The number of fatalities by traffic accidents recorded on NR 5 is the highest among those on 1-digit trunk roads. Therefore, improvement of NR 5 will not only promote ASEAN connectivity and international/domestic economic development but also traffic safety in Cambodia.

CHAPTER 14 NOTES FOR IMPLEMENTATION AS JAPANESE ODA LOAN PROJECT

Through the long experiences of implementation of Japanese ODA loan projects, JICA has found many important points which need attention from the view point of smooth implementation of projects as well as to fully achieve the objectives of projects. Among those points, some are pertinent to this Project. Some important points were raised in the Survey for the North Section.

MPWT established the Project Management Unit for the North Section in November 2012 to manage the Project (see Section 11.2). The PMU has completed procurement of the consultant services for the detailed design (DD) and construction supervision (C/S) and has accumulated some experience in the procurement of consultant services

Likewise, the experience of implementation of Japanese ODA loan project will be accumulated within this PMU through implementation of the Project of North Section as well as South Section. It is expected that the Project of the Middle Section can be more effectively managed by the PMU than the North Section and the South Section. However, the notes for implementation of Japanese ODA loan project is reiterated here to draw attention to important points.

14.1 Start-up Stage

Start-up delay is one of the focused areas identified in "2011 Joint Country Portfolio Performance Review (JCPPR)" held on April 28 and 29, 2011, jointly by Ministry of Economy and Finance (MEF), Asian Development Bank (ADB), Japan International Cooperation Agency (JICA) and the World Bank. There are some issues discussed in JCPPR such as recruitment of consultant, project launch workshop and project administration manual. Three issues are focused here.

14.1.1 Land Acquisition, Relocation and Mitigation Plan for Affected Families

The issue "Land Acquisition, Relocation and Mitigation Plan for Affected Families" is one of the most important points in the start-up stage. Many projects have faced difficulties with this issue. JICA has tackled with this issue based on its guidelines. However, some projects such as National Road No. 1 and Neak Loeang Bridge have received criticism on this issue.

So far, most of the precedent projects under Japanese ODA Loan have no problem on land acquisition and relocation. In the projects of 'Sihanoukville Port', 'Phnom Penh Water' and 'Telecom Cambodia' land acquisition was completed before the commencement of civil works. For the most recent project under Japanese ODA Loan, West Tonle Sap Irrigation and Drainage Rehabilitation and Improvement Project, this issue was not so serious because almost of land has already acquired.

JICA provided technical assistance on this issue through the Project on Capacity Enhancement of Environmental and Social Considerations for Resettlement. Under this project, Basic Resettlement Procedures (BRP) was established in March 2012. The result of this technical assistance project is very helpful for the NR 5 project. It is expected that the land acquisition and resettlement will be implemented smoothly after experiencing the North Section and South Section.

14.1.2 Internal Approval Procedures

In the JCPPR, the development partners indirectly pointed this issue. There are two major points; delay in decision making and insufficient capacity of staff regarding the project implementation procedures.

For the Japanese ODA loan projects, not only the decision in project executing agency but also that of MEF is necessary. Sometimes the final decision needs long time because of the long decision making line in the authorities.

On the side of MPWT, It is necessary for MPWT staff to familiarize themselves with the procedures of project implementation under Japanese ODA loan.

Also, provision of a procurement specialist by JICA, if implemented, is expected to be effective to assist MPWT in approval procedure.

14.2 Procurement Stage

The delays in procurement procedures was also pointed out in the JCPPR. JCPPR identified four issues; enhancing procurement capacity, strengthening governance and building capacity of staff in public procurement, strengthening and streamlining procurement oversight and monitoring, ensuring reasonableness and reliability of cost estimates. In case of Japanese ODA Loan projects, two issues among the issues, enhancing procurement capacity and strengthening and streamlining procurement oversight and monitoring are important points.

JCPPR proposed some measures for this issue. The main points are; strengthening and streamlining the Procurement Review Committee and the quality control of procurement document. It is recommended that JICA consider the following measures:

- (i) Use of Sample Procurement Documents prepared by JICA
- (ii) Procurement Seminars to not only MPWT but also Procurement Review Committee members including representatives of MEF.

Employment of Competent Consultant and Good Contractor

In the procurement stage, most important thing is to employ competent consultant and good contractors. Competent consultants and good contractors, in many cases, can prevent many risks, such as poor work quality, delay in progress and cost overrun, from occurring.

To recruit a good consultant, weight of financial proposal in the evaluation of proposal with QCBS needs to be as small as possible. In case of consultant services, low price becomes possible only with low-priced experts who often do not have required skill/knowledge/experience.

Offering large-size contract packages is generally believed to be one of practical measures for

employing good contractors. In addition to this, diligent prequalification and bid evaluation are also important. However, it is a fact that there have been several cases in the past where contractors with poor ability were employed. Employment of a competent consultant can prevent to certain extent the problem caused by a contractor with poor capacity.

14.3 Construction Stage

In the construction stage, the development partners including JICA faced some delay and difficulties. The major problems are insufficient quality of civil works and construction safety.

14.3.1 Construction Quality Control

Quality control is utmost important aspect in road construction/rehabilitation. However, MPWT has suffered in the past from substandard quality and consequent premature deterioration of roads which resulted in unexpectedly high maintenance cost and hindrance to traffic. Figure 14.3-1 shows examples of roads where quality is poor.





Photo 1: NH48 Near Koh Kong (in 2010)Photo 2: NH7 Near Kratie (in Apr., 2009)Figure 14.3-1Examples of Road with Poor Quality

The JICA Team considers employment of competent consultant and good contractors is the key to successful quality management. The followings are possible measures for employing good contractors:

(1) Packaging

In order to attract qualified international constructors, the most important point is the size of contract. It is recommended to make the size of procurement package as much as possible.

(2) Local Competitive Bidding

In order to keep the quality of civil works, it is recommended to avoid LCB except for small package. As pointed out in the JCPPR, in Cambodia, the capacity of local constructor is still limited.

(3) Two-Envelope Bidding

In order to select qualified international contractor, it is necessary to use Two-Envelop Bidding following the JICA guidelines. The specification for and evaluation of technical proposal are important points.

14.3.2 Construction Safety

Here the term construction safety refers to two kind of safety; safety of workers and safety of the third party which is traffic and people around the work site.

It is one of the main concerns of JICA in Japanese loan projects that projects are implemented without accidents. Construction safety tends to be given little attention, if not neglected, in many developing countries. However, with rapid socioeconomic development, safety is becoming one of the important issues. Thus, diligent attention needs to be given to this aspect.

Examples of measures for enhancing safety may include the following:

- (i) Detailed specification for safety measures in bidding documents
- (ii) Strict condition in technical specification on the experience on construction safety
- (iii) Continuous training and seminars for MPWT staff, such as the "Seminar on Safety Management and Quality Management of Infrastructure Projects in Cambodia" on Feb. 21, 2011, organized by JICA
- (iv) Use of result of study on Construction Safety Management of ODA Projects implemented by the Overseas Construction Association of Japan, Inc. (OCAJI)

Competent consultant and good contractors usually can considerably contribute to both types of safety for worker and third party because good site management is the base of such safety. It should be noted that safety measures often needs some cost. Thus, cost for required safety measures need to be reflected in the cost estimation.

14.4 Operation and Maintenance Stage

14.4.1 Budget for Operation and Maintenance

In 2010, maintenance budget was increased from USD 32.8 million in 2009 to USD 35.8 million (9% increased). This budget will be allocated for the maintenance of the following structures:

- 1. Routine Maintenance USD 17.9 Million
- 1.1 National and provincial road (A/C) USD 7.9 Million
- 1.2 National and provincial road (Laterite) USD 5.9 Million
- 1.3 Traffic inspection USD 0.1 Million
- 1.4 Culvert construction at key infrastructure USD 4.0 Million

- 2. Periodic Maintenance USD 15.0 Million
- 3. Emergency maintenance USD 2.9 Million

However, the above budget is not sufficient for the maintenance works. So far, the large scale maintenance and improvement works have been financed by Development Partners' assistance. This Project is to improve the pavement type of NR 5 from DBST to AC, and is expected to reduce annual maintenance cost. However, rehabilitation of AC pavement becomes necessary every 10 years in usual practice and MPWT needs to prepare relatively large fund for this pavement rehabilitation.

14.4.2 Traffic Safety

This Project is to widen the carriageway of existing NR 5 and separate slow traffic, such as motorcycles and Motorumoks, and high-speed traffic, such as passenger cars. As a result, the chances of traffic accidents are expected to be reduced in general.

On the other hand, there is a possibility that some pedestrians cannot respond to the increased speed of vehicles, especially that of high-speed vehicles, and may commit miss judgment when crossing the road and hit by a vehicle. Thus it is recommended that campaign to raise awareness of roadside residents against increased vehicle speed be implemented as the road improvement approach to completion. Also so-called '3Es' (engineering, education and enforcement) should be practiced.

14.4.3 Enforcement against Overloaded Trucks

It is widely known that overloaded trucks severely damage pavement. Thus, enforcement against overloaded trucks is indispensable to secure expected life period of pavement and achieve expected project benefit.

The locations of weighing station on National Road No.5 are;

- (i) Lung Vek (Kampong Chhnang 048+000),
- (ii) Kleang Moeung (Pursat 191+800),
- (iii) Anlung Vil (Battambang 282+000), and
- (iv) Koun Domrei (B. Meanchey 389 + 000).

Inclusion of renewal and addition of weighing stations in the Project f the South Section is being discussed between MPWT and JICA Fact-Finding/Appraisal Mission and it is expected that the cost of renewing/adding weighing station be included in the Project Cost. Effective operation of these weighing stations is expected to substantially reduce overloaded trucks. MPWT should continue its effort, with cooperation of traffic police, for effective operation of weighing stations.

CHAPTER 15 ENVIRONMENTAL AND SOCIAL CONSIDERATION

15.1 Legal, and Administrative Framework

15.1.1 Legal Framework

(1) Law on Environmental Protection and Natural Resource Management

"Law on Environmental Protection and Natural Resource Management (Preah Reach Kram / NS - RKM - 1296/36)" was enacted in November, 1996 and is the main legal instrument in governing the environmental protection and natural resource management in Cambodia. The purposes are as follows:

- > To protect and promote environmental quality and public health through the prevention, reduction, and control of pollution,
- To assess the environmental impacts of all proposed projects prior to the issuance of a decision by the Royal Government of Cambodia (RGC),
- To ensure the rational and sustainable conservation, development, management, and use of the natural resources of the Kingdom of Cambodia,
- > To encourage and enable the public to participate in environmental protection and natural resource management,
- > To suppress any acts that cause harm to the environment.

The Article 6 and 7 in the Chapter 3 of the above law regulate environmental impact assessment system in Cambodia.

Article 6:

"An environmental impact assessment (EIA) shall be conducted on every project and activity of the private or public, and shall be approved by the Ministry of Environment before being submitted to the RGC for decision. This assessment shall also be conducted for existing activities that have not yet been assessed for environmental impacts. The procedures of the process for environmental impact assessment shall be defined by sub - decree following a proposal of the Ministry of Environment. The nature and size of the proposed projects and / or activities (proposed and existing) both private and public, that shall be subject an environmental impact assessment which shall be defined by sub - decree following a proposal of the Ministry of Environment.

Article 7:

"All investment Project Applications and all proposed State projects shall be subject to an initial Environmental Impact Assessment and / or Environmental Impact Assessment as specified in article 6 of this law. The Ministry of environment shall review and provide recommendations on the initial Environmental Impact Assessment and / or environmental impact assessment to the competent bodies within period determined by the Law on Investment of the Kingdom of

Cambodia."

(2) Sub - Decree on Environmental Impact Assessment Process

"Sub - decree on Environmental Impact Assessment Process (Anukret / 72 ANK - BK / 11 Aug 99)" was prepared in August, 1999. The main objectives of this sub - decree are as follows:

- To determine an Environmental Impact Assessment (EIA) process for every private and public project or activity. The assessment shall be reviewed by the Ministry of Environment prior to submission to the RGC for a decision.
- > To determine the type and size of the proposed private and public projects and activities, including existing and ongoing activities subject to the process of EIA.
- To encourage public participation in the implementation of the EIA process and take into account their input and suggestions in the process of project approval.

EIA requirements for proposed projects are mentioned in the Chapter 3 of the described above Sub - Decree (Article $6 \sim 13$).

Article 6:

"A Project Owner must conduct Initial Environmental Impact Assessment (IEIA) in order to comply with the EIA requirement as stated in the annex of this sub - decree."

Article 8:

"A Project Owner must apply to the MOE for reviewing their full report of EIA report and Feasibility Study, in case a project tends to cause a serious impact to the natural resources, ecosystem, health and public welfare."

Article 11:

"A Project Owner must cover all the fee's services for reviewing and monitoring upon their project. These service fees shall be approved by the Ministry of Economy and Finance (MEF) following the proposal of the MOE. The said fee shall be incorporated into the national budget."

According to this sub - decree, the types of projects and criteria for mandating IEIA / EIA are stipulated as summarized in Table 15.1-1. National Road construction project with length over 100 km is required an IEIA or EIA. Therefore, this project needs to conduct the IEIA or EIA.

 Table 15.1-1
 List of Projects and its Criteria Required IEIA / EIA in Cambodia

| No. | Type and Activities of Projects | Size / Capacity |
|-----|---------------------------------|---|
| А. | INDUSTRIAL | |
| В. | AGRICULTURE | |
| C. | TOURISM | |
| D. | INFRASTRUCTURE | |
| 1. | Urbanization development | All sizes |
| 2. | Industrial zones | All sizes |
| 3. | Construction of bridge - roads | > = 30 Tones weight |
| 4. | Buildings | $\text{Height} > = 12 \text{ m or floor} > = 8,000 \text{ m}^2$ |

Preparatory Survey for National Road No.5 Improvement Project (*Middle Section: Thlea Ma'am – Battambang, and Sri Sophorn – Poipet*)

| No. | Type and Activities of Projects | Size / Capacity |
|-----|---------------------------------|--------------------------|
| 5. | Restaurants | > = 500 Seats |
| 6. | Hotels | > = 60 Rooms |
| 7. | Hotel adjacent to coastal area | > = 40 Rooms |
| 8. | National road construction | > = 100 Kilometers |
| 9. | Railway construction | All sizes |
| 10. | Port construction | All sizes |
| 11. | Air port construction | All sizes |
| 12. | Dredging | $> = 50,000 \text{ m}^3$ |
| 13. | Damping site | > = 200,000 people |

Source: Sub - Decree on Environmental Impact Assessment Process (1999)

(3) General Guideline for Conducting Initial and full Environmental Impact Assessment Reports

"Prakas (Declaration) on General Guideline for Conducting Initial and Full Environmental Impact Assessment Reports" was prepared in September, 2009 and guides the preparation of IEIA (Initial Environmental Impact Assessment) or EIA (Full Environmental Assessment) report for the project owner.

(4) **Protected Area Law**

"Protected Area Law" was enacted in January 2008. This law defines the framework of management, conservation and development of protected areas. The objectives of this law are to ensure the management, conservation of biodiversity, and sustainable use of natural resources in protected areas.

(5) Sub - Decree on Water Pollution Control

"Sub - Decree on Water Pollution Control (No:27 ANRK.BK)" was prepared in April 1999. The purpose of this sub - decree is to regulate the water pollution control in order to prevent and reduce the water pollution of the public water areas so that the protection of human health and the conservation of bio - diversity should be ensured.

(6) Sub - Decree on Solid Waste Management

"Sub - Decree on Solid Waste Management (No:36 ANK - BK)" was enacted in April, 1999. The purpose of this sub - decree is to regulate solid waste management in a proper technical manner and to provide safety precautions in order to ensure the protection of human health and the conservation of biodiversity.

(7) Sub - Decree on Control of Air Pollution and Noise Disturbance

"Sub - Decree on Control of Air Pollution and Noise Disturbance (No:42 ANK - BK)" was enacted in June, 2000. The purpose of this sub - decree is to protect the quality of the environment and public health from air pollutants and noise disturbance through monitoring, curbing and mitigating activities.

(8) JICA Guidelines

JICA has prepared "Guidelines for Environmental and Social Considerations, April 2010" as the referential guidelines for environmental and social considerations. According to the guidelines, JICA classifies development projects into four categories with regards to the extent of environmental and social impacts, and taking into account the outlines, scale, site and other conditions. The four categories are as follows:

- Category A: Proposed projects are likely to have significant adverse impacts on the environment and society.
- Category B: Proposed projects are classified as Category B if their potential adverse impacts on the environment and society are less adverse than those of Category A projects.
- Category C: Proposed projects are classified as Category C if they are likely to have minimal or little adverse impact on the environment and society.
- Category FI: A proposed project is classified as Category FI if it satisfies all of the followings:
 - JICA's funding of JICA REDP is provided to a financial intermediary or executing agency;
 - The selection and appraisal of the components is substantially undertaken by such an institution only after JICA's approval of the funding, so that the components cannot be specified prior to JICA's approval of funding (or project appraisal); and
 - Those components are expected to have a potential impact on the environment.

NR 5 Improvement Project (Thlea Ma'am and Battambang section and Sri Sophorn – Poipet section) to be implemented is classified as "Category A".

15.1.2 EIA Schedule

According to Sub - decree on EIA Process, NR 5 Improvement Project needs to conduct the EIA study and EIA report needs approval of the Ministry of Environment (MOE). Figure 15.1-1 shows general flow of approval of IEIA / EIA.

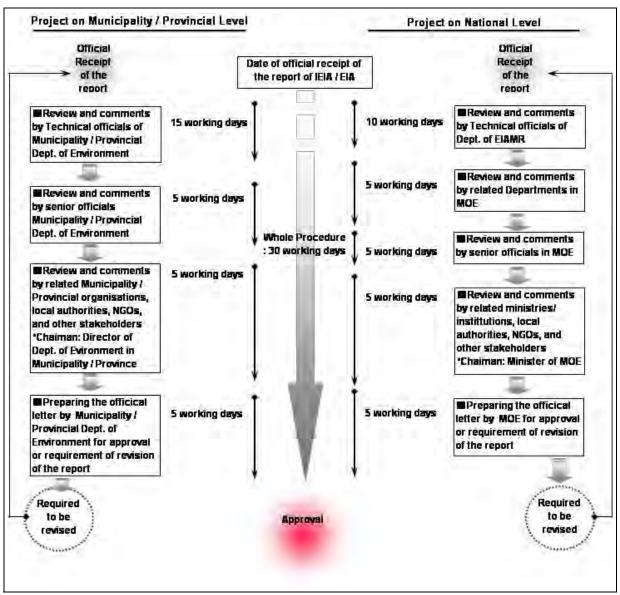


Figure 15.1-1 IEIA / EIA Approval Procedure

Table 15.1-2 shows the schedule of EIA study for this Survey:

| Table 15.1-2 Tentative Scheudle of ETA Trocedure | | | | | | | | | | | | | |
|--|-----------|-----|-----|-----|------|-----|-----|-----------|-----|-----|-----|-----|-----|
| Year | Year 2013 | | | | 2014 | | | | | | | | |
| Month | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Jan ~ May | Jun | Jul | Aug | Sep | Oct |
| Contract with EIA | | | | | | | | | | | | | |
| Consultant | | | | | | | | | | | | | |
| Literature and Field | | | | | | | | | | | | | |
| Survey, EIA Study and | | | | | | | | | | | | | |
| Reporting by Consultant | | | | | | | | | | | | | |
| Meeting with MOE (1) | | | | | | | | | | | | | |
| Holding of public | | | | | | | | | | | | | |
| meetings | | | | | | | | | | | | | |
| Fixing on conceptual | | | | | | | | | | | | | |
| alignment | | | | | | | | | | | | | |
| Submission of First Draft | | | | | | | | | | | | | |
| EIA Report to JICA | | | | | | | | | | | | | |
| Survey Team | | | | | | | | | | | | | |
| Review of First Draft EIA | | | | | | | | | | | | | |
| by JICA Survey Team | | | | | | | | | | | | | |
| Additional Survey by | | | | | | | | | | | | | |
| Consultant in Dry Period | | | | | | | | | | | | | |
| Submission of Draft EIA | | | | | | | | | | | | | |
| Report to JICA Survey | | | | | | | | | | | | | |
| Team | | | | | | | | | | | | | |
| Review of Draft EIA by | | | | | | | | | | | | | |
| JICA Survey Team | | | | | | | | | | | | | |
| Meeting with MOE (2) | | | | | | | | | | | | | |
| Submission of Final EIA | | | | | | | | | | | | | |
| Report to MOE | | | | | | | | | | | | | |
| Review of EIA report by | | | | | | | | | | | | | |
| MOE | | | | | | | | | | | | | |
| Approval on EIA report | | | | | | | | | | | | | |

Table 15.1-2 Tentative Schedule of EIA Procedure

The procedure for Initial EIA will be skipped.

JICA Survey Team will conduct Full EIA form the beginning.

15.1.3 Institutional Framework

The Department of Environmental Impact Assessment (DEIA) in MOE and Municipality / Provincial Department of Environment (DE) are in charge of review and making comment on the IEIA or EIA report of public / private project each on national level and municipality / provincial level following the general guidelines. MOE and Municipality / Provincial DE are also responsible to prepare the official letter for approval or require the project's owner for revision of the IEIA or Full EIA report. Figure 15.1-2 to 15.1-3 show organizational structure of DEIA in MOE and Provincial / Municipal Environmental Department (PMED), respectively.

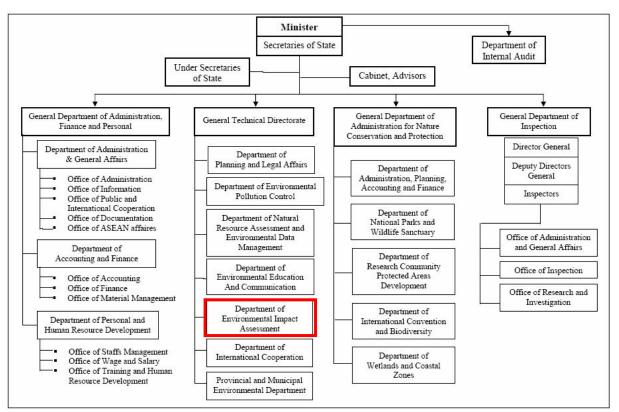


Figure 15.1-2 Organization Chart of MOE

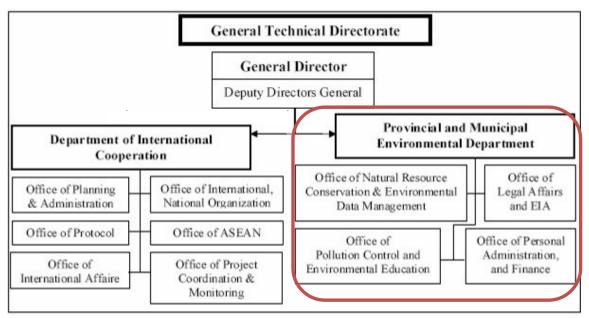


Figure 15.1-3 Organizational Structure of PMED

15.1.4 Environmental Standard

(1) Air Quality

Sub - Decree on Air and Noise Pollution Control (1999) provides the maximum allowable limits for ambient air pollutants.

| No. | Parameter | 1 Hour Average mg/m ³ | 8 Hour Average mg/m ³ | 24 Hour Average mg/m ³ | 1 Year Average mg/m ³ |
|-----|-------------------------------------|----------------------------------|----------------------------------|--------------------------------------|----------------------------------|
| 1 | Carbon monoxide (CO) | 40 | 20 | - | - |
| 2 | Nitrogen dioxide (NO ₂) | 0.3 | - | 0.1 | - |
| 3 | Sulfur dioxide (SO ₂) | 0.5 | - | 0.3 | 0.1 |
| 4 | Ozone (O ₃) | 0.2 | - | - | - |
| 5 | Lead (Pb) | - | - | 0.005 | - |
| 6 | Total Suspended Particulate (TSP) | - | - | 0.33 | 0.1 |

 Table 15.1-3
 Ambient Air Quality Standard in Cambodia

Source: Sub - Decree on Air and Noise Pollution Control (2000), Annex 1

(2) Noise

Sub - decree on Air and Noise Pollution Control (2000) provides the maximum allowance of noise level in public and residential area.

| | | Period of time | | | | |
|-----|-------------------------------------|----------------|------------|------------|--|--|
| No. | Area | From 6:00 | From 18:00 | From 22:00 | | |
| | | to 18:00 | to 22:00 | to 6:00 | | |
| | Quiet areas | | | | | |
| | - Hospitals | | | | | |
| 1 | - Libraries | 45 | 40 | 35 | | |
| | - School | | | | | |
| | - Kindergarten | | | | | |
| | Residential area: | | | | | |
| 2 | - Hotels | 60 | 50 | 45 | | |
| 2 | - Administration offices | 00 | | 43 | | |
| | - House | | | | | |
| 3 | Commercial and service area and mix | 70 | 65 | 50 | | |
| 4 | Small industrial factories | 75 | 70 | 50 | | |
| 4 | intermingling in residential areas | 75 | 70 | 50 | | |

 Table 15.1-4
 Maximum Permitted Noise Level in Public and Residential Area (dB(A))

Remark: This standard is applied to control of noise level of any source of activity that emitted noise into the public and residential area.

Source: Sub - Decree on Air and Noise Pollution Control (2000), Annex 6

(3) Water Quality

Table 15.1-5 to 15.1-6 show Cambodian standards for water quality in public water areas for bio - diversity conservation. Table 15.1-7 shows the water quality standard for discharging water into public water areas.

| Table 15.1-5 | Water Quality Standard for Bio - Diversity Conservation (for River) |
|--------------|---|
|--------------|---|

| No | Parameter | Unit | Standard Value |
|----|------------------|--------------|----------------|
| 1 | pH | mg/l | 6.5 - 8.5 |
| 2 | BOD5 | mg/l | 1 - 10 |
| 3 | Suspended Solid | mg/l | 25 - 100 |
| 4 | Dissolved Oxygen | mg/l | 2.0 - 7.5 |
| 5 | Coliform6 | MPN / 100 ml | < 5,000 |

Source: Sub - Decree on water pollution control (1999), Annex 4

| | (IOF Lakes and Reservoirs) | | | | | | |
|----|----------------------------|--------------|----------------|--|--|--|--|
| No | Parameter | Unit | Standard Value | | | | |
| 1 | pH | mg/l | 6.5 - 8.5 | | | | |
| 2 | COD | mg/l | 1 - 8 | | | | |
| 3 | Suspended Solid | mg/l | 1 - 15 | | | | |
| 4 | Dissolved Oxygen | mg/l | 2.0 - 7.5 | | | | |
| 5 | Coliform | MPN / 100 ml | < 1,000 | | | | |
| 6 | Total Nitrogen | mg/l | 1.0 - 0.6 | | | | |
| 7 | Total Phosphorus | mg/l | 0.005 - 0.05 | | | | |

Table 15.1-6 Water Quality Standard for Bio - Diversity Conservation

(for Lakes and Reservoirs)

Source: Sub - Decree on water pollution control (1999), Annex 4

Table 15.1-7 Standard for Discharging Wastewater into Public Water Area

| No. | Pollutant | TT */ | Allowable Limit | | | |
|-----|-------------------------------|-----------|------------------------|---------------------------|--|--|
| | | Unit | Protected Public Water | Public Water Area & Sewer | | |
| 1 | Temperature | Degrees C | < 45 | < 45 | | |
| 2 | pH | - | 6 - 9 | 5 - 9 | | |
| 3 | BOD5 (5 days at 20°C) | mg/l | < 30 | < 80 | | |
| 4 | COD | mg/l | < 50 | < 100 | | |
| 5 | Total Suspended Solids | mg/l | < 50 | < 80 | | |
| 6 | Total Dissolved Solids | mg/l | < 1,000 | < 2,000 | | |
| 7 | Grease and Oil | mg/l | < 5.0 | < 15 | | |
| 8 | Detergents | mg/l | < 5.0 | < 15 | | |
| 9 | Phenols | mg/l | < 0.1 | < 1.2 | | |
| 10 | Nitrate (NO ₃) | mg/l | < 10 | < 20 | | |
| 11 | Chlorine (free) | mg/l | < 1.0 | < 2.0 | | |
| 12 | Chloride (ion) | mg/l | < 500 | < 700 | | |
| 13 | Sulfate (as SO ₄) | mg/l | < 300 | < 500 | | |
| 14 | Sulfide (as Sulfur) | mg/l | < 0.2 | < 1.0 | | |
| 15 | Phosphate (PO ₄) | mg/l | < 3.0 | < 6.0 | | |
| 16 | Cyanide (CN) | mg/l | < 0.2 | < 1.5 | | |
| 17 | Barium (Ba) | mg/l | < 4.0 | < 7.0 | | |
| 18 | Arsenic (As) | mg/l | < 0.10 | < 1.0 | | |
| 19 | Tin (Sn) | mg/l | < 2.0 | < 8.0 | | |
| 20 | Iron (Fe) | mg/l | < 1.0 | < 20 | | |
| 21 | Boron (Bo) | mg/l | < 1.0 | < 5.0 | | |
| 22 | Manganese (Mn) | mg/l | < 1.0 | < 5.0 | | |
| 23 | Cadmium (Cd) | mg/l | < 0.1 | < 0.5 | | |
| 24 | Chromium (Cr ⁺³) | mg/l | < 0.2 | < 1.0 | | |
| 25 | Chromium (Cr ⁺⁶) | mg/l | < 0.05 | < 0.5 | | |
| 26 | Copper (Cu) | mg/l | < 0.2 | < 1.0 | | |
| 27 | Lead (Pb) | mg/l | < 0.1 | < 1.0 | | |
| 28 | Mercury (Hg) | mg/l | < 0.002 | < 0.05 | | |
| 29 | Nickel (Ni) | mg/l | < 0.2 | < 1.0 | | |
| 30 | Selenium (Se) | mg/l | < 0.05 | < 0.5 | | |
| 31 | Silver (Ag) | mg/l | < 0.1 | < 1.0 | | |

Preparatory Survey for National Road No.5 Improvement Project (Middle Section: Thlea Ma'am – Battambang, and Sri Sophorn – Poipet)

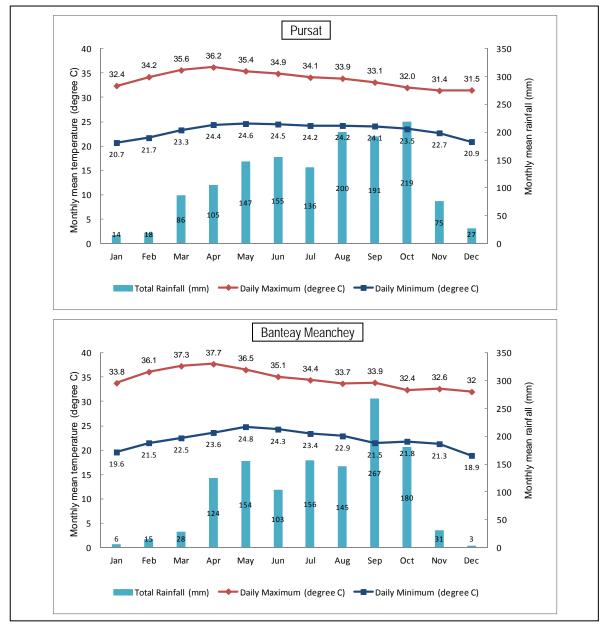
| Na | Pollutant | Unit | Allowable Limit | | | |
|-----|----------------------------|------|------------------------|---------------------------|--|--|
| No. | | | Protected Public Water | Public Water Area & Sewer | | |
| 32 | Zinc (Zn) | mg/l | < 1.0 | < 3.0 | | |
| 33 | Molybdenum (Mo) | mg/l | < 0.1 | < 1.0 | | |
| 34 | Ammonia (NH ₃) | mg/l | < 5.0 | < 7.0 | | |
| 35 | DO | mg/l | > 2.0 | > 1.0 | | |
| 36 | Polychlorinated Biphenyl | mg/l | < 0.003 | < 0.003 | | |
| 37 | Calcium | mg/l | < 150 | < 200 | | |
| 38 | Magnesium | mg/l | < 150 | < 200 | | |
| 39 | Carbon Tetrachloride | mg/l | < 3 | < 3 | | |
| 40 | Hexachloro Benzene | mg/l | < 2 | < 2 | | |
| 41 | DDT | mg/l | < 1.3 | < 1.3 | | |
| 42 | Endrin | mg/l | < 0.01 | < 0.01 | | |
| 43 | Dieldrin | mg/l | < 0.01 | < 0.01 | | |
| 44 | Aldrin | mg/l | < 0.01 | < 0.01 | | |
| 45 | Isodrin | mg/l | < 0.01 | < 0.01 | | |
| 46 | Perchloro Ethylene | mg/l | < 2.5 | < 2.5 | | |
| 47 | Hexachloro Butadiene | mg/l | < 3 | < 3 | | |
| 48 | Chloroform | mg/l | < 1 | < 1 | | |
| 49 | 1,2 - Dichloro Ethylene | mg/l | < 2.5 | < 2.5 | | |
| 50 | Tricholoro Ethylene | mg/l | < 1 | < 1 | | |
| 51 | Trichloro Benzene | mg/l | < 2 | < 2 | | |
| 52 | Hexachloro Cyclohexene | mg/l | < 2 | < 2 | | |

Source: Sub - Decree on water pollution control (1999), Annex 2

15.2 Natural Environment

15.2.1 Climate

The Project Area is located in tropical monsoon zone. The climate consists of dry season and rainy season. The dry season is from November to March. During dry season, monsoon wind blows from the north bringing cold air from Siberia. Rainy season is from April to October. During rainy season, wind blows from southwest of country bringing moisture from Indian Ocean and make rainfall which is vital for agricultural activities. The annual difference in temperature is a narrow range of $4 \sim 5$ degrees Celsius.



(13 years period (2000-2012) in Pursat and 10 years period (2003-2012) in Banteay Meanchey) Source: Department of Meteorology of Ministry of Water Resources and Meteorology

Figure 15.2-1 Monthly Mean Temperature and Rainfall

15.2.2 Land Use and Forest Area

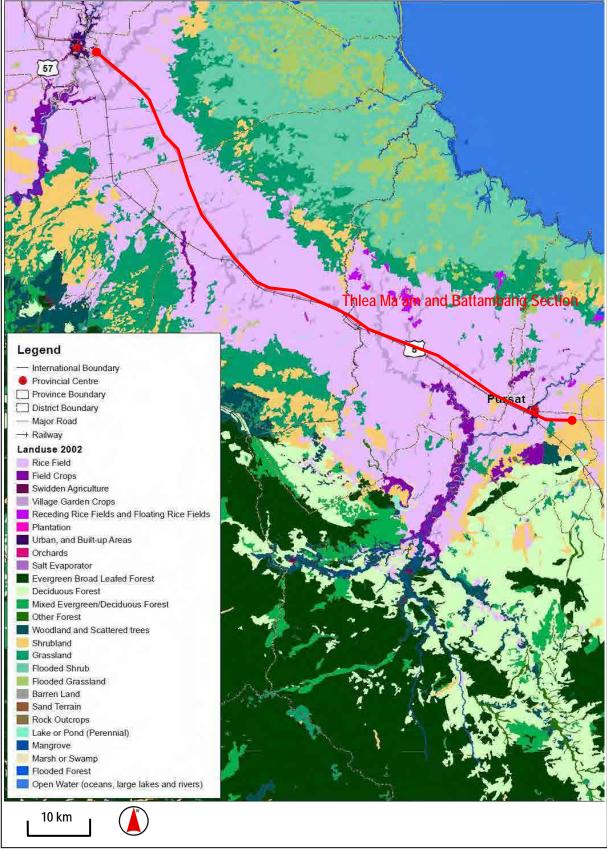
The land use around the project area is mostly agricultural land such as rice field, and vegetable and fruit farm. The other areas are urban build-up areas. There are no forest areas including Community forests along the project area. Flooded forest zone with $10 \sim 30$ km width exists around Tonle Sap lake located to the east of NR 5. The distance between NR 5 Thlea Ma'am and Battambang Section and the flooded forest zone is approximately 7 km at the nearest point, and the interval area is agricultural land. There are no flooded forest zone around Sri Sophorn – Poipet Section.

Community forests:

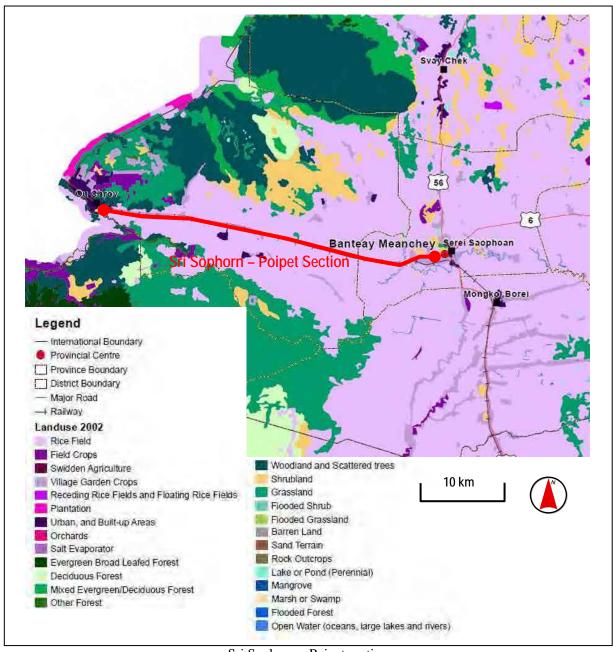
Community forests are defined by "Sub-Decree (No: 79 Or Nor Krar. Bor Kar) on community forestry management, 2003". Forestry Administration is the main implement organization of the sub-decree. Community forests are state forests subject to an agreement to manage and utilize the forest in a sustainable manner between the Forestry Administration and a local community or organized group of people living within or nearby the forest area that depend upon it for subsistence and customary use.

Flooded forest:

The flooded forest is defined by "Sub-decree (Prakas No. 197) on flooded forest, 2011" as a protected forest. Provincial governments and Tonle Sap Authority are the main implement organizations of the sub-decree. The forest has 647,406 hectares of ecologically-rich flooded forest surrounding Tonle Sap lake. The forest is protected against damaging activities caused by excessive exploitation, shifting cultivation, imports of harmful forest vegetation and wildlife species, and so on.



Thlea Ma'am and Battambang Section Figure 15.2-2 Land Use around Project Area (1)



Sri Sophorn – Poipet section

Source: The Atlas of Cambodia National Poverty and Environment Maps 2007

Figure 15.2-3 Land Use around Project Area (2)

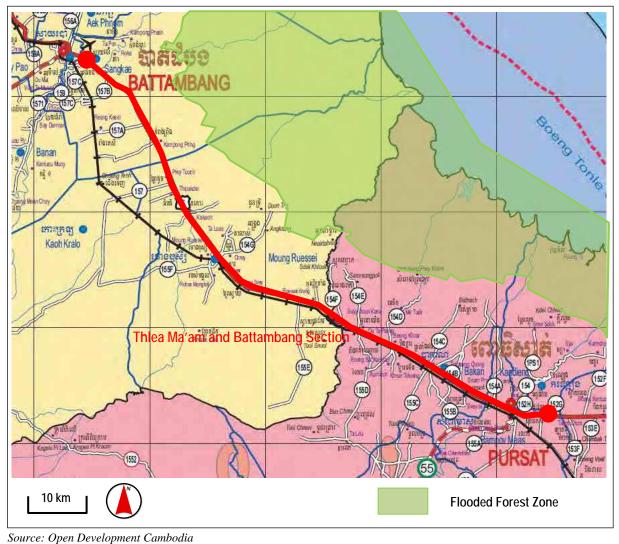
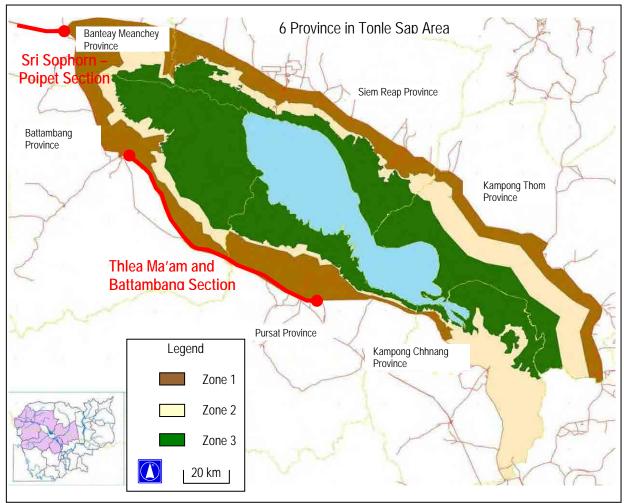


Figure 15.2-4 Flooded Forest around Project Area

Tonle Sap Authority has been classified Tonle Sap Area into three land use zones based on the geographical and hydrological characteristics. The zone 1 and 2 are for agricultural development while the zone 3 is the conservation zone to be fully protected. The middle section of NR 5 runs alongside the line of the zone 1, but does not pass through the zone 2 and 3. The project area including the proposed bypass section is located along or in the zone 1, the agricultural zone, where construction of some physical infrastructures is allowed.

Zone 1 (417,451 ha) : Human habitation and irrigation activity are allowed.Zone 2 (365,300 ha) : No activity is allowed except irrigation activity.Zone 3 (642,794 ha) : Strictly protected area



Source: Tonle Sap Authority

Figure 15.2-5 Land Use Classification of Tonle Sap Area

15.2.3 Protected Area

In Cambodia, protected conservation areas cover around 4.6 million hectares. There are 7 national parks, 10 wildlife sanctuaries, 3 protected landscape areas, 3 multiple use management areas and 7 protected forests (Source: Cambodia Environment Outlook 2009).

In general, Right of Way (ROW) and its surrounding area of NR 5 has been already cultivated and developed for human activities with variety of land use form such as agricultural land, residential area, commercial spots, and so on. Therefore, the target sections of NR 5 do not pass through protected areas for natural environment. However, the middle section runs alongside the line of transition zone in "Tonle Sap Biosphere Reserve (TSBR)" that covers Tonle Sap Lake, the largest fresh water lake in Southeast Asia, and its surrounding flood plain and is recognized as a worldwide important zone for sustainable use and conservation of wildlife, and research and educational ground.

In the meeting held between the officials of the Ministry of Environment (MOE) and the JICA Survey Team confirmed that the ROW (30 m width) of NR 5 is defined as the outside of TSBR.

The proposed Pursat Bypass will pass through the transition zone of TSBR. Development activities are not prohibited in the transition zone by related regulations. The Minister of Ministry of water resources and meteorology who also holds the chairperson of Tonle Sap Authority (TSA) that coordinates the management of TSBR has issued the official letter to the proposed Pursat Bypass construction. The letter suggests considerations for the existing irrigation systems and conservation of present water flow as the conditions. As a result, other additional environmental approvals on TSBR are not required for implementation of the project.

Tonle Sap Biosphere Reserve (TSBR):

Tonle Sap Biosphere Reserve was established for the following objectives by "Royal-Decree on The Establishment and Management of Tonle Sap Biosphere Reserve, 2001".

- 1. Conserve biodiversity, landscape, land shape and ecosystem, including genetic resources, plant, fish and animal species, and restore the biological environment and habitat.
- 2. Support sustainable ecological, environmental, economic, social and cultural development.
- 3. Support activities, including implementation of demonstration projects, training, research, and surveys to monitor the environmental changes, in relation to sustainable development and conservation at local, national, and international levels.

The reserve is approximately 1.4 million hectares, designated by UNESCO in 1997 and includes the lake and most of the surrounding area bordered by NR 5 and 6. TSBR is managed by several organizations including TSA, Cambodia National Mekong Committee, MOE and 6 provincial governments. "Tonle Sap Biosphere Reserve Policy Analysis and Secretariat Action Plan, 2005" was prepared as a strategic plan in "Tonle Sap Environmental Management Project" supported by ADB. Moreover, UNDP conducted "Tonle Sap Conservation Project" with the aim of building management capacity for biodiversity conservation in TSBR from 2004 to 2011.

TSBR has been classified into the core area, buffer zone and transition zone. MOE is responsible for the conservation of natural environment and modification of zoning.

- Core Area: The core areas are defined likewise national park or wildlife sanctuary, which are devoted to long term protection and conservation of natural resources and ecosystem, in order to preserve flooded forest, fish, wildlife, hydrological system, and natural beauty. MOE is responsible for the management and preparation of protection and conservation plan for the core areas. There are 3 core areas, Boeng Chhmar (14,560 ha), Preak Torl (21,342 ha) and Stung Sen (6,355 ha), in TSBR. These core areas are listed in "Protected Area Law, 2008". The distance between the middle section and the core areas is approximately 30 km at the nearest point.
- Buffer Zone (541,482 ha): The buffer zone is subject to experimental research and discovery of method for the management of flooded forest, fishery, agriculture, housing settlement, land use, water resources, navigation and tourism to ensure their

sustainability, increased production, while preserving the environmental quality and fish. Its boundary corresponds to the outer boundary of the Tonle Sap Multiple-Use Area.

Transition Zone (899,600 ha): The flexible transition area is the integrated economic zone, which is managed for the sustainable agriculture, human settlement and land uses, without having adverse effects on the flooded forest, water quality and soils of the region around the Tonle Sap Lake. The area is limited between the outer boundary of the buffer zone and NR 5, and NR 6.

Conflicts about the use of natural resources due to population and development pressure are the main cause for all existing major environmental threats. The major environmental issues in TSBR are as follows:

- Loss of fishery resources due to over fishing and use of destructive and illegal fishing practices
- Clearance of flooded forest due to agricultural development and increase in demand for fuel-wood by local people
- Water contamination due to increase in domestic wastewater, especially around Siem Reap area
- > Increase in erosion and sedimentation due to forest cover decline

Considerable development projects have not been conducted in the buffer zone along NR 5 in recent years. The specific environmental management plans for the transition zone are not implemented in irrigation system rehabilitation projects in the transition zone of Pursat Province and a road drainage improvement project in the transition zone of Kampong Chhnang Province. However, ecological issues owing to the construction works have not identified.

Tonle Sap Multiple-Use Area (316,250 ha):

Multiple-Use Area is defined by "Protected Area Law, 2008" and has the following objectives:.

- 1. Protect and maintain biodiversity and long-term natural value of the area.
- 2. Promote management for responding to the objective of generating sustainable products.
- 3. Protect the base of natural resources to prevent diversion of land use in the form that cause threats to the biodiversity of the area.
- 4. Contribute to the national and local economy and development.

MOE is responsible for the management. The Multiple-Use Area is an area in land and/or water territories, which is rich in natural resources that are intact and require management activities to ensure long-term protection and maintenance of biological resources and ecosystem. In the meantime, it provides natural products and services for use to meet the community needs. The outer boundary of the Tonle Sap Multiple-Use Area corresponds to the boundary of TSBR

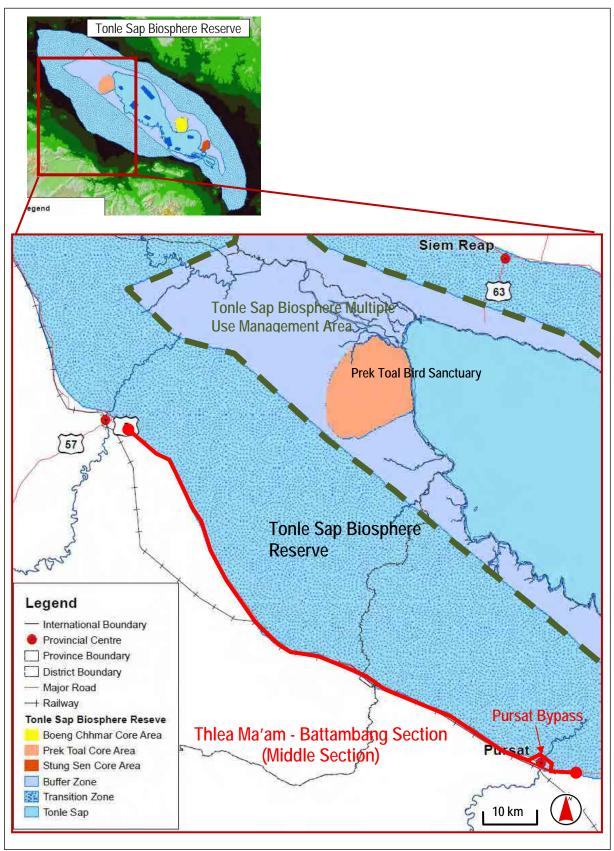
Buffer Zone. The distance between the middle section and Tonle Sap Multiple-Use Area is approximately 20 km at the nearest point.

Roniem Daun Sam Wildlife Sanctuary (40,021 ha):

Wildlife Sanctuary is defined by "Protected Area Law, 2008" and has the following objectives:

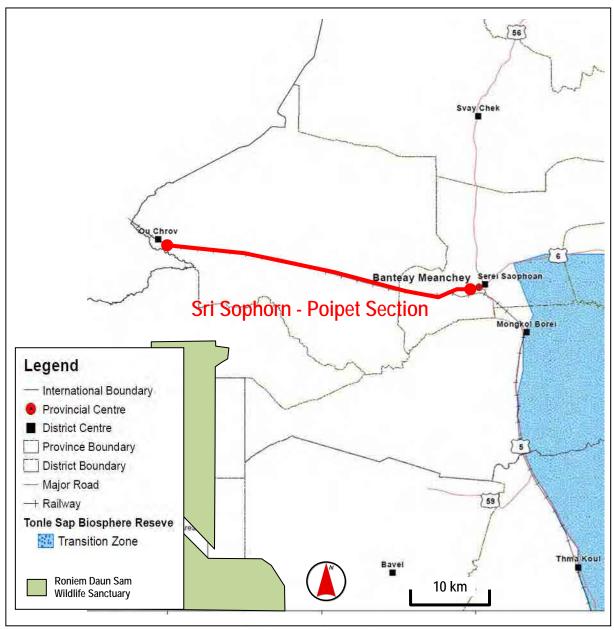
- 1. Protect and maintain the conditions of necessary habitats for living species, particularly important wild animals that require management interventions.
- 2. Serve the scientific research and environmental surveillance fields with the purpose of sustainably managing the natural resources inside and outside the area.
- 3. Serve public education and understanding of the interdependency of relevant habitats and wildlife management.
- 4. Eliminate and prevent illegal use and occupation of any part of the area.
- 5. Provide people, especially the local community living in the wildlife sanctuary, with benefits at a level that can ensure compatibility with other management objectives.

MOE is responsible for the management. The Wildlife sanctuary is an area in land and/or water territories, which requires active interventions for management purposes to ensure maintenance of habitats and/or to meet necessary conditions for any species of animals or plants. The hilly or mountainous areas in Roniem Daun Sam Wildlife Sanctuary are lowland evergreen or semi-evergreen forest, and habitats of pileated gibbon (*Hylobates pileatus*) as "Endangered species" in IUCN red list. The distance between Sri Sophorn - Poipet Section and Roniem Daun Sam Wildlife Sanctuary is approximately 10 km at the nearest point. The land use between NR 5 and the sanctuary is mostly paddy field.



Thlea Ma'am and Battambang Section Source: The Atlas of Cambodia National Poverty and Environment Maps 2007

Figure 15.2-6 Protected Area around Project Area (1)



Sri Sophorn – Poipet Section Source: The Atlas of Cambodia National Poverty and Environment Maps 2007

Figure 15.2-7 Protected Area around Project Area (2)

The core areas of TSBR and Roniem Daun Sam Wildlife Sanctuary are located in the outside of the direct impact extent.

15.2.4 Ecosystem

(1) Methodology

Ecosystem survey consisted of 1. Literature search, 2. Field investigation and 3. Interview survey. Because the project area is not ecological sensitive areas, the useful existing literature on fauna and flora is few.

Identification of Land Use

- Air photo (Resolution 1 m to 3 m, 2008)
- GIS Datasets of Forest Administration (2002 and 2006, scale 1:1,000,000)

Field Investigation Period

- Middle Section : July (Rainy Season) and December (Dry Season), 2013
- SP Section : August (Rainy Season) and December (Dry Season), 2013

Field Investigation Area

150 m both sides of the target road sections

- Middle Section (Thlea Ma'am Battambang) : KP 171 to KP 281+200 (10.13 ha)
- Sri Sophorn Poipet Section (SP Section) : KP 366+250 to KP 402 (0.43 ha)

Vegetation Survey within Corridor of Impact

20 m both sides of the target road sections

- Middle Section (Thlea Ma'am Battambang) : KP 171 to KP 281+200 (3,384 ha)
- Sri Sophorn Poipet Section (SP Section) : KP 366+250 to KP 402 (1,074 ha)

Interview Survey on Wildlife Species

Interview to 1 to 2 representative person(s) each village and a Commune Councilor who know well wildlife in and surrounding the project area

Fishery Resource Survey

Surveyed rivers and water bodies are as follows:

Middle Section

- Pursat River (from Damnak Ampil Dam to Cha Reuk irrigation building, about 34 km long)
 - Survey Area 1: From Damnak Ampil hydraulic structure to Svay Meas village (Upstream of the project area)
 - Survey Area 2: From Koh village to Spean Thmor village (Upstream of the project area)
 - Survey Area 3: From Spean Thmor village to Kampong Krabei village (The project area)
 - Survey Area 4: From Svay Laoung village to Boeung Chhuk village (Downstream of the project area)
- Svay Daun Keo River
- Maung River
- Chork River
- Sandas Stream
- SP Section
 - Sri Sophorn River (from Teuk Thla bridge to Preah Ponlea bridge, about 11 km long)
 - Survey Area-1: From Teuk Thla village to Pro Hoth village along the river with 7 km long
 - Survey Area-2: From Rong Machine village to Preak Russei village with about 5 km long
 - Agricultural canals
- Survey periods are as follows:
 - · Middle Section : July, August (Rainy Season) and November, December (Dry Season),

2013

- SP Section : August, September (Rainy Season) and November, December (Dry Season), 2013
- > Number of fisherperson interviewed or met with are as follows:
- Middle Section Detailed Interview : 4 persons, Meeting : 223 households, equal to 14.75% of total 1,612 households in the survey area
- SP Section Detailed Interview : 2 persons, Meeting : 53 households, equal to 12.86% of total 412 households in the survey area

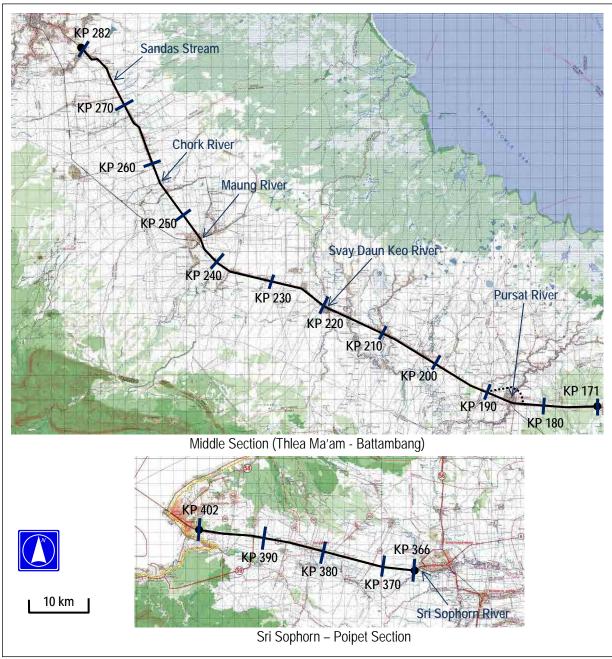


Figure 15.2-8 Location of Surveyed River/Steam and Main Kilometer Post (KP)

(2) Vegetation

Land use of around the project area is mostly agricultural area, mainly paddy field and residential area. There are no forest areas along the target road sections. Therefore, natural vegetation is few or nothing along the road side. The vegetation within the Corridor of Impact (20 m + 20 m width) where the vegetation may be lost due to the construction works, is divided into 1. Roadside tree planted by local forest administrations, 2. Riverside vegetation re-grown in inundated zone, 3. Agricultural plant and 4. Garden plant in private houses.

(a) Middle Section

Roadside Tree

Total 30 species of the roadside trees were identified in Middle section. The total number were 5,210 trees (diameter from 10 cm to 130 cm), 2,621 trees at the northeast side and 2,589 trees at the southwest side or 1,233 trees in Pursat province and 3,977 trees in Battambang province. Earleaf acacia (*Acacia auriculiformis*) is the most popular species and 37% of the total number.

| | Tuble 1012 1 Species and Total Atlander of Roudshiel Free in Mildure Section | | | | | |
|----|--|--------|----|------------------------------|--------|--|
| | Scientific Name | Number | | Scientific Name | Number | |
| 1 | Sindora cochinchinensis | 1 | 16 | Eucalyptus camaldulensis | 701 | |
| 2 | Leucaena glauca Benth. | 5 | 17 | Schleicheria trijuga, Wi | 4 | |
| 3 | Delonix regia, (Boj. Ex Hook) | 875 | 18 | Eugenia sp. | 84 | |
| 4 | Mitragyna hirsuta Hav. | 6 | 19 | Tectona grandis L. f. | 1 | |
| 5 | Feroniella lucida Scheff. | 10 | 20 | Bombax ceiba L. | 1 | |
| 6 | Dialium cochinchinensis | 52 | 21 | Barringtonia acutangula | 12 | |
| 7 | Ceiba pentandra, (L.) G | 59 | 22 | Cassia fistula | 268 | |
| 8 | Swietenia macrophylla King | 3 | 23 | Combretum quadrangulare Kurz | 37 | |
| 9 | Butea monosperma (Lam.) | 55 | 24 | Azadirachta indica | 8 | |
| 10 | Terminalia catappa L. | 38 | 25 | Streblus asper Lour. | 4 | |
| 11 | Morinda citrifolia | 2 | 26 | Cassia siamea | 11 | |
| 12 | Borassus flabellifer | 136 | 27 | Sesbania grandiflora | 7 | |
| 13 | Dipterocarpus obtusifolou | 2 | 28 | Acacia auriculiformis, A. | 1,956 | |
| 14 | Lagerstroemia floribunda | 2 | 29 | Samanea saman | 786 | |
| 15 | Peltophorum ferrugineum | 77 | 30 | (Unknown) | 7 | |
| | Total number of roadside tree 5,210 | | | | | |

 Table 15.2-1
 Species and Total Number of Roadside Tree in Middle Section

Riverside Vegetation

Streams, ditches and canals normally found a small riverside vegetation located in inundated zone are as below:

- Ou Srang Thom stream from KP-177+177 to KP-177+263, in Boeng Kantuot Commune and Tnaot Chum Commune;
- · Srang Touch stream from KP-177+177 to KP-177+263, in Boeng Kantuot Commune

and Tnaot Chum Commune;

- Svay Art Channel from KP-190+727 to KP-190+785, in Snam Preah Commune;
- Canals along both of the road sides, from KP-248+580 to KP-252+938 and from KP-254+252 to KP-255+121 in Moung Commune, Kear Commune and Kakaoh Commune.

36 species were identified at roadside streams and ditches in Middle section .

| | Scientific Name | | Scientific Name |
|----|------------------------------------|----|-----------------------------------|
| 1 | Xanthophyllum glancam | 19 | Zizyphus jujube |
| 2 | Dalbergia herrida, Var.glabrescens | 20 | Phyllanthus lasodiifolius |
| 3 | Euphorbia milii | 21 | Breynia rhamnoides |
| 4 | Cynometra (inaequifolia) | 22 | Hymnocardia wallichii |
| 5 | Cassia alata, L. | 23 | Barringtonia asiatica |
| 6 | Combretum trifoliatum | 24 | Cratoxylum cochinchinese |
| 7 | Phragmites karka Trin | 25 | Ficus racemosa |
| 8 | Ixora cuneifolia, varians | 26 | Tertracera indica |
| 9 | Hydrolea zeylanica | 27 | Cayratia trifolia |
| 10 | Albizia myriophylla | 28 | Merremia hederacea |
| 11 | Uvaria rufa | 29 | Derris trifolia |
| 12 | Bridelia ovata, var. Curtisis | 30 | Antidesma ghaesembilla Gaertn. |
| 13 | Maclura conchinchinensis | 31 | Zizyphus oenoplia mill |
| 14 | Mimosa pigra Fabaceae | 32 | Passiflora foetida Passifloraceae |
| 15 | Mimosa pisdica | 33 | Azadirachta indica Meliaceae |
| 16 | Mitragyna hirsuta Hav. | 34 | Streblus asper Lour. Moraceae |
| 17 | Croton caudatus | 35 | Sesbania grandiflora |
| 18 | Schleichera oleosa (Lour) Oken | 36 | Gmelina asiatica |

 Table 15.2-2
 Species of Riverside Vegetation in Middle Section

(b) Sri Sophorn - Poipet Section

Roadside Tree

Total 32 species of the roadside trees were identified in SP section. The total number were 1,034 trees (diameter from 10 cm to 100 cm), 619 trees at the northeast side and 415 trees at the southwest side. Earleaf acacia (*Acacia auriculiformis*) is the most popular species and 30% of the total number.

 Table 15.2-3
 Species and Total Number of Roadside Tree in Sri Sophorn - Poipet Section

| | Scientific Name | Number | | Scientific Name | Number |
|---|-------------------------------|--------|----|--------------------------|--------|
| 1 | Delonix regia, (Boj. Ex Hook) | 28 | 17 | Eucalyptus camaldulensis | 23 |
| 2 | Mitragyna hirsuta Hav. | 1 | 18 | Schleicheria trijuga, Wi | 2 |
| 3 | Dalbergia entadoides Pierre | 3 | 19 | Ficus religiosa, L. | 14 |
| 4 | Ceiba pentandra, (L.) G | 30 | 20 | Eugenia sp. | 61 |
| 5 | Swietenia macrophylla King | 2 | 21 | Bombax ceiba L. | 3 |
| 6 | Butea monosperma (Lam.) | 5 | 22 | Ficus sp | 1 |

Preparatory Survey for National Road No.5 Improvement Project (Middle Section: Thlea Ma'am – Battambang, and Sri Sophorn – Poipet)

| | Scientific Name | Number | | Scientific Name | Number |
|----|-------------------------------------|--------|----|------------------------------|--------|
| 7 | Terminalia catappa L. | 37 | 23 | Cassia fistula | 77 |
| 8 | Bauhinia acuminata | 1 | 24 | Combretum quadrangulare Kurz | 7 |
| 9 | Morinda citrifolia | 3 | 25 | Azadirachta indica | 1 |
| 10 | Borassus flabellifer | 14 | 26 | Pinus merkusii | 8 |
| 11 | Acacia intsii | 1 | 27 | Cassia siamea | 17 |
| 12 | Lagerstroemia floribunda | 1 | 28 | Sesbania grandiflora | 45 |
| 13 | Diospyros helferi | 1 | 29 | Acacia auriculiformis, A. | 311 |
| 14 | Peltophorum ferrugineum | 95 | 30 | Samanea saman | 206 |
| 15 | Dipterocarpus intricatus | 1 | 31 | (Unknown 1) | 31 |
| 16 | Pterocarpus pedatus | 2 | 32 | (Unknown 2) | 2 |
| | Total number of roadside tree 1,034 | | | | |

Riverside Vegetation

The riverside vegetation was found at ditches and agricultural canals between KP 366+250 to KP 366+685 in Teuk Thla village, Teuk Thla Sangkat and Sri Sophorn Town.

24 species were identified at roadside streams and ditches in Sri Sophorn - Poipet section.

| 1 a | Table 15.2-4 Species of Riverside Vegetation in 511 Sophorn - I oper Section | | | | | |
|-----|--|----|--------------------------------------|--|--|--|
| | Scientific Name | | Scientific Name | | | |
| 1 | Xanthophyllum glancam | 13 | Zizyphus oenoplia mill | | | |
| 2 | Mitragyna hirsuta Hav. | 14 | Passiflora foetida Passifloraceae | | | |
| 3 | Dalbergia herrida, Var.glabrescens | 15 | Dalbergia nigrescens, Varsaigonensis | | | |
| 4 | Raphanus sativus | 16 | Sesbania javanica | | | |
| 5 | Samandura harmandii Pierre | 17 | Gmelina asiatica | | | |
| 6 | Phragmites karka | 18 | (Stixis obusifolia) | | | |
| 7 | Crateva andansonii Subsp odorata | 19 | Cayratia trifolia | | | |
| 8 | Ixora cuneifolia | 20 | Merremia hederacea | | | |
| 9 | Croton caudatus | 21 | Derris trifolia | | | |
| 10 | Breynia rhamnoides | 22 | (Unknown 1) | | | |
| 11 | Hymnocardia wallichii | 23 | (Unknown 2) | | | |
| 12 | Barringtonia asiatica | 24 | (Unknown 3) | | | |
| | | | | | | |

 Table 15.2-4
 Species of Riverside Vegetation in Sri Sophorn - Poipet Section



Figure 15.2-9 Roadside Trees along NR 5

The details of roadside tree species and riverside vegetation are presented in Appendix 15-1.

(3) Mammal

(a) Middle Section

Five mammal species were identified in the project area. Lyle's Flying-fox in them is in the appendix II of Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES). Moreover, Wroughton's Free-tailed Bat (Phnom Tbeang Bat) classified a rare species by Cambodian forest administration was identified in the project area. However, they just fly across the project area.

(b) Sri Sophorn - Poipet Section

Six mammal species were identified in the project area. Island Flying-fox in them is in the appendix of CITES II. However, this bat lives in temples such as Rattanak Nimit in Yeang Thmey village, Kob commune and just flies across the project area.

Species categorized in Critically Endangered (CR), Endangered (EN) and Vulnerable (VU) of the International Union for Conservation of Nature (IUCN) Red List were not identified in both sections. The list of identified mammal species and distribution are presented in Appendix 15-2.

(4) Bird

(a) Middle Section

34 bird species were identified in the project area. Barn Owl and Black-shouldered kite in them are listed in Appendix II of CITES.

(b) Sri Sophorn - Poipet Section

38 bird species were identified in the project area. Barn Owl and Black-shouldered kite in them are listed in Appendix II of CITES.

Species categorized in CR, EN and VU of the IUCN Red List were not identified in both sections. The list of identified bird species and distribution are presented in Appendix 15-2.

(5) Reptile and Amphibian

(a) Middle Section

29 species of reptiles and amphibians were identified in the project area. Monocle Cobra classified a rare species by Cambodian forest administration and Indochinese Spitting Cobra in them are listed in Appendix II of CITES.

(b) Sri Sophorn - Poipet Section

30 species of reptiles and amphibians were identified in the project area. Monocle Cobra classified a rare species by Cambodian forest administration and Indochinese Spitting Cobra in them are listed in Appendix II of CITES.

Species categorized in CR, EN and VU of the IUCN Red List were not identified in both

sections. The list of identified reptile and amphibian species and distribution are presented in Appendix 15-2.



Natricinae snake: which was run over by car, its picture was taken on July 7, 2013



Striped Keelback which was run over by car, its picture was taken on August 20, 2013



Keeled Rat Snake which was run over by car, its picture was taken on August 9, 2013

Figure 15.2-10 Snakes Found on NR 5

(6) Fish

(a) Middle Section

97 fish species were identified in the selected four areas in Pursat river. Moreover, 56 species in Svay Doun Koe river, 54 species in Maung river, 61 species in Chak river and 57 species in Sandas stream were identified. The total identified fish species were 114 in project area. Finescale tigerfish (*Datnioides undecimradiatus*) in them classified a critically endangered species and Puntius tetrazona (*Systomus partipentazona*) classified an endangered species by "Sub decree No. 123 on Determination of Category/Type of Products and Endangered Fishery Products/Resources (August 12, 2009)" were identified in the project area.

Migration fishes are congested due to flood in rainy season, especially from the middle of July to the end of November when the water level during flooding is same as the height of regulator gates including hydraulic structure of Charoek regulator. Because of breeding and abundant food, the fishes migrate from Tonle Sap Lake to the upstream zone, especially along Pursat river.

In the flooding period, the fishermen always catch some fishes such as *Puntioplites falcifer*, *Mystus nemurus*, Siamese mud carp, Tiny scale barb, Trey krum, Black sharkminnow, Wallago, Goldfin tinfoil barb, Orangefin Loach, Giant snakehead, Toli shad and Spot pangaasius around project area.

On the other hand, some fish species such as *Hemibagrus filamentous*, Trey kame, Dusky face carp, Tinfoil barb, Red tinfoil barb, Waandersii's hard-lipped barb, *Osteochilus microcehalus* and Trey khcha move from the upstream to Tonle Sap Lake.

(b) Sri Sophorn - Poipet Section

91 fish species were identified in the selected two areas in Sisophon river. Moreover, 41

species in canals and 31 species in rice field were identified. The total identified fish species were 91 in project area. Thinlip barb (*Probarbus labeaminor*), Thicklipped Barb (*Probarbus labeamajor*) and Puntius Tetrazona (*Systomus partipentazona*) in them are classified endangered species by "Sub decree No. 123".

Migration fishes are congested due to flood in rainy season, especially from the middle of July to the end of November when the surface water inundate vegetation along rivers, canals and ponds. Because of breeding and abundant food, the fishes migrate from Tonle Sap Lake to the upstream zone. On the other hand, some fish species moving from the upstream to Tonle Sap Lake also exist.

Species categorized in CR of the IUCN Red List were not identified in both sections. Thicklipped Barb (*Probarbus labeamajor*) categorized in EN of the List was identified in Sri Sophorn river. Finescale tigerfish (*Datnioides undecimradiatus*) categorized in VU of the List was identified in Pursat and Svay Donkeo river. The list of identified fish species and distribution are presented in Appendix 15-2.

(7) Biodiversity and Ecosystem

The habitats for birds are mainly on trees in roadside, buildup or residential area, and rice fields. Riverside vegetation with small scale along rivers, streams and ditches are inundated by overflow water through rivers, streams and creeks from Tonle Sap Lake in rainy season and create habitats for reptiles and amphibians.

There are six types of land use along Middle Section and Sri Sophorn - Poipet Section.

1. Residential Area

This area is normal village area, not town or dense residential area. In this area, there are Khmer style houses (thatched house, wooden house with zinc roof and with tiles roof). Most of these houses are surrounded by rice fields and far away from each other. In the land around the houses, there are fruits, garden trees and vegetables planted by season. This area is green in rainy season, from the middle of May to February; when is also the season of farming and animals are not allowed to enter the rice field. For dry season, from February to May, the farmers always allowed animals to enter the fields. The animals pass over NR 5 especially in the morning (at 6:00 am to 9:00 am) and in the evening (at 5:00 pm to 6:00 pm) in this season. Main planted crops or trees are paddy rice, planted fruits such as mango, sugar palm, coconut, banana, jackfruit, milk fruit, lemon, grapefruit, guava and wood apple, and bamboo. There are some birds such as Eurasian tree sparrow, Spotted dove, Red collared dove, Yellow-vented bulbul, House sparrow and Common myna, Peaceful dove, Refescent prinia, pied Fantail, barn owl, and chickens and ducks raised by villagers in the some parts of this area. Also, reptiles or amphibian such as Chequered keelback, Common blind snake, Indochinese rat snake, Gloden tree snake, Paddy frog, frog (Limnonectes ibanorum), Common asian bullfrog and Common asian toad were found in the this area. Crabs and cockles also exist in this area.

2. Semi-Urban Area

This area has more people living in than Residential Area. Houses were built in plot of lands closely. The house types are similar to those in Residential Area, however, some houses were built by brick. This area is near the commune office or center. There are some local markets and day markets. In the lots of land, fruits and vegetables were planted depending on the seasons. The planted fruits are greener than those in Residential Area because of more water sources to supply (wells, ponds, pools, and water supply systems at some places). However, species of birds and reptiles are almost same as those in Residential Area.

3. Urban and Town Area

This area has many houses built closely together (wooden house with zinc roof, with a tile roof and brick house with one or more floors). There are a lot of people living in this area such as in Pursat city, Bakan district town, Maung Russei district town. Most of planted trees along the road sides are Khan-na (*Eucalyptus camaldulensis*), Acacia (*Acacia auriculiformis, A*), Rain tree (*Samanea saman*), golden shower tree (*Cassia fistula*), Calabura (*Muntingia calabura L*.). The road trees are one of habitats for birds. The shade areas under some big trees are occupied by shop's owners in the backyards as open booths. There are Eurasian tree sparrow, Spotted dove, Red collared dove, Yellow-vented bulbul, House sparrow, Common myna and Peaceful dove raised by villagers as bird species, and Chequered keelback , Gloden tree snake, Common asian bullfrog, Common asian toad as reptile or amphibian spaces in this area. Cats, dogs and cows also exist in this area.

4. Rice Field Area

This area has no any villager's house, only field guard huts. It is green in rainy season from the middle of May to February, the season of rice cultivation and harvesting, when animals are not allowed to enter rice fields. For dry season, from February to May, most farmers always allowed animals to enter the fields. The animals pass over NR 5 especially in the morning (at 6:00 am to 9:00 am) and in the evening (at 5:00 pm to 6:00 pm) in this season. Main planted crops or trees are paddy rice and fruits planted along bunds such as mango, sugar palm, tamarind, Krasaang, Snay, Sdau and Pring. Some limited areas are brush. This area has richer wildlife than those in three areas above mentioned. Reptiles or amphibians such as Chequered keelback, Blind snake , Indochinese rat snake, Indochinese spitting cobra, Monocled cobra, Russull's viper, Gloden tree snake, Natricinae snake, Keeled rat snake, Paddy frog, frog (*Limnonectes ibanorum*) and Common asian bullfrog were found in this area. Crabs and cockles also exist in this area. Fish in rice fields was rarely found, even though in the flooding time, because the farmers use chemical fertilizer, pesticides and chemical substance as weed killer, which are able to kill fishes and insects that are food for fishes in the rice fields.

5. Riverside Vegetation Area

This area is re-growing vegetation area, where plants are underwater in rainy season and

vegetative in dry season, in inundated zone around rivers, streams and ditches along NR 5. This area is in flood from the middle of June to middle of December. Most of wildlife found in the area are reptiles or amphibian such as Chequered keelback, Blind snake, Indochinese rat snake, Indochinese spitting cobra, Monocled cobra, Russull's viper, Gloden tree snake, Keeled rat snake, Green cat snake, Natricinae snake, Bocourt's water snake, Paddy frog, frog (*Limnonectes ibanorum*), Common asian bullfrog and Common asian toad. Crabs and cockles also exist in this area. This riverside vegetation is re-growing forests which are not vital habitat for fish and aquatic wildlife. The farmers always grow rice with chemical fertilizers, pesticides and chemical substance for killing weed grass and insects, which have extremely impact on young fishes and some insects that are food for fishes.



Figure 15.2-11 Riverside Vegetation along NR 5

6. Water Body Area

This area consist on rivers, lakes, ponds, canals, ditches and streams along NR 5 with culverts and bridges. Pursat River has sufficient flow in both rainy and dry seasons. But Svay Daun Keo River, Moung River, Chark River and Sandan stream do not have water flow in dry season, and the river water remains in some deep spots of river only. There were 97 fish species in Pursat river, 56 fish species in Svay Daun Keo river, 54 fish species in Moung river, 61 fish species in Chark river, 57 fish species in Sandan stream and 91 fish species in Sisophon river. There were some water plant species growing in water of the rivers and streams such as Creeping water primrose (*Ludwigia adscendens*), Water spinach (*Ipomoea aquatica*), Blue star water lily (*Nymphaea stelata*), Water mimosa (*Neptunia oleracae*), Water snowflake, Floating hearts (*Nymphoides indica*), Lotus (*Nelumbo nucifera*), Water shamrock (*Marsilia quadrifolia*), Whitehead spike sedge (*Cyperus kyllingia nemoralis*) and Yeaplan plant, and alien species such as Water hyacinth (*Eichhornia crassipes*), Madagascar periwinkle (*Catharanthus roseus*), Egyptian white water-lily (*Nymphaea lotus*) and Taro or Eddoe (*Colocasia esculenta, Var*.

esculenta).

15.2.5 Environmental Quality and Pollution

(1) Scope of Survey

Environmental quality and pollution survey was conducted by a local consultant (Sawac Consultants for Development Ltd) in July and August as the rainy season survey, and December as the dry season survey, 2013. The survey method and location is shown in Table 15.2-5 and Figure 15.2-12.

| | Survey Items | Survey Time and Measuring Period | Survey Points |
|---|---|---|--|
| Air Quality | - PM 10μm - PM 2.5μm - NO ₂ - SO ₂ | One day in July or August, 2013, except for holiday One day after three consecutive days with no rain in December, 2013, except for holiday and rainy day 24 hours in a low | 4 cross-sections: Middle section 3 and Sri Sophorn - Poipet (SP) section 1 Total 8 Points: 1 roadside point and 1 point for measuring background (far from the road site more than 100 m) on each cross-section |
| Noise and Vibration Survey | Equivalent continuous A-weighted sound pressure Level (LAeq) Vibration Level | One day in July or August, 2013, except for holiday One day in December , 2013, except for holiday and rainy day 24 hours in a low (SP section : only August) | Same cross-sections as Air Quality Survey Total 5 points 4 roadside points and 1 point for measuring background (far from the road site more than 100 m) on each cross-section |
| Water Quality - pH - Biochemical Oxygen Demand (BOD) - Chemical Oxygen Demand (COD) - Total Suspended Solids (TSS) - Total Coliform | | One day in July or August, 2013 One day after three consecutive days with no rain in December, 2013, except for rainy day | Surface water such as river and channel around project site Total 7 Points Middle section 6 and SP section 1 |
| Waste | - Outline of major illegal waste dumping sites | - | Both sides of the target sections including Pursat Bypass |

 Table 15.2-5
 Survey Method of Environmental Quality and Pollution Survey

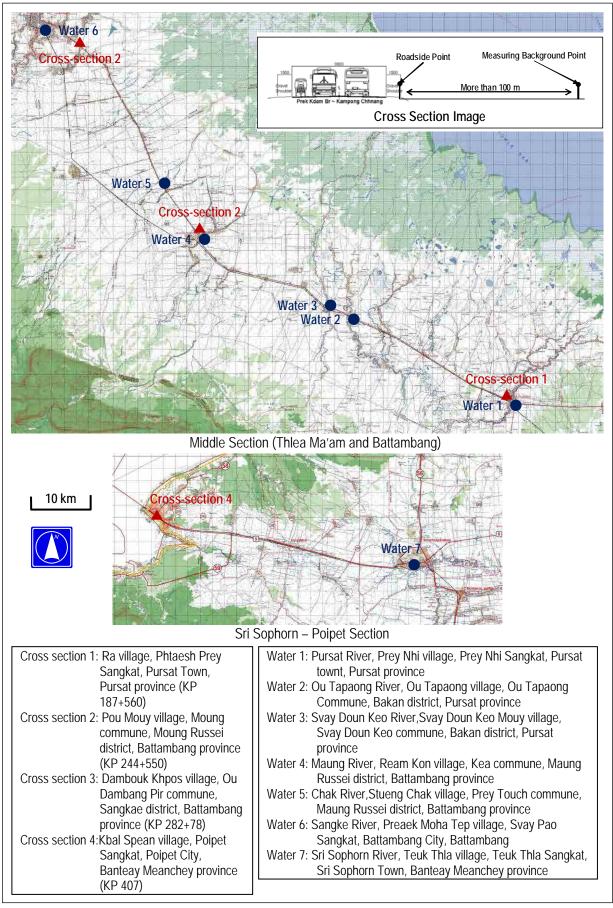


Figure 15.2-12 Location Map of Environmental Quality and Pollution Survey

(2) Air Quality

The air quality surveys were conducted in July (Middle section) and August (SP section), as rainy season survey, and December, as dry season survey, 2013. The result of the air quality survey is shown in Table 15.2-6.

 NO_2 and SO_2 were much lower than the MOE's standards. PM (Particulate Matter) 2.5 and PM 10 was higher than WHO's standard. MP 2.5 and PM 10 levels at the roadside were higher than them at the background. Suspended particulate matter in vehicle emission gas and dust caused by vehicle running may cause the increase in PM 2.5 and PM 10 in addition to the high background level.

| Location | Ambient Air Pollution Concentration (mg/m ³) | | | | | | | |
|--|--|--------|--------|--------|--------|--------|-------|--------|
| (Date : 24 hours in a low) | NO_2 | | SO_2 | | PM 2.5 | | PM 10 | |
| Season | Rainy | Dry | Rainy | Dry | Rainy | Dry | Rainy | Dry |
| Cross Section 1 Roadside Point (Jul. 15~16 and Dec. 2~3, 2013) | 0.011 | 0.019 | 0.004 | 0.010 | 0.049 | 0.071 | 0.099 | 0.155 |
| Cross Section 1 Background Point (Jul. 16~17 and Dec. 3~4, 2013) | 0.005 | 0.009 | 0.002 | 0.007 | 0.015 | 0.024 | 0.049 | 0.051 |
| Cross Section 2 Roadside Point (Jul. 17~18 and Dec. 4~5, 2013) | 0.010 | 0.022 | 0.009 | 0.016 | 0.041 | 0.078 | 0.170 | 0.208 |
| Cross Section 2 Background Point (Jul. 18~19 and Dec. 5~6, 2013) | 0.007 | 0.015 | 0.002 | 0.009 | 0.017 | 0.029 | 0.068 | 0.075 |
| Cross Section 3 Roadside Point (Jul. 19~20 and Dec. 6~7, 2013) | 0.013 | 0.019 | 0.005 | 0.011 | 0.043 | 0.059 | 0.073 | 0.105 |
| Cross Section 3 Background Point (Jul. 22~23 and Dec. 9~10, 2013) | 0.003 | 0.007 | 0.002 | 0.006 | 0.014 | 0.027 | 0.030 | 0.044 |
| Cross Section 4 Roadside Point (Aug. 19~20 and Dec. 11~12, 2013) | 0.010 | 0.045 | 0.009 | 0.024 | 0.150 | 0.199 | 0.231 | 0.324 |
| Cross Section 4 Background Point (Aug. 20~21. and Dec. 12~13, 2013) | 0.008 | 0.018 | 0.005 | 0.011 | 0.070 | 0.093 | 0.101 | 0.127 |
| Standards of the MOE or WHO | 0 | .1 | 0.3 | | 0.02* | | 0.05* | |
| | (24 H | lours) | (24 H | lours) | (24 H | lours) | (24 H | lours) |

Table 15.2-6 Result of Air Quality Survey during Dry Period

Note: No Cambodian Standards for PM2.5 and PM10 The asterisk (*) refers to WHO's Standards

(3) Noise and Vibration

The noise and vibration surveys were conducted from 15 to 19 (Middle section) July and from 19 to 20 August (SP section), 2013, and from 2 to 6 December (Middle section), 2013. The survey results are shown in from Figure 15.2-13 to 15.2-20.

Noise levels at the roadside points of the 4 surveyed cross sections were a bit lower than the MOE's standard during day time and were higher than the night time one. The details of the results are presented in Appendix 15-3. Higher noise level during the night time is mostly due to friction sound of road surface and tires by high speed vehicles and urban noise around the monitoring points.

All vibration levels at the roadside and background points of the 4 cross sections were lower than "Request Limit Concerning Automobile Noise in Japan" either day time or night time. The details of the results are presented in Appendix 15-3. Because threshold level of vibration sense is generally 55 dB, the vibration levels at roadside have no impact on the local residence.

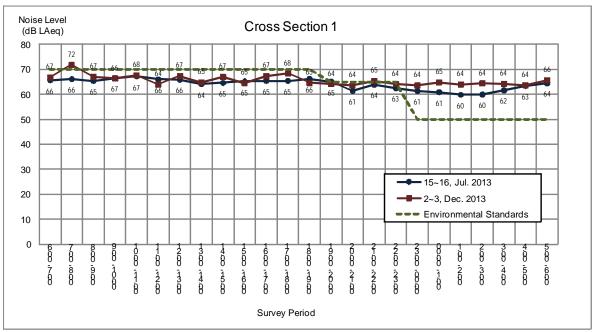


Figure 15.2-13 Result of Noise Survey (1)

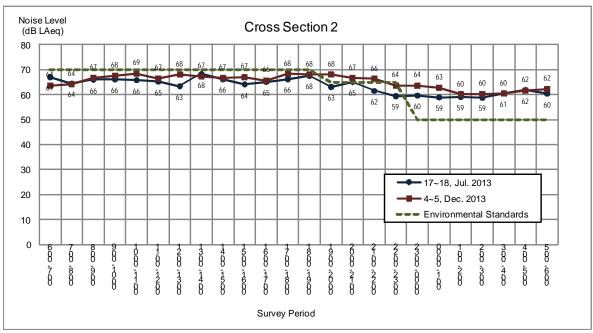


Figure 15.2-14 Result of Noise Survey (2)

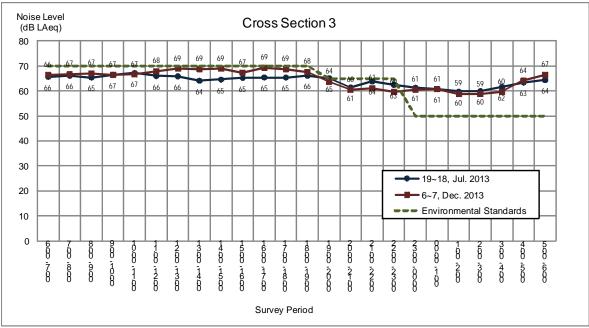


Figure 15.2-15 Result of Noise Survey (3)

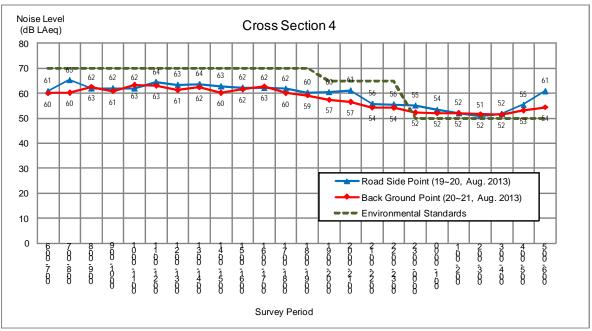


Figure 15.2-16 Result of Noise Survey (4)

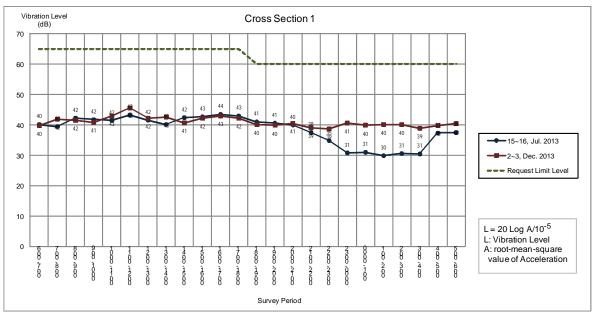


Figure 15.2-17 Result of Vibration Survey (1)

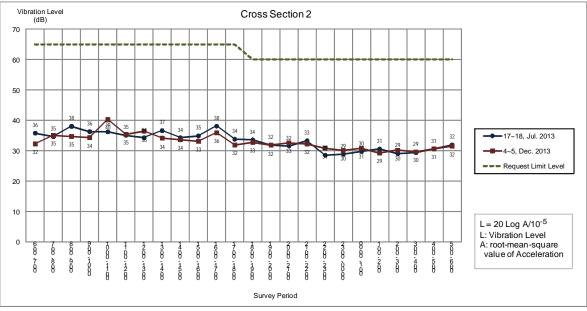


Figure 15.2-18 Result of Vibration Survey (2)

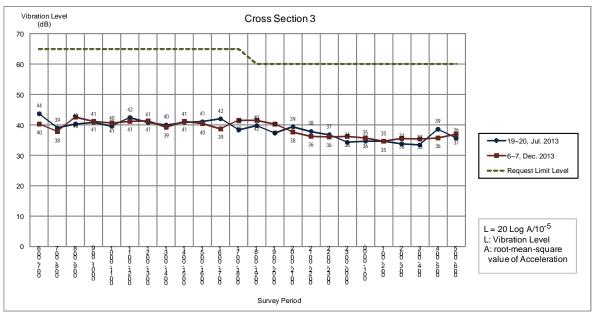


Figure 15.2-19 Result of Vibration Survey (3)

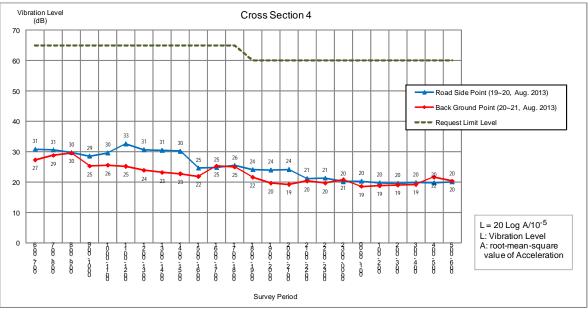


Figure 15.2-20 Result of Vibration Survey (4)

(4) Water Quality

The water sampling was conducted in July (Middle section) and August (SP section), as rainy season survey, and December, as dry season survey, 2013. The result of water quality analysis is shown in Table 15.2-7. The pH levels in rainy season were higher than dry season's them. The TSS in rainy and dry season was found to be higher than the MOE's standard in most of the sampling rivers. The BOD levels were in range of the standard in all the sampling rivers. The levels of TSS, BOD, COD and Total Coliform during rainy season have a tendency to rise. These are probably due to non-point source such as surface runoff and soil erosion caused by rain. The Total Coliform level in Maung River in rainy season exceeded the standard. This is

possibly due to agricultural runoff and animal manures washed out by rain or flowed in through drainages from upstream areas to the rivers and streams.

| | | | | - | | | |
|-----|--|--------------|-----|---------------|---------------|---------------|--------------------------------|
| No. | Location | Survey Date | pН | TSS (mg/l) | BOD (mg/l) | COD (mg/l) | Total Coliform (MPN/100 ml) |
| 1 | Pursat River | 24 Jul. 2013 | 8.1 | 124 | 2.6 | 4.7 | 4,600 |
| | | 5 Dec. 2013 | 7.5 | 92 | 1.3 | 3.9 | 300 |
| 2 | Ou Tapaong River | 24 Jul. 2013 | 8.8 | 138 | 3.8 | 7.5 | 150 |
| | | 5 Dec. 2013 | 7.3 | 125 | 3.4 | 5.7 | 110 |
| 3 | Svay Doun Keo River | 24 Jul. 2013 | 8.3 | 142 | 5.2 | 8.2 | 350 |
| | | 5 Dec. 2013 | 7.2 | 108 | 3.5 | 7.1 | 750 |
| 4 | Maung River | 24 Jul. 2013 | 8.6 | 128 | 2.1 | 4.1 | 11,000 |
| | | 5 Dec. 2013 | 7.3 | 97 | 1.1 | 3.4 | 2,100 |
| 5 | Chak Rive | 24 Jul. 2013 | 8.6 | 142 | 2.7 | 7.3 | 280 |
| | | 5 Dec. 2013 | 7.1 | 95 | 1.2 | 3.2 | 94 |
| 6 | Sangke River | 24 Jul. 2013 | 8.2 | 140 | 2.4 | 4.3 | 4,600 |
| | | 5 Dec. 2013 | 7.9 | 116 | 1.4 | 2.6 | 1,500 |
| 7 | Sri Sophorn River | 21 Aug. 2013 | 7.1 | 117 | 3.1 | 5.8 | 1,500 |
| | | 5 Dec. 2013 | 6.7 | 82 | 0.4 | 3.5 | 110 |
| | Standard of the MOE $6.5 - 8.5$ $25 - 100$ $1 - 10$ - *<1,000 or **<5,000 | | | | | | |

 Table 15.2-7
 Result of Water Quality Survey

Note: Total Coliform Standard in Reservoir <1,000 and Total Coliform Standard in River <5,000

(5) Waste

Illegal waste dumping and landfill along the road mainly occur in the area without waste collection service. The local people collect and dump wastes which are not able to be burn every half or a month. Recyclable wastes such as aluminum cans, plastic bottles, hard rubbers, papers, metals, glass bottles, batteries, electrical wire, copper pots and aluminum pots were sold to waste buyers or junk shops.

8 illegal waste dumping sites along the middle section were surveyed. In short, 1 m³ in average volume of wastes of 8 targeted locations was 305 kg in total, composing 7 kg (2%) of hazardous wastes (electronic tools, lumps, shoes make from tires and chemical waste), 159 kg (53%) of kitchen garbage and paper wastes, 83 kg (27%) of plastic wastes and 56 kg (18%) of glass, metal and ceramic wastes.

4 illegal waste dumping sites along Sri Sophorn - Poipet section were surveyed. In short, 1 m³ in average volume of wastes of 4 targeted locations was 275 kg in total, in which composing 3 kg (1%) of hazardous wastes, 199 kg (72%) of kitchen garbage and paper wastes, 47 kg (17%) of plastic wastes, 5 kg (2%) of glass, metal and ceramic wastes and 21 kg (8%) of grease cloth wastes.

| | Table 13.2-8 | C | | ai Duniping Site | 8 | |
|--|---|---|---|---|---|--|
| No. | Number of Kilometer Post and Direction from Phnom Penh to Battambang | Total sample weight of volume 20 cm ³ of Waste (kg) | Hazardous wastes of 20 cm ³ (kg) | Kitchen garbage and paper wastes of 20 cm ³ (kg) | Plastic wastes of 20 cm ³ (kg) | Glass, metal and ceramic wastes of 20 cm ³ (kg) |
| | 104 105 | 5 | 0 | 4 | 1 | 0 |
| | 194 - 195 Distá Sila | 7 | 0.1 | 4.5 | 2 | 0.4 |
| 1 | Right Side | 7 | 0 | 4.9 | 2 | 0.1 |
| | Average volume | 6.33 | 0.03 | 4.47 | 6.34 | 0.03 |
| | Waste volume in 1 m ³ | 319 | 2 | 224 | 84 | 9 |
| | 215 216 | 5.5 | 0 | 3.2 | 2 | 0.3 |
| | 215 - 216 Dight Side | 5 | 0.3 | 3.7 | 1 | 0 |
| 2 | Right Side | 6 | 0.3 | 3.2 | 1.5 | 1 |
| | Average volume | 5.50 | 0.20 | 3.37 | 5.5 | 0.2 |
| | Waste volume in 1 m ³ | 276 | 10 | 169 | 75 | 22 |
| | 214 - 215 | 5.00 | 0.20 | 2.80 | 2.00 | 0.00 |
| | Left Side | 6.00 | 0.50 | 2.35 | 2.00 | 1.15 |
| 3 | Len Side | 6.00 | 0.00 | 3.00 | 2.00 | 1.00 |
| | Average volume | 5.67 | 0.23 | 2.72 | 2.00 | 0.72 |
| | Waste volume in 1 m ³ | 284 | 12 | 136 | 100 | 36 |
| | 216 - 217 | 6.00 | 0.00 | 0.50 | 2.00 | 3.50 |
| | Right Side | 5.00 | 0.00 | 1.00 | 3.00 | 1.00 |
| 4 | Kight Side | 6.00 | 0.00 | 3.50 | 2.00 | 0.50 |
| | Average volume | 5.67 | 0.00 | 1.67 | 2.33 | 1.67 |
| | Waste volume in 1 m ³ | 285 | 0 | 84 | 117 | 84 |
| | 216 - 217 | 8.00 | 0.00 | 8.00 | 0.00 | 0.00 |
| | Left Side | 9.00 | 0.00 | 8.90 | 0.10 | 0.00 |
| 5 | Left Side | 8.00 | 0.00 | 7.80 | 0.10 | 0.10 |
| | Average volume | 8.33 | 0.00 | 8.23 | 0.07 | 0.03 |
| | Waste volume in 1 m ³ | 418 | 0 | 412 | 4 | 2 |
| | 236 - 237 | 5.00 | 0.00 | 2.00 | 2.00 | 1.00 |
| | Right Side | 6.00 | 0.00 | 1.50 | 3.50 | 1.00 |
| 6 | | 5.00 | 0.10 | 2.88 | 2.00 | 0.02 |
| | Average volume | 5.33 | 0.03 | 2.13 | 2.50 | 0.67 |
| | Waste volume in 1 m ³ | 268 | 2 | 107 | 125 | 34 |
| | 268 - 269 | 6.00 | 0.30 | 1.70 | 1.00 | 3.00 |
| | Right Side | 6.50 | 0.50 | 1.00 | 1.50 | 3.50 |
| 7 | - | 6.50 | 0.00 | 1.50 | 2.00 | 3.00 |
| | Average volume | 6.34 | 0.27 | 1.40 | 1.50 | 3.17 |
| | Waste volume in 1 m ³ | 318 | 14 | 70 | 75 | 159 |
| | 249 - 250 | 6.00 | 0.00 | 2.10 | 1.00 | 2.50 |
| | Right Side | 5.00 | 0.30 | 1.20 | 2.00 | 1.50 |
| 8 | | 5.50 | 0.50 | 1.00 | 2.00 | 2.00 |
| | Average volume | 5.37 | 0.27 | 1.43 | 1.67 | 2.00 |
| | Waste volume in 1 m ³ | 275 | 14 | 72 | 84 | 100 |
| The average volume of wastes of 8 targeted locations in 1 m ³ | | 305 | 7 | 159 | 83 | 56 |

| | Table 13.2-9 | Quantity of W | aste in me | gai Dumping | bite along | SI Section | |
|-----|---|---|---|--|--|---|--|
| No. | Number of Kilometer Post and Direction from Phnom Penh to Battambang | Total sample weight of volume 20 cm ³ of Waste (kg) | Hazardous wastes of 20 cm ³ (kg) | Kitchen garbage and paper wastes of 20 cm ³ (kg) | Plastic wastes of 20 cm ³ (kg) | Glass, metal and ceramic wastes of 20 cm ³ (kg) | Cloth wastes of 20 cm ³ (kg) |
| | | 4.00 | 0.00 | 2.70 | 1.00 | 0.30 | 0.00 |
| | 385 - 386 Dialt Sida | 6.00 | 0.00 | 0.50 | 0.50 | 0.00 | 5.00 |
| 1 | Right Side | 5.00 | 0.00 | 3.50 | 1.50 | 0.00 | 0.00 |
| | Average volume | 5.00 | 0.00 | 2.23 | 1.00 | 0.10 | 1.67 |
| | Waste volume in 1 m ³ | 250 | 0 | 112 | 50 | 5 | 83 |
| | 202 204 | 6.00 | 0.00 | 5.00 | 1.00 | 0.00 | 0.00 |
| | 383 - 384 Loft Side | 5.00 | 0.00 | 4.20 | 0.80 | 0.00 | 0.00 |
| 2 | Left Side | 4.00 | 0.00 | 3.30 | 0.50 | 0.20 | 0.00 |
| | Average volume | 5.00 | 0.00 | 4.17 | 0.77 | 0.07 | 0.00 |
| | Waste volume in 1 m ³ | 250 | 0 | 208 | 38 | 3 | 0 |
| | 382 - 383 | 6.00 | 0.20 | 5.20 | 0.50 | 0.10 | 0.00 |
| | | 5.00 | 0.30 | 3.70 | 1.00 | 0.00 | 0.00 |
| 3 | Right Side | 6.00 | 0.30 | 5.00 | 0.70 | 0.00 | 0.00 |
| | Average volume | 5.67 | 0.27 | 4.63 | 0.73 | 0.03 | 0.00 |
| | Waste volume in 1 m ³ | 283 | 13 | 232 | 37 | 2 | 0 |
| | 366 - 367 | 6.00 | 0.00 | 4.70 | 1.00 | 0.30 | 0.00 |
| | Right Side Left Side | 7.00 | 0.00 | 5.30 | 1.50 | 0.20 | 0.00 |
| 4 | Right Side Left Side | 6.00 | 0.00 | 4.70 | 1.20 | 0.10 | 0.00 |
| | Average volume | 6.33 | 0.00 | 4.90 | 1.23 | 0.20 | 0.00 |
| | Waste volume in 1 m ³ | 317 | 0 | 245 | 62 | 10 | 0 |
| | average volume of wastes targeted locations in 1 m^3 | 275 | 3 | 199 | 47 | 5 | 21 |

Table 15.2-9 Quantity of Waste in Illegal Dumping Site along SP Section

15.3 Social Environment

Environmental and social considerations refer not only to the natural environment, but also to social impacts such as involuntary resettlement and respect for the human rights of indigenous peoples. According to "2.3 Impacts to be Assessed" of JICA Guidelines for Environmental and Social Considerations (hereinafter referred as "JICA Guidelines"), "social considerations" cover the impacts on;

- > migration of population and involuntary resettlement,
- ➢ local economy such as employment and livelihood,
- ▶ utilization of land and local resources,
- ▹ social institutions such as social capital and local decision-making institutions,
- ➤ existing social infrastructures and services,
- > vulnerable social groups such as poor and indigenous peoples,
- > equality of benefits and losses and equality in the development process,
- ≽ gender,

- ≻ children's rights,
- ➤ cultural heritage,
- ➢ local conflicts of interest,
- ➤ infectious diseases such as HIV/AIDS, and
- > working conditions including occupational safety.

These impacts are examined through scoping process with other environmental factors in natural environment and pollutions. Among the elements of social environment, involuntary resettlement is the focal issue. According to the field survey including socioeconomic survey and inventory of loss survey, the number of affected households are estimated around 2,400 HH. Exact number of affected households will be confirmed in the detailed measurement survey (DMS) which will be implemented after the loan agreement.

15.3.1 Administrative Boundary

(1) Middle Section (from Thlea Ma'am to Battambang)

This section covers two provinces of Pursat and Battambang. Under the two provinces, there are five districts (Moung, Sangke, Bakan, Krakor, and Pursat) in existing National Road No.5 (NR 5) and two districts (Kandieng and Pursat) in Pusat Bypass section (Figure 15.3-1).

(2) Sri Sophorn – Poipet Section

This section covers Banteay Meanchey Province. There are two districts (Ou Chrov and Paoy Paet) in this section of existing NR 5(Figure 15.3-2).

Preparatory Survey for National Road No.5 Improvement Project (Middle Section: Thlea Ma'am – Battambang, and Sri Sophorn – Poipet)

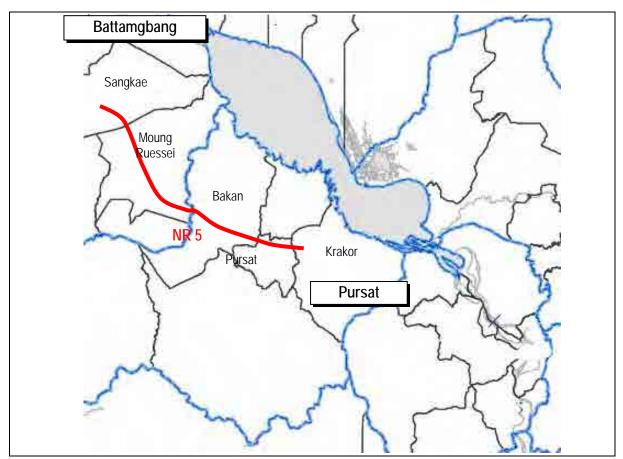


Figure 15.3-1 NR 5 (Middle Section) and Administrative Boundary

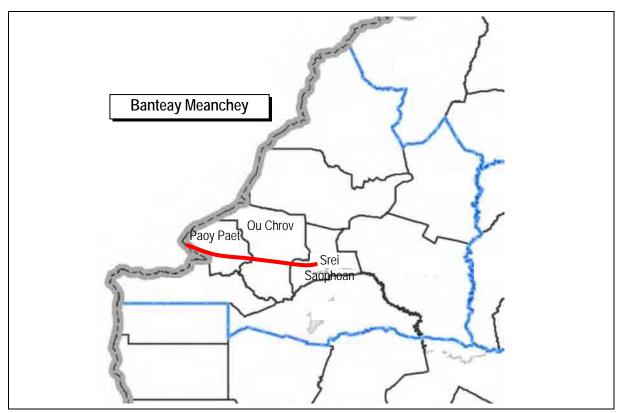


Figure 15.3-2 NR 5 (Sri Sophorn – Poipet Section) and Administrative Boundary

15.3.2 Population

The latest population census was implemented in 2008 as "General Population Census of Cambodia". Based on the census, population and household data on three provinces which is located in the project area, are assembled in Table 15.3-1. "Sex ratio" and "Average house hold size", the total number of person who is living in a household, are almost same among three provinces, however, Battambang Province has much population especially in urban or town area and is more developed comparing to Pursat and Banteay Meanchey Province.

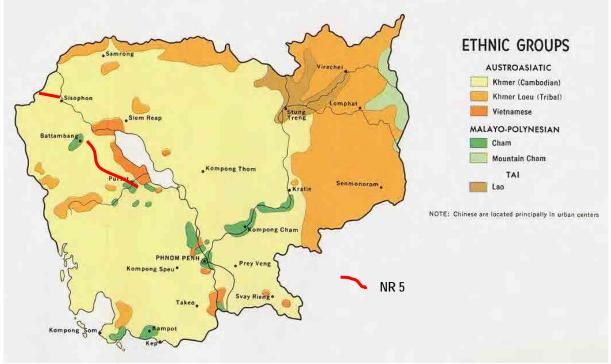
| Description | Population | | | Sex Ratio | House | Average |
|------------------|------------|---------|---------|---------------|---------|----------------|
| Province | Total | Male | Female | (Male/Female) | holds | Household Size |
| Pursat | 397,161 | 192,954 | 204,207 | 94.5% | 83,745 | 4.7 |
| Battambang | 1,025,174 | 506,351 | 518,823 | 97.6% | 210,853 | 4.9 |
| Banteay Meanchey | 677,872 | 331,715 | 346,157 | 95.8% | 145,219 | 4.6 |

| Table 15.3-1 Population and Households in the Project Related Provinces |
|---|
|---|

Data Source: General Population Census of Cambodia 2008, National Institute of Statistics, Ministry of Planning

15.3.3 Ethnic Minority

Figure 15.3-3 is the distribution map of ethnic groups in Cambodia. Focusing on the survey area, Cham (or Khmer-Cham) people (green color) lives along National Road No.5, especially in and vicinity of the town of Pursat and Battambang. In general, Cham people can understand Khmer language. In addition, Vietnamese (orange color) lives lakeside area in both Pursat and Battambang Province. Some of them live on floating village and their livelihood has strong connection to aquatic products from Tonle Sap Lake.



Source: Map of Cambodia with detail of ethnic group distributions (1972), Texas University Library Figure 15.3-3 Ethnic Groups in Cambodia

As a whole country, more than 90% population belong the ethnic group of Khmer. They are followers of Buddhism and speak Khmer language. In and vicinities of the project site, Cham people and Vietnamese immigrant are observed as small groups. In general, Cham and Vietnamese can understand Khmer language, however, they keep their own language, religion, and other social behaviors.

Cham people are known as ethnic Muslims originated from the Kingdom of Champa which had gone to ruin in 19th century. Cambodia is one of the areas in Indochina where Cham people resettled after they lost their home country. The number of Cham population is said around 220,000 and most of them are living along Mekong River and Tonle Ssp. They speak Cham Language and usually have mosque as a religious and community center. Their major occupations are fishing, farming and businesses. Some scattered mosques are observed along the project area of NR 5.

Vietnam people in Cambodia have different origin and most of them are living along Vietnam border and inland water area where they feed themselves with fishing. Around 95,000 Vietnamese are living in Cambodia. They speak Vietnamese and their religion varies from Buddhism to Christianity. Their major occupations are small business such as barbershop in urban and fishing in rural. It is A Vietnamese at Tonle Sap Floating Village estimated that there are not so much Vietnamese



Khmer Monks



Cham's Mosque



15.3.4 Gender

(1) Key Factors

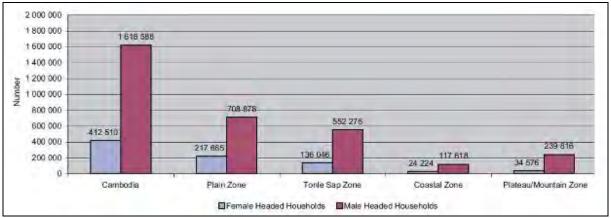
population in the project area.

According to United Nations Development Plan (UNDP) in Cambodia, key facts about gender equality in Cambodia are described as below;

- (a) Cambodia ranks 105 out of 152 countries on the Gender Inequality Index (GII) in the Human Development Report 2014. GII is a new measurement replacing the Gender-related Development Index (GDI) and Gender Empowerment Measure (GEM).
- (b) Over the past decade, there have been improvements on the status of women in Cambodia. Yet, they remain less visible in public sphere. Women comprise 34 percent of civil servants and hold 22 percent of seats in the National Assembly.
- (c) Almost the same number of boys and girls attend school until the age of 14. However, fewer girls continue in higher education. Adult literacy rates are also unequal: only 70.9 percent of adult females are literate, compared to 85.1 percent of their male peers.
- (d) The number of men and women in the total workforce is almost the same (49.4 percent women). However, more women are self-employed or unpaid family workers (83 percent of female employment vs. 76 percent of male employment). This informal economy provides low, irregular income and unstable employment. More importantly, because many tend to operate unregistered, there is little or no access to organized markets, credits and training institutions and to other public services.
- (e) Like many other countries in East Asia, Cambodia has the Law on Prevention of Domestic Violence and Protection of Victims. Despite the law, 22.5 percent of married women experienced violence within their homes and up to 89 percent do not report the incident, according to a survey by Ministry of Women's Affairs in 2009.

(2) Statistics from Census (2008)

Based on the result from Census (2008), in rural area including Tonle Sap Zone, around 20% of agricultural household is female headed (Figure 15.3-4).



Source: National Gender Profile of Agricultural Households, 2010 (Based on the 2008 Cambodia Socio-Economic Survey), FAO & NIS, Ministry of Planning

Figure 15.3-4 Number of Male and Female Headed Household

According to the survey by FAO & NIS, the median age of the agricultural household heads is 46 years old, and male heads have a lower median age than female heads.

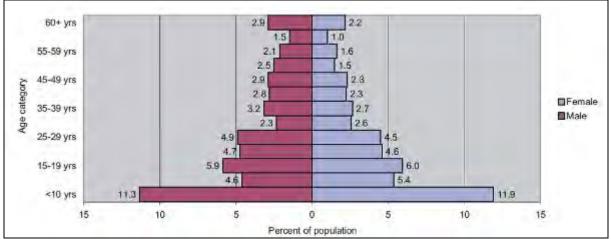


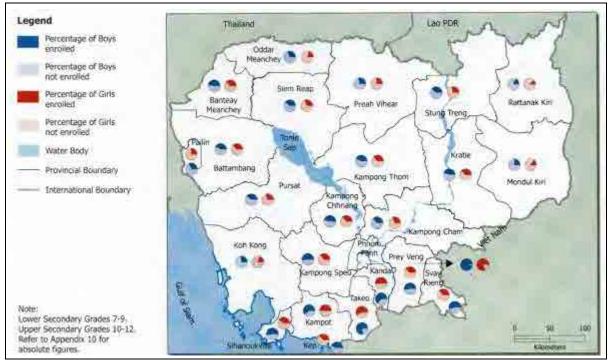
Figure 15.3-5 shows age pyramid in agricultural area in Cambodia.

Source: National Gender Profile of Agricultural Households, 2010 (Based on the 2008 Cambodia Socio-Economic Survey), FAO & NIS, Ministry of Planning

Figure 15.3-5 Age Pyramid in Agricultural Area

(3) Gender in Education

As a gender indicator, enrollment ratio shows slightly deference between boys and girls (Figure 15.3-6). Among the provinces across NR 5, Pursat shows the lowest enrollment ratio. According to the result, boys can study at lower secondary school many more than girls in all provinces and this situation causes difference of illiteracy between male and female.



Source: The Atlas of Cambodia, National Poverty and Environment Maps, Save Cambodia's Wildlife (2006) **Figure 15.3-6** Lower Secondary (age 7-9) School Enrollment Status

15.3.5 Agriculture and Fishery

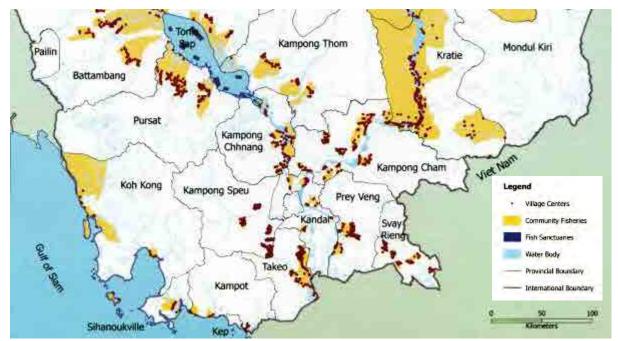
Agriculture is focal point of the economy of Cambodia and rice cropping is the most common land use along NR 5 in rural sections. Most paddy fields are rain-fed lowland and some limited areas are irrigated. In addition to rice growing, some farmers growing livestock such as duck, ox, water buffalo, and so on.



(a) Paddy Field along NR 5, Pursat (b) Cow in a rural area, Pursat **Figure 15.3-7 Typical Rural Scene along NR 5**

Community Fishery (CF) was proposed and developed under the ADB's initiative to realize the sustainable natural resources management in Tonle Sap Lake. Traditional tendering for fishing lots caused violence and other unfavorable social problems after 1993. As a result, Government tried to introduce CF with aims of ecosystem management, fishery resource management, poverty reduction, and so on.

CF has been set entire country except Mondulkiri Province, and there are some CFs area along NR 5 in Kampong Chhnang and Pursat Provinces (Figure 15.3-8). Some part of unloaded fishes and swamp small animals are transported to neighboring local market or far consumption area including Phnom Penh through NR 5.



Source: The Atlas of Cambodia, National Poverty and Environment Maps, Save Cambodia's Wildlife (2006) Figure 15.3-8 Community Fishery Distribution



(a) Fish Catch for Domestic Use, Pursat Figure 15.3-9



stic Use, Pursat(b) Common Cooked Fish from Tonle SapFigure 15.3-9Fishery in Tonle Sap Lake

15.3.6 Culture and Tourism

There is not outstanding cultural heritage or tourism site along the NR 5. However, NR 5 is the main access route to minor local cultural places, historical places and tourism area as below.

Battambang City is the largest secondary city in and its name comes from the legend of Bat Dambong, the "Disappearing Stick". During the French protectorate the formerly dispersed settlement was transformed into a busy marketplace, urban agglomeration and administrative stronghold. Battambang City has colonial urban architectures and tourist from both national and international stop for staying one night before they head to farer destinations such as Phnom Penh, Poipet, and Siem Reap. Outside of this area, the New Khmer Architecture of the Battambang University, French-Classical style villas along the river and traditional Khmer wooden houses can be found. Throughout the city beautiful Wats (Pagodas) are expression of the city's religious places.¹ Pursat province is located in the western part of Tonle Sap Lake and next to Battambong Province. The Pursat River runs through its provincial capital town, originated from the Cardamoms Mountain. There some limited local visiting places including, pagoda, market, and natural resources such as falls and waterfront.





(a) Battambang (b) Pursat Figure 15.3-10 Typical Culture and Tourism Spots

There is not outstanding cultural heritage or tourism site along the NR 5. However, some historical or religious properties exist along the targets sections. An old brick fence and ancient gateway of Royal Palace of Preah Bat Monivong constructed in Colonial of French are located along the roadside of the middle section, around the KP 202+200, in Bakan district, Pursat province. Moreover, there is a hermitage of Lok Yeay Mao (Banyan tree) and Lok Ta Krahomkor around the PK-397+100 of Sri Sophorn - Poipet section in Poipet city, where local people always come to pray for safe and rainwater.

¹ <u>http://www.battambang-town.gov.kh</u>, Battambang Municipality Webssite



Figure 15.3-11 Brick Fence and Gateway of Royal Palace of Preah Bat Monivong



Figure 15.3-12 Hermitage of Lok Yeay Mao and Lok Ta Krahomkor

15.3.7 Land Acquisition / Resettlement

(1) ROW and PRW

In the background of Environmental and Social Considerations (ESC), Social Considerations mainly covers involuntary resettlement. Resettlement has become one of the crucial conditions to plan and implement infrastructure projects in Cambodia since the Peace Pact in 1993. Starting from late 1990s, Government of Cambodia (GOC) has established legal systems and improved its administrative procedures on environmental issues including land and resettlement through development projects, such as National Road No.1, Neak Loeung Bridge,

and so on.

Based on relevant regulations, Right of Way (ROW) of NR 5 is 30 m from center line in both sides. To minimize the resettlement impact, Corridor of Impact (COI) where the Project physically required for civil works, is introduced. COI is shorter than ROW in principle, therefore, private land acquisition (the area located more than 30 m from existing road center) is very limited in the section of existing road improvement and might be needed mainly in the bypass areas. The project needs COI of 15 m in urban areas and COI of 20 m in rural areas in general based on actual project affected areas by construction.

Figure 15.3-13 shows conceptual views of cross-section and plan of ROW and COI.

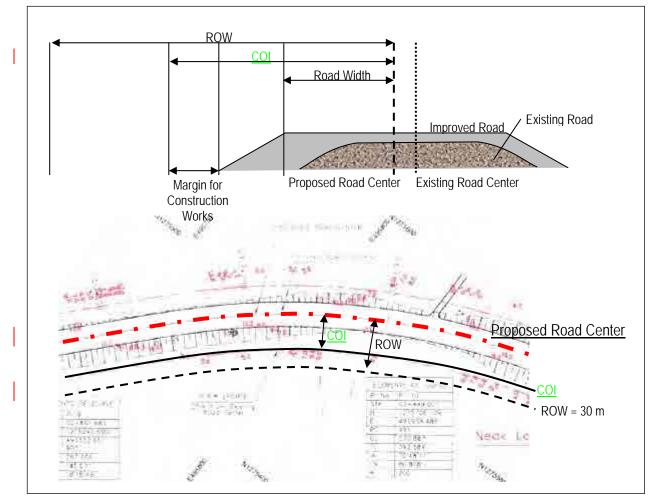


Figure 15.3-13 Conceptual View of ROW and Project Affected Areas

(2) Field Survey

During the preparatory survey stage, each activity, described below, are to be implemented. At the same time of Census and IOL, the Government can declare cut-off-date to recognize household who can be eligible for resettlement compensation.

(a) Census

Census is a kind of Socio-Economic Survey to grasp baseline of the socio-economic conditions on possible project affected households. Gathering data can be used for social considerations, mitigation measures, income restoration and other discussion.

(b) Inventory of Loss (IOL)

During the feasibility study period, Inventory of Loss (IOL) is implemented for possible impact areas based on temporal project design. Method of IOL is basically same as DMS which is conducted by IRC-Working Group (IRC-WG). Target of inventory covers all kinds of property including land, structures, fruit trees, and others.

(c) Replacement Cost Survey (RCS)

For estimating the budget on resettlement, Replacement Cost Survey (RCS) is implemented. Based on market price of construction material, classification of structures, land evaluation, and etc., compensation unit price is determined by the same method of RCS after L/A.



Interview



Survey



Material Survey

15.4 Result of Environmental Scoping

The potential environmental and social impacts were assessed on the basis of available information on the project and baseline data obtained at this stage. The result of the f environmental scoping is shown in Table 15.4-1 and 15.4-2.

Table 15.4-1 Result of Environmental Scoping (1)

| ThL | Thlea Ma'am and Battambang Section | | | | | | | |
|--------|------------------------------------|------------------------|-----------|---|--|--|--|--|
| 1 1110 | | Assessment | | | | | | |
| | | Pre-Construction Phase | | | | | | |
| No. | Impact Item | Construction Phase | Phase | Reason / Remarks | | | | |
| | | Land acquisition | Existence | | | | | |
| | | Construction works | Service | | | | | |
| Poll | ution | | | | | | | |
| | | | | Construction Phase: | | | | |
| | | | | • Operation of construction equipment will generate | | | | |
| | | | | dust and emission gas.Traffic congestion in construction site will cause | | | | |
| | | | | increase in exhaust gas from vehicles. | | | | |
| 1 | Air pollution | B- | B± | Dust will occur in borrow pit and quarry site. | | | | |
| - | i in ponunon | 2 | 22 | Operation Phase: | | | | |
| | | | | • In the future, total amount of air pollutant caused | | | | |
| | | | | by vehicle exhaust gas will increase. However, | | | | |
| | | | | because of improved traffic efficiency, the amount | | | | |
| | | | | may be reduced compared to without project. | | | | |
| | | | | Construction Phase: | | | | |
| | | | | • Turbid water caused by construction works is likely | | | | |
| | | | | to affect existing surface water resources. | | | | |
| | | | | • In case of accidental massive leaking of fuel or oil, | | | | |
| | | | C- | water pollution including ground water may occur. | | | | |
| | | B- | | • Turbid water from borrow pit and quarry site by | | | | |
| 2 | Water pollution | | | rainfall may cause surface water contamination. Human wastewater will cause surface water | | | | |
| | | | | contamination. | | | | |
| | | | | Operation Phase: | | | | |
| | | | | • Considerable water pollution is unlikely to occur. | | | | |
| | | | | • Turbid water from borrow pit and quarry site by | | | | |
| | | | | rainfall may cause surface water contamination | | | | |
| | | | | until the recovery of vegetation. | | | | |
| | | | C- | Construction Phase: | | | | |
| | | aste B- | | Construction waste caused by construction works | | | | |
| | | | | and general waste from construction office will be | | | | |
| 3 | Waste | | | generated. | | | | |
| | | | | Operation Phase: | | | | |
| | | | | • Illegal dumping of solid waste may increase along | | | | |
| | | | | the newly constructed bypass. | | | | |
| | | | | Construction Phase: | | | | |
| | | | | Because materials to cause soil pollution will not be used in the construction works, soil pollution is | | | | |
| | | | | unlikely to occur. | | | | |
| 4 | Soil pollution | D | D | Operation Phase: | | | | |
| | | | | Because materials to cause soil pollution will not | | | | |
| | | | | be used in the maintenance works, soil pollution is | | | | |
| | | | | unlikely to occur. | | | | |
| | | | | Construction Phase: | | | | |
| | | | | • Construction works is likely to increase in the noise | | | | |
| | | | | and vibration level. | | | | |
| | Noise and | | | • Noise and vibration will occur in borrow pit and | | | | |
| 5 | vibration | B- | B- | quarry site. | | | | |
| | | | | Operation Phase: | | | | |
| | | | | • In the future, noise level caused by vehicle driving | | | | |
| | | | | will increase. However, because right of way will | | | | |
| | | | | be widened, the level on road side may be reduced | | | | |

| | | Assessment | t | |
|------|----------------------|--|---------------------------------|--|
| No. | Impact Item | Pre-Construction Phase Construction Phase Land acquisition | Operation Phase Existence | Reason / Remarks |
| | | Construction works | Service | |
| | | | | compared to without project. |
| 6 | Ground subsidence | C- | C- | Construction Phase: Subsidence near the road due to added soil weight may occur. Operation Phase: Pressure of loading on road will be too low to cause, subsidence. However, because some portions of NR 5 run through flooded area, subsidence may occur. |
| 7 | Offensive odors | D | D | Construction Phase: Because materials and equipment to cause offensive odors will not be used in the construction works, offensive odors are unlikely to occur. Operation Phase: Because vehicles with incomplete combustion are few, exhaust gas from vehicles is unlikely to cause offensive odors. |
| 8 | Bottom sediment | D | D | Construction Phase: Filled soil may be eroded by rain water and flow into rivers or streams, and accumulated on the bottom. However, the affected area will be limited only in the roadside. Operation Phase: Because filling sections are unlikely to collapse, sedimentation on riverbed will not occur. Erosion from borrow pit and quarry site by rainfall may cause sedimentation on riverbed until the recovery of vegetation. However, the effects will be in limited areas and for a short period. |
| Natu | ral Environment | | | |
| 9 | Protected areas | C- | C- | Construction Phase: Operation Phase: Because the target road passes alongside the line of transition zone in "Tonle Sap Biosphere Resave (TSBR)", indirect impacts on some components of the resave may occur. (An additional approval concerning TSBR will not be required.) |
| 10 | Ecosystem | B- | C- | Construction Phase: Vegetation in roadside including trees will be lost by widening works. However, tree clearing of community or flooded forest will not be required. Agricultural ecosystem will be lost or disturbed by construction works. Turbid water caused by bridge construction is likely to affect aquatic life. Operation Phase: Because the target road mostly passes through well developed area such as agricultural land and urban area, impact on biodiversity is unlikely to occur. Because the distance between the target road and Tonle Sap lakeside is approximately 20 km at the nearest point and the area is mostly agricultural land, direct impact on ecosystem in Tonle Sap Lake |

| | | Assessment | t | |
|------|---|------------------------|-----------|--|
| | | Pre-Construction Phase | Operation | |
| No. | Impact Item | Construction Phase | Phase | Reason / Remarks |
| | | Land acquisition | Existence | |
| | | Construction works | Service | |
| | | | | is unlikely to occur. If embankment sections choke off existing surface water flow, impact on remote aquatic ecosystem may occur. |
| 11 | Hydrology | C- | C- | Construction Phase: Water flow in the river or stream may be altered during construction works. But the impact will be temporary and in limited area. Operation Phase: Because some project sites are located in flood plain, impact caused by newly constructed embankment sections or culverts on surface water flow may occur. |
| 12 | Geographical features | B- | D | Construction Phase: Topography will be changed in bypass or embankment sections on a small scale. Topography will be changed in borrow pit and quarry site. Operation Phase: Impact on geographical features is unlikely to occur. |
| Soci | al Environment | | | |
| 13 | Resettlement/ Land Acquisition | A- | D | Pre-Construction Phase: Resettlement and additional land acquisition will be required. Construction Phase: Temporal lease of land and additional small scale resettlement will be required. Operation Phase: Additional physical resettlement and land acquisition will not be required. |
| 14 | Poor people | B- | B- | Pre-Construction Phase: Operation Phase: Some of the poor people who do not have their own land living within Right of Way or Provisional Road Width will be seriously affected by resettlement and may lose their business opportunity. |
| 15 | Ethnic minorities and indigenous peoples | C- | D | Pre-Construction Phase: Construction Phase: Road widening may cause resettlement or other impacts on Ethnic Cham and Vietnamese living along NR 5 Operation Phase: Impact on ethnic minorities is unlikely to occur. |
| 16 | Local economies, such as employment, livelihood, etc. | B± | B± | Pre-Construction Phase: Land acquisition and resettlement may cause livelihood degradation of Project Affected Persons (PAPs). Construction Phase: Construction will create job opportunities to local people. Bridge construction works may have impact on |

| | | Assessment | ţ | |
|-----|-------------------|------------------------|------------------|---|
| | | Pre-Construction Phase | | |
| No. | Impact Item | Construction Phase | Phase | Reason / Remarks |
| | I | Land acquisition | Existence | |
| | | Construction works | Service | |
| | | | | local fishery. |
| | | | | Operation Phase: |
| | | | | • Reduction of travel time will contribute to local |
| | | | | economies and promote tourism. |
| | | | | Change of access to local resources may widen gap |
| | | | | in local economy. |
| | | | | Construction Phase: |
| | | | | • Bypass sections will require change of land use |
| | | | | such as from agricultural land to road. |
| | Land use and | | | Operation Phase: |
| | utilization of | B- | B+ | • Especially in bypass sections, land use along NR 5 |
| 17 | local resources | D- | $\mathbf{D} \pm$ | will be changed and be developed economically |
| | local resources | | | • • • |
| | | | | and socially. |
| | | | | • Improved transportation will contribute to effective |
| | | | | utilization of local resources. |
| | | | | Construction Phase: |
| | | | | • Existing agricultural canals located in roadside will |
| 18 | Water usage | B- | C- | be affected by widening works. |
| 10 | i ater asage | 2 | C | Operation Phase: |
| | | | | Newly constructed embankment sections or |
| | | | | culverts may change surface water flow. |
| | | | | Pre-Construction Phase: |
| | | | | • Relocation or protection of existing utilities, such |
| | | | | as electric poll, water pipe and optical fiber cable |
| | | | | will be required. |
| | Existing social | | | Construction Phase: |
| 19 | infrastructures | B- | $B\pm$ | Temporary traffic congestion in construction site |
| | and services | | | including NR 5 and other rural roads will occur. |
| | | | | Operation Phase: |
| | | | | Access to social services will be improved. |
| | | | | Spilt of local communities or widening disparity |
| | | | | may occur in bypass section. |
| | Social | | | Construction Phase: |
| | institutions such | | | Operation Phase: |
| | as social | | | • Because of improvement project of existing road, |
| 20 | infrastructure | C- | C- | considerable impact on social institutions is |
| | and local | | | unlikely to occur. |
| | decision-makin | | | • Spilt of local communities or widening disparity |
| | g institutions | | | may occur in bypass section. |
| | | | | Pre-Construction Phase: |
| | | | | Construction Phase: |
| | | | | • Because of improvement project of existing road, |
| | | | | considerable misdistribution of benefit among local |
| | Misdistribution | | | people is unlikely to occur. |
| 21 | of benefits and | C- | B- | • Misdistribution of benefit among PAPs may occur. |
| | damages | | | Operation Phase: |
| | G | | | • After the traffic flow is changed to new bypass, |
| | | | | some shops along existing NR 5 (old route) will |
| | | | | lose their business opportunity, while shops set up |
| | | | | along bypass will make profit. |
| | | | | Construction Phase: |
| 177 | Local conflicts | D | D | Operation Phase: |
| | of interest | U | D | Because of improvement project of existing road, |
| L | | | | because of improvement project of existing fodu, |

| | | Assessment | t | |
|-----|--------------------|------------------------|-----------|--|
| | | Pre-Construction Phase | | |
| No. | Impact Item | Construction Phase | Phase | Reason / Remarks |
| | | Land acquisition | Existence | |
| | | Construction works | Service | |
| | | | | considerable impact on local conflict is unlikely to occur. |
| | | | | Construction Phase: |
| | | | | • Bypass construction depending on the route and |
| | | | | widening works may cause partial loss of religious |
| | Cultural | G | G | or cultural properties. |
| 23 | heritage | C- | C- | Operation Phase: |
| | e | | | • Road improvement will promote tourism and |
| | | | | worship to religious heritage. Religious value may |
| | | | | be spoiled by tourism development. |
| | | | | Construction Phase: |
| | | | | • Vegetation at existing roadside including high trees |
| | | | | will be lost by widening works, and cause change |
| 24 | Landscape | B- | C- | of landscape. |
| 24 | Landscape | D- | C- | Operation Phase: |
| | | | | • Because there are no protected scenic view areas, |
| | | | | considerable impact on landscape is unlikely to |
| | | | | occur. |
| | | | | Construction Phase: |
| 25 | Gender | C- | C- | Operation Phase: |
| | | C | C- | • Impact on street venders, especially women, may |
| | | | | occur. |
| | | | | Construction Phase: |
| | | D | | • Considerable impact only on children's rights is |
| | | | | unlikely to occur. |
| 26 | Children's | | D | Operation Phase: |
| 26 | rights | | B± | • Road improvement may cause traffic accident of |
| | | | | children due to more traffic volume and faster vehicle speed. |
| | | | | Traffic venerable people including children can be |
| | | | | separated safely from main vehicle lane. |
| | | | | Construction Phase: |
| | | | | • Infection risks of HIV/AIDS may be increased |
| | Infectious | | | among construction workers and local business |
| 27 | diseases such as | B- | D | offering food and entertainment. |
| | HIV/AIDS | _ | _ | Operation Phase: |
| | | | | Considerable impact on infectious diseases is |
| | | | | unlikely to occur. |
| | | | | Construction Phase: |
| | Working | | | • Dust and emission gas caused by construction |
| | Working conditions | | | works may affect workers health. |
| 28 | (including | B- | D | Sanitary conditions around construction site may |
| 20 | occupational | -0 | U | get worse due to waste from workers and toilet. |
| | safety) | | | Operation Phase: |
| | safety) | | | Considerable impact on working conditions is |
| ļ | | | | unlikely to occur. |
| | | | | Construction Phase: |
| | | | B± | Traffic accident may occur surrounding of |
| | | | | construction site |
| 29 | Accidents | В- | | Operation Phase: |
| | | | | Traffic safety including pedestrians will be |
| | | | | improved by road widening and vehicle separation |
| | | | | Traffic accident due to more traffic volume and |

| | | Assessment | | |
|------|--|------------------------|-----------|---|
| | | Pre-Construction Phase | • | |
| No. | Impact Item | Construction Phase | Phase | Reason / Remarks |
| | | Land acquisition | Existence | |
| | | Construction works | Service | |
| | | | | faster vehicle speed may increase ratio of traffic |
| | | | | accident. |
| Othe | er | | | |
| | | B- | | Construction Phase: |
| | | | B± | Trans-boundary impacts including climate change |
| | Trans-boundary impacts or climate change | | | will not occur. |
| | | | | • Operation of construction equipment will generate |
| 20 | | | | CO ₂ . |
| 30 | | | | Operation Phase: |
| | | | | • In the future, total amount of CO_2 emission from |
| | | | | vehicles will increase. However, because of |
| | | | | improved traffic efficiency, the amount may be |
| | | | | reduced compared to without project. |

A+/-: Significant positive / negative impact is expected.

B+/-: *Positive* / *negative impact is expected to some extent*.

C+/-: Extent of positive / negative impact is unknown. (A further examination is needed, and the impact could be clarified as the study progresses)

D: No impact is expected

* Impact Items refer to "JICA Guidelines for Environmental and Social Considerations April 2010"

| Fable 15.4-2 | Result of Environmental Scoping (2) |
|---------------------|--|
|---------------------|--|

Sri Sophorn - Poipet Section

| 2Water pollutionB-C-the target road. However, construction works in and around rivers or streams will cause turbid water.2Water pollutionB-C-In case of accidental massive leaking of fuel or oi water pollution including ground water may occur.2Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination.Human wastewater will cause surface water contamination. | | | | | |
|---|-------|---------------|--------------------|---------------|--|
| No. Impact Item Construction Phase Phase Reason / Remarks Pollution Existence Construction works Service Construction Phase: Pollution Construction of construction equipment will generate dust and emission gas. Operation of construction site will cause increase in exhaust gas from vehicles. 1 Air pollution B- B± Dust will occur in borrow pit and quarry site. 0 Operation Phase: In the future, total amount of air pollutant caused by vehicle exhaust gas will increase. However, because of improved traffic efficiency, the amoun may be reduced compared to without project. 2 Water pollution B- C- 2 Water pollution B- C- 2 Water pollution B- C- 3 B- C- In case of accidental massive leaking of fuel or oi water pollution including ground water may occu 4 Water pollution B- C- In case of accidental massive leaking of fuel or oi water contamination. 1 Human wastewater will cause surface water contamination. Human wastewater will cause surface water contamination. | | | | | |
| Land acquisition Construction works Existence Service Pollution Construction Phase: Operation of construction equipment will generate dust and emission gas. Traffic congestion in construction site will cause increase in exhaust gas from vehicles. Dust will occur in borrow pit and quarry site. Operation Phase: In the future, total amount of air pollutant caused by vehicle exhaust gas will increase. However, because of improved traffic efficiency, the amoun may be reduced compared to without project. Water pollution B- C- Water pollution B- C- In case of accidental massive leaking of fuel or oi water pollution including ground water may occu · Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination. Human wastewater will cause surface water contamination. | | | | - | |
| Construction works Service Pollution Construction Phase: Operation of construction equipment will generate dust and emission gas. Traffic congestion in construction site will cause increase in exhaust gas from vehicles. Dust will occur in borrow pit and quarry site. Operation Phase: In the future, total amount of air pollutant caused by vehicle exhaust gas will increase. However, because of improved traffic efficiency, the amoun may be reduced compared to without project. Return pollution B- 2 Water pollution B- C- Construction Phase: In the future, total amount of air pollutant caused by vehicle exhaust gas will increase. However, because of improved traffic efficiency, the amoun may be reduced compared to without project. 2 Water B- C- Construction Phase: | No. | Impact Item | | | Reason / Remarks |
| Pollution Construction Phase: 1 Air pollution B- B± 1 Air pollution B- B± 2 Water pollution B- B± 2 Water pollution B- C- 2 Water pollution B- C- 4 Water pollution B- C- 5 Bridge construction works will not be included in the target road. However, construction works in and around rivers or streams will cause turbid water. 5 In case of accidental massive leaking of fuel or oi water pollution including ground water may occu 6 Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination. | | | | | |
| 2 Water pollution B- B- C- 2 Water pollution B- C- 2 Water pollution B- C- 2 Water pollution B- C- 4 Water pollution B- C- 5 Bridge construction works will not be included in the target road. However, construction works in and around rivers or streams will cause turbid water. 5 In case of accidental massive leaking of fuel or oi water pollution including ground water may occu 6 Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination. | | | Construction works | Service | |
| 1 Air pollution B- B± • Operation of construction equipment will generate dust and emission gas. 1 Air pollution B- B± • Traffic congestion in construction site will cause increase in exhaust gas from vehicles. 1 Air pollution B- B± • Dust will occur in borrow pit and quarry site. 0 Operation Phase: • In the future, total amount of air pollutant caused by vehicle exhaust gas will increase. However, because of improved traffic efficiency, the amoun may be reduced compared to without project. 2 Water pollution B- C- 2 Water pollution B- C- 4 Water repollution B- C- 4 Herman wastewater will cause surface water contamination. • Human wastewater will cause surface water contamination. | Pollu | tion | | | |
| 1 Air pollution B- B± dust and emission gas. 1 Air pollution B- B± Traffic congestion in construction site will cause increase in exhaust gas from vehicles. 1 Air pollution B- B± Dust will occur in borrow pit and quarry site. 0 Operation Phase: In the future, total amount of air pollutant caused by vehicle exhaust gas will increase. However, because of improved traffic efficiency, the amount may be reduced compared to without project. 2 Water pollution B- C- 2 Water pollution B- C- 4 B- C- Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination. 4 Human wastewater will cause surface water contamination. Human wastewater will cause surface water contamination. | | | | | Construction Phase: |
| 1 Air pollution B- B± dust and emission gas. 1 Air pollution B- B± Traffic congestion in construction site will cause increase in exhaust gas from vehicles. 1 Air pollution B- B± Dust will occur in borrow pit and quarry site. 0 Operation Phase: In the future, total amount of air pollutant caused by vehicle exhaust gas will increase. However, because of improved traffic efficiency, the amount may be reduced compared to without project. 2 Water pollution B- C- 2 Water pollution B- C- 4 B- C- Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination. 4 Human wastewater will cause surface water contamination. Human wastewater will cause surface water contamination. | | | | | • Operation of construction equipment will generate |
| 1Air pollutionB-B±• Traffic congestion in construction site will cause increase in exhaust gas from vehicles. • Dust will occur in borrow pit and quarry site. Operation Phase: • In the future, total amount of air pollutant caused by vehicle exhaust gas will increase. However, because of improved traffic efficiency, the amount may be reduced compared to without project.2Water pollutionB-C- Construction Phase: • Bridge construction works will not be included in the target road. However, construction works in and around rivers or streams will cause turbid water. • In case of accidental massive leaking of fuel or oi water pollution including ground water may occu. • Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination. | | | | | |
| 1 Air pollution B- B± increase in exhaust gas from vehicles. 1 Air pollution B- B± Dust will occur in borrow pit and quarry site. Operation Phase: In the future, total amount of air pollutant caused by vehicle exhaust gas will increase. However, because of improved traffic efficiency, the amoun may be reduced compared to without project. Z Water pollution B- C- 2 Water pollution B- C- In case of accidental massive leaking of fuel or oi water pollution including ground water may occu Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination. | | | | | - |
| 1 Air pollution B- B± • Dust will occur in borrow pit and quarry site. 0 Operation Phase: • In the future, total amount of air pollutant caused by vehicle exhaust gas will increase. However, because of improved traffic efficiency, the amoun may be reduced compared to without project. 2 Water pollution B- C- 2 Water pollution B- C- 4 B- C- • Bridge construction works will not be included in the target road. However, construction works in and around rivers or streams will cause turbid water. 2 Water pollution B- C- 4 B- C- • In case of accidental massive leaking of fuel or oi water pollution including ground water may occu 5 Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination. | | | | | |
| 2 Water pollution B- C- C- Operation Phase: In the future, total amount of air pollutant caused by vehicle exhaust gas will increase. However, because of improved traffic efficiency, the amoun may be reduced compared to without project. 2 Water pollution B- C- Construction Phase: In case of accidental massive leaking of fuel or oi water pollution including ground water may occu Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination. Human wastewater will cause surface water contamination. | 1 | Air pollution | R. | $\mathbf{B}+$ | 6 |
| 2 Water pollution B- C- In the future, total amount of air pollutant caused by vehicle exhaust gas will increase. However, because of improved traffic efficiency, the amount may be reduced compared to without project. 2 Water pollution B- C- Construction Phase: 3 In case of accidental massive leaking of fuel or oi water pollution including ground water may occur. Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination. | 1 | 7 in ponution | D- | D± | |
| 2 Water pollution B- C- End of the target road. How the target road is the target road of target road of the target road of target | | | | | - |
| 2 Water pollution B- C- Construction Phase: • Bridge construction works will not be included in the target road. However, construction works in and around rivers or streams will cause turbid water. • In case of accidental massive leaking of fuel or oi water pollution including ground water may occur. • Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination. | | | | | |
| 2 Water pollution B- C- Construction Phase: Bridge construction works will not be included in the target road. However, construction works in and around rivers or streams will cause turbid water. In case of accidental massive leaking of fuel or oi water pollution including ground water may occur. Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination. Human wastewater will cause surface water contamination. | | | | | |
| 2 Water pollution B- C- Construction Phase: Bridge construction works will not be included in the target road. However, construction works in and around rivers or streams will cause turbid water. In case of accidental massive leaking of fuel or oi water pollution including ground water may occur. Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination. Human wastewater will cause surface water contamination. | | | | | |
| 2 Water pollution B- C- • Bridge construction works will not be included in the target road. However, construction works in and around rivers or streams will cause turbid water. 2 Water pollution B- C- • In case of accidental massive leaking of fuel or oi water pollution including ground water may occur. • Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination. • Human wastewater will cause surface water contamination. | | | | | |
| 2 Water pollution B- C- the target road. However, construction works in and around rivers or streams will cause turbid water. 2 Water pollution B- C- In case of accidental massive leaking of fuel or oi water pollution including ground water may occur. Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination. Human wastewater will cause surface water contamination. | | | | C | Construction Phase: |
| 2 Water pollution B- C- and around rivers or streams will cause turbid water. 2 Water pollution B- C- In case of accidental massive leaking of fuel or oi water pollution including ground water may occur. Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination. Human wastewater will cause surface water contamination. | | | | | • Bridge construction works will not be included in |
| 2 Water pollution B- C- C- In case of accidental massive leaking of fuel or oi water pollution including ground water may occur. Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination. Human wastewater will cause surface water contamination. | | | | | the target road. However, construction works in |
| 2 Water pollution B- C- C- In case of accidental massive leaking of fuel or oi water pollution including ground water may occur. Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination. Human wastewater will cause surface water contamination. | | | | | and around rivers or streams will cause turbid |
| 2 Water pollution B- Pollution B- C- water pollution including ground water may occur • Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination. • Human wastewater will cause surface water contamination. | | | | | water. |
| 2 Water pollution B- Pollution B- C- water pollution including ground water may occur. • Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination. • Human wastewater will cause surface water contamination. | | | | | • In case of accidental massive leaking of fuel or oil |
| Surface soil runoff by rainfall in road construction site, borrow pit and quarry site may cause surface water contamination. Human wastewater will cause surface water contamination. | 2 | Water | R- | | - |
| site, borrow pit and quarry site may cause surface water contamination. Human wastewater will cause surface water contamination. | 2 | pollution | D | C | |
| water contamination. Human wastewater will cause surface water contamination. | | | | | - |
| Human wastewater will cause surface water contamination. | | | | | |
| contamination. | | | | | |
| | | | | | |
| Oneration Phase | | | | | |
| Operation T hase. | | | | | Operation Phase: |

| | | Assessment | t | |
|-----|----------------------|------------------------|-----------|---|
| | | Pre-Construction Phase | | |
| No. | Impact Item | Construction Phase | Phase | Reason / Remarks |
| | 1 | Land acquisition | Existence | |
| | | Construction works | Service | |
| | | | | • Considerable water pollution is unlikely to occur. |
| | | | | • Turbid water from borrow pit and quarry site by |
| | | | | rainfall may cause surface water contamination |
| | | | | until the recovery of vegetation. |
| | | | | Construction Phase: |
| | | | | Construction Thase. Construction waste caused by construction works |
| | | | | |
| 3 | Waste | B- | D | and general waste from construction office will be |
| | | | | generated. |
| | | | | Operation Phase: |
| | | | | Waste is unlikely to increase newly. |
| | | | | Construction Phase: |
| | | | | • Because materials to cause soil pollution will not |
| | | | | be used in the construction works, soil pollution is |
| | a | 5 | 5 | unlikely to occur. |
| 4 | Soil pollution | D | D | Operation Phase: |
| | | | | Because materials to cause soil pollution will not |
| | | | | be used in the maintenance works, soil pollution is |
| | | | | - |
| | | | | unlikely to occur. |
| | | | | Construction Phase: |
| | | | | Construction works is likely to increase in the |
| | | | | noise and vibration level. |
| | | B- | | • Noise and vibration will occur in borrow pit and |
| 5 | Noise and | | B- | quarry site. |
| 5 | vibration | | D- | Operation Phase: |
| | | | | • In the future, noise level caused by vehicle driving |
| | | | | will increase. However, because right of way will |
| | | | | be widened, the level on road side may be reduced |
| | | | | compared to without project. |
| | | | | Construction Phase: |
| | | C- | | |
| | | | | • Subsidence near the road due to added soil weight |
| | | | C- | may occur. |
| 6 | Ground subsidence | | | Operation Phase: |
| | | | | • Pressure of loading on road will be too low to |
| | | | | cause, subsidence. However, because some |
| | | | | portions of NR 5 run through flooded area, |
| | | | | subsidence may occur. |
| | | | | Construction Phase: |
| | | | | Because materials and equipment to cause |
| | | | | offensive odors will not be used in the construction |
| _ | Offensive | _ | _ | works, offensive odors are unlikely to occur. |
| 7 | odors | D | D | Operation Phase: |
| | | | | Because vehicles with incomplete combustion are |
| | | | | few, exhaust gas from vehicles is unlikely to cause |
| | | | | offensive odors. |
| | | | | |
| | | | | Construction Phase: |
| | | | | • Filled soil may be eroded by rain water and flow |
| | Bottom sediment | D | | into rivers or streams, and accumulated on the |
| 8 | | | D | bottom. However, the affected area will be limited |
| | | | | only in the roadside. |
| | | | | Operation Phase: |
| | | | | • Because filling sections are unlikely to collapse, |
| | | | | sedimentation on riverbed will not occur. |
| | | | | Erosion from borrow pit and quarry site by rainfall |
| L | | I | | LAUSION NON OUTOW PR and quarry site by fallian |

| | Assessment | | | |
|------|--------------------------|--|---------|---|
| No. | Impact Item | Pre-Construction Phase Construction Phase Land acquisition Construction works | | Reason / Remarks |
| | | Construction works | 5011100 | may cause sedimentation on riverbed until the recovery of vegetation. However, the effects will be in limited areas and for a short period. |
| Natu | ral Environment | | | |
| 9 | Protected areas | C- | C- | Construction Phase: Operation Phase: Because the target road passes upstream area of transition zone in "Tonle Sap Biosphere Resave (TSBR)" and the distance between the target road and TSBR is approximately 7 km at the nearest point, indirect impacts on some components of the resave may occur. (An additional approval concerning TSBR will not be required.) |
| 10 | Ecosystem | B- | C- | Construction Phase: Vegetation in roadside including trees will be lost by widening works. However, tree clearing of community or flooded forest will not be required. Agricultural ecosystem will be lost or disturbed by construction works. Turbid water caused by construction works in and around rivers or streams is likely to affect aquatic life. Operation Phase: Because the target road mostly passes through well developed area such as agricultural land and urban area, impact on biodiversity is unlikely to occur. Because the distance between the target road and Tonle Sap lakeside is approximately 80 km at the nearest point, direct impact on ecosystem in Tonle Sap Lake is unlikely to occur. If embankment sections choke off existing surface water flow, impact on remote aquatic ecosystem may occur. |
| 11 | Hydrology | C- | C- | Construction Phase: Water flow in the river or stream may be altered during construction works. But the impact will be temporary and in limited area. Operation Phase: Because some project sites are located in flood plain, impact caused by newly constructed embankment sections or culverts on surface water flow may occur. |
| 12 | Geographical features | B- | D | Construction Phase: Topography will be changed in embankment sections on a small scale. Topography will be changed in borrow pit and quarry site. Operation Phase: Impact on geographical features is unlikely to occur. |

| | Assessment | | | |
|-------|---|--|--|--|
| No. | Impact Item | Pre-Construction Phase Construction Phase Land acquisition Construction works | Operation Phase Existence Service | Reason / Remarks |
| Socia | al Environment | Construction works | Service | |
| 13 | Resettlement/ Land Acquisition | A- | D | Pre-Construction Phase: Resettlement and additional land acquisition will be required. Construction Phase: Temporal lease of land and additional small scale resettlement will be required. Operation Phase: Additional physical resettlement and land acquisition will not be required. |
| 14 | Poor people | B- | B- | Pre-Construction Phase: Operation Phase: Some of the poor people who do not have their own land living within Right of Way or Provisional Road Width will be seriously affected by resettlement and may lose their business opportunity. |
| 15 | Ethnic minorities and indigenous peoples | C- | D | Pre-Construction Phase: Construction Phase: Road widening may cause resettlement or other impacts on Ethnic Cham and Vietnamese living along NR 5 Operation Phase: Particular impact on only ethnic minorities is unlikely to occur. |
| 16 | Local economies, such as employment, livelihood, etc. | B± | B± | Pre-Construction Phase: Land acquisition and resettlement may cause livelihood degradation of Project Affected Persons (PAPs). Construction Phase: Construction will create job opportunities to local people. Construction works in and around rivers or streams may have impact on local fishery. Operation Phase: Reduction of travel time will contribute to local economies and promote tourism. Change of access to local resources may widen gap in local economy. |
| 17 | Land use and utilization of local resources | B- | B+ | Construction Phase: Widening works will require land acquisition including agricultural or residential land. Operation Phase: Land use along NR 5 will be changed and be developed economically and socially. Improved transportation will contribute to effective utilization of local resources. |
| 18 | Water usage | B- | C- | Construction Phase: Existing agricultural canals located in roadside will be affected by widening works. Operation Phase: Newly constructed embankment sections or culverts may change surface water flow. |

| | | Assessment | | |
|-----|---|------------------------|-----------|---|
| | | Pre-Construction Phase | Operation | |
| No. | Impact Item | Construction Phase | Phase | Reason / Remarks |
| | | Land acquisition | Existence | |
| | | Construction works | Service | |
| | | | | Pre-Construction Phase: Relocation or protection of existing utilities, such as electric poll, water pipe and optical fiber cable will be required. |
| 19 | Existing social infrastructures and services | B- | B± | Construction Phase: Temporary traffic congestion in construction site including NR 5 and other rural roads will occur. Operation Phase: Access to social services will be improved. Spilt of local communities or widening disparity may occur in bypass section. |
| | Casial | | | Construction Phase: |
| | Social institutions | | | |
| 20 | such as social infrastructure and local decision-makin g institutions | C- | C- | Operation Phase: Because of improvement project of existing road, considerable impact on social institutions is unlikely to occur. Spilt of local communities or widening disparity may occur due to widened road. |
| | | | | Pre-Construction Phase: |
| 21 | Misdistribution of benefits and damages | C- | D | Construction Phase: Because of improvement project of existing road, considerable misdistribution of benefit among local people is unlikely to occur. Misdistribution of benefit among PAPs may occur. Operation Phase: Because of improvement project of existing road, considerable misdistribution of benefit among local people is unlikely to occur. |
| 22 | Local conflicts of interest | D | D | Construction Phase: Operation Phase: Because of improvement project of existing road, considerable impact on local conflict is unlikely to occur. |
| 23 | Cultural heritage | C- | D | Construction Phase: Widening works may cause partial loss of religious or cultural properties. Operation Phase: There are no major cultural heritages along the target road. Because of improvement project of existing road, significant increase in visitors to religious or cultural heritages around the target road is unlikely occur. |
| 24 | Landscape | B- | D | Construction Phase: Vegetation at existing roadside including high trees will be lost by widening works, and cause change of landscape. Operation Phase: Because there are no protected scenic view areas, considerable impact on landscape is unlikely to occur. |
| 25 | Gender | C- | C- | Construction Phase: Operation Phase: |

| | | Assessment | t | |
|----------|--|------------------------|-----------|---|
| NT | | Pre-Construction Phase | | |
| No. | Impact Item | Construction Phase | Phase | Reason / Remarks |
| | | Land acquisition | Existence | |
| | | Construction works | Service | Impact on street venders, especially women, may |
| | | | | occur. |
| | | | | Construction Phase: |
| | | | | Considerable impact only on children's rights is |
| | | | | unlikely to occur. |
| | | | | Operation Phase: |
| 26 | Children's | D | B± | • Road improvement may cause traffic accident of |
| 20 | rights | D | 2- | children due to more traffic volume and faster |
| | | | | vehicle speed. |
| | | | | Traffic venerable people including children can be |
| | | | | separated safely from main vehicle lane. |
| | | | | Construction Phase: |
| | | | | • Infection risks of HIV/AIDS may be increased |
| | Infectious | | | among construction workers and local business |
| 27 | diseases such | B- | D | offering food and entertainment. |
| | as HIV/AIDS | | | Operation Phase: |
| | | | | Considerable impact on infectious diseases is |
| | | | | unlikely to occur. |
| | | B- | | Construction Phase: |
| | XX7 1 · | | | • Dust and emission gas caused by construction |
| | Working conditions (including occupational safety) | | | works may affect workers health. |
| 20 | | | D | Sanitary conditions around construction site may |
| 28 | | | | get worse due to waste from workers and toilet. |
| | | | | Operation Phase: |
| | | | | • Considerable impact on working conditions is |
| | | | | unlikely to occur. |
| | | | | Construction Phase: |
| | | | | Traffic accident may occur surrounding of |
| | | | | construction site |
| | | | | Operation Phase: |
| 29 | Accidents | B- | B± | Traffic safety including pedestrians will be |
| | | | | improved by road widening and vehicle separation |
| | | | | • Traffic accident due to more traffic volume and |
| | | | | faster vehicle speed may increase ratio of traffic |
| <u> </u> | | | | accident. |
| Othe | r | Γ | | |
| | | | | Construction Phase: |
| | | B- | | • Trans-boundary impacts including climate change |
| | Trans-boundar y impacts or climate change | | | will not occur. |
| 30 | | | | • Operation of construction equipment will generate |
| | | | B± | CO ₂ . Operation Phase: |
| | | | | • In the future, total amount of CO_2 emission from |
| | | | | vehicles will increase. However, because of |
| | | | | improved traffic efficiency, the amount may be |
| | | | | reduced compared to without project. |
| . · | | | l | reduced compared to without project. |

A+/-: Significant positive / negative impact is expected.

B+/-: *Positive* / *negative impact is expected to some extent*.

C+/-: Extent of positive / negative impact is unknown. (A further examination is needed, and the impact could be clarified as the study progresses)

D: No impact is expected

* Impact Items refer to "JICA Guidelines for Environmental and Social Considerations April 2010"

15.5 Alternative Analysis

15.5.1 Cross Section (Middle Section and Sri Sophorn - Poipet Section)

(1) Items of Evaluation

For the improvement of Middle Section and Sri Sophorn – Poipet Section of NR 5, three alternatives of cross section including 0 option were studied. Items of evaluating the alternatives are proposed as below, considering the objectives and adverse impacts of the Project:

(i) Social impact

Social impact, or resettlement of houses and households the impacts which needs diligent consideration. Thus, magnitudes of resettlement is adopted as one of the evaluation items.

(ii) Impact on natural environment

A road project may give some impact to natural environment. Thus this items is considered in evaluation of alternatives.

(iii) Impact on living environment/pollution

When traffic demand is not met, traffic congestion occurs and exhaust gas will increase. Thus, pollution is proposed as one of the evaluation items.

(iv) Traffic safety

Safe traffic is one of the most important aspects in road transport. Thus traffic safety is adopted as one of the evaluation items.

(v) Road/transport function

This refers to the performance of road whose function is to accommodate the traffic and serve for smooth, reliable and fast movement of people and goods. Strengthening of such function is the basic objective of the road improvement.

(vi) Construction cost/maintenance cost

This includes two sub-items. It is expected that improvement of the pavement can reduce the maintenance cost while such improvement need construction cost of the new pavement.

Table 15.5-1 compares advantages and disadvantages of these alternatives.

| | | Evaluation Rank | $^{st}: \bigcirc$ $2^{nd}: \bigcirc$ $3^{rd}: \triangle$ Improper: \times |
|---|--|--|---|
| Alternative | ALT-0: Zero Option | ALT-1: Widening road to full 4-lane and Pavement Improvement | ALT-2: Widening road to 2-lane with MC lanes and Pavement Improvement |
| Traffic Characteristic | | | |
| Predicted traffic volume | Middle Section : 14,200 pcu/day in 2023 : 20,800 pcu/day in 2028 : 27,500 pcu/day in 2033 | Sri Sophorn – Poipet :15,600 pcu/day in 2023 :20,200 pcu/day in 2028 :25,500 pcu/day in 2033 | |
| Capacity of traffic volume (pcu) | × 19,000 | © 40,000 | × 19,000 |
| Natural Environmental Impa | | 1 | 1 |
| Natural Environmental Impact | © There is no impact. | No great impact is anticipated since this project is widening of the existing road and project site is considerably distant from Tonle Sap Lake and its important natural reserves. However, minor negative impact may occur and diligent consideration to minimize the impacts is required. | O Same as ALT-1. |
| Social Impact | | | |
| Resettlement, Loss of Agricultural Land | © No resettlement will be required. And, no loss of agricultural land is anticipated. | △ Large-scale resettlement is required. And, agricultural land along the road will be lost for by the road. | Although they will be less than ALT-1, resettlement of a great number and replacement of agricultural land will arise. |
| Impact on Living Environment / Pollution | × As traffic volume increases in the future, it will be likely that traffic congestion will arise. In that case, average driving speed being slower and the number of stop and go be increasing make the fuel consumption deteriorate. That will cause increase in | © It will not be likely that traffic congestion will arise if traffic volume increased in the future. Therefore increase of car exhaust will be prevented. | O It is same as ALT-1 basically but the capacity of traffic is smaller than ALT-1 Therefore, traffic congestion will arise earlier than ALT-1 and emission of air-pollution substances will increase.car exhaust. |

Table 15.5-1 Comparison of Alternatives of Improvement of Existing NR 5

| Alternative | ALT-0: | ALT-1: | ALT-2: |
|---------------------------|---|--|---|
| | Zero Option | Widening road to full 4-lane and Pavement | Widening road to 2-lane with MC lanes |
| | | Improvement | and Pavement Improvement |
| | emission of air-pollution substances. | | |
| Access to Public Service | Δ | 0 | 0 |
| Facilities | Traffic will be not smooth and it will take | Access to public services, such as schools and | It is same as ALT-1 basically but the |
| | more time to access schools, hospitals, etc. | hospitals,. will become easier as a result of | condition of access to the facilities will be |
| | | smooth traffic. | deteriorated sooner than that of ALT-1. |
| Impact on Socio-Economic | × | O | 0 |
| Activity | Hindered smooth traffic will obstacle to | Smooth traffic will enhance socio-economic | Basically same sith ALT-1 but the traffic |
| | socio-economic activities. Then, it will affect | activities and regional development. The | capacity is smaller than that in ALT-1. |
| | the regional and country development. | income level of the road side areas will be | Therefore, traffic congestion will arise |
| | | upgraded due to improvement of employment | earlier than ALT-1 and affect the |
| | | opportunity and consumption of local goods | socio-economic activities. |
| | | during the construction work. | |
| Traffic Safety | | | |
| Vehicle to Vehicle | × | O | 0 |
| | Narrow carriage way width may result in | Slow speed traffic, such as agricultural tractors, | Traffic safety will be improved, in less |
| | accidents when vehicles overtake. | and high speed traffic, such as passenger cars, | degree than that of ALT-1, because slow |
| | | will be separated and traffic safety will be | speed traffic and high speed traffic will |
| | | improved. Possibility of serious accidents | be separated (but to less degree than in |
| | | such as head-on collision will be decreased | ALT-1). |
| | | elimination of necessity of overtaking using | And, Possibility of serious accidents such |
| | | the lane in opposite direction. | as head-on collisioin will be decreased by |
| | | | that it will not be so frequently that |
| | | | overtaking vehicles use the oposite lane. |
| | | | Dangerousness of pedestrian crossing the |
| | | | road is smaller than that of ALT-1 |
| | | | because the carriageway width is |
| | | | narrower than that of ALT-1. |
| Dangerousness of Crossing | Ø | O | Ø |
| Road by Pedestrians/farm | Possibility of accidents when pedestrians/farm | Level of safety approximately same to that of | Level of safety approximately same to |
| animals | animals crossing road is the lowest among all | ALT-0 will be achieved with installation of | that of ALT-0 will be achieved with |
| | of the alternatives because the carriage way | sufficiently wide median where pedestrians | installation of sufficiently wide median. |

| Alternative | ALT-0: | ALT-1: | ALT-2: |
|----------------------------------|--|---|---|
| | Zero Option | Widening road to full 4-lane and Pavement | Widening road to 2-lane with MC lanes |
| | | Improvement | and Pavement Improvement |
| | width is the narrowest. | and animals can stand and wait passage of | |
| | | vehicles. | |
| | | However, traffic accidents of pedestrians | |
| | | crossing the road hit by high-speed vehicles | |
| | | may increase because of increased speed of | |
| | | vehicles. | |
| Road and Traffic Function | × | \odot | 0 |
| | As traffic volume increases, traffic congestion | Sufficient traffic capacity and smooth traffic | Traffic capacity of this alternative is |
| | will occur and smooth traffic will not be | will be achieved. | smaller than that of ALT-1, therefore, |
| | achieved. | | traffic congestion of this alternative will |
| | | | occur earlier than that of Alt-1 and road |
| E. | | | widening will be needed again. |
| Economy | | | |
| Construction Cost | | | |
| | No project cost is required. | Project including cost for resettlement, cost for | Cost for this alternative is smaller than |
| | | road widening and cost for improvement of | that of ALT-1 since the work volume is |
| | | pavement. is necessary. | smaller than that of ALT-1, resulting in |
| | | | reduced cost for resettlement and |
| Mittan | | | construction works. |
| Maintenance Cost | △ Middle Section: | Maintenance cost will be decreased because the | Maintenance cost will be decreased |
| | Fragile exiting pavement (DBST) is maintained | pavement is improved to durable AC. | because the pavement is improved to |
| | resulting in high maintenance cost. | pavement is improved to durable AC. | durable AC. |
| | resulting in high maintenance cost. | | durable AC. |
| | Sri Sophorn – Poipet Section: | | |
| | The life of the existing road was rehabilitated in | | |
| | 2008. Sin the design life period of AC | | |
| | pavement is usually designed to be 10 years, | | |
| | rehabilitation with overlay or reconstruction | | |
| | will be necessary in a few years. | | |
| | | | |

| Alternative | ALT-0: | ALT-1: | ALT-2: | | | |
|--|--|--|---|--|--|--|
| | Zero Option | Widening road to full 4-lane and Pavement | Widening road to 2-lane with MC lanes | | | |
| | | Improvement | and Pavement Improvement | | | |
| | × | (Recommended) | 0 | | | |
| | ALT-1 of the highest total evaluation is recommended. | | | | | |
| | Views on the evaluation items of ALT-1 that are evaluated lower compared to other alternatives are as follows. | | | | | |
| Total Evaluation | • "Construction Cost" of ALT-1 is the largest, however ALT=1 yields largest socio-economic benefits. | | | | | |
| | Negative social impacts (resettlement and loss | ss of agricultural land) and negative natural enviro | onmental impact of ALT-1 is larger than | | | |
| | those of other alternatives. However these im | pacts are considered to be the minimum for achie | eving the objectives of the Project | | | |
| (achieving socio-economic development of Cambodia and enhancing integration of regional economy through enhancing tran | | | | | | |
| | capacity, improving transport efficiency, and | improving traffic safety). | | | | |

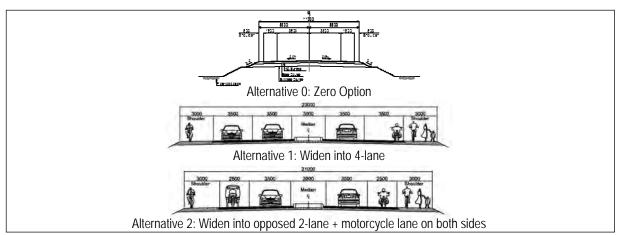


Figure 15.5-1 Typical Cross Sections of Alternatives (General Section)

(2) Overall Evaluation

Overall Evaluation of each alternative is summarized below:

➢ Alternative-0 (Zero Option):

While this option causes minimum or no negative impacts with regard to social impact (resettlement) and construction cost and causes no impact to natural environment, it will not solve the problems associated with traffic congestion which lead to hampered socio-economic activities and regional development, as well as increase in risk of traffic accident and pollution.

Alternative-1 (Widening into 4 Lanes)

While this Alternative causes the largest negative impact with regard to social impact (resettlement) and construction cost, it is expected to promote socio-economic activities and regional development and reduce future risk of traffic accident and pollution to the maximum degree among the alternatives. It should be noted that increase in traffic capacity may induce a new demand in road traffic and cause increase in the total emission of pollutants.

While there remains the possibility of impact to the natural environment, it is expected to be small. Negative impact of resettlement is unavoidable in this alternative and need diligent mitigation measures such as compensation.

Another negative impact of Alternative-1 is split of local communities. This is caused by widening of the road width and increase of vehicle speed which make crossing of road difficult. This negative impact can be mitigate to certain degree by providing facilities which assist safe crossing, such as pedestrian crossing road marking, rumble strip on pavement surface and traffic signs to reduce vehicle speed.

The government Cambodia has accumulated experiences in resettlement and is expected to practice it best effort to mitigate the negative impacts. This alternative is expected to fully achieve the objectives of the Project by eliminating traffic congestion which will occur unless some measure is taken.

Alternative-2 (Widening into 2 Lanes + Motorcycle Lane)

This alternative has an advantage that the degree of negative social impact (resettlement) is smaller than that in Alternative-1. It can accommodate increased traffic demand up to less than 10 years after completion of the Project. Thus, widening into full 4-lane will be needed over sections of considerable length within 10 years after completion of the Project. Thus, this Alternative cannot fully achieve the objectives of the Project.

(3) **Recommendation**

As stated above, Alternative-1 is evaluated to achieve the objectives of the Project. On the other hand it requires considerable extent of resettlement which needs diligent mitigation measures including adequate compensation and restoration of income and other aspects of resettled people. Thus, Alternative-1 was recommended by the JICA Survey Team, with condition of proper mitigation measures be taken for negative impacts as discussed above. After discussions among relevant organizations including MPWT, DPWT and JICA Team, Alternative-1 was adopted.

15.5.2 Pursat Bypass

(1) Objective and Adverse Impacts of Bypass Construction

The main objectives of constructing bypass are as follows:

- > To avoid large scale resettlement which becomes necessary if the exiting NR 5 is to be widened,
- > To reduce/mitigate the traffic accidents and pollutions which are caused by traffic passing through the urbanized area of the city/town, and
- > To induce desirable form of urban development

While construction of a bypass brings about favorable impact on traffic flow, traffic safety, pollution and urban development, it is possible that it causes some adverse impacts. First, it needs new acquisition of considerable area of land (mainly rice fields), as an adverse impact. Also, construction of road embankment in rice field may cause some impact on ecology and natural environment.

(2) Alternatives and Evaluation Items

For Pursat Bypass of NR 5, six alternatives were studied. Items of evaluating the alternatives are proposed as follows:

- ➤ Advantage
- Disadvantage including impact on natural environment in TSBR
- Number of Houses to be Relocated
- ➤ Total Cost

Table 15.5-2 compares advantages and disadvantages of these alternatives.

| | | | | Evaluation Rank | $1^{\text{st}}: \bigcirc, 2^{\text{nd}}: \bigcirc,$ | 3^{rd} : \triangle , Improper: \times |
|--|---|--|---|--|---|---|
| Alternative | Widen Existing NR 5 | N-1 | N-2 | N-3 | S-1 | P-1 |
| Description | Widen existing NR 5. | north side of the city. | Short detour on the north side of the city. | Set intersections of BP with NR 5 at the roundabouts with monument which are located 5 km or more distant from the urbanized area. | Short detour on the south side of the city. Flyovers are constructed for railroad crossings at 2 locations . | Set intersections of BP with NR 5 at the roundabouts with monuments which are located 5 km or more distant from the urbanized area. Flyovers are constructed for railroad crossings at 2 locations. |
| Natural Environmental Impac | | | | | | |
| Impact on Natural Environment | © There is no big impact. | | O Mostly same as N-1. | O Mostly same as N-1. | O Mostly same as N-1. | O Mostly same as N-1. |
| Social Impact | | | | 1 | | |
| Resettlement, Loss of Agricultural Land | × Widening of existing road passing town area still cause much resettlement. Resettlement is the largest among all the alternatives. Loss of agricultural | the smallest because this route is the farthest from the town. Loss of agricultural land is the 3 rd smallest because the length of the route is the 3 rd shortest. | smallest because the length of the | third largest. Loss of agricultural | △ Resettlement is the third smallest. Loss of agricultural land is the smallest because the length of the route is the shortest. | C Resettlement is the second smallest. Loss of agricultural land is the largest because the length of the route is the longest. |

Table 15.5-2 Comparison of Alternative Routes of Pursat Bypass

st 💿 and \bigcirc rd ^

Preparatory Survey for National Road No.5 Improvement Project (Middle Section: Thlea Ma'am – Battambang, and Sri Sophorn – Poipet)

| Alternative | Widen Existing NR 5 | N-1 | N-2 | N-3 | S-1 | P-1 |
|------------------------------|---|---|------------------------|---------------------|------------------------|---------------------|
| | land is minimum. | | shortest. | | | |
| Impact on Living Environment | 0 | \odot | Ô | \bigcirc | 0 | Ô |
| / Pollution | Traffic passing the town will make more noise, vibration and air pollution. | Traffic passing through the town will decrease and noise, vibration and pollution in the town will decrease. Noise, vibration and air pollution will be newly created on the bypass but times of stopping and starting will be decreased. Total impact on the living environment will be smaller compared to that in "Widen Existing NR 5". | Mostly same as N-1. | Mostly same as N-1. | Mostly same as N-1. | Mostly same as N-1. |
| Impact on Socio-Economic | 0 | 0 | \bigcirc | 0 | 0 | 0 |
| Activity | Efficiency of transport is lower than those of other alternatives because vehicles passing the town needs to stop at traffic signals. | Smoother traffic than that of "Widen Existing NR 5" will enhance socio-economic activities and promote development of the region. | Same as N-1. | Same as N-1. | Same as N-1. | Same as N-1. |
| Traffic Safety | | | | | | |
| Traffic Safety | × Increased number of vehicles passing the town will worsen the situation of traffic accidents. | © Number of traffic accidents in the town will decrease because of decreased number of vehicle passing the town. Meanwhile, traffic accidents in the bypass will occur newly but the total number of traffic accidents will decrease | © Same as N-1. | © Same as N-1. | © Same as N-1. | © Same as N-1. |

| Alternative | Widen Existing NR 5 | N-1 | N-2 | N-3 | S-1 | P-1 |
|--------------------|--|--|--|---|---|--|
| | | because the bypass does not pass the urbanized area. | | | | |
| Traffic Function | | | | | | |
| | 0 | 0 | 0 | \bigtriangleup | 0 | \bigtriangleup |
| Advantage | • Shortest travel distance | Smooth connection with existing NR 5 The function of bypass can be maintained for long time in the future even if the urbanized area will expand. | Smooth connection with existing NR 5 | • Intersections are sufficiently remote from the urbanized area and remain outside of the urbanized area. | Increase in travel distance is the smallest. No serious influence to future expansion of the urbanized area. | • Intersections are sufficiently remote from the urbanized area and remain outside of the urbanized area. |
| Disadvantage | Vehicles passing the town will stop at traffic signals. Time required for pedestrians to cross the road will become longer resulting in decrease in travel speed. | Travel distance will be the longest. | The function of the bypass may be reduced as the urbanized area will expand and be close to the bypass in the near future. Travel distance is the second longest. | Vehicles are forced to slow down at the intersection on the east which is T-shaped with roundabout. | Steep longitudinal grade on the both sides of the flyovers for railroad crossing. | Vehicles are forced to slow down at the intersection on the east which is T-shaped with roundabout. Steep longitudinal grade on the both sides of the flyovers for railroad crossing. |
| Acceptance by PAPs | 1 | | | | 1 | |
| Acceptance by PAPs | People along the road generally welcome the road improvement because: The land price becomes higher, | People having land along bypass generally welcome because: The land price becomes higher, Smooth traffic is ensured | O Mostly same as N-1. | O Mostly same as N-1. | O Mostly same as N-1. | O Mostly same as N-1. |

| Alternative | Widen Existing NR 5 | N-1 | N-2 | N-3 | S-1 | P-1 | | |
|-------------------------------|--|--|----------------------|--------------------------|--------------------------|--------------------|--|--|
| | • Smooth traffic will | and access to schools | | | | | | |
| | be ensured and | hospital, etc. becomes | | | | | | |
| | access to school, | easier. | | | | | | |
| | hospital, etc. | | | | | | | |
| | becomes easier, | | | | | | | |
| | • Dust from road is | | | | | | | |
| | mitigated. | | | | | | | |
| Economy | _ | | - | | | | | |
| Construction Cost | \bigcirc | \bigtriangleup | \bigcirc | × | × | × | | |
| Numeric Data | | | | | | | | |
| Length (km) of | 10.8 | 12.5 | 11.9 | 11.8 | 11.6 | 11.8 | | |
| construction(BP) + | | | | | | | | |
| improvement(NR 5) | | | | | | | | |
| Length (km) of Bypass | | 8.8 | 7.7 | 10.4 | 5.8 | 11.8 | | |
| No. of Houses to be Relocated | 240 | 17 | 78 | 55 | 25 | 18 | | |
| Total Cost | 22.6 | 35.3 | 31.1 | 37.7 | 49.4 | 60.9 | | |
| (US\$ Million) | | | | | | | | |
| BP. Construction | 0 | 29.4 | 24.3 | 33.8 | 41.9 | 58.1 | | |
| Widen NR 5 | 20.2 | 3.3 | 4.5 | 1.2 | 5.2 | 0 | | |
| Resettlement | 2.4 | 1.3 | 1.4 | 1.1 | 1.4 | 1.1 | | |
| Land Acquisition | 0 | 1.3 | 1.0 | 1.6 | 0.9 | 1.8 | | |
| | | (Recommended) | 0 | \bigtriangleup | | | | |
| | "Widen Existing NR 5" | ' is not recommended because | ; | | | | | |
| I | the number of houses to be relocated is very large (around 240), although the impact on natural environment of this alternative is | | | | | | | |
| | minimal,. | | | | | | | |
| Total Evaluation | A 14 | "D 1" | | | | | | |
| Total Evaluation | | "P-1" are not recommended be | , | l | 1 | | | |
| | | bass the southern side of the cir alternatives passing the northe | | id at two location. This | is result in very high (| construction costs | | |
| | compared to other | anematives passing the northe | an side of the city. | | | | | |
| | Thus, N-1, N-2 and N-3 | 3 are compared. | | | | | | |
| l l | | L | | | | | | |

| Alternative | Widen Existing NR 5 | N-1 | N-2 | N-3 | S-1 | P-1 |
|-------------|-------------------------|--------------------------------|----------------------|------------------------|---------------------|----------------------|
| | N-1 has the following a | dvantages to N-2 and N-3: | | | | |
| | •N-1 can allow fut | ure expansion of the city with | maintaining the func | tion of the bypass for | a long time because | its route is located |
| | distant from the e | xiting urbanized area. | | | | |
| | •Number of house | to be relocated is the minimum | um | | | |
| | Considering the above, | N-1 is recommended. | | | | |

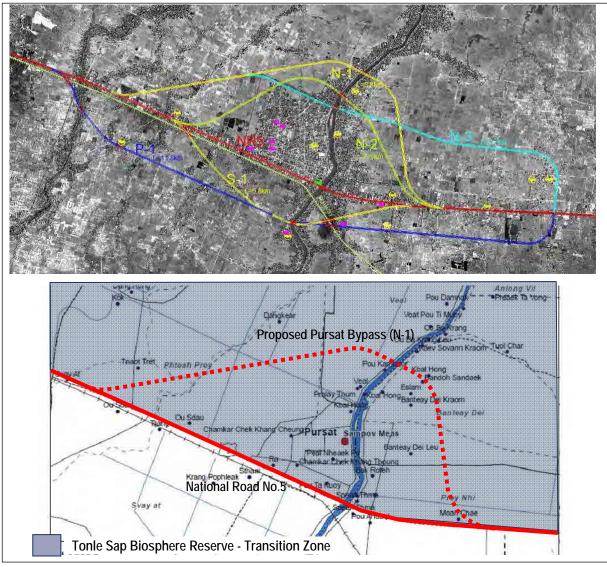


Figure 15.5-2 Alternative Routes of Pursat Bypass

(3) Impact Assessment

Negative Impact on natural environment and TSBR

The N-1, N-2 and N-3 routes pass through the transition zone of TSBR. The areas in and around these bypass routes are mostly irrigated rice field or partially residential zone. The bypass construction of the N-1, N-2 or N-3 routes is unlikely to loss natural vegetation including flooded forest. On the other hand, because Pursat River, agricultural canals and streams in the bypass routes are migration pathways for aquatic life especially in rainy season, the newly constructed bypass may change the hydrological conditions of surface water and have an impact on the migration of aquatic life. However, this impact can be mitigated by installation of bridges and/or culverts with sufficient flow capacity.

➢ Resettlement

"Widen existing NR 5" requires large scale resettlement that is difficult to implement. The

resettlement number of N-1, S-1 or P-1 is much less than one of S-2 or S-3.

Land Use and Town Planning

Town development plan of Pursat has not prepared yet. However, Pursat government will formulate the town planning to accommodate the future development supported by ADB. Because the N-2, N-3 or S-1 route is close to existing urban area, land use plan in the town planning may be limited by these bypass routes. The N-1, N-3 or P-1 route require much land acquisition in agricultural land.

(4) **Recommendation:**

After discussions on the best route to achieves the objectives of bypass construction and environmental and social impacts among organizations concerned including MPWT, Ministry of Water Resources and Meteorology (MOWRM), all relevant department in Pursat government and JICA Team, "N-1 route" was selected by following reasons:

- ➢ No railroad crossing
- Smooth connection with existing NR 5
- > Number of resettlement is the least in all alternative routes.
- ➤ Total cost is relatively lower.

Considering that this route best achieves the objectives of bypass construction, this route (alternative) was recommended.

It should be noted that the official letter from the Minister of MOWRM, who also holds the chairperson of Tonle Sap Authority (TSA) coordinating the management of TSBR, suggests that the permission should not be required, however considerations for the existing irrigation systems and conservation of present water flow as the conditions should be needed. Therefore, sufficient number of bridges and/or culverts need to be provided not to substantially change the current flow of surface water and pass for aquatic life.

15.6 Environmental Impacts and Mitigation Measures

15.6.1 Prediction of Environmental Pollution

(1) **Prediction of Air Pollution and CO₂ Emission**

According to the traffic demand forecast estimated in this survey, the total traffic demand in the each forecasted station in 2018 will increase by $158 \sim 206$ percent as Passenger Car Unit from the traffic volume in 2012. Air pollutants and CO₂ emitted by the vehicle traffic will also increase. The total emission volume of SPM (Suspended Particulate Matter), NOx (Nitrogen oxide) and CO₂ emitted by the vehicle traffic from the whole of the target sections (148 km long) in 2018 and 2023 is estimated in case of "With Project" and "Without Project" at a preliminary level. Because the project will not complete in 2018, the emission volume of " in 2018" and "With Project" is estimated as an assumption.

The "total emission volume" is calculated as:

$$BR_{i} = \sum_{j} \sum_{l} (Q_{ijl} \times L_{l} \times \beta_{j}) \times 365 \div 1,000,000$$

where:

| BR i | : Total Emission Volume in case of development i (ton/year) |
|-------------|---|
| Qi j l | : Traffic Volume in case of development i, link l and vehicle type j (number/day) |
| Ll | : Length of link l (km) |
| β j | : Emission factor by vehicle type j (gram/ (number*km)) |
| j | : vehicle type |
| l | : link |
| Source: Obj | ective Evaluation Index by Ministry of Land, Infrastructure, Transport and Tourism, Japan, 2003 |

The emission factors are calculated on the basis of "Grounds for the Calculation of Motor Vehicle Emission Factors using Environment Impact Assessment of Road Project etc. (Revision of FY 2010, National Institute for Land and Infrastructure Management, Japan". The details of the used calculation method are presented in Appendix 15-4.

The result of traffic volume forecast, average vehicle travel speed and emission factors to estimate the total emission volume are shown in Table 15.6-1.

| Table 15.6-1 | Traffic Volume, Average Vehicle Speed and Emission Factors |
|--------------|--|
|--------------|--|

| Item | Motorcycle | Light Vehicle | Heavy Vehicle |
|--|------------|---------------|---------------|
| Traffic Volume in 2012 (Present Condition, Without | 117.810 | 161,074 | 116,216 |
| Project) (number*km/day) | 117,010 | 101,074 | 110,210 |
| Traffic Volume "Without Project" in 2018 | 191,688 | 348,853 | 164,534 |
| (number*km/day) | 191,000 | 540,055 | 104,554 |
| Traffic Volume "With Project" in 2018 | 272 575 | 265 802 | 166.040 |
| (number*km/day) | 272,575 | 365,892 | 166,049 |
| Traffic Volume "Without Project" in 2023 | 249 217 | 107 527 | 219.644 |
| (number*km/day) | 348,317 | 487,537 | 219,044 |

Preparatory Survey for National Road No.5 Improvement Project (Middle Section: Thlea Ma'am – Battambang, and Sri Sophorn – Poipet)

| Item | Motorcycle | Light Vehicle | Heavy Vehicle |
|--|------------|---------------|---------------|
| Traffic Volume "With Project" in 2023 | 260 549 | 407.061 | 222 471 |
| (number*km/day) | 369,548 | 497,061 | 223,471 |
| Average Vehicle Speed in 2012 (Present Condition, | 50.00 | 50.00 | 50.00 |
| Without Project) (km/hr) | 30.00 | 30.00 | 30.00 |
| Average Vehicle Speed "Without Project" in 2018 | 49.99 | 49.99 | 49.99 |
| (km/hr) | 47.77 | 49.99 | 49.99 |
| Average Vehicle Speed "With Project" in 2018 | 59.30 | 59.30 | 59.30 |
| (km/hr) | 59.50 | 59.50 | 59.50 |
| Average Vehicle Speed "Without Project" in 2023 | 48.54 | 48.54 | 48.54 |
| (km/hr) | +0.5+ | +0.5+ | +0.5+ |
| Average Vehicle Speed "With Project" in 2023 | 59.30 | 59.30 | 59.30 |
| (km/hr) | 57.50 | 57.50 | 57.50 |
| Emission Factor SPM in 2012 (Present Condition, | 0.00053 | 0.00159 | 0.04118 |
| Without Project) (g/ (number*km)) | 0.00033 | 0.00157 | 0.01110 |
| Emission Factor SPM "Without Project" in 2018 | 0.00053 | 0.00159 | 0.04119 |
| (g/ (number*km)) | 0.00033 | 0.00157 | 0.01119 |
| Emission Factor SPM "With Project" in 2018 | 0.00050 | 0.00151 | 0.03727 |
| (g/ (number*km)) | 0.00020 | 0.00121 | 0.03727 |
| Emission Factor SPM "Without Project" in 2023 | 0.00054 | 0.00163 | 0.04208 |
| (g/ (number*km)) | 0.000001 | 0.00105 | 0.01200 |
| Emission Factor SPM "With Project" in 2023 | 0.00050 | 0.00151 | 0.03727 |
| (g/ (number*km)) | 0.00020 | 0.00121 | 0.03727 |
| Emission Factor NOx in 2012 (Present Condition, | 0.019 | 0.058 | 1.138 |
| Without Project) (g/ (number*km)) | 0.017 | 0.000 | |
| Emission Factor NOx "Without Project" in 2018 | 0.019 | 0.058 | 1.138 |
| (g/ (number*km)) | | | |
| Emission Factor NOx "With Project" in 2018 | 0.018 | 0.053 | 1.075 |
| (g/ (number*km)) | | | |
| Emission Factor NOx "Without Project" in 2023 | 0.020 | 0.059 | 1.159 |
| (g/ (number*km)) | | | |
| Emission Factor NOx "With Project" in 2023 | 0.018 | 0.053 | 1.075 |
| (g/ (number*km)) | | | |
| Emission Factor CO_2 in 2012 (Present Condition, | 45.6 | 136.9 | 667.9 |
| Without Project) (g-CO ₂ / (number*km)) | | | |
| Emission Factor CO ₂ "Without Project" in 2018 | 45.6 | 136.9 | 668.0 |
| (g-CO ₂ / (number*km)) | | | |
| Emission Factor CO_2 "With Project150" in 2018 | 43.8 | 131.3 | 633.5 |
| (g-CO ₂ / (number*km)) Emission Footor CO, "Without Project" in 2022 | | | |
| Emission Factor CO_2 "Without Project" in 2023 | 46.1 | 138.3 | 676.3 |
| (g-CO ₂ / (number*km)) Emission Factor CO ₂ "With Project150" in 2023 | | | |
| Emission Factor CO_2^{-1} with Project150 ⁻¹ in 2023 (g- $CO_2/$ (number*km)) | 43.8 | 131.3 | 633.5 |
| (g-CO ₂ / (number km)) | | | |

* Source: CO₂ Emissions from Fuel Combustion Highlight, 2012 by International Energy Agency

The result of estimation of the total emission volume is shown in Figure 15.6-1. The total emissions of SPM, NOx and CO_2 in 2023 in case of "Without Project" increase approximately twice as large volume as in 2012. On the other hand, the volumes of SPM, NOx and CO_2 in case of "With Project" are approximately 9.4, 5.8 and 3.9 percent less than "Without Project" ones, respectively.

The CO_2 emission in 2018 in case of "Without Project" increases approximately 22,000 ton/year from the emission in 2012. The increasing amount is approximately equal to 1.5% of

the CO_2 emission (1.5 million ton: Source " CO_2 EMISSIONS FROM FUEL COMBUSTION Highlights (2012 Edition) by International Energy Agency") from the road transport sector in Cambodia in 2010.

Because the emissions factors will change in the future due to improvement in vehicle efficiency, the recalculation should be considered at the future stage.

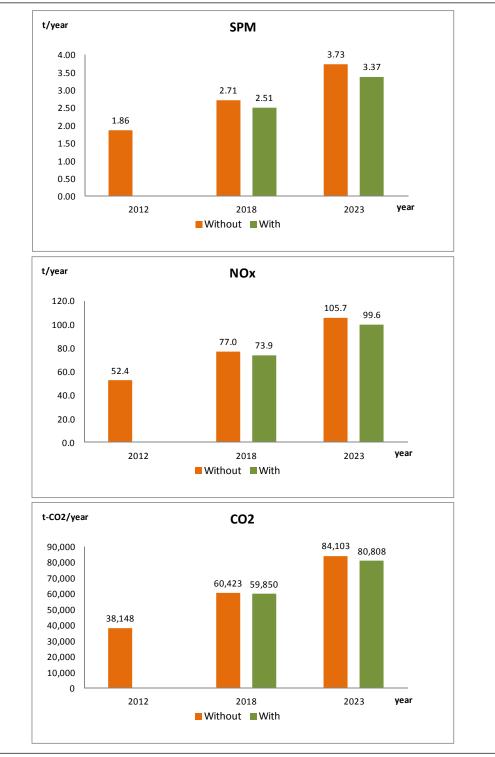


Figure 15.6-1 Result of Estimation of Total Emission Volume

Air pollutant levels of SPM and NO₂ emitted by vehicles during operation phase on the roadside are predicted by using a ambient air pollution dispersion model (Plume Model) on the basis of "Environmental Impact Assessment Technique for Road Project No.383-400, June 2007, National Institute for Land and Infrastructure Management, Japan". Plume Model is a general dispersion model used in case of more than 1 m/s wind velocity. The details of the used model are presented in Appendix 15-4.

Because the wind data in the project site are insufficient to calculate pollutant levels of a day and each station forecasted the traffic volume mentioned in "Chapter 5 Future Traffic Demand Forecast", the pollution levels of the forecasted station No. 4, at the eastern suburb of Pursat town, in 2023 after completion of Pursat Bypass, are only calculated as the worst case. This point is forecasted the most traffic volume at the peak traffic volume hour in the target sections.

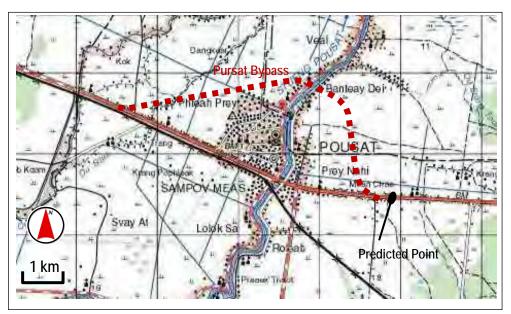


Figure 15.6-2 Point Predicted Air Pollutant Level

The result of air pollutant levels caused by vehicle emission on the roadside is shown in Table 15.6-2. The predicted pollutant levels are very low and these contribution amounts to ambient air quality will not be considerable concentration.

| Parameter | West wind (2 m/s) (Along road direction) | South Wind (2 m/s) (Right angle to road direction) | Cambodia Ambient Air Quality Standard |
|--------------------------|--|--|--|
| SPM (mg/m ³) | 0.00030 | 0.00026 | 0.05* (PM10, 24 Hour) |
| $NO_2 (mg/m^3)$ | 0.0048 | 0.0042 | 0.3 (1 Hour) |

| Table 15.6-2 | Predicted Air Pollutant Level Caused b | v Vehicle Emission on Roadside |
|---------------|---|--------------------------------|
| 1 abic 15.0-2 | I function All I onutant Devel Caused b | y venicie Emission on Roadside |

The asterisk (*) refers to WHO's Standards

(2) Prediction of Noise Level

According to the noise survey, the levels along the target road are less than the environmental standards in the daytime. However, in the future, the noise levels may rise by the environmental standard due to increased traffic volume and speed. The level at the eastern suburb of Pursat town that is a same point as "Air Pollution" in this report in 2023 is predicted by a brief calculation method of LAeq under simple condition in "ASJ RTN-Model 2008 by The Acoustical Society of Japan". The details of the used calculation method are presented in Appendix 15-4.

The result of noise levels caused by vehicle traffic at the end point of road (roadside), on 15 m line from road center and borderline between the ROW and private land are shown in Table 15.6-3. The predicted noise levels on the roadside are higher than the standards during all day. The noise level on 15 m line from road center is almost same as the standard during $6:00 \sim 22:00$. The noise levels on the borderline are lower than the standards during $6:00 \sim 22:00$. The noise levels on the borderline is 7 dB higher than the standard. However, actual noise levels around houses located along the road become lower than the predicted levels depending on the distance to the road and an existing fence.

| Time | 6:00 to 18:00 | 18:00 to 22:00 | 22:00 to 6:00 |
|--|---------------|----------------|---------------|
| Predicted Noise Level (dB) at end point of | 71 | 66 | 62 |
| road (11.75 m from road center) | / 1 | 00 | 02 |
| Predicted Noise Level (dB) on 15 m line from | 60 | 65 | 60 |
| road center | 69 | 65 | 60 |
| Predicted Noise Level (dB) on borderline (30 | 66 | 61 | 57 |
| m from road center) | 66 | 61 | 57 |
| Cambodia Maximum Noise Level Standard | 70 | (5 | 50 |
| (Commercial and service and mix area) (dB) | 70 | 65 | 50 |

 Table 15.6-3
 Predicted Noise Level Caused by Vehicle Traffic on Roadside

15.6.2 Impact and Mitigation

The potential impacts by the magnitude are shown in Table 15.6-4, 15.6-5 and 15.6-6. The recommended mitigation measures for each identified impact are also presented in these tables.

(1) Significant or Large Impact Items

| Item | Impact | Mitigation |
|------------------|---|--|
| | Social Environmer | nt |
| Resettlement/ | Pre-Construction Phase: | Pre-Construction Phase: |
| Land Acquisition | Resettlement and additional land acquisition will be required. Affected households including partial asset losses may be more than 1,800 in Middle section and 500 in Sophorn – Poipet section. Construction Phase: | Authorities concerned shall prepare and strictly implement a proper Resettlement Action Plan (RAP) and Land Acquisition Plan (LAP) (see Chapter 16 Resettlement Action Plan). Construction Phase: Authorities concerned shall implement the Det Device Phase |
| | Additional small scale land acquisition and resettlement may be required. Temporal lease of land will be required for construction yard. Operation Phase: Additional physical resettlement and land acquisition will not be required. | RAP and LAP. The contractor shall provide proper compensation for construction yards to land owners or users. Operation Phase: - |

(2) Substantial Impact Items

| Table 15.6-5 | Impacts and Mitigation | Measures (Substantial Impact) |
|---------------------|------------------------|-------------------------------|
|---------------------|------------------------|-------------------------------|

| Item | Impact | Mitigation |
|---------------|---|---|
| Environmental | Pollution | |
| Air pollution | Construction Phase: | Construction Phase: |
| | • Operation of construction equipment | • The contractor shall prepare and strictly implement |
| | will generate dust and emission gas. | dust control measures such as periodical water |
| | Traffic congestion in construction site | spray. |
| | will cause increase in exhaust gas from vehicles. | • The contractor actively uses electrically-powered equipment. |
| | • Dust will occur in borrow pit or quarry | • The contractors shall maintain their construction |
| | site. | equipments in adequate working conditions. |
| | Operation Phase: | • The contractors shall keep clean road surfaces. |
| | • In the future, total amount of air | • The driver of construction vehicles comply with |
| | pollutant caused by vehicle exhaust gas | speed limits to minimize road dust. |
| | due to increment of vehicle will | • The contractor and supervision consultant shall |
| | increase. In 2023, the total emission | provide prior notification to the local community |
| | will increase approximately twice as | on the schedule of construction activities. |
| | large volume as in 2012.On the other hand, the amount is | • The contractor shall prepare and strictly implement a traffic management plan around construction site. |
| | expected to be decreased about 10% | The supervision consultant shall monitor dust, |
| | due to improved traffic efficiency | exhaust gas and complaint from the local people. |
| | compared to without project. | If the local residents and pedestrians complain |
| | compared to miniour projecti | about the dust and gas, the supervision consultant |
| | | and contractors should reconsider the construction |
| | | technique and method. |
| | | Operation Phase: |
| | | • The regulations on fuel quality and importing old |
| | | cars are to be prepared by MOE in the future. |
| | | • Emission gas control shall be strictly implemented. |
| | | • A relevant agency shall monitor air quality on |
| | ~ | roadside. |
| Water | Construction Phase: | Construction Phase: |
| pollution | Turbid water caused by construction | Construction works in and around rivers, streams, |

| Item | Impact | Mitigation |
|-------|--|---|
| | works is likely to affect existing | reservoirs or channels shall be concentrated in dry |
| | surface water resources. | period. |
| | • Human wastewater will cause surface | • The contractors shall maintain their construction |
| | water contamination. | equipments in adequate working conditions. |
| | • In case of accidental massive leaking | • To reduce turbid water, steel sheet pile construction |
| | of fuel or oil, water pollution including | • |
| | ground water may occur. | works as necessary. |
| | • In case of inadequate management in | • The contractor should consider installation of |
| | borrow pit or quarry site, turbid water | cofferdam as necessary. |
| | from borrow pit or quarry site by | • The contractor shall strictly control waste oil and |
| | rainfall may cause surface water | other waste. |
| | contamination. | • The contractors will be prohibited from washing |
| | Operation Phase: | the construction tools along the rivers, streams, |
| | • Considerable water pollution is | reservoirs and other public water to prevent further |
| | unlikely to occur. However, because of concentration of residences and shops | pollution.In construction works in and around rivers streams, |
| | along NR 5, domestic waste water | reservoirs or channels, the supervision consultant |
| | from road side buildings may cause | and contractor should monitor and control the |
| | water contamination. | turbid water as necessary. |
| | • In case of inadequate management or | The wastewater septic tank facility in the workers |
| | recovery in borrow pit or quarry site, | camp and/or other necessary locations shall be |
| | turbid water from borrow pit or quarry | properly maintained. |
| | site by rainfall may cause surface | • The supervision consultant shall monitor water |
| | water contamination. | quality. |
| | | • The contractor and supervision consultant shall take |
| | | into account the environmental impacts such as |
| | | water contamination caused by turbid water and |
| | | soil erosion in selection of borrow pit and quarry |
| | | site. |
| | | • The contractor shall prepare and strictly implement |
| | | an environmental management plan including |
| | | adequate drainage to avoid accumulation of |
| | | stagnant water and vegetation recovery plan in |
| | | borrow pit or quarry site. |
| | | • In case of development of new borrow pit or quarry site, necessary approvals from environmental |
| | | authorities shall be obtained prior to the operation. |
| | | In case of purchase from quarry firm, a task on the |
| | | environmental management should be included in |
| | | the contract. |
| | | Operation Phase: |
| | | • All local governments along NR 5 should formulate |
| | | waste water management plans in the future. |
| | | • MPWT shall monitor environmental condition in |
| | | abandoned borrow pit or quarry site. |
| | | • If the condition has risk of soil erosion in borrow |
| | | pit or quarry site, MPWT should consider and |
| XX7 | Constant the P | implement the countermeasures. |
| Waste | Construction Phase: | Construction Phase: |
| | • Construction waste caused by | • The contractor shall prepare and strictly implement |
| | construction works and general waste from construction office will be | a proper waste management plan including waste due to demolish works. |
| | generated. | The waste management plan should be approved by |
| | • Solid waste due to demolish works of | the local relevant authority in advance of |
| | facilities in the ROW will generate. | construction works. |
| | Operation Phase: | The contractors shall provide temporary sanitation |
| | | |

| Item | Impact | Mitigation |
|-----------|--|--|
| | may emerge along the newly | to ensure that the domestic wastes to be generated |
| | constructed bypass. | by the construction personals. |
| | | • The solid waste should be separated into hazardous, |
| | | non-hazardous and reusable waste streams and |
| | | store temporary on site.Office building for construction contractor shall be |
| | | provided with toilets and septic tanks to handle |
| | | domestic sewage. |
| | | • The contractor shall consider and implement proper |
| | | re-use and recycle plans of the construction waste. |
| | | • The supervision consultant shall monitor the waste |
| | | disposal |
| | | • The local relevant authority should maintain closely |
| | | consultation with the contractor on the collection of |
| | | garbage. Operation Phase: |
| | | Provincial authority including DPWT should |
| | | monitor and control illegal dumping along NR 5. |
| | | The local government should conduct campaigns to |
| | | stop waste dumping. |
| | | • To prevent illegal dumping, all local governments |
| | | along NR5 should formulate domestic waste |
| | | management plans in the future. |
| Noise and | Construction Phase: | Construction Phase: |
| vibration | Construction works is likely to increase in the noise and vibration | • A proper work schedules should be prepared not to concentrate the construction equipment at a certain |
| | level. | point for long time. |
| | Noise and vibration will occur in | • The contractors shall maintain their construction |
| | borrow pit or quarry site. | equipments in adequate working conditions. |
| | Operation Phase: | • Construction works with heavy noise and vibration |
| | • In the future, noise level caused by | shall be prohibited during night (10:00 pm - 6:00 |
| | vehicle driving will increase. In 2023, | am) to avoid noise disturbance in residential, |
| | the noise level will be same as the standards during 6:00 ~ 18:00 and | commercial and other noise-sensitive areas.The contractor selects quiet equipment and working |
| | $18:00 \sim 22:00$. The levels during 22:00 | methods as much as possible. |
| | ~6:00 are about 10 dB higher than the | • The contractor and supervision consultant shall |
| | standard. | provide prior notification to the local community |
| | • On the other hand, noise levels along | on the schedule of construction activities. |
| | roadside are expected to be reduced | • The supervision consultant shall monitor noise, |
| | due to widening and improved smooth | vibration and complaint from the local people in |
| | surface with hot asphalt concrete pavement compared to without project. | construction site, borrow pit and quarry site.If the local residents and pedestrians complain |
| | • In the future, vibration level caused by | about the noise and vibration, the supervision |
| | vehicle driving will increase. However, | |
| | because the present vibration levels at | construction technique and method. |
| | the roadside are lower than "the | Operation Phase: |
| | threshold level of vibration sense", | • The proper countermeasures to reduce noise and |
| | serious impact of vibration on the local | vibration such as slow speed in curve sections |
| | people is unlikely to occur in road | should be included in the plan and design. |
| | sections with good surface condition. | A relevant agency shall monitor noise and vibration on roadside. |
| | | If the noise level reaches a significant level such as |
| | | exceeding the environmental standards, the relevant |
| | | agency should consider and implement mitigation |
| | | measures on noise control. |
| 1 | | |

| Item | Impact | Mitigation |
|-----------------|--|--|
| Natural Enviror | | Construction Discus |
| Protected areas | Construction Phase: | Construction Phase: |
| | • Because the distance between the | • In Pusat Bypass section, to maintain existing |
| | target sections of NR 5 and the core | surface flow condition including irrigation systems, newly constructed bridges and culverts should have |
| | areas of TSBR is approximately 30 km | |
| | at the nearest point or sufficient long, the impacts on the core areas are | sufficient flow capacity and be installed at same locations as existing flow. |
| | unlikely to occur. | Vegetation loss for land clearing should be minimal |
| | Because the distance between the | and in limited areas of the ROW. |
| | target sections of NR 5 and Roniem | To identify impacts on aquatic life and consider the |
| | Daun Sam Wildlife Sanctuary is | mitigations, the supervision consultant should staff |
| | approximately 10 km at the nearest | specialists on fauna or ecosystem as necessary. |
| | point or sufficient long, and the land | Operation Phase: |
| | use between NR 5 and the sanctuary is | • Relevant agencies should monitor the |
| | mostly paddy field, the impacts on the | environmental conditions along the target sections |
| | sanctuary are unlikely to occur. | in the transition zone. |
| | • Because the proposed Pursat Bypass | • If troubles of some sort occur, the agencies should |
| | will pass through the transition zone of | |
| | "Tonle Sap Biosphere Reserve | · · |
| | (TSBR)", impact on the agricultural | |
| | activities such as existing irrigation | |
| | systems and paddy fields in TSBR are | |
| | likely to occur. | |
| | • Because the construction works for | |
| | widening will be limited within the | |
| | ROW of NR 5 or the outside of TSBR, | |
| | the direct impacts on natural resources | |
| | in transition zone are unlikely to occur. | |
| | • Rivers or streams that have direct | |
| | and/or indirect connections with TSBR | |
| | will be temporarily disturbed by construction works. | |
| | The construction works for widening | |
| | will require loss of existing vegetation | |
| | along the transition zone of TSBR. | |
| | Operation Phase: | |
| | • Because natural tree clearing and | |
| | change of river flow will not be | |
| | required, direct impacts on the natural | |
| | resources in TSBR are unlikely to | |
| | occur. | |
| | • The project is unlikely to cause new | |
| | environmental issues or deteriorate | |
| | existing issues in TSBR. | |
| | • However, because the proposed Pursat | |
| | Bypass will pass through the transition | |
| | zone and existing NR 5 runs alongside | |
| | the line of the transition zone, indirect | |
| | impacts on the natural resources may | |
| | occur sometime in the future. | |
| Ecosystem | Construction Phase: | Construction Phase: |
| | Vegetation in roadside including trees will be lost by widening works | • Vegetation loss for land clearing should be minimal |
| | will be lost by widening works. | and in limited areas of the ROW. |
| | However, tree clearing of community | • The detail design consultant shall discuss |
| | or flooded forest will not be required.Agricultural ecosystem will be lost or | vegetative restoration plans with Khan (local) Forest Administration in advance. |
| | disturbed by construction works. | The contractor and supervision consultant shall |
| <u> </u> | distatora by construction works. | The contractor and supervision consultant shall |

| Item | Impact | Mitigation |
|--------------|--|---|
| | • Turbid water caused by bridge construction is likely to affect aquatic | prepare and strictly implement vegetative restoration plans such as tree planting and sowing |
| | life. Ecosystem in riverside vegetation with small scale may be disturbed by the construction activity. Because the fishes migrate in flooded period when the construction works of bridges and culverts are suspended, | on road side. The supervision consultant shall consider impacts of alien species in the vegetative restoration plans. The contractor and supervision consultant shall prepare and strictly implement proper construction plans to minimize disturbance in existing agricultural canals and reservoirs. |
| | impact on the aquatic life is likely to be limited. | The supervision consultant shall monitor water quality including turbidity. |
| | Operation Phase: Because the target road mostly passes through well developed area such as agricultural land and urban area, impact on biodiversity is unlikely to occur. Because the distance between the target sections and Tonle Sap lakeside is approximately 20 km at the nearest point, direct impact on ecosystem in Tonle Sap Lake is unlikely to occur. If Pursat Bypass or embankment sections choke off or change existing surface water flow, impact on remote aquatic ecosystem may occur. However, actual distribution zones of aquatic life and migration routes of fishes except for rivers have not identified yet. | Construction works in and around rivers, streams, reservoirs or channels shall be concentrated in dry period. To reduce turbid water, steel sheet pile construction method should be selected in bridge construction works as necessary. To identify impacts on aquatic life and consider the mitigations, the supervision consultant should staff specialists on fauna or ecosystem as necessary. The contractor should consider installation of cofferdam as necessary. Operation Phase: To maintain existing surface flow condition, locations of newly constructed bridges and culverts should not be changed from the existing sites. To maintain existing surface flow condition, newly constructed bridges and culverts should have sufficient flow capacity. Relevant agencies should monitor the ecosystem along the target sections and in Tonle Sap zone. If troubles of some sort occur, the agencies should |
| Hydrology | Construction Phase: Water flow in the rivers or streams may be altered during construction works. But the impact will be | consider and implement the countermeasures. Construction Phase: The contractor and supervision consultant shall prepare and strictly implement proper construction plans to minimize disturbance in rivers and existing |
| | temporary and in limited area. Operation Phase: | agricultural canals. Operation Phase: |
| | Because some project sites are located in flood plain, impact caused by Pursat Bypass or newly constructed embankment on surface water flow may occur. | To maintain existing surface flow condition, locations of newly constructed bridges and culverts should not be changed from the existing sites. To maintain existing surface flow condition, newly constructed bridges and culverts should have sufficient flow capacity. MPWT through provincial authority should responsible for maintain and rehabilitation of culverts in NR 5. Local governments should install signboards to prohibit for garbage disposal into rivers and canals. |
| Geographical | Construction Phase: | Construction Phase: |
| features | Topography will be changed in Pursat Bypass or embankment sections on a small scale. Topography will be changed in borrow pit and quarry site. Operation Phase: | The contractor and supervision consultant shall take into account the environmental impacts such as soil erosion and mudslide in selection of borrow pit and quarry site. The contractor shall prepare and strictly implement an environmental management plan including |

| Item | Impact | Mitigation |
|-----------------------------|---|--|
| | Impact on geographical features is | adequate drainage to avoid accumulation of |
| | unlikely to occur. | stagnant water and vegetation recovery plan in |
| | | borrow pit or quarry site. |
| | | • In case of purchase from quarry firm, a task on the |
| | | environmental management should be included in the contract. |
| | | Operation Phase: |
| | | - |
| Social Environn | nent | |
| Poor people | Pre-Construction Phase / | Pre-Construction Phase / |
| | Operation Phase: | Operation Phase: |
| | • Some of the poor people who do not | Authorities concerned shall prepare and strictly |
| | have their own land living within Right | implement a proper RAP and LAP including fair |
| | of Way or Provisional Road Width will | compensating methods. |
| | be seriously affected by resettlement | |
| | and may lose their business | |
| T 1 | opportunity. | Des Constant for Discours |
| | Pre-Construction Phase:Land acquisition and resettlement may | Pre-Construction Phase:Authorities concerned shall prepare and strictly |
| economies, such as | cause livelihood degradation of Project | implement a proper RAP and LAP including fair |
| employment, | Affected Persons (PAPs). | compensating methods. |
| livelihood, etc. | Road widening will require acquisition | Proper compensations including recovery fee for |
| n vennoou, etc. | of agricultural lands as agricultural | roadside agricultural lands should be provided to |
| | resources. However, the required land | the land owners or users. |
| | will be very small to the total | Construction Phase: |
| | agricultural land. | • The contractor shall prepare and strictly implement |
| | Construction Phase: | a fair hiring plan of local people as construction |
| | Construction will create job | worker. |
| | opportunities to local people. | • The contractor should give priority to the PAPs in |
| | • Because the fishes migrate in flooded | hiring local people. |
| | period when the construction works of | • The contractor and supervision consultant shall |
| | bridges and culverts are suspended, | provide prior notification to the local community |
| | impact on local fishery is likely to be limited. | and fisherpersons on the schedule of construction |
| | Pursat bridge construction works may | activities and restricted areas, especially in Pursat bridge construction works. |
| | have impacts on local fishery. | The contractor and supervision consultant should |
| | Operation Phase: | periodically hold sufficient local stakeholder |
| | Reduction of travel time will | meetings in the pre-construction stage and during |
| | contribute to local economies and | construction works, and establish mutual |
| | promote tourism. | understanding with the PAPs as necessary. |
| | Change of access to local resources | Operation Phase: |
| | may widen gap in local economy. | • The local government should monitor local |
| | • If the embankment sections choke off | economy and livelihood. |
| | or change existing surface water flow, | • If troubles of some sort occur, the local government |
| | impact on local fishery may occur. | should consider and implement the |
| L and yes and | Construction Phases | countermeasures. |
| Land use and utilization of | Construction Phase:Pursat Bypass section will require | Construction Phase:The contractor and supervision consultant shall |
| local resources | change of land use, mainly from | provide prior notification to the local community |
| iseur resources | agricultural land to ROW. | on the schedule of construction activities. |
| | Operation Phase: | The contractor and supervision consultant should |
| | • Especially in the bypass section, land | periodically hold sufficient local stakeholder |
| | use along NR 5 will be changed and be | meetings in the pre-construction stage and during |
| | developed economically and socially. | construction works, and establish mutual |
| | Improved transportation will contribute | understanding with the PAPs as necessary. |
| | to effective utilization of local | Operation Phase: |
| | resources. | The local government should monitor local |

| Item | Impact | Mitigation |
|--|---|---|
| | | economy and land use. If troubles of some sort occur, the local government should consider and implement the countermeasures. |
| Water usage | Construction Phase: Because there are 6 agricultural canals in Pursat Bypass section, the construction works will affect local water usage for agricultural activities. Existing irrigation systems and agricultural canals located around NR 5 will be affected by widening works. Existing wells within the ROW of the bypass section will be lost. Operation Phase: Newly constructed bypass, embankment or culverts may change surface water flow. | Construction Phase: The contractor and supervision consultant shall provide prior notification to users of irrigation systems and agricultural canals on the schedule of construction activities. The contractor and supervision consultant should periodically hold sufficient local stakeholder meetings in the pre-construction stage and during construction works, and establish mutual understanding with the PAPs as necessary. The proper countermeasures to reduce impact on present water usage should be included in the construction plan. Water supply systems or additional wells should be provided to owners and users of the lost wells. Operation Phase: In Pusat Bypass section, to maintain existing surface flow condition including irrigation systems, newly constructed bridges and culverts should have sufficient flow capacity and be installed at same locations as existing flow. The proper countermeasures to reduce impact on present water usage should be included in the road design. Relevant agencies should monitor water usage and flow. If troubles of some sort occur, the agencies should |
| Existing social infrastructures and services | Pre-Construction Phase: Relocation or protection of existing utilities, such as electric poll, water pipe and optical fiber cable will be required. Construction Phase: Temporary traffic congestion in construction site including NR 5 and other rural roads will occur. Operation Phase: Access to social services will be improved. Road crossing of pedestrians and livestock will become harder due to widening in existing NR 5 section. Spilt of local communities or widening disparity may occur in Pursat Bypass section. | consider and implement the countermeasures. Pre-Construction Phase: Detailed survey on existing utilities should be conducted in the planning stage. The contractor and supervision consultant should periodically hold sufficient meetings with the utility owners in every stage and establish mutual understanding. Proper relocation plans should be prepared and strictly implemented in advance of contraction works. Construction Phase: The contractor and supervision consultant shall provide prior notification to local people and drivers on the schedule of construction activities, and location, time and type of traffic restriction. The contractor shall prepare and strictly implement a traffic management plan around construction site. Operation Phase: The proper countermeasures to support road crossing of pedestrians and livestock, such as crosswalk or road traffic sign to inform livestock crossing should be considered on the basis of site survey in the detail design stage. The supervision consultant should review the countermeasures to support road crossing of |

| Item | Impact | Mitigation |
|----------------------|--|--|
| | | pedestrians and livestock in the construction phase. Relevant agencies should monitor the utility and local communities. If troubles of some sort occur, the agencies should consider and implement the countermeasures. |
| Misdistribution | Pre-Construction Phase / Construction | Pre-Construction Phase / Construction Phase: |
| of benefits and | Phase: | • The contractor shall prepare and strictly implement |
| damages | Considerable misdistribution of benefit is unlikely to occur. In case of unfair hiring of construction | a fair hiring plan of local people as construction worker. Operation Phase: |
| | worker, misdistribution of benefit may occur. | The local government and supervision consultant shall provide prior notification to the shop owners |
| | Operation Phase: | on schedule of the bypass project in early stage. |
| | • After the traffic flow is changed to new Pursat Bypass, some shops along existing NR 5 (old route) will lose their business opportunity, while shops set | |
| | up along the bypass will make profit. | |
| Cultural heritage | Pre-Construction Phase / Construction Phase: Widening works will have impacts on cultural properties located in the roadsides, especially an old brick fence and ancient gateway of Royal Palace of Preah Bat Monivong in Bakan district, and Lok Yeay Mao (Banyan | Pre-Construction Phase / Construction Phase: The project owner shall discuss the mitigation and protect measures with Provincial Authorities including Provincial Department of Culture, Fine and Acts in advance. As for Royal Palace of Preah Bat Monivong, a proper relocation or storage plan should be prepared in advance. |
| | tree) and Lok Ta Krahomkor (scared house) in Poipet city. | • As for Lok Yeay Maoand Lok Ta Krahomkor, the detail design consultant should consider the |
| | Operation Phase: Road improvement will promote tourism and worship to religious heritage. Religious value may be | alignment to escape these properties at first. If the removals are required, the removal works should respect and follow Khmer tradition and culture. Operation Phase: |
| | spoiled by tourism development. | Relevant agencies should monitor the cultural heritage. If troubles of some sort occur, the agencies should consider and implement the countermeasures. |
| Landscape | Construction Phase: • Vegetation at existing roadside | Construction Phase: • Vegetation loss for land clearing should be |
| | including high trees will be lost by | minimal. |
| | widening works, and cause change of landscape. Operation Phase: | The contractor and supervision consultant shall prepare and strictly implement vegetative restoration plans such as tree planting and sowing |
| | • Because there are no protected scenic view areas in and around the target | on road side. Operation Phase: |
| | section and roadside vegetation will be recovered for a short period due to the warm and rainy climate, considerable impact on landscape is unlikely to occur. | - |
| Children's | Construction Phase: | Construction Phase: |
| rights | Considerable impact only on children's rights is unlikely to occur. Operation Phase: Road improvement may cause traffic accident of children due to more traffic volume and faster vehicle speed. | Operation Phase: A relevant agency shall monitor and control vehicle speed to reduce traffic accident. Local educational institutes should conduct traffic safety training to children. |
| | Traffic venerable people including | |

| Item | Impact | Mitigation |
|---|--|---|
| | children can be separated safely from | |
| | main vehicle lane. | |
| Infectious | Construction Phase: | Construction Phase: |
| diseases such as HIV/AIDS | Infection risks of HIV/AIDS may be increased among construction workers and local business offering food and entertainment. Operation Phase: Considerable impact on infectious diseases is unlikely to occur. | The contractor shall prepare and strictly implement educational program on infection risks for construction workers. The educational program should be included in the construction contract. Operation Phase: - |
| Working | Construction Phase: | Construction Phase: |
| conditions (including occupational safety) | Dust and emission gas caused by construction works may affect workers health. Sanitary conditions around construction site may get worse due to waste from workers and toilet. Operation Phase: Considerable impact on working conditions is unlikely to occur. | The contractor shall prepare and strictly implement dust control measures such as periodical water spray. The contractors shall maintain their construction equipments in adequate working conditions. The contractors shall provide temporary sanitation facilities such as portable toilets and garbage bins to ensure that the domestic wastes to be generated by the construction personals. The solid waste should be separated into hazardous, non-hazardous and reusable waste streams and store temporary on site. The supervision consultant shall monitor the waste disposal. |
| Accidents | Construction Phase: Traffic accident may occur surrounding of construction site Operation Phase: Traffic safety including pedestrians will be improved by road widening and vehicle separation Traffic accident due to more traffic volume and faster vehicle speed may increase ratio of traffic accident. Moreover, because of center divider newly installed, cars running counter to traffic way may increase and cause accidents. Accident risks between vehicles and livestock due to road widening, and more traffic volume and faster vehicle may increase in rural areas. | Construction Phase: The contractor shall prepare and strictly implement a traffic management plan around construction site. The contractor and supervision consultant shall confirm emergency medical facility in advance. Operation Phase: The proper countermeasures to reduce traffic accident should be included in the road design. A relevant agency shall monitor and control vehicle speed to reduce traffic accident. Local governments should conduct traffic safety educational programs and campaigns for drivers, pedestrians and owners of livestock. Local governments should install signboards to inform passing livestock zones. |

(3) No or Unknown Impact Items

| Table 15.6-6 | Impacts and Mitigation Measures (No or Unknown | Impact Items) |
|--------------|--|---------------|
| | Impacts and Minigation Medsales (110 of Childown | impact items) |

| Item | Impact | Mitigation |
|---|---|---|
| Environmental Pol | lution | |
| Ground subsidence | Construction Phase: Subsidence near the road due to added soil weight may occur. Because there are soft ground areas along the proposed bypass, subsidence near the road due to the soil weight filled on the rice field may occur. Operation Phase: Because the expected load on road will not be too heavy and ground subsidence in surrounding areas has not occurred in similar projects, impact on ground subsidence is unlikely to occur. | Construction Phase: Detailed soil investigations should be conducted at subsidence-prone locations in the planning stage. In the detailed design stage, the detailed geological surveys should be conducted. The proper structure design and construction technique should be considered on the basis of the survey results. The supervision consultant and contractor should monitor the ground subsidence. If the ground subsidence occurs, the consultant and contractors should reconsider the construction technique. |
| Social Environmen | t | 1 |
| Ethnic minorities and indigenous peoples | Pre-Construction Phase / Construction Phase: Road widening may cause resettlement or other impacts on Ethnic Cham and Vietnamese living along NR 5. Operation Phase: Impact on ethnic minorities is unlikely to occur. | Pre-Construction Phase / Construction Phase: Authorities concerned shall prepare and strictly implement a proper RAP and LAP including fair compensating methods. Operation Phase: |
| Social institutions such as social infrastructure and local decision-making institutions | Construction Phase / Operation Phase: Because of improvement project of existing road, considerable impact on social institutions is unlikely to occur. Spilt of local communities or widening disparity may occur in Pursat Bypass section. | Construction Phase / Operation Phase: The local government should monitor community relationship around the road. If troubles of some sort occur, the local government should consider and implement the countermeasures. |
| Gender | Construction Phase / Operation Phase: Impact on street venders, especially women, may occur. | Construction Phase / Operation Phase: The contractor and supervision consultant should hold sufficient meetings with local people including street venders in the pre-construction stage and during construction works, and establish mutual understanding with the PAPs as necessary. |

| Item | Impact | Mitigation |
|------------------------------|--|---|
| Other | | |
| Trans-boundary | Construction Phase: | Construction Phase: |
| impacts or climate change | Operation of construction equipment will generate CO₂. However, the amount of CO₂ emission will be at very low level, and it is not likely that such emission give sensible impact to climate change. Emission from construction equipment will be diluted within the Project area and its surrounding, and trans-boundary impacts are not likely to occur. Operation Phase: In the future, total amount of CO₂ emission from vehicles will increase. In 2023, the total CO₂ emission volumes will increase approximately twice as large volume as in 2012. On the other hand, because of improved traffic efficiency, the amount may be decreased 5% compared to without project. (see Figure 15.6-1) Thus, sensible impacts to climate change or sensible trans-boundary impacts are not likely to occur. | The contractor actively uses electrically-powered equipment. The contractors shall maintain their construction equipments in adequate working conditions. Operation Phase: In view of the global effort to reduce CO₂ emission, MPWT shall further strengthen the effort for promoting "environmentally sustainable transport" including promotion of use of mass transit such as bus and railroad for both passenger transport and cargo transport. |

15.7 Environmental Management Plan

15.7.1 Introduction

The Environmental Management Plan (EMP) provides institutional arrangement, environmental monitoring plan during construction and operation, and training and staffing. The EMP objectives are to show the tasks which will be implemented by relevant governmental institutions at local, provincial and national levels and to suggest parameters need to be monitored in the project phases. It should be noted that the EMP is considered as an operational document that will be frequently updated by the project owner/ the MPWT with assistance/advice from a supervision consultant to reflect on-site project activities. The EMP is required to update, correction and regularly additional fill-up according to each phase of the project activity.

15.7.2 Institutional Arrangement

Implementation of the EMP will be carried out by the project owner, the MPWT, in cooperation with governmental institutions at national, provincial and local levels.

At the national level, the MPWT will cooperate with Department of EIA and Department of Pollution Control of the MOE, Department of Hydrology and River Works of Ministry of Water Resources and Meteorology, the Ministry of Land Management, Urban Planning and Construction and Inter-Ministerial Resettlement Committee of the Ministry of Economic and Finance.

At the provincial level, the MPWT will closely work with its departments including Provincial Department of Environment, Provincial Department of Water Resources and Meteorology, Provincial Department of Land Management Urbanized Planning and Construction, related governmental departments and local authorities in all the relevant provinces.

At local level, the MPWT will work with local authorities for the facilitation, controlling, and solving of any social conflicts that may happen in the project area.

15.7.3 Environmental Monitoring Plan

Environmental monitoring plan (EMoP) is one of the vital processes of the EMP. It is included items to be monitored by project phase, location, frequency, and responsible unit. The EMoP can help to adjust potential problems that might result from the project activities and allow prompt implementation of effectively corrective measures. It aims at assessing environmental conditions, monitoring the effective implementation of mitigation measures, and warning significant deteriorations in environmental quality for further prevention action. The monitoring results will be a practical document for the MPWT to maintain compliance with environmental laws and regulations, work safety, and appropriate implementation of the mitigation measures.

Implementation of the EMoP will cover the construction and operation phases of the project. This summarizes what important parameters will be monitored and how frequent will be for measurements. Table 15.7-1 shows suggested EMoP need to be monitored. This summarizes what important parameters will be monitored and how frequent will be for measurements.

| Item | Location | Parameter/ Means of Monitoring | Result (Average / Max / Total, etc.) | Standard (Legal / International Standard) | Frequency | Remarks |
|------------------|---|---|---|--|---|---------|
| Air quality | Construction site | Visual inspection of mechanical condition and exhaust gas | | | Every day before working | |
| | Construction site Storage facilities for dust generating materials | Visual observation of dust | | - | Every day | |
| | Boundary of ROW nearest to construction site | | | 0.05 mg/m^3 (WHO, average 24h) | 2 times in dry season and 2 | |
| | construction site | SPM2.5 SO ₂ | | 0.02 mg/m ³ (WHO, average 24h) 0.30 mg/m ³ (MOE, | times in rainy season during construction | |
| | | NO ₂ | | average 24h) 0.10 mg/m ³ (MOE, average 24h) | period | |
| Water Quality | Rivers including Pursat, Steung, | Visual observation | | | Every day | |
| | • | Analysis using potable pH and turbidity meter | | | Every week | |
| | bodies where construction works | рН | | 6.5-8.5 (MOE) 25-100 (mg/l) (MOE) | When any pollution is | |
| | are executed. | BOD COD Other items (as required) | | 1-10 (mg/l) (MOE) 1-8 (mg/l) (MOE) | suspected | |
| Noise | Boundary of land plot nearest to the construction site | Noise Level | | 60 dB (06:00-18:00) 50 dB (18:00-22:00) 45 dB(22:00-06:00) (MOE, residential area) | - When noise/vibration level exceeding the Cambodian standards is | |
| Vibration | | Vibration Level | | 65 Hz (05:00-17:00) 60 Hz (17:00-05:00) (Lab. MOE) | suspected - When local residents complain | |

Table 15.7-1Monitoring Form (Draft)

1. Construction Stage (Middle Section)

| Item | Location | Parameter/ Means of Monitoring | Result (Average / Max / Total, etc.) | Standard (Legal / International Standard) | Frequency | Remarks |
|------------------|---|---|---|--|---|---------|
| Air quality | Construction site | Visual inspection of mechanical condition and exhaust gas | | | Every day before working | |
| | Construction site Storage facilities for dust generating materials | Visual observation of dust | | | Every day | |
| | Boundary of ROW nearest to construction site | SPM10 SPM2.5 | | 0.05 mg/m ³ (WHO, average 24h) 0.02 mg/m ³ (WHO, | 2 times in dry season and 2 times in rainy | |
| | | SO ₂ | | average 24h) 0.30 mg/m ³ (MOE, average 24h) | season during construction period | |
| | | NO ₂ | | 0.10 mg/m ³ (MOE, average 24h) | | |
| Water Quality | Rivers including Pursat, Steung, | Visual observation | | | Every day | |
| | agriculture canals, streams and other public water | Analysis using potable pH and turbidity meter | | | Every week | |
| | bodies where construction works | pН | | 6.5-8.5 (MOE) 25-100 (mg/l) (MOE) | When any pollution is | |
| | are executed. | BOD COD | | 1-10 (mg/l) (MOE) 1-8 (mg/l) (MOE) | suspected | |
| | | Other items (as required) | | | | |
| Noise | Boundary of land plot nearest to the construction site | Noise Level | | 60 dB (06:00-18:00) 50 dB (18:00-22:00) 45 dB(22:00-06:00) (MOE, residential area) | - When noise/vibration level exceeding the Cambodian standards is | |
| Vibration | | Vibration Level | | 65 Hz (05:00-17:00) 60 Hz (17:00-05:00) (Lab. MOE) | suspected - When local residents complain | |

2. Construction Stage (Sophorn - Poipet Section)

| | | | Parameter/ | Result | 0. 1.1 | F | D 1 |
|------------|-----------------------------------|----------------|-----------------|-------------------------------|-------------------------|---------------|---------|
| Item | Location | | Means of | (Average / Max | Standard | Frequency | Remarks |
| | | | Monitoring | / Total, etc.) | | | |
| Air | Pursat bypass | Road side | SPM10 | | 0.05 mg/m^3 | 1 time in dry | |
| quality | section | 200 m away | | | (WHO, average | | |
| | | from road side | 4 | | 24h) | time in rainy | |
| | Pou Mouy | Road side | - | | | season per | |
| | village, Moung | 200 m away | | | | year for 2 | |
| | Russei district | from road side | - | | | years | |
| | Dambouk | Road side | | | | | |
| | Khpos village, | 200 m away | | | | | |
| | Sangkae district | | | | | | |
| | Pursat bypass | Road side | SPM2.5 | | 0.02 mg/m^3 | | |
| | section | 200 m away | | | (WHO, average | | |
| | | from road side | | | 24h) | | |
| | Pou Mouy | Road side | | | | | |
| | village, Moung | 200 m away | | | | | |
| | Russei district | from road side | | | | | |
| | Dambouk | Road side | | | | | |
| | Khpos village, | 200 m away | | | | | |
| | Sangkae district | | | | | | |
| | Pursat bypass | Road side | SO_2 | SO_2 0.30 mg/m ³ | | | |
| | section | 200 m away | _ | | (MOE, average | | |
| | | from road side | | | 24h) | | |
| | Pou Mouy | Road side | | | | | |
| | | 200 m away | | | | | |
| | Russei district | | | | | | |
| | Dambouk | Road side | | | | | |
| | Khpos village, | 200 m away | | | | | |
| | Sangkae district | | | | | | |
| | Pursat bypass | Road side | NO ₂ | | 0.10 mg/m^3 | | |
| | section | 200 m away | 1102 | | (MOE, average | | |
| | section | from road side | | | 24h) | | |
| | Pou Mouy | road side | - | |) | | |
| | village, Moung | 200 m away | - | | | | |
| | Russei district | from road side | | | | | |
| | Dambouk | Road side | 4 | | | | |
| | Khpos village, | 200 m away | - | | | | |
| | Sangkae district | | | | | | |
| Noise | Pursat bypass se | | Noise | | 60 dB | | |
| 10150 | boundary | | Level | | (06:00-18:00) | | |
| | Pou Mouy villag | a Moung | Level | | 50 dB | | |
| | Russei district, F | - | | | (18:00-22:00) | | |
| | Dambouk Khpos | | - | | 45 dB | | |
| | | | | | (22:00-06:00) | | |
| | Sangkae district, ROW boundary | | | | (MOE, | | |
| | | | | | (MOL, residential area) | | |
| Vibration | Pursat bypass se | ction ROW | Vibration | | 65 Hz | 1 | |
| , ioration | boundary | | Level | | (05:00-17:00) | | |
| | Pou Mouy villag | e Moung | | | 60 Hz | | |
| | Russei district, F | - | | | (17:00-05:00) | | |
| | Dambouk Khpos | | { | | (Lab. MOE) | | |
| | Sangkae district, | - | | | (200. 1101) | | |
| | boundary | | | | | | |
| | ooundai y | | | | | l | L |

| Item | Location | | Parameter/ Means of Monitoring | Result (Average / Max / Total, etc.) | Standard | Frequen cy | Remarks |
|-----------|--------------|-----------------|--------------------------------------|--|-------------------------|---------------|---------|
| Air | Kbal Spean | Road side | SPM10 | | 0.05 mg/m^3 | 1 time in | |
| quality | village, | 200 m away | | | (WHO, average 24h) | dry | |
| | Poipet Town | from road side | | | | season | |
| | | Road side | SPM2.5 | | 0.02 mg/m^3 | and 1 | |
| | | 200 m away | | | (WHO, average 24h) | time in | |
| | | from road side | | | | rainy | |
| | | Road side | SO_2 | | 0.30 mg/m^3 | season | |
| | | 200 m away | | | (MOE, average 24h) | per year | |
| | | from road side | | | | for 2 | |
| | | Road side | NO_2 | | 0.10 mg/m^3 | years | |
| | | 200 m away | | | (MOE, average 24h) | | |
| | | from road side | | | | | |
| Noise | Kbal Spean v | village, Poipet | Noise | | 60 dB (06:00-18:00) | | |
| | Town | | Level | | 50 dB (18:00-22:00) | | |
| | | | | | 45 dB (22:00-06:00) | | |
| | | | | | (MOE, residential area) | | |
| Vibration | Kbal Spean v | village, Poipet | Vibration | | 65 Hz (05:00-17:00) | | |
| | Town | | Level | | 60 Hz (17:00-05:00) | | |
| | | | | | (Lab. MOE) | | |

4. Service Stage (Sophorn - Poipet Section)

WHO: World Health Organization, MOE: Ministry of Environment (Cambodia)

**Remarks; Past trend and current status including remedial measures if necessary

| Items | Implementation Agency | Supervision Agency |
|--------------------|---|------------------------|
| Construction Phase | | |
| Air quality | Supervision Consultant and Construction Contractor | MPWT |
| | (Instrumental analysis will be conducted by an | |
| | authorized analytical institute under subcontract.) | |
| Water Quality | Supervision Consultant and Construction Contractor | MPWT |
| | (Instrumental analysis will be conducted by an | |
| | authorized analytical institute under subcontract.) | |
| Noise | Supervision Consultant and Construction Contractor | MPWT |
| | (Instrumental analysis will be conducted by an | |
| | authorized analytical institute under subcontract.) | |
| Vibration | Supervision Consultant and Construction Contractor | MPWT |
| | (Instrumental analysis will be conducted by an | |
| | authorized analytical institute under subcontract.) | |
| General waste | Construction Contractor | Supervision Consultant |
| Subsidence | Construction Contractor | Supervision Consultant |
| Hydrology | Supervision Consultant and Construction Contractor | MPWT |
| Ecosystem | Supervision Consultant and Construction Contractor | MPWT and MOE |
| Impact on TSBR | | |
| Service Stage | | |
| Air quality | MPWT and Provincial authority | MOE |
| | (Instrumental analysis will be conducted by an | |
| | authorized analytical institute under subcontract.) | |
| Noise | MPWT and Provincial authority | MOE |
| | (Instrumental analysis will be conducted by an | |
| | authorized analytical institute under subcontract.) | |

Table 15.7-2 Suggested Monitoring Item and Responsible Agency

Preparatory Survey for National Road No.5 Improvement Project (Middle Section: Thlea Ma'am – Battambang, and Sri Sophorn – Poipet)

| Items | Implementation Agency | Supervision Agency |
|-----------------------|---|--------------------------|
| Vibration | MPWT and Provincial authority | MOE |
| | (Instrumental analysis will be conducted by an | |
| | authorized analytical institute under subcontract.) | |
| Illegal dumping along | Provincial authority (DPWT) | MPWT |
| NR 5 | | |
| Condition of culvert | Provincial authority (DPWT) | MPWT |
| Domestic waste water | Provincial authority (DPWT) | MPWT |
| along NR 5 | | |
| Ecosystem | Provincial authority | MPWT, MOE and |
| Impact on TSBR | | Cambodia National Mekong |
| | | Committee |

15.7.4 Training and Staffing

Training program is an important factor in the EMP that needs to take into account and put in the Project for the successful implementation. Moreover, in order to promote sustainable development, the Project is needed human resources with adequate capacity for improvement work and perfective both technical and environmental working skilled. Therefore, the project owner will organize or provide training courses to staffs-workers project's such as:

- > Technical skills for operating the machines in the project construction and operation
- > Providing knowledge to staffs/workers on safety work in construction project
- > Training on technical skill, law and procedures that related to the project activities to prevent accidental case on the natural and social resources
- > Educate and campaign on methodology of preventing social disease, especially HIV/AIDS
- Provide training course to staff-workers on solid and liquid wastes management and educate them on operation and maintenance of latrine and bathroom including Septic tank
- Educate on important Environmental resources in the project area for social requirement such as: water, land, air, forestry, wildlife especially natural resource in the project area
- Educate on method for environment protection in/around project area and knowledge on project study related with quantity and quality existing environment that it will affect by project activities
- Educate to workers on raw materials management that it is chemical elements use for product chain of the project
- The project owner will recruit international consultants with high capacity to work for design plan and technical monitoring of this road including to help for Khmer workers training in relevant institutions and in this project

(1) Participants

In order to assist the project construction phase smoothly, trainings will be provided for few engineers from the MPWT and the MOE due to their limitations in site monitoring and management and environmental knowledge. List of the proposed trainees is shown as in Table 15.7-3. Training contents will be developed by highly-qualified trainers. The trainings should be commenced before or at early of the construction phase.

| No | Institution | Number of trainees | Engineers Involved |
|----|-------------|--------------------|--|
| 1 | MPWT | 4 | Engineers for site monitoring and management |
| 2 | MOE | 2 | Environmental technicians/engineers |

| Table 15.7-3 | List of the | Proposed | Trainees |
|--------------|-------------|------------|-------------|
| LUNIC LUIT U | THE OF THE | I I Opobeu | I I WINCOUS |

(2) Training Budget

The MPWT is responsible for the training budget. Each training session will provide 2 days in class and 2 days for field practice. The trainees for site monitoring and management will work closely with the construction engineers to learn day to day on site monitoring and management. The trainees or environmental technicians/engineers can assist the construction engineers to do daily environmental monitoring and evaluation the contractor performance in compliance with the EMP in the EIA report and other environmental safeguards stated in the construction contract. The detailed cost estimate for the trainings is shown in Table 15.7-4.

15.7.5 Organization for EMP

The proposed draft organization chart of the EMP in the construction phase is shown in Figure 15.7-1.

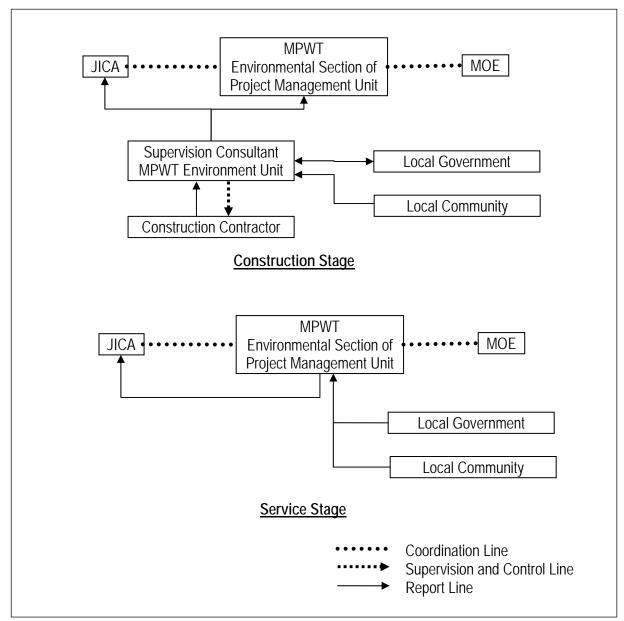


Figure 15.7-1 Proposed Organization for EMP

15.7.6 Cost Estimation of EMP

The cost estimation for EMP such as environmental monitoring cost and training cost is shown in Table 15.7-4. The total EMP cost of the Project was estimated at US\$ ***** (Closed due to confidentiality).

| Table 15.7-4 (| Cost Estimation for EMP |
|----------------|-------------------------|
|----------------|-------------------------|

1. Middle Section

| No | Description | Unit | Quantity | Unit Rate | Total Cost Estimate in US\$ |
|--------|---|----------|----------|-----------------------------------|--------------------------------|
| I. E | nvironmental Quality Monitoring | | | | |
| 1 | Air quality (Construction Phase) | Sample | 4 | **** | **** |
| 2 | Air quality (Operation and Maintenance Phase) | Sample | 12 | **** | **** |
| 3 | Water Quality (Construction Phase) | Sample | 4 | **** | **** |
| 4 | Potable pH Meter | LS | 1 | **** | **** |
| 5 | Potable Turbidity Meter | LS | 1 | **** | **** |
| 6 | Noise and Vibration (Construction Phase) | Sample | 4 | **** | **** |
| 7 | Noise and Vibration (Operation and Maintenance Phase) | Sample | 12 | **** | **** |
| | | | | Sub-Total | **** |
| II. N | Aitigation Activity | | | | |
| 1 | Sowing small trees and plant trees for good aestheticism along the road (Construction Phase) | LS | 1 | **** | **** |
| 2 | Garbage disposed along the road and illegal dump site | LS | 1 | **** | **** |
| 3 | To plant the trees for good aestheticism along the road and good maintained (Operation and Maintenance Phase) | LS | 1 | *** | *** |
| 4 | To prepare a master plan for by-Pass road of Pursat Town development and install big signboard for this project plan | LS | 1 | *** | *** |
| | | | | Sub-Total | **** |
| III. ' | Training Fee | | | - | |
| 1 | Training course on environmental management and field practice | Course | 1 | **** | **** |
| 2 | Training course on site monitoring and field practice | Course | 1 | **** | **** |
| 3 | Training course on general site management | Course | 1 | **** | **** |
| 4 | Transportation for the field practices | Time | 3 | **** | **** |
| 5 | Training materials and snacks for all the courses | Lump Sum | 1 | **** | **** |
| | | | | Sub-Total | **** |
| III. | Training Allowance | | | | |
| - | - | - | - | Daily Stipend Allowance (US\$) | - |
| 1 | Engineers from the MPWT | Man-Day | 4 | **** x 4 Days | **** |
| 2 | Engineers from the MOE | Man-Day | 2 | **** x 4 Days | **** |
| | | | | Sub-Total | **** |
| | | | | Grand Total | **** |

| No | Description | Unit | Quantity | Unit Rate | Total Cost Estimate in US\$ |
|--------|--|----------|----------|-----------------------------------|--------------------------------|
| I. Eı | nvironmental Quality Monitoring | | | | |
| 1 | Air quality (Construction Phase) | Sample | 4 | **** | **** |
| 2 | Air quality (Operation and Maintenance Phase) | Sample | 4 | **** | **** |
| 3 | Water Quality (Construction Phase) | Sample | 4 | **** | **** |
| 4 | Potable pH Meter | LS | 1 | **** | **** |
| 5 | Potable Turbidity Meter | LS | 1 | **** | **** |
| 6 | Noise and Vibration (Construction Phase) | Sample | 4 | **** | **** |
| 7 | Noise and Vibration (Operation and Maintenance Phase) | Sample | 4 | **** | **** |
| | | | | Sub-Total | **** |
| II. N | Artivity | | 1 | | T |
| 1 | Sowing small trees and plant trees for good aestheticism along the road (Construction Phase) | LS | 1 | **** | **** |
| 2 | Garbage disposed along the road and illegal dump site | LS | 1 | **** | **** |
| 3 | To plant the trees for good aestheticism along the road and good maintained (Operation and Maintenance Phase) | LS | 1 | **** | **** |
| | • | | | Sub-Total | **** |
| III. ' | Training Fee | | | | |
| 1 | Training course on environmental management and field practice | Course | 1 | **** | **** |
| 2 | Training course on site monitoring and field practice | Course | 1 | **** | **** |
| 3 | Training course on general site management | Course | 1 | **** | **** |
| 4 | Transportation for the field practices | Time | 3 | **** | **** |
| 5 | Training materials and snacks for all the courses | Lump Sum | 1 | **** | **** |
| | | | | Sub-Total | **** |
| III. ' | Training Allowance | | T | | Γ |
| - | - | - | - | Daily Stipend Allowance (US\$) | - |
| 1 | Engineers from the MPWT | Man-Day | 4 | **** x 4 Days | **** |
| 2 | Engineers from the MOE | Man-Day | 2 | **** x 4 Days | **** |
| | | | | Sub-Total | **** |
| | | | | Grand Total | **** |

2. Sophorn - Poipet Section

Note: Daily stipend allowance included food, accommodation and transportation. Venue fee is included for the training courses.

****: Closed due to confidentiality

15.7.7 Recommendation

After the completion of the NR 5 improvement projects (from Prek Kdam to Poipe), the traffic volume will increase in all cities and towns along NR 5, and the local economy, industrialization and urbanization will develop in parallel. On the other side, the environmental qualities in these areas are likely to deteriorate. Comprehensive environmental quality monitoring should be conducted. The recommendable monitoring plan related to road sector is shown in Table 15.7-5.

| Item | Location | Implementation Agency | Frequency | Parameter/ Means of Monitoring |
|----------------|--|--|----------------------------|--------------------------------------|
| | Urban area in Kampong Chhnang city Urban area in Pursat town | Department of Environment, Kampong Chhnang Province Department of Environment, | | |
| | | Pursat Province | Continues | |
| | Urban area in Battambang city | Department of Environment, Battambang Province | monitoring | SPM10 |
| Air quality | Urban area in Sri Sophorn city | Department of Environment, Banteay Meanchey Province | | SPM2.5 SO ₂ |
| | Roadside in Kampong Chhnang bypass section | | 1 time in dry season and 1 | NO ₂ |
| | Roadside in Pursat bypass section | MPWT | time in rainy | |
| | Roadside in Battambang bypass section | | season per year | |
| | Roadside in Sri Sophorn bypass section | | season per year | |
| | Urban area in Kampong Chhnang city | Department of Environment, Kampong Chhnang Province | | Noise Level (LAeq) |
| | Urban area in Pursat town | Department of Environment, Pursat Province | | |
| | Urban area in Battambang city | Department of Environment, Battambang Province | Quarterly | |
| Noise | Urban area in Sri Sophorn city | Department of Environment, Banteay Meanchey Province | | |
| | Roadside in Kampong Chhnang bypass section | Bancay Meanchey Frovince | Yearly | |
| | Roadside in Pursat bypass section | MPWT | | |
| | Roadside in Battambang bypass section | | | |
| | Roadside in Sri Sophorn bypass section | | | |
| | Urban area in Kampong Chhnang city | Department of Environment, Kampong Chhnang Province | | |
| | Urban area in Pursat town | Department of Environment, Pursat Province | | |
| | Urban area in Battambang city | Department of Environment, Battambang Province | Quarterly | Vibration Level |
| Vibration | Urban area in Sri Sophorn city | Department of Environment, Banteay Meanchey Province | | |
| | Roadside in Kampong Chhnang bypass section | | | |
| | Roadside in Pursat bypass section Roadside in Battambang bypass section | MPWT | Yearly | |
| | Roadside in Sri Sophorn bypass section | | | |

| Table 15.7-5 | Recommendable | Future | Monitoring Plan |
|---------------|---------------|--------|------------------|
| 1 abic 15.7-5 | Recommendable | ruturt | monitoring i lan |

CHAPTER 16 RESETTLEMENT ACTION PLAN (RAP)

16.1 Legal and Policy Framework

Cambodia has experienced severe social, economic, and political turmoil during the last quarter century. Before the Khmer Rouge came to power in 1975, private land ownership was widespread and governed by the Cambodia Civil Code of 1920. Under the Khmer Rouge from 1975 to 1979 however, private property was abolished and all records were destroyed. After the said regime, the new government introduced usufruct rights to facilitate orderly occupation by people returning to urban areas, of vacant land and structures. However, all lands in Cambodia remained under the property of the state until private ownership on residential land of maximum 2,000 m² was restored in 1989. The current legislations governing land ownership is the Land Laws of October 1992 and of August 2001, which recognize claims to land made after the downfall of the Khmer Rouge in 1979. In this background, the fundamental system for "resettlement", which are i) land management system, ii) policy and system for land acquisition, illegal occupation, and resettlement, and iii) methodology to fill up the gap between Development Partners' (DPs') policy on resettlement and the Cambodian laws and regulations related to resettlement, are still improving. Therefore, compromise between them is necessary in terms of dealing with resettlement issues caused by development projects.

16.1.1 Relevant Laws

(1) 1993 Constitution

The 1993 Constitution of Cambodia has established one governing principles pertaining to land acquisition.

Article 44 states that "All persons, individually or collectively, shall have the right to ownership. Only Khmer legal entities and citizens of Khmer nationality shall have the right to own land. Legal private ownership shall be protected by law. The right to confiscate properties from any persons shall be exercised only in the public interest as provided for under the law and shall require fair and just compensation in advance."

(2) Land Law

The rights to land and property in Cambodia are governed by *the 2001 Land Law*, which are primarily based on the provisions of *the 1993 Constitution*. The law defines the scope of ownership of immovable properties, such as land, trees and fixed structures.

The Land Law, **Article 5**, states that "No person may be deprived of his ownership, unless it is in the public interest. Any ownership deprivation shall be carried out in accordance with the governing procedures provided by law and regulations, and after the payment of fair and just compensation in advance."

Other provisions of the Land Law that are relevant to land acquisition, compensation and resettlement include:

- Only legal possession as provided by law can be transformed to land ownership. (Article 6)
- Any regime of ownership of immovable property prior to 1979 shall not be recognized. (Article 7)
- Article 15 states that "the following properties are included as public properties of state and public legal entities: a) any property that has a natural origin, such as forests, courses and banks of navigable and floatable rivers or natural lakes and seashores; b) that is made available for public use such as quays of harbors, port, railways, railways station and airports; or, c) any property which is made available, either in its natural state or after development, for public use such as roads, tracks, oxcart ways, pathways, gardens or public parks and reserved lands."
- Article 18 states that "the following are null and void and cannot be made legal in any form whatever: a) any entering into possession of public properties of State and public legal entities and any transformation of possession of private properties of State into ownership rights that was not pursuant to the legal formalities and procedures that have been stipulated prior to that time, irrespective of the date of creation of possession or transformation; e) any entering into possession of private properties of State, through any means, that occurs after this law comes into effect".
- Article 19 states that "any persons whose land title or factual circumstance fall within the scope of article 18 of this law shall not have the right to claim compensation or reimbursement of expenses paid for the maintenance or management of immovable property that was illegally occupied. Any illegal and intentional of fraudulent acquisition of public properties of state or of public legal entities shall be penalized pursuant to article 259 of this law. The penalties shall be doubled where any occupation of public properties because damages or delay to works undertaken in the general interest, especially the occupation of roadway reversed land".
- Ownership of immovable properties described in **Article 25** is granted by the state to indigenous minorities¹ as collective ownership. This collective ownership includes all of the rights and protections as enjoyed by private owners. The exercise of collective ownership rights shall be subject to the responsibility of the traditional authorities and decision-making mechanisms of the indigenous community, according to their customs and subject to the laws of general enforcement related to immovable property such as *the law on environmental protection*. (**Article 26**)
- · Persons with legally valid possession of land for five years (at the time the law came into

¹ As per Article 23 of the Land Law, "An indigenous community is a group of people that resides in Cambodia whose members manifest ethnic, social, cultural and economic unity and who practice a traditional lifestyle, and who cultivate the lands in their possession according to the customary rules of collective use."

effect) are allowed to be registered as the owner of the land (**Article 30**). Persons who (at the time the law came into effect) held legal possession but had not yet completed the five years were allowed to remain in possession until they were eligible to be registered as the owner. (**Article 31**)

- Any beginning of occupation for possession shall cease when this law comes into effect (article 29). After this law comes into force, any new occupant with title to an immovable property belonging to the public bodies or private persons shall be considered as illegal occupant and shall be subject to the penalties provided in Article 259 of this Law (Articles 34).
- Article 38 states that "in order to transform into ownership of immovable property, the possession shall be unambiguous, non-violent, notorious to the public, continuous and in good faith".
- Landless people may apply for land for residential and subsistence farming purposes at no cost, as part of a social land concessions scheme. The concessionaire may obtain ownership of this land after fulfilling conditions set out in a separate *Sub-Decree on Social Land Concessions*. (Articles 50, 51).

(3) Expropriation Law Dec. February 2010 - Procedures for Acquiring Private Properties for National or Public Interest

Article 2: the law has the following purposes: (i) ensure reasonable and just deprivation of a legal right to ownership of private property; (ii) ensure payment of reasonable and just prior compensation; (iii) serve the public and national interests; and (iv) development of public physical infrastructure.

Article 7: Only the state may carry out an expropriation for use in the public and national interests.

Article 8: The state shall accept the purchase of the remaining part of the real property left over from an expropriation at a reasonable and just price at the request of the owner of land/or the holder of rights in the expropriated real property, if he is no longer able to live near the expropriated scheme or build a residence or conduct any business.

Article 16 states that "Prior to make any expropriation project proposal, the Expropriation Committee shall conduct a public survey by recording of a detailed description of all entitlements of the owners and/or of the holder of real right to immovable property and other properties subject to compensation as well as recording of all relevant issues.

In conducting the survey, the Expropriation Committee shall organize public consultations at the Capital, Municipal-Provincial, and District-Khan authority levels with Commune / Sangkat councils and Village or community representative to be affected by the expropriation to provide specific and concise information and collect inputs from all stakeholders regarding the proposed basic public infrastructure project.

In order to set a dateline for the expropriation or relocation or compensation, the Expropriation Committee shall conduct a dateline interview with all concerned parties about the issues of immovable property to be affected by the public physical infrastructure project.

Within 30 (thirty) working days after the completion of the survey, the Expropriation Committee shall produce a report with recommendations and submits it to the Royal Government for approval."

Article 22: Stipulates the amount of compensation to be paid to the owner of and/or holder of rights in the real property, which is based on the market value of the real property or the replacement cost as of the date of the issuance of the *Prakas* on the expropriation scheme. The market value or the replacement cost shall be determined by an independent commission or agent appointed by the expropriation committee.

16.1.2 Other Relevant Regulations

The private ownership of land was re-established in 1989, and confirmed in *the 2001 Land Law* (Article 4). Cambodians are able to register the land they occupy with the local Cadastral Administration Office, whereupon a certificate of land title is granted. Issuing land titles is a lengthy process and most offices have a major backlog of applications. People are given a receipt and until the official title deed is issued, this receipt is accepted as a proof of real occupant of the land for land purpose or sale.

The present legal status of land use in Cambodia can be classified as follows:

- (1) **Privately owned land with title**: The owner has official title to land, and both owner and the Cadastral Administration Office have a copy of the deed.
- (2) **Privately owned land without title**: The owner has made an application for title to land, and is waiting for the issuance of a title deed. The Cadastral Administration Office recognizes the owner.
- (3) **Land use rights certified by the Government**: In this case, a receipt for long-term land use has been issued. This land use right is recognized by the Cadastral Administration Office.
- (4) **Lease land**: The Government or private owners lease the land, usually for a short period. There is provision for the owner to reclaim land if it is needed for development.
- (5) **Non-legal occupation**: The user has no land use rights to State land that he occupies or uses. The Cadastral Administration Office does not recognize the use of this land.
- (6) **Sub-Decree on Social Land Concession, March 2003** provides for allocations of free private state land to landless people of residential or family farming, including the replacement of land lost in the context of involuntary resettlement.
- (7) Prakas No.6, entitled "Measures to Crack Down on Anarchic Land Grabbing and

Encroachments", sets ROW for road and railway. In support of this *Prakas*, MEF on 6 April 2000 issued *Decree No.961* prohibiting compensation for structures and other assets located in the ROWs. Some Road dimensions are modified by *the Sub-decree No.197* adopted on 23 November 2009 on to Management of ROW along the national road and railway in Cambodia.

| Road Category | ROW Dimensions under Prakas No.06 | ROW Dimensions under Sub-decree No.197 |
|--|--------------------------------------|---|
| NR-1, 4, and 5 | 30 m from the centreline | 30 m from the centreline |
| Other 1-digit NRs | 25 m from the centreline | 30 m from the centreline |
| 2-digit NRs | 25 m from the centreline | 25 m from the centreline |
| Provincial roads | 20 m from the centreline | not specified |
| Commune roads | 15 m from the centreline | not specified |
| Railway outside city, province and crowned place | 30 m from the centreline | 30 m from the centreline |
| Railways in forest area | 100 m from the centreline | 100 m from the centreline |

Table 16.1-1 Road and Railways ROW Dimensions

16.1.3 Policy Gap Analysis

Law and regulation framework on resettlement and land issues are still in the stage of development in Cambodia, and some implementation documents and institutions are not yet prepared completely, however, RGC understands such situation and DPs' safeguard policies, and considers supplemental measures and assistances in RAP cases case by case.

Thus, in terms of practical operation, there is not so much crucial gap between Cambodian country system and JICA Guidelines' concept and requirements (see Table 16.1-2). Some other discussing points which are not mentioned clearly or concretely in Cambodian country system are also considered based on JICA Guidelines, RAP, and other relevant documents to fulfil gaps.

| Table 16.1-2 | Verification of and Comparison between Cambodian System and JICA Guidelines |
|--------------|---|
| | for Environmental and Social Considerations (April 2010) |

| No. | Item | JICA Guidelines Policy | Law/Regulation in Cambodia (officially promulgated) | Actual Operation (Gap Filling Measures) |
|-----|--|--|--|--|
| 1 | Support system for socially vulnerable groups | It is necessary to give appropriate consideration to vulnerable groups. | Sub-Decree on Social Land Concession provides allocations of free private state land to landless people of residential or family farming, including the replacement of land lost in the context of involuntary resettlement. | Income restoration program (IRP) and assistance (allowance) to vulnerable groups will be prepared. |
| 2 | Assistance to restore and improve living standards | Living standards and income opportunities, and production levels of project affected people should be improved or at | The government has no clear policy or procedure to restore the livelihood of APs. | Income restoration program (IRP) will be prepared. |

| No. | Item | JICA Guidelines Policy | Law/Regulation in Cambodia (officially promulgated) | Actual Operation (Gap Filling Measures) |
|-----|---|---|--|--|
| 3 | Enhancement of public participation in planning and implementation of RAP | least restored to pre-project levels. Appropriate participation of affected people and their communities should be promoted in planning, implementation and monitoring of involuntary AHs and measures taken against the loss of their means of livelihood. | It is clearly declared in <i>the</i> <i>Expropriation Law</i> (<i>Article 16</i>) that in conducting a survey of entitlements, public consultations shall be organized to provide specific and concise information and collect inputs from all stakeholders regarding the proposed basic public infrastructure project and that a dateline interview with all concerned parties shall be conducted. | Stakeholder meetings and interview of AHs shall be conducted at appropriate stages according to JICA Guidelines and <i>the</i> <i>Expropriation Law</i> . |
| 4 | Compensation for land acquisition with replacement cost | Prior compensation will be done with replacement cost, which means that compensation for lost assets must be made in full amount at replacement cost and at current market price. | The amount of compensation to be paid to the owner of and/or holder of real right to the immovable property shall be based on the market price or replacement cost as of the date of the issuance of the declaration on the expropriation project. (the <i>Expropriation</i> <i>Law</i> (Article 22)) | AHs will be compensated at replacement cost. The replacement cost will be calculated based on the detailed measurement survey just before implementing resettlement. |
| 5 | AHs residing in the Project affected area before cut-off date | People to be resettled involuntarily and those whose means of livelihood will be hindered or lost should be sufficiently compensated and supported by the project proponents in appropriate time. | | Assistance to AHs who are residing in the Project affected area (including public state land) at the time of cut-off date will be prepared (Compensation for properties without land is compensated at replacement cost and resettlement site will be prepared for landless AHs). |
| 6 | Grievance redress mechanism | Grievance redress system must be formulated and must function appropriately. | Grievance redress system is stipulated in <i>the</i> <i>Expropriation Law</i> ; however, it has provisions to exclude public infrastructure projects. | Grievance redress system will be formulated. |

Source: JICA Study Team

16.2 Project Resettlement Policy

16.2.1 Objectives

The objective of the Project Resettlement Policy is to ensure that AHs are not worse off because of the Project. The Project should provide an opportunity for the local population to derive benefits from it, and it should likewise serve as an occasion for the local population to participate in its planning and implementation, thereby engendering a sense of ownership over the same.

16.2.2 Key Principles

The key principles of the resettlement policy are as follows:

- (i) Involuntary resettlement and loss of means of livelihood are to be avoided when feasible by exploring all viable alternatives. When, after such an examination, avoidance is proved unfeasible, effective measures to minimize impact and to compensate for losses must be agreed upon with the people who will be affected.
- (ii) People who must be resettled involuntarily and people whose means of livelihood will be hindered or lost must be sufficiently compensated and supported by RGC in a timely manner. Prior compensation, at full replacement cost, must be provided as much as possible. RGC must make efforts to enable people affected by projects and to improve their standard of living, income opportunities, and production levels, or at least to restore these to pre-project levels. Measures to achieve this may include: providing land and monetary compensation for losses (to cover land and property losses), supporting means for an alternative sustainable livelihood, and providing the expenses necessary for the relocation and re-establishment of communities at resettlement sites.
- (iii) Appropriate participation by affected people and their communities must be promoted in the planning, implementation, and monitoring of resettlement action plans and measures to prevent the loss of their means of livelihood. In addition, appropriate and accessible grievance mechanisms must be established for the affected people and their communities.
- (iv) Resettlement action plans must be prepared and made available to the public. In preparing a resettlement action plan, consultations must be held with the affected people and their communities based on sufficient information made available to them in advance. When consultations are held, explanations must be given in a form, manner, and language that are understandable to the affected people.

16.2.3 The Cut-off Date for Eligibility

For the project, the cut-off date coincides with the first day of the census of AHs and the Inventory of Loss (IOL) survey was conducted. The cut-off date for the existing NR-5 from Thlea Ma'am/PST (PK: 171+000) to Battambang (PK: 282+200) and from Serey Sophorn (PK:

366+250) to Poipet (PK: 402+000) is on 2^{nd} September 2013, and for Pursat Bypass is on 30^{th} **December 2013**. This would mean that any land occupation or transfer, or structures to be built on affected land after the cut-off date will not be entitled to any compensation including the land use right.

The cut-off date was informed to AHs at stakeholder meetings before and after the cut-off dates at stakeholder meetings during RAP preparation stage. At those meetings, AHs were informed that all structures constructed after the cut-off date (IOL survey) will not be entitled for any compensation from the Project, and that all people have to stop constructing any new buildings in the delineated area. The information will be continuously disseminated to prevent further population influx.

16.2.4 Eligibility

Persons not covered in the census are not eligible for compensation and other entitlements, unless they can show proof that:

- (i) They have been inadvertently missed out during the census and the IOL and certified by local authorities; or
- (ii) They have lawfully acquired the affected assets following completion of the census and the IOL and prior to the conduct of the DMS.

Eligible AHs include anyone who, at the cut-off date of the Project, was located within the Project area or any of its component or subproject or part thereof, and would have their:

- (i) Standard of living adversely affected;
- (ii) Right, title or interest in any house, land (including residential, commercial, agricultural and for grazing), water resources, or any other movable or fixed assets acquired or possessed, in full or in part, temporarily or permanently by public sector acquisition; or
- (iii) Business, occupation, place of work or residence or habitat adversely affected by public sector intervention.

An AH refers to households and consists of all members residing under one roof and operating as a single economic unit, who are adversely affected by the Project. For resettlement purposes, Project AHs will be considered as members of the Project AHs including single person households.

16.2.5 Entitlements

The project entitlements were developed and presented as shown in Table 16.2-1 Entitlement Matrix. The entitlements adopted were guided by the applicable national laws and regulations and JICA Guidelines. The entitlements and assistance may be revised based on the actual status of impact, as necessary, in the updated version of this RAP.

| Т | YPE OF LOSS | ELIGIBLE PERSONS | ENTITTLEMENTS | IMPLEMENTATION ISSUES | | | | |
|-----|---------------------------------------|----------------------------|---|---|--|--|--|--|
| - | LOSS OF LANI | | | | | | | |
| - | OUTSIDE ROW (PRIVATE LAND) | | | | | | | |
| | | All Affected Households | AHs have two options: | • AHs to be notified at least 90 | | | | |
| | | | 1) Land replacement (land | | | | | |
| | | proof of ownership whose | to land): Land | | | | | |
| | | land will be acquired (for | replacement will be | the actual date that the land will | | | | |
| | | the construction of bypass | provided with similar | be acquired by the project. | | | | |
| | | roads in Pursat City). | 1 | • IRC will ensure payment of all | | | | |
| | | | productivity potential. | compensation and allowances for | | | | |
| | | | 2) cash compensation at | - | | | | |
| | | | replacement cost. | <u>30 days</u> prior to the scheduled | | | | |
| | | | 1 | start of civil works. | | | | |
| | | | | • IRC will support the AHs to | | | | |
| | | | | separate or transform the affected | | | | |
| | | | | land title certificate. Cost of the | | | | |
| | | | | procedure will be borne by RGC. | | | | |
| I | NSIDE ROW (PUB | BLIC STATE LAND) | | × × | | | | |
| | | , | • AHs must be removed | • AHs to be notified at least 90 | | | | |
| | Residential and | and/or small shop | entirely from PRW and no | days in advance before the start | | | | |
| | / or | (independent/family-owned | cash compensation is | of civil works in the locality of | | | | |
| | Commercial | business) | available for affected land | the actual date that the land will | | | | |
| | Land, in which | | in ROW. | be acquired by the project. | | | | |
| | the remaining | | • No new permanent | • IRC will ensure payment of all | | | | |
| | land is STILL | | structures (i.e. structures | compensation and allowances for | | | | |
| | VIABLE for | | on a foundation or wooden | which AHs are entitled to at least | | | | |
| | continued use | | house larger than the | <u>30 days</u> prior to the scheduled | | | | |
| | | | affected one) are permitted | start of civil works. | | | | |
| | | | | • Remaining ROW is still public | | | | |
| | | | ROW. | state land. | | | | |
| 11. | | | - | • AHs to be notified at least <u>90</u> | | | | |
| | | and/or small shop | | days in advance before the start | | | | |
| | and/or | | • The landless AHs have | of civil works in the locality of | | | | |
| | | business) and no more | 1 | the actual date that the land will | | | | |
| | Land, or the | remaining land. | 1) Self relocation: receive in | | | | | |
| | remaining land | | | • Each self relocate landless AHs | | | | |
| | is NOT VIABLE ² for | | | will receive the cost for resettle | | | | |
| | continued use | | assistance for buying a | by calculating in average from the Cost Estimate of each RS (see | | | | |
| | (Landless AHs) | | land plot and preparing other basic infrastructure, | in section 10-3), plus cash | | | | |
| | (Lanuless Ans) | | plus cash compensation | compensation for their affected | | | | |
| | | | for their affected assets. | assets. The estimate cost in each | | | | |
| | | | 2) Group relocation: a | site should be updated during | | | | |
| | | | resettlement site (RS) | | | | | |
| 1 | | | | • IRC will ensure payment of all | | | | |
| 1 | | | provided by the | compensation and allowances for | | | | |
| | | | government; | which AHs are entitled to at least | | | | |
| 1 | | | • A land plot per landless | <u>30 days</u> prior to the scheduled | | | | |
| 1 | | | AH will be 7.0 m x 15.0 m | | | | | |
| | | | $= 105.00 \text{ m}^2.$ | IRC will ensure allocation of cash | | | | |
| 1 | | | Basic infrastructures such | | | | | |
| 1 | | | as access roads, latrines, | sufficient time (at least <u>90 days</u>) | | | | |
| | | | drainages, and pumping | for AHs to rebuild and relocate | | | | |
| L | | | | into to recuire une rerobute | | | | |

² The remaining unaffected portion cannot accommodate purpose of activity/structure covered within the affected section. The size of viable land will be discussed between IRC-WG and the AHs during the detailed measurement survey.

| TYPE OF LOSS | ELIGIBLE PERSONS | ENTITTLEMENTS | IMPLEMENTATION ISSUES |
|------------------------|---|--|---|
| | | wells will be provided as | completely before the scheduled |
| | | part of resettlement | start of civil works. |
| | | | • IRC will support the AHs to |
| | | connection will also be | acquire land title certificate after |
| | | provided if available in the area. However, AHs will | five consecutive years of AHs' living on the land. Cost of the |
| | | bear the security deposit | procedure will be borne by RGC. |
| | | | • Remaining ROW is still public |
| | | required by service | state land. |
| | | provider because the | |
| | | deposit will be refunded to | |
| | | AHs once the consumption | |
| | | is terminated. | |
| | | • Land title for the land plot | |
| | | in the resettlement site | |
| | | with names of husband and | |
| | | wife will be provided to | |
| | | each household after five | |
| | | consecutive years of living on the land at no cost. | |
| III.Loss of | All AHs occupying land or | | • AHs to be notified at least 90 |
| Productive | using land in the | - | |
| | Provisional Road Width | | of civil works in the locality of |
| Either Partial | | • See also [C. LOSS OF | the actual date that the land will |
| or Entire Land | | CROPS AND TREES] | be acquired by the project. |
| is Lost | | | • AHs will not be moved from the |
| | | | ROW outside the PRW without |
| | | | justifiable cause (i.e. unless or |
| | | | until the land is required by the |
| | | | government for road |
| | | | improvement purposes).Remaining ROW is still public |
| | | | state land. |
| B. LOSS OF STRU | JCTURES | | |
| | All the AHs confirmed to | | • AHs to be notified at least 90 |
| _ | | - | days in advance before the start |
| | business or having right | | |
| | over resources within the | e | the actual date that the land will |
| Structure is Lost | project affected area during the conduct of IOL | | be acquired by the project.AHs to get cash compensation at |
| LUSI | and census of AH (on Cut | | |
| | -off Date) | labor). | in the locality to allow the AHs |
| | | • AHs are also entitled to | sufficient time to gradually |
| | | have transport (moving) | reorganize the house and/or shop, |
| | | allowance (cf. Item E). | thereby avoiding any disruption |
| | | | in their livelihood. |
| | | | • AHs must completely cut, move |
| | | | back or relocate their |
| | | | houses/structures to new site |
| | | | within <u>30 days</u> after receiving |
| | | | compensation. • If the structure is found no longer |
| | | | viable for living, compensation |
| | | | will be paid for the entire |
| | | | structure and the AH will also be |
| | | | entitled to other allowances. |
| | J | ſ | |

| | YPE OF LOSS | ELIGIBLE PERSONS | ENTITTLEMENTS | IMPLEMENTATION ISSUES |
|-----------|--|---|--|---|
| | | Renters | e | • AHs to be notified at least 90 |
| | | | allowances as below: | days in advance before the start |
| | | | Transportation (moving) | of civil works in the locality of |
| | | | allowance: USD 40 | the actual date that the land will |
| | | | • Disruption allowance: A | be acquired by the project. |
| | | | lump sum cash assistance | • IRC will ensure payment of all |
| | | | of USD 45 | allowances for which AHs are |
| | | | • Rental allowance: | entitled to at least 30 days prior to |
| | | | equivalent to two months' | the scheduled start of civil works. |
| | | | rent of a similar building in | • AHs that rent house and/or shop |
| | | | the locality. | are entitled to a one time |
| | | | • If AH belongs to any of the | transport allowance only. |
| | | | vulnerable group, see | |
| | | | Item E. | |
| | | | Provision of information | |
| | | | in finding alternate rental | |
| | | | accommodation. | |
| II. | Other | All the AHs confirmed to | 1 | • AHs to be notified at least 90 |
| | Structures | be residing in, doing | 1 | days in advance before the start |
| | | business or having right | | of civil works in the locality of |
| | extended eaves, | over resources within the | or salvageable materials | the actual date that the land will |
| | * | project affected area | ` I | be acquired by the project. |
| | fence, etc.) | during the conduct of IOL | | • IRC will ensure payment of all |
| | | and census of AH (Cut- off | labor in the locality). | allowances for which AHs are |
| | | Date) | | entitled to at least <u>30 days</u> prior to |
| | | | | the scheduled start of civil works. |
| | LOSS OF CROI | | | |
| I. | Loss of Crops | | | • Annual Crops – AHs will be |
| | | of land tenure status | AHs will be allowed to | 0 |
| | | | harvest their annual and | land on which their crops are |
| | | | perennial crops prior to | planted will be used by the |
| | | | construction. | project and that they must harvest |
| | | | • If crops cannot be | their crops before the civil work. |
| 1 | 1 | | | |
| | | | harvested due to | • Remaining ROW is still public |
| | | | construction schedule, | state land. |
| | | | construction schedule, AHs are entitled to cash | |
| | | | construction schedule, AHs are entitled to cash compensation for the | |
| | | | construction schedule, AHs are entitled to cash compensation for the affected crops at | |
| Π | Loss of Emiter | Outputs of troop recording | construction schedule, AHs are entitled to cash compensation for the affected crops at replacement cost. | state land. |
| II. | | Owners of trees regardless | constructionschedule,AHs are entitled to cashcompensationforaffectedcropsatreplacement cost. | • AHs to be notified at least <u>90</u> |
| П. | Loss of Fruit or Shade Trees | Owners of trees regardless of land tenure status | construction schedule, AHs are entitled to cash compensation for the affected crops at replacement cost. Fruit trees will be compensated in cash at | state land. AHs to be notified at least <u>90</u> <u>days</u> in advance before the start |
| п. | | _ | constructionschedule,AHs are entitled to cashcompensationforaffectedcropsatreplacement cost. | state land. AHs to be notified at least <u>90</u> <u>days</u> in advance before the start of civil works in the locality of |
| п. | | _ | construction schedule, AHs are entitled to cash compensation for the affected crops at replacement cost. Fruit trees will be compensated in cash at | state land. AHs to be notified at least <u>90</u> <u>days</u> in advance before the start of civil works in the locality of the actual date that the land will |
| п. | | _ | construction schedule, AHs are entitled to cash compensation for the affected crops at replacement cost. Fruit trees will be compensated in cash at | AHs to be notified at least <u>90</u> <u>days</u> in advance before the start of civil works in the locality of the actual date that the land will be acquired by the project. |
| II. | | _ | construction schedule, AHs are entitled to cash compensation for the affected crops at replacement cost. Fruit trees will be compensated in cash at | AHs to be notified at least <u>90</u> <u>days</u> in advance before the start of civil works in the locality of the actual date that the land will be acquired by the project. Remaining ROW is still public |
| | Shade Trees | of land tenure status | construction schedule, AHs are entitled to cash compensation for the affected crops at replacement cost. Fruit trees will be compensated in cash at replacement cost. | AHs to be notified at least <u>90</u> <u>days</u> in advance before the start of civil works in the locality of the actual date that the land will be acquired by the project. |
| D. | Shade Trees | of land tenure status MON PROPERTY RESOU | construction schedule, AHs are entitled to cash compensation for the affected crops at replacement cost. Fruit trees will be compensated in cash at replacement cost. | state land. AHs to be notified at least <u>90</u> <u>days</u> in advance before the start of civil works in the locality of the actual date that the land will be acquired by the project. Remaining ROW is still public state land. |
| D. | Shade Trees LOSS OF COM Partial or | of land tenure status MON PROPERTY RESOU Affected communities or | construction schedule, AHs are entitled to cash compensation for the affected crops at replacement cost. • Fruit trees will be compensated in cash at replacement cost. | AHs to be notified at least <u>90</u> <u>days</u> in advance before the start of civil works in the locality of the actual date that the land will be acquired by the project. Remaining ROW is still public state land. Communities to be notified at |
| D. | Shade Trees LOSS OF COM Partial or Entire Loss of | of land tenure status MON PROPERTY RESOU Affected communities or concerned government | construction schedule, AHs are entitled to cash compensation for the affected crops at replacement cost. Fruit trees will be compensated in cash at replacement cost. | AHs to be notified at least <u>90</u> <u>days</u> in advance before the start of civil works in the locality of the actual date that the land will be acquired by the project. Remaining ROW is still public state land. Communities to be notified at least <u>90 days</u> in advance before |
| D. | Shade Trees LOSS OF COM Partial or Entire Loss of Community Or | of land tenure status MON PROPERTY RESOU Affected communities or concerned government agencies who own the | construction schedule, AHs are entitled to cash compensation for the affected crops at replacement cost. Fruit trees will be compensated in cash at replacement cost. JRCES Replacement by similar structures and quality at the area identified in | AHs to be notified at least <u>90</u> <u>days</u> in advance before the start of civil works in the locality of the actual date that the land will be acquired by the project. Remaining ROW is still public state land. Communities to be notified at least <u>90 days</u> in advance before the start of civil works in the |
| D. | Shade Trees LOSS OF COM Partial or Entire Loss of Community Or | of land tenure status MON PROPERTY RESOU Affected communities or concerned government agencies who own the | construction schedule, AHs are entitled to cash compensation for the affected crops at replacement cost. Fruit trees will be compensated in cash at replacement cost. JRCES Replacement by similar structures and quality at the area identified in consultation with affected | state land. AHs to be notified at least <u>90</u> <u>days</u> in advance before the start of civil works in the locality of the actual date that the land will be acquired by the project. Remaining ROW is still public state land. Communities to be notified at least <u>90 days</u> in advance before the start of civil works in the locality of the actual date that the |
| D. | Shade Trees LOSS OF COM Partial or Entire Loss of Community and/or | of land tenure status MON PROPERTY RESOU Affected communities or concerned government agencies who own the | construction schedule, AHs are entitled to cash compensation for the affected crops at replacement cost. Fruit trees will be compensated in cash at replacement cost. JRCES Replacement by similar structures and quality at the area identified in | state land. AHs to be notified at least <u>90</u> <u>days</u> in advance before the start of civil works in the locality of the actual date that the land will be acquired by the project. Remaining ROW is still public state land. Communities to be notified at least <u>90 days</u> in advance before the start of civil works in the locality of the actual date that the land will be acquired by the |
| D. | Shade Trees LOSS OF COM Partial or Entire Loss of Community and/or | of land tenure status MON PROPERTY RESOU Affected communities or concerned government agencies who own the | construction schedule, AHs are entitled to cash compensation for the affected crops at replacement cost. Fruit trees will be compensated in cash at replacement cost. JRCES Replacement by similar structures and quality at the area identified in consultation with affected communities and relevant | state land. AHs to be notified at least <u>90</u> <u>days</u> in advance before the start of civil works in the locality of the actual date that the land will be acquired by the project. Remaining ROW is still public state land. Communities to be notified at least <u>90 days</u> in advance before the start of civil works in the locality of the actual date that the land will be acquired by the project. |
| D. | Shade Trees LOSS OF COM Partial or Entire Loss of Community and/or | of land tenure status MON PROPERTY RESOU Affected communities or concerned government agencies who own the | construction schedule, AHs are entitled to cash compensation for the affected crops at replacement cost. Fruit trees will be compensated in cash at replacement cost. JRCES Replacement by similar structures and quality at the area identified in consultation with affected communities and relevant | state land. AHs to be notified at least <u>90</u> <u>days</u> in advance before the start of civil works in the locality of the actual date that the land will be acquired by the project. Remaining ROW is still public state land. Communities to be notified at least <u>90 days</u> in advance before the start of civil works in the locality of the actual date that the land will be acquired by the |

| Т | YPE OF LOSS | ELIGIBLE PERSONS | ENTITTLEMENTS | IMPLEMENTATION ISSUES |
|-------------|----------------------|--|---|--|
| I. | Transport | AHs that relocate their | • Shops and stalls made of | • Owners of houses or |
| | (moving) | house or house/shop | light and temporary | |
| | Allowance | L | materials: USD 5 to USD | - |
| | | | 10 (depending on the scale | • Remaining ROW is still public |
| | | | of the structures to be | state land. |
| | | | relocated) | |
| | | | • Regular shops and houses | |
| | | | moving to residual or | |
| | | | adjacent areas: USD 40 | |
| | | | Regular shops and houses | |
| | | | relocating within the same | |
| | | | village outside of the | |
| | | | ROW: USD 60 | |
| | | | Houses relocating in | |
| | | | another village outside of | |
| - | <u> </u> | a 1 | the ROW: USD 70 | |
| II . | Severely | | | • As indicated above, relocating |
| | Affected | households ³ and | 1 | landless AHs are entitled to |
| | Households and/or | Vulnerable AHs | Severely Affected households and/or | 1 |
| | Vulnerable | | households and/or Vulnerable AHs. | cost |
| | AHs Allowance | | • See also <i>[V. Income</i> | |
| | Ans Allowalice | | Restoration Program | |
| | | | (IRP)] | |
| ш | .Disruption | • Relocating AHs to | | • Allowance shall be paid at the |
| | Allowance | residual or adjacent areas | | same time with compensation. |
| | | (whose house type 1A to | | FF |
| | | 2G) with floor area is less | | |
| | | than 60 m^2 . | | |
| | | • Relocating AHs to | • One time cash assistance | |
| | | residual or adjacent areas | equivalent to USD100. | |
| | | (whose house type 1A to | | |
| | | 2G) with floor area is 60 | | |
| | | m ² or more. | | |
| | | | • One time cash assistance | |
| | | residual or adjacent areas | equivalent to USD150. | |
| | | (whose house type from | | |
| | | 2H or higher) | | |
| | | | • One time cash assistance | |
| 137 | .Temporary loss | village or resettlement site Owners of shop who | equivalent to USD200. Lump sum cash assistance | |
| 1 . | · · | Owners of shop who relocate their shop | of USD50. | |
| | income during | renocate then shop | 01 05050. | |
| 1 | relocation | | | |
| V. | Income | Severely affected | • An IRP will be provided | In-kind assistance to strengthen |
| | Restoration | households and Vulnerable | - | or initiate income-generating |
| 1 | Program (IRP) | Ahs | implementation. | activities will be provided after |
| 1 | J () | | L | need assessment through |
| | | | | consultation with eligible AHs. |
| | | | | Forms of assistance may include, |
| 1 | | | | but are not limited to, agricultural |
| | | | | extension assistance, technical |
| | | | | and other assistance to develop |

³ "Severely affected households" include but not limited to the AHs who will (i) lose 10% or more of their total productive land (income generating) and/or assets, and (ii) have to relocate due to the Project.

| Т | YPE OF LOSS | ELIGIBLE PERSONS | ENTITTLEMENTS | IMPLEMENTATION ISSUES |
|----|---|--------------------------------------|--|---|
| | | | | existing or new income-generating activities and project-related employment. • Special attention to the needs of |
| | | | | and opportunities for the vulnerable AHs. |
| F. | TEMPORARY I | IMPACTS DUE TO ROAD | CONSTRUCTION AND M | IAINTENANCE |
| I. | Affected assets during construction | Owners of assets | assets in cash at replacement cost, or Compensation as leasing fee based on replacement cost, and temporarily affected land will be | • Construction and maintenance will be carried out so as to |
| п. | | Owners or persons using the field | Repair of damage or payment for repair of damage at replacement cost. | adjacent to the road will be |

Source: JICA Study Team

16.3 Project Impacts

The IOL was conducted along the existing NR-5 and the Pursat bypass within the PRW of 20 meters from the centerline. Refer to the IOL results, 2,422 households will be affected by the Project. Of the 2,422 AHs, 224 AHs (247 cases) will lose their private land and 819 AHs along NR-5 and the Pursat bypass will lose their main structures (house, house-shop and/or shop/restaurant). A total of 296,069.07 m² of private land in the bypass area, in which 269,026.84 m² (90.87% of the total affected private land) is rice land, will be acquired for the Project. There are a total of 5,792 trees of various species and age in NR-5 and the bypass have been counted during the IOL. All affected trees are not commercially grown, meaning, they are sporadically planted.

| Table 16.3-1 | Affected Households along National Road No.5 and the Pursat Bypasses |
|--------------|--|
|--------------|--|

| | | Tetal | | | |
|------------------------|------------------------------|----------------------------|---------------|----------------|--|
| Province/District | Thlea Ma'am to Battambang | Serei Sophorn to Poipet | Pursat Bypass | Total (AHs) | |
| Banteay Mean Chey/BMCH | 0 | 562 | 0 | 562 | |
| Ou Chrov district | 0 | 239 | 0 | 239 | |
| Poipet City | 0 | 323 | 0 | 323 | |
| Battambang | 848 | 0 | 0 | 848 | |
| Moung Reussei district | 633 | 0 | 0 | 633 | |

| | | Total | | | |
|---------------------|------------------------------|--|-----|-------|--|
| Province/District | Thlea Ma'am to Battambang | ······································ | | (AHs) | |
| Sangke district | 215 | 0 | 0 | 215 | |
| Pursat | 788 | 0 | 224 | 1,012 | |
| Bakan district | 722 | 0 | 0 | 722 | |
| Kandieng district | 0 | 0 | 49 | 49 | |
| Krakor district | 29 | 0 | 0 | 29 | |
| Pursat City | 37 | 0 | 175 | 212 | |
| Total (the Project) | 1,636 | 562 | 224 | 2,422 | |

Data source: Project Survey conducted from September 2013 to January 2014

16.3.1 Methodology Used in Preparing the Resettlement Plan

The following sections describe the processes and methods employed in the survey on adverse social impacts for improving NR-5. The impact survey involved the conduct of IOL wherein all fixed assets (i.e., lands used for residence, commerce, agriculture, including ponds; dwelling units; stalls and shops; miscellaneous structures, such as fences, wells, trees with commercial value; etc.) located inside the PRW were identified, measured. The owners of those properties were identified, and their replacement values were also calculated. Likewise, the severity of impact on the affected assets and to the livelihood and productive capacity of AHs were determined. Photographs of the affected assets along with the AHs had also been taken. Also, information on the members of the AHs, sources of livelihood, income level, and ownership of productive assets had been gathered. The impacts survey and census of AHs were conducted from September 2013 to January 2014.

(1) Data Gathering Instrument

The basic tool used in the IOL and census of AHs was the survey questionnaire. Detailed socio-economic information on AHs whose main structures (i.e., houses and shops excluding government buildings) will be partially or entirely affected was obtained with the use of the survey questionnaire in Khmer. The questionnaire covered concerns on socio-economic conditions of the AH, in addition to basic information on the household head, such as gender, age, educational attainment, and primary source of income. It also included the affected assets and income, and their perception on the Project (see Appendix 16-4: Inventory of Loss and Socio-Economic Survey Questionnaire Form for a copy of the impact survey questionnaire).

(2) Survey Team

In addition to the Study Team leader (resettlement specialist), a recruited team of 46 local research assistants including one field survey coordinator, 3 field supervisors, 18 enumerators, 15 local assistants, 3 data entry clerks, one data developer, and 4 replacement cost (market rates) researchers, including one field team leader, was organized to help prepare this RAP. Except for the data developer, the rest of the local research assistants were based in the field. The survey team is divided into 3 IOL-SES survey groups and one RCS survey group. Each

IOL-SES survey group included one supervisor, 6 enumerators, 5 local assistant (for measuring), one data entry clerk and local authorities. Field data gathering for NR-5 (between Thlea Ma'am – Battambang and between Serei Sophorn – Poipet) commenced on 2nd September 2013 and was completed on 12th December 2013, while for Pursat Bypass it was studied from 30th December 2013 until 15th January 2013. The research team was accompanied by commune or village officials during their data gathering activities.

(3) Setting of the Cut-off Date

The IOL and census of AHs were preceded by a series of public consultation meetings in commune centres along NR-5 and Pursat Bypass area. Among others, the purpose of the public meetings was to brief the local population about the Project background, activities of the survey team, the policy of JICA and the Cambodian government on involuntary resettlement for the NR-5 Project, including the policy requirement on the cut-off date. The local people were informed that the cut-off date is the first day of holding the IOL and census of the AHs, which was on 2nd September 2013 for the exiting NR-5 and on 30th December 2013 for Pursat Bypass.

(4) Basic Unit Costs Used in the Resettlement Plan

In line with the IOL activities, an RCS of affected assets in the Project area was carried out by the research team which was leaded by a local resettlement/architecture specialist. The main objective of the RCS is to determine the rate of land prices based on actual transaction records of the affected areas, of affected main and secondary structures, and of fruit trees, trees and crops. Based on the results of RCS, the AHs will receive compensation at replacement cost (reflecting market price) from RGC for their loss of land and property due to the Project.

The methodology employed in the RCS included the following:

- (i) Sale/Market comparison method: This method is based on data provided from recent sales of properties that are highly comparable to the subject property in the vicinity. The method is very useful for cost calculation of structure, land, crops and trees.
- (ii) Contingent valuation method: Survey based on willingness to accept (WTA) and/or willingness to pay (WTP). This method was used for land price estimation because of land transactions at the project area are minimal in 2013.
- (iii) Income approach: Sum of stream of incomes and sales proceeds. The principle here is that the value of a property is related to its ability to produce cash flow. The technique relies heavily on current market transactions involving the sale of comparable properties. This method was used for estimating the prices of crops and tree, particularly to calculate the compensation rates for temporary impact of agricultural land.
- (iv) Replace cost approach: This method was useful for structure cost calculation. The value of a structure is based on the current cost for building the concerned structure and labor cost. For this study, the value of structure and labor cost are derived from the current cost based on market price without depreciation.

(a) Unit Costs of Land

The affected private lands were divided into 5 main categories: rice field, orchard, flooded, residential and commercial lands. The way to obtain data on market rates is to gather data on recent land sales, however sale cost recording could not be found at/around the Project area. Therefore, data of recent sales were collected by direct interviews with (i) land owners at/around the Project area who are both AHs and non-AHs, and (ii) local authorities at/around the Project area. Per results of the RCS, the unit costs of land covered with recognized proofs of ownership, structures, crops, perennials, and timber trees in districts and communes traversed by the Project road are provided.

(b) Unit Costs of Structures

The houses/structures affected by the Project have been categorized into two main groups – house/dwelling and other structures. The methodology employed for costing house/structures were composed of quantity survey and detailed measurement of the component parts of each structure. Labour costs were also assessed at market prices for the structure as a whole based on the information provided by local building contractors at the survey areas.

Although there are 4 main standard categories, some subcategories were introduced based on actual materials in each category. As a result of the survey, a total of 23 categories were identified in the Project area. The unit prices of a typical structure for each category are provided.

Other structures such as wells and fences, and cultural assets such as stupa (Chedey), have to be compensated at their market price, and the results of the specific rates of structures are provided.

(c) Unit Costs of Crops and Trees

The primary data was collected through interviews on the income at which owners/cultivators of crops and trees at the Project area. The market rates of crops and trees have been calculated based on the yield and the period of maturity of trees and crops as determined from interviews with farmers along NR-5.

The formula used for fruit trees is as follows: (Number/Quantity of harvest per year) x (Market price) x (Number of years it will mature) + cost of seedling

In order to simplify the study, perennial trees that have a growth period of more than five years have been classified in to the following three types:

- ✓ Sapling tree (1-3 years), as it can replanted ; 1/3 of full price,
- ✓ Young tree (3-5 years), bearing some fruit (2/3 of full price); 2/3 of full price,
- ✓ Mature tree (more than five years), fully bearing fruit; compensate full price.

According to the survey, there are some trees that have a growth period of less than five years. Trees are also equivalent to full compensation cost if mature. Otherwise, their compensation value is their cost as a sapling tree or as a young tree.

16.3.2 Inventory of Affected Assets

(1) Land

The inventory of affected land (PRW: 20 m - 20 m) on both sides from the centreline of the road) in ROW (30 m - 30 m) of NR-5 was not performed since the ROW is public state land. It will not be compensated by the Project for the affected area (20 m - 20 m). Nevertheless, the survey team also determined the categories of the land occupants or users, and if the affected lands are accompanied with immovable assets such as trees, houses, shops and/or other structures. The landless households were also considered.

There were instances when the survey team could not complete their interviews with the AHs because the owners of the affected houses and shops were either closed or unattended during the survey. In such case, the survey team was only able to estimate the area of ROW lands used for residential or commercial purposes (i.e., footprint of the structures), and those that are fenced. These estimates will be validated and corrected as necessary during the updating of the RAP, with the assistance of commune officials who will also sit as members of the Provincial Resettlement Sub-committee-Working Group (PRSC-WG), the main resettlement body that is tasked to carry out the DMS.

A total of 296,069.07 m² of land will be required for the construction of the Pursat bypasses. Of these, 90.87% (269,026.84 m²) is used for growing rice, 5,962.56 m² is commercial land and 21,079.67 m² is residential land. Table 16.3-2 shows the affected land area and the number of owners identified as AHs.

| Pursat | Rice Field | | Commercial | | House Plot/ Home Garden | | |
|-------------------|------------|----------------|------------|----------------|----------------------------|----------------|--|
| province | AH | m ² | AH | m ² | AH | m ² | |
| Kandieng district | 34 | 42,202.20 | 0 | 0.00 | 14 | 4,395.54 | |
| Pursat city | 148 | 226,824.64 | 2 | 5,962.56 | 49 | 16,684.13 | |
| Total | 182 | 269,026.84 | 2 | 5,962.56 | 63 | 21,079.67 | |

Table 16.3-2Number of Affected Households who will lose their Private Lands
(due to Pursat Bypasses)

Data source: Project Survey conducted from September 2013 to January 2014

(2) Main Structures

A total of 819 AHs along NR-5 and the Pursat bypass, whose main structures (house, house-shop and/or shop/restaurant) will be affected by the Project. Of the 819 AHs, 802 AHs are residing along NR-5, and 17 AHs residing along the bypasses.

| Type of Use | | | | | | | | |
|-------------|----------|------------------|-------|----------------|---------------------|-----------|---------------------|-------|
| Road | | | | AHs A | ccording to | Type of S | tructure | |
| section | Province | District | House | House-S hop | Shop/ Restaurant | Shelter | Other Structures | Total |
| | | Moung Reussei | 104 | 70 | 2 | 242 | 64 | 482 |
| | ВТВ | Sangke | 23 | 40 | 1 | 101 | 12 | 177 |
| - | | Subtotal (BTB) | 127 | 110 | 3 | 343 | 76 | 659 |
| | | Bakan | 148 | 81 | 4 | 299 | 53 | 585 |
| | PST | Krakor | 6 | 2 | 0 | 15 | 0 | 23 |
| NR-5 | 191 | Pursat | 10 | 2 | 0 | 16 | 5 | 33 |
| - | | Subtotal (PST) | 164 | 85 | 4 | 330 | 58 | 641 |
| | | Ou Chrov | 108 | 41 | 0 | 58 | 15 | 222 |
| | BMCH | Poipet | 130 | 29 | 1 | 88 | 36 | 284 |
| | | Subtotal (BMCH) | 238 | 70 | 1 | 146 | 51 | 506 |
| | Т | Cotal (NR-5) | 529 | 265 | 8 | 819 | 185 | 1,806 |
| | PST | Kandieng | 7 | 0 | 0 | 2 | 0 | 9 |
| Bypass | | Pursat | 9 | 0 | 1 | 1 | 3 | 14 |
| | To | tal (Bypasses) | 16 | 0 | 1 | 3 | 3 | 23 |
| | | Moung Reussei | 104 | 70 | 2 | 242 | 64 | 482 |
| | BTB | Sangke | 23 | 40 | 1 | 101 | 12 | 177 |
| | | Subtotal (BTB) | 127 | 110 | 3 | 343 | 76 | 659 |
| | | Bakan | 148 | 81 | 4 | 299 | 53 | 585 |
| | | Krakor | 6 | 2 | 0 | 15 | 0 | 23 |
| Total | PST | Pursat | 19 | 2 | 1 | 17 | 8 | 47 |
| Total | | Kandieng | 7 | 0 | 0 | 2 | 0 | 9 |
| | | Subtotal (PST) | 180 | 85 | 5 | 333 | 61 | 664 |
| | | Ou Chrov | 108 | 41 | 0 | 58 | 15 | 222 |
| | BMCH | Poipet | 130 | 29 | 1 | 88 | 36 | 284 |
| | | Subtotal (BMCH) | 238 | 70 | 1 | 146 | 51 | 506 |
| | Tota | al (the Project) | 545 | 265 | 9 | 822 | 188 | 1,829 |

Table 16.3-3 Number of Affected Households who will lose their Main Structures according to

Data source: Project Survey conducted from September 2013 to January 2014

| Table 16.3-4 | Floor Area (in m ²) of Affected Main Structures by Type of Materials |
|--------------|--|
|--------------|--|

| Type of Structure (m ²) | House | House/ Shop | Kitchen | Bath room | Grange/ Storage | Shop/ Restaurant | Craft/ Workshop | Stall / Market stall | Other | Total |
|---|--------|----------------|---------|--------------|--------------------|---------------------|--------------------|----------------------------|--------|----------|
| 1C | 60.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 16.00 | 0.00 | 76.00 |
| 1D | 51.00 | 20.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 71.00 |
| 2A | 63.00 | 0.00 | 13.00 | 0.00 | 0.00 | 0.00 | 19.25 | 70.20 | 24.60 | 190.05 |
| 2B | 485.99 | 424.55 | 0.00 | 0.00 | 0.00 | 30.10 | 135.27 | 1,452.47 | 226.30 | 2,754.68 |
| 2C | 263.85 | 242.98 | 0.00 | 0.00 | 0.00 | 0.00 | 163.59 | 772.34 | 89.10 | 1,531.86 |
| 2D | 125.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 44.00 | 0.00 | 169.00 |
| 2E | 9.00 | 49.20 | 0.00 | 0.00 | 0.00 | 0.00 | 30.00 | 142.06 | 22.50 | 252.76 |
| 2F | 12.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 47.00 | 0.00 | 59.00 |

Preparatory Survey for National Road No.5 Improvement Project (Middle Section: Thlea Ma'am – Battambang, and Sri Sophorn – Poipet)

| Type of Structure (m ²) | House | House/ Shop | Kitchen | Bath room | Grange/ Storage | Shop/ Restaurant | Craft/ Workshop | Stall / Market stall | Other | Total |
|---|----------|----------------|---------|--------------|--------------------|---------------------|--------------------|----------------------------|-----------|-----------|
| 2G | 3,516.58 | 2,518.5 1 | 58.98 | 15.50 | 37.00 | 110.56 | 642.48 | 6,214.40 | 1,648.84 | 14,762.85 |
| 2H | 59.62 | 22.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 173.50 | 0.00 | 255.12 |
| 21 | 5,978.63 | 2,845.6 9 | 14.28 | 2.55 | 206.23 | 104.56 | 242.99 | 6,107.20 | 293.97 | 15,796.10 |
| 2J | 2,486.03 | 860.20 | 45.24 | 22.77 | 142.57 | 91.53 | 87.00 | 1,364.25 | 895.60 | 5,995.19 |
| 2L | 618.94 | 87.40 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 706.34 |
| 3B | 308.08 | 33.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 60.85 | 0.00 | 401.93 |
| 3C | 74.50 | 0.00 | 0.00 | 3.00 | 9.50 | 0.00 | 32.02 | 11.20 | 0.00 | 130.22 |
| 3D | 414.23 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 414.23 |
| 4A | 253.73 | 18.90 | 0.00 | 0.00 | 0.00 | 23.56 | 0.00 | 0.00 | 27.75 | 323.94 |
| 4B | 122.49 | 4.80 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 8.12 | 0.00 | 135.41 |
| 4C | 35.20 | 19.68 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 54.88 |
| S1 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 177.70 | 60.00 | 30.60 | 268.30 |
| S2 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 47.50 | 0.00 | 7.08 | 54.58 |
| S3 | 26.02 | 42.88 | 10.00 | 0.00 | 0.00 | 0.00 | 1,091.01 | 696.55 | 2,844.12 | 4,710.58 |
| S4 | 46.00 | 86.80 | 29.00 | 0.00 | 33.00 | 0.00 | 2,357.83 | 1,151.62 | 14,106.60 | 17,810.85 |

Data source: Project Survey conducted from September 2013 to January 2014

| Table 16.3-5 | Other Structures |
|--------------|-------------------------|
|--------------|-------------------------|

| Items | Unit | Total |
|---------------------------------------|----------------|--------|
| Concrete Well | set | 11 |
| Pump Well | set | 13 |
| Cement Mortar | m ² | 32,824 |
| Carrolage | m ² | 1,965 |
| Concrete Mortar | m ² | 765 |
| Concrete Road | m ² | 83 |
| Vehicle washing place | set | 14 |
| Separated Toilet | set | 35 |
| Culvert | m | 97 |
| Wooden Bridge | m ² | 98 |
| FENCE | | |
| Timber post with bamboo | lm | 30 |
| Timber post with wire | lm | 1,945 |
| Concrete post with wire | lm | 695 |
| Brick Wall, 100 mm | lm | 2,456 |
| Brick Wall, 200 mm | lm | 1,002 |
| PETROL STATION | | |
| Petrol Measure | set | 19 |
| Fuel Tank (10,000L) | set | 2 |
| GRAVE/STUPA/CHEDEY | | |
| Concrete grave | set | 1 |
| Chedey/Stupa (7.0 m x 4.0 m x 4.0 m) | set | 2 |
| Spirit house with support-leg (small) | set | 5 |

Data source: Project Survey conducted from September 2013 to January 2014

(3) Affected Crops and Trees

The start of civil works and the cropping schedule of AHs cultivating within the ROW and bypass will be synchronized to allow smooth transition between harvesting of standing crops and the start of road construction in a particular section of the Project road. Therefore, standing crops will not be affected if those can be harvested before road construction, but if they cannot be harvested before road construction, the unharvested crops will be compensated at replacement cost. However, fruit or timber trees along the PRW will be cleared for the road construction. With regard, there were a total of 3,783 fruit and timber trees with various species and ages along the existing NR-5 middle section and the Pursat bypasses have been counted during the IOL. The affected fruit and timber trees are not commercially grown, meaning they are sporadically planted.

| No. | Type of Tree | Unit | Number | |
|-----|-------------------|---------|--------|--|
| 1 | Bamboo | Thicket | 31 | |
| 2 | Banana | Tree | 1,412 | |
| 3 | Coconut | Tree | 313 | |
| 4 | Tamarind | Tree | 40 | |
| 5 | Chan Kiri | Tree | 194 | |
| 6 | Sapodilla | Tree | 16 | |
| 7 | Kantuot | Tree | 22 | |
| 8 | Kamping Reach | Tree | 35 | |
| 9 | Khvet | Tree | 27 | |
| 10 | Jack Fruit | Tree | 126 | |
| 11 | Krasaing | Tree | 10 | |
| 12 | Korki | Tree | 11 | |
| 13 | Pring | Tree | 97 | |
| 14 | Jujube | Tree | 12 | |
| 15 | Mkak | Tree | 9 | |
| 16 | Longan | Tree | 16 | |
| 17 | Sdau | Tree | 9 | |
| 18 | Orange | Tree | 26 | |
| 19 | Grapefruit | Tree | 15 | |
| 20 | Custard apple | Tree | 105 | |
| 21 | Sour sop | Tree | 23 | |
| 22 | Guava | Tree | 100 | |
| 23 | Teuk Dos Kou | Tree | 53 | |
| 24 | Acacia/Eucalyptus | Tree | 1,067 | |
| 25 | Lemon | Tree | 11 | |
| 26 | Mango | Tree | 1,166 | |
| 27 | Papaya | Tree | 191 | |
| 28 | Sugar Palm | Tree | 221 | |
| 29 | Cashew | Tree | 70 | |
| 30 | Other | Tree | 364 | |

 Table 16.3-6
 Affected Trees

Data source: Project Survey conducted from September 2013 to January 2014

16.3.3 Impact on Vulnerable Households

The AHs are more vulnerable to impoverishment caused by involuntary resettlement are the poor (i.e. under the national poverty line: income<20\$ per month/person), households headed by women, elderly, disabled without support mechanisms and landless households. The households falling within these groups were identified during the IOL will be updated at the time of DSM. They will get special cash assistance is needed to help them. The IOL result showed that there are 421 AHs with a total of 499 vulnerability factors (see Table 16.3-7). The additional special cash assistance for vulnerable AHs is \$100.00 per vulnerable AH.

| | Table 10.3-7 | vumerable ra | ictors and | u vumerau | ne Ans (VF | 115) | |
|----------|------------------|--------------|------------|------------|------------|------|---------|
| Province | District | Aged ≥60 Yrs | Widow | Disabled | Landless | Poor | VAHs |
| | Moung Reussei | 62 | 26 | 4 | 11 | 4 | 90 |
| BTB | Sangke | 12 | 11 | 0 | 3 | 1 | 24 |
| | Sub-total (BTB) | 74 | 37 | 4 | 14 | 5 | 114 |
| | Bakan | 66 | 43 | 7 | 37 | 1 | 128 |
| | Kandieng | 15 | 5 | 0 | 0 | 0 | 18 |
| PST | Krakor | 2 | 2 | 0 | 1 | 0 | 5 |
| | Pursat | 33 | 19 | 2 | 1 | 4 | 47 |
| | Sub-total (PST) | 116 | 69 | 9 | 39 | 5 | 198 |
| | Ou Chrov | 28 | 14 | 4 | 17 | 1 | 53 |
| BMCH | Poipet | 23 | 13 | 4 | 22 | 1 | 56 |
| | Sub-total (BMCH) | 51 | 27 | 8 | 39 | 2 | 109 |
| TO | TOTAL (Project) | | 133 | 21 | 92 | 12 | 421 |
| TO | | | 4 | 99 factors | | | 421 AHs |

 Table 16.3-7
 Vulnerable Factors and Vulnerable AHs (VAHs)

Data source: Project Survey conducted from September 2013 to January 2014

16.4 Affected Public Assets

The Project also will affect on some public assets along NR-5 and Pursat bypass. The resettlement cost of the affected public assets is calculated and combined into the resettlement cost budget for the project.

16.5 Socio-Economic Profile of the Affected Households

An SES of AHs was also conducted at the same time of IOL survey. Most AHs, losing partially or entirely their assets such as structures, lands and/or trees, were interviewed for the purpose of gaining more information on their situation and present living standards. This activity was carried out aiming to prepare a more responsive RAP for people and households affected by the Project. Since there were instances when the AHs were unattended to during the survey, only 1,611 AHs along the existing NR-5 and the bypass have been interviewed. The number of AHs interviewed represented 66.52% of all AHs (2,422 AHs).

The main objective of the SES is to create baseline survey by collecting accurate statistical

information about living standard of the AHs. The topics are investigated in the survey were basic demography, literacy and education, economically active population, housing condition, possession of durable goods and livestock, household expenditure and income. Additionally, the survey was also directed to studying the perception of AHs on the Project.

16.5.1 Population and Household Composition

The total number of studied households is 1,611, which is composed of a population of 7,772. The population is comprised of 3,900 (50.2%) females and 3,872 (49.8%) males. Table 16.5-1 shows the details of population, sex ratio, as well as household size of the three provinces. An average household size is 4.8 and sex ratio is 99.3.

| Stratum | Number of | Average HH Size | D (I | Male | | Female | | Sex Ratio* |
|----------------|------------|--------------------|-------|-------|------|--------|------|------------|
| | Households | nn Size | Both | No. | % | No. | % | |
| Project Survey | 1,611 | 4.8 | 7,772 | 3,872 | 49.8 | 3,900 | 50.2 | 99.3 |
| ВМСН | 372 | 4.8 | 1,770 | 912 | 51.5 | 858 | 48.5 | 106.3 |
| втв | 531 | 4.7 | 2,471 | 1,225 | 49.6 | 1246 | 50.4 | 98.3 |
| PST | 708 | 5.0 | 3,531 | 1,735 | 49.1 | 1796 | 50.9 | 96.6 |

 Table 16.5-1
 Population and Household Composition

Data source: Project Survey conducted from September 2013 to January 2014. *Sex Ratio = $(Number of male) / (Number of female) \times 100(\%)$.

16.5.2 Age Structure and Dependency

The survey results for the age-sex distribution of the affected commune are set out in Table 16.5-2. This entry provides the distribution of the population according to age. Information is included by sex and age group (0-13 years, 14-60 years, 60 years and over). The age structure of a population affects a nation's key socioeconomic issues. They indicate a young population, with about 34.8% under 18 years old. With young populations (high percentage under age 18) need to invest more in schools, while with older populations (high percentage ages 60 and over) need to invest more in the health sector.

| | | | | | | <u> </u> | | | | | | |
|---------|----|----------|-----|------|-------|----------|-----|------|-------|------|-----|-----|
| Stratum | Po | pulation | 0-5 | | 6- | 6-13 | | -18 | 19- | 60 | 6 | 0+ |
| | | | No. | % | No. | % | No. | % | No. | % | No. | % |
| Ducient | Μ | 3,872 | 460 | 11.9 | 582 | 15.0 | 373 | 9.6 | 2,247 | 58.0 | 210 | 5.4 |
| Project | F | 3,900 | 410 | 10.5 | 527 | 13.5 | 347 | 8.9 | 2,321 | 59.5 | 295 | 7.6 |
| Survey | Т | 7,772 | 870 | 11.2 | 1,109 | 14.3 | 720 | 9.3 | 4,568 | 58.8 | 505 | 6.5 |
| | Μ | 912 | 112 | 12.3 | 145 | 15.9 | 104 | 11.4 | 513 | 56.3 | 38 | 4.2 |
| BMCH | F | 858 | 83 | 9.7 | 115 | 13.4 | 77 | 9.0 | 523 | 61.0 | 60 | 7.0 |
| | Т | 1,770 | 195 | 11.0 | 260 | 14.7 | 181 | 10.2 | 1,036 | 58.5 | 98 | 5.5 |
| ртр | Μ | 1,225 | 148 | 12.1 | 200 | 16.3 | 121 | 9.9 | 685 | 55.9 | 71 | 5.8 |
| BTB | F | 1,246 | 147 | 11.8 | 179 | 14.4 | 110 | 8.8 | 723 | 58.0 | 87 | 7.0 |

 Table 16.5-2
 Age-Sex Distribution

Preparatory Survey for National Road No.5 Improvement Project (Middle Section: Thlea Ma'am – Battambang, and Sri Sophorn – Poipet)

| | Stratum | Population | | Population 0-5 | | 6-13 | | 14-18 | | 19-60 | | 60+ | |
|---|---------|------------|-------|----------------|------|------|------|-------|-----|-------|------|-----|-----|
| | | | | No. | % | No. | % | No. | % | No. | % | No. | % |
| | | Т | 2,471 | 295 | 11.9 | 379 | 15.3 | 231 | 9.3 | 1,408 | 57.0 | 158 | 6.4 |
| | | М | 1,735 | 200 | 11.5 | 237 | 13.7 | 148 | 8.5 | 1,049 | 60.5 | 101 | 5.8 |
|] | PST | F | 1,796 | 180 | 10.0 | 233 | 13.0 | 160 | 8.9 | 1,075 | 59.9 | 148 | 8.2 |
| | | Т | 3,531 | 380 | 10.8 | 470 | 13.3 | 308 | 8.7 | 2,124 | 60.2 | 249 | 7.1 |

Data source: Project Survey conducted from September 2013 to January 2014.

The dependency ratio used to measure the proportion of children (below 15 years) and old people (from 65 years and over) compared to the proportion of people of workforce age (15-64 years). The age dependency ratio is defined as the ratio of the sum of the population below 15 years and population from 65 years taken together divided by the active population between the age groups of 15 to 64 years. The age dependency ratio is a summary indicator that indicates the burden falling on the population of working age.

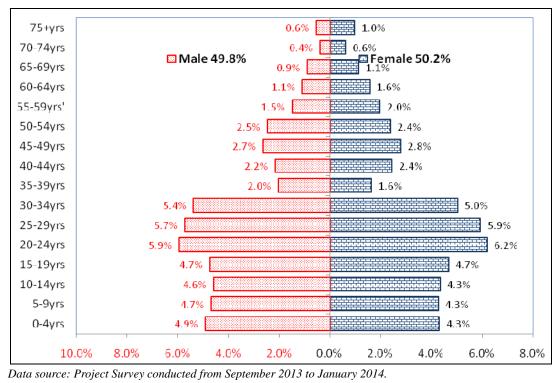
The age composition in Table 16.5-3 shows that 68.3% of the population was aged between 15-64 years. 27.1% was below 15 years and 4.6% was 65 years and over. The Table 16.5-3 also provided detail about youth dependency ratio (39.6%) and old age dependency ration (6.7%). The total dependency ratio is 46.3%. This means there were 46.3 persons outside the usual working age group for every 100 persons in the age group 15-64 years who are economically dependent for economic support.

| Don | wlation | Belo | w 15 | 15- | ·64 | 65 | 5+ | Dej | pendency R | atio | | |
|-------------|--|---|---|---|---|--|---|---|--|--|--|--|
| 1 opulation | | No. | % | No. | % | No. | % | Youth | Old Age | Total | | |
| М | 3,872 | 1,104 | 28.5 | 2,624 | 67.8 | 144 | 3.7 | | | 46.3% | | |
| F | 3,900 | 1,002 | 25.7 | 2,688 | 68.9 | 210 | 5.4 | 39.6% | 6.7% | | | |
| Т | 7,772 | 2,106 | 27.1 | 5,312 | 68.3 | 354 | 4.6 | - | | | | |
| М | 912 | 275 | 30.2 | 612 | 67.1 | 25 | 2.7 | | 5.9% | | | |
| F | 858 | 210 | 24.5 | 601 | 70.0 | 47 | 5.5 | 40.0% | | 45.9% | | |
| Т | 1,770 | 485 | 27.4 | 1,213 | 68.5 | 72 | 4.1 | | | | | |
| М | 1,225 | 365 | 29.8 | 810 | 66.1 | 50 | 4.1 | | | | | |
| F | 1,246 | 348 | 27.9 | 834 | 66.9 | 64 | 5.1 | 43.4% | 6.9% | 50.3% | | |
| Т | 2,471 | 713 | 28.9 | 1,644 | 66.5 | 114 | 4.6 | - | | | | |
| М | 1,735 | 464 | 26.7 | 1,202 | 69.3 | 69 | 4.0 | | | | | |
| F | 1,796 | 444 | 24.7 | 1,253 | 69.8 | 99 | 5.5 | 37.0% | 6.8% | 43.8% | | |
| Т | 3,531 | 908 | 25.7 | 2,455 | 69.5 | 168 | 4.8 | | | | | |
| | M F T M F T M F T M F F | F 3,900 T 7,772 M 912 F 858 T 1,770 M 1,225 F 1,246 T 2,471 M 1,735 F 1,796 | Population No. M 3,872 1,104 F 3,900 1,002 T 7,772 2,106 M 912 275 F 858 210 T 1,770 485 M 1,225 365 F 1,246 348 T 2,471 713 M 1,735 464 F 1,796 4444 | Below 15 No. % M 3,872 1,104 28.5 F 3,900 1,002 25.7 T 7,772 2,106 27.1 M 912 275 30.2 F 858 210 24.5 T 1,770 485 27.4 M 1,225 365 29.8 F 1,246 348 27.9 T 2,471 713 28.9 M 1,735 464 26.7 F 1,796 444 24.7 | Below 15 15- No. % No. M 3,872 1,104 28.5 2,624 F 3,900 1,002 25.7 2,688 T 7,772 2,106 27.1 5,312 M 912 275 30.2 612 F 858 210 24.5 601 T 1,770 485 27.4 1,213 M 1,225 365 29.8 810 F 1,246 348 27.9 834 T 2,471 713 28.9 1,644 M 1,735 464 26.7 1,202 F 1,796 444 24.7 1,253 | Below 15 15-64 No. % No. % M 3,872 1,104 28.5 2,624 67.8 F 3,900 1,002 25.7 2,688 68.9 T 7,772 2,106 27.1 5,312 68.3 M 912 275 30.2 612 67.1 F 858 210 24.5 601 70.0 T 1,770 485 27.4 1,213 68.5 M 1,225 365 29.8 810 66.1 F 1,246 348 27.9 834 66.9 T 2,471 713 28.9 1,644 66.5 M 1,735 464 26.7 1,202 69.3 F 1,796 444 24.7 1,253 69.8 | Below 1515-6465No.%No.%No.M $3,872$ $1,104$ 28.5 $2,624$ 67.8 144 F $3,900$ $1,002$ 25.7 $2,688$ 68.9 210 T $7,772$ $2,106$ 27.1 $5,312$ 68.3 354 M 912 275 30.2 612 67.1 255 F 858 210 24.5 601 70.0 47 T $1,770$ 485 27.4 $1,213$ 68.5 72 M $1,225$ 365 29.8 810 66.1 50 F $1,246$ 348 27.9 834 66.9 64 T $2,471$ 713 28.9 $1,644$ 66.5 114 M $1,735$ 464 26.7 $1,202$ 69.3 69 F $1,796$ 444 24.7 $1,253$ 69.8 99 | Below 1515-6465-7PopulationRelow 1515-6465-7No.%No.%No.M $3,872$ $1,104$ 28.5 $2,624$ 67.8 144 3.7 F $3,900$ $1,002$ 25.7 $2,688$ 68.9 210 5.4 T $7,772$ $2,106$ 27.1 $5,312$ 68.3 354 4.6 M 912 275 30.2 612 67.1 25 2.7 F 858 210 24.5 601 70.0 47 5.5 T $1,770$ 485 27.4 $1,213$ 68.5 72 4.1 M $1,225$ 365 29.8 810 66.1 50 4.1 F $1,246$ 348 27.9 834 66.9 64 5.1 T $2,471$ 713 28.9 $1,644$ 66.5 114 4.6 M $1,735$ 464 26.7 $1,202$ 69.3 69 4.0 F $1,796$ 444 24.7 $1,253$ 69.8 99 5.5 | Below 1515-61 $65 + 7$ DepNo.%No.%No.%YouthM3,8721,10428.52,62467.81443.7F3,9001,00225.72,68868.92105.439.6%T7,7722,10627.15,31268.33544.6M91227530.261267.1252.7F85821024.560170.0475.540.0%T1,77048527.41,21368.5724.1M1,22536529.881066.1504.1F1,24634827.983466.9645.143.4%T2,47171328.91,64466.51144.6M1,73546426.71,20269.3694.0F1,79644424.71,25369.8995.537.0% | PopulationBelow 1515-6465+Dependency RNo.%No.%No.%YouthOld AgeM $3,872$ $1,104$ 28.5 $2,624$ 67.8 144 3.7 F $3,900$ $1,002$ 25.7 $2,688$ 68.9 210 5.4 39.6% 6.7% T $7,772$ $2,106$ 27.1 $5,312$ 68.3 354 4.6 6.7% M 912 275 30.2 612 67.1 25 2.7 2.7 F 858 210 24.5 601 70.0 47 5.5 40.0% 5.9% T $1,770$ 485 27.4 $1,213$ 68.5 72 4.1 $4.3.4\%$ 6.9% M $1,225$ 365 29.8 810 66.1 50 4.1 43.4% 6.9% T $2,471$ 713 28.9 $1,644$ 66.5 114 4.6 M $1,735$ 464 26.7 $1,202$ 69.3 69 4.0 4.0% F $1,796$ 4444 24.7 $1,253$ 69.8 99 5.5 37.0% 6.8% | | |

 Table 16.5-3
 Age Composition and Dependency Ratio

Data source: Project Survey conducted from September 2013 to January 2014.

Although not significant statistically, the age-sex figures are valuable in demonstrating that this is, comparatively, an ageing population, with a predominantly middle-aged population with a bulge in the 20-24, 25-29 and 30-34 age group (12.1%, 11.6% and 10.4% respectively) and a corresponding bulge in the 10-19 year old age group (18.3% of the population), while the youngest two age groups, 5-9 year old, have only 9.0%. The relevance of these statistics is the



likely higher impact on secondary school in-take in the project impact area than in the primary-school in-take.

Figure 16.5-1 Age-Sex Figures

16.5.3 Marital Status

For classifying the marital status, 4 categories were used such as single (never married), currently married, divorced/separate and widowed. The currently married group included person who were living together whether or not their marriage had legal status. Although marital status information was collected for all age groups, it is useful to present data for the population aged 15 years and over only. For both sexes, 34.3% they are never married, 57.7% were currently married, 5.8% were widowed and 2.2% were divorced or separated.

 Table 16.5-4
 Marital Status for Both Sexes by Age Group

| | | Sing | do | Mar | ried | Divorced | /separate | Wido | wod |
|-------------|-------|-------|------|-----|----------|----------|-----------|------|------|
| Age Group | Pop. | No. | % | No. | <u>%</u> | No. | % | No. | % |
| 15+ yrs | 5,666 | 1,942 | | | 57.7 | 123 | 2.2 | 331 | 5.8 |
| 15 – 19 yrs | 731 | 701 | 95.9 | 29 | 4.0 | 0 | 0.0 | 1 | 0.1 |
| 20 - 24 yrs | 943 | 684 | 72.5 | 246 | 26.1 | 8 | 0.8 | 5 | 0.5 |
| 25 - 29 yrs | 906 | 365 | 40.3 | 522 | 57.6 | 14 | 1.5 | 5 | 0.6 |
| 30 - 34 yrs | 810 | 134 | 16.5 | 636 | 78.5 | 31 | 3.8 | 9 | 1.1 |
| 35 - 39 yrs | 286 | 16 | 5.6 | 260 | 90.9 | 3 | 1.0 | 7 | 2.4 |
| 40 - 44 yrs | 359 | 10 | 2.8 | 322 | 89.7 | 13 | 3.6 | 14 | 3.9 |
| 45 - 49 yrs | 422 | 14 | 3.3 | 385 | 91.2 | 9 | 2.1 | 14 | 3.3 |
| 50 - 54 yrs | 377 | 4 | 1.1 | 320 | 84.9 | 16 | 4.2 | 37 | 9.8 |
| 55 - 59 yrs | 270 | 6 | 2.2 | 212 | 78.5 | 12 | 4.4 | 40 | 14.8 |
| 60 - 64 yrs | 208 | 1 | 0.5 | 156 | 75.0 | 4 | 1.9 | 47 | 22.6 |

Preparatory Survey for National Road No.5 Improvement Project (*Middle Section: Thlea Ma'am – Battambang, and Sri Sophorn – Poipet*)

| | Dom | Single | | Married | | Divorced | /separate | Widowed | |
|-------------|----------------|--------|-----|---------|------|----------|-----------|---------|------|
| Age Group | Age Group Pop. | No. | % | No. | % | No. | % | No. | % |
| 65 - 69 yrs | 158 | 4 | 2.5 | 103 | 65.2 | 4 | 2.5 | 47 | 29.7 |
| 70 - 74 yrs | 78 | 2 | 2.6 | 37 | 47.4 | 2 | 2.6 | 37 | 47.4 |
| 75+ yrs | 118 | 1 | 0.8 | 42 | 35.6 | 7 | 5.9 | 68 | 57.6 |

Data source: Project Survey conducted from September 2013 to January 2014.

16.5.4 Ethnic Group and Religion

99.3% of affected household heads are Khmer and Khmer speaking, while only 0.7% are minority ethnic Cham, who are legally registered as Cambodian citizens. They live and work as the Cambodians and they are not vulnerable in terms of their livelihood.

Table 16.5-5 First Language and Ethnic Group of Household Heads

| | | Mother tongue and Ethnic Group | | | | | | | | |
|---------|---------|--------------------------------|------|------|-----|--|--|--|--|--|
| Stratum | No. H/H | KI | nmer | Cham | | | | | | |
| | | No. | % | No. | % | | | | | |
| Project | 1,611 | 1,599 | 99.3 | 12 | 0.7 | | | | | |
| BMCH | 372 | 368 | 98.9 | 4 | 1.1 | | | | | |
| BTB | 531 | 528 | 99.4 | 3 | 0.6 | | | | | |
| PST | 708 | 703 | 99.3 | 5 | 0.7 | | | | | |

Data source: Project Survey conducted from September 2013 to January 2014.

Buddhism has been the dominant religion in Cambodia, in one form or another, since the reign of Jayavarman VII (c. 1181-1200). In Cambodia is currently estimated to be the faith of 95% of the population, but through the survey results it was found up to 99.3% is Buddhism and only 0.7% is Muslim.

| | | Mother tongue and Ethnic Group | | | | | | | |
|---------|---------|--------------------------------|-------|--------|-----|--|--|--|--|
| Stratum | No. H/H | Bud | dhism | Muslim | | | | | |
| | | No. | % | No. | % | | | | |
| Project | 1,611 | 1,599 | 99.3 | 12 | 0.7 | | | | |
| BMCH | 372 | 368 | 98.9 | 4 | 1.1 | | | | |
| BTB | 531 | 528 | 99.4 | 3 | 0.6 | | | | |
| PST | 708 | 703 | 99.3 | 5 | 0.7 | | | | |

Table 16.5-6 Religion of Household Heads

Data source: Project Survey conducted from September 2013 to January 2014.

16.5.5 Vulnerable Groups

The study indicates to different type of vulnerable groups include elderly without supporting from youth, window and female-headed households, physically and mentally handicapped, landless and poor household which their income is under national poverty line. Table 16.5-7 reported that 8.2% of sample is widow and female household heads. Poor women heads of household are forced by necessity to increasingly take men's roles and responsibilities, due to absence of male labour and inability to hire adult male labour. Female-headed households are

indeed facing the double burden of taking care of the well-being of family members and other aspects compared to couple households.

Based on the survey results, an average percentage of each vulnerable factor in three different areas (BMCH, BTB and PST) of disabled household head, aged⁴ household head, household living below poverty line (<20\$/capita/month) and landless household is 1.3%, 14.9%, 0.7%, and 5.6% respectively.

| Stratum | Number | lber Aged (≥60 years)* | | Femal | e HHs | Disabled HHs | | Lan | dless | <usd 1<="" 20="" th=""><th colspan="2"><usd 20="" cap<="" month="" th=""></usd></th></usd> | <usd 20="" cap<="" month="" th=""></usd> | |
|-------------------|--------|------------------------|------|-------|-------|---------------------|-----|-----|-------|--|--|--|
| Stratum | of HHs | No. | % | No. | % | No. | % | No. | % | No. | % | |
| Project Survey | 1,611 | 240 | 14.9 | 132 | 8.2 | 21 | 1.3 | 91 | 5.6 | 12 | 0.7 | |
| BMCH | 372 | 51 | 13.7 | 27 | 7.3 | 8 | 2.2 | 39 | 10.5 | 2 | 0.5 | |
| BTB | 531 | 74 | 13.9 | 37 | 7.0 | 4 | 0.8 | 14 | 2.6 | 5 | 0.9 | |
| PST | 708 | 115 | 16.2 | 68 | 9.6 | 9 | 1.3 | 38 | 5.4 | 5 | 0.7 | |

| Table 16.5-7 Vu | Inerable Househ | old Head |
|-----------------|-----------------|----------|
|-----------------|-----------------|----------|

* "Aged" Vulnerable Household; HH head is older than 60 years old and with no other means of support. * No child-headed household was found in the project area.

Data source: Project Survey conducted from September 2013 to January 2014.

16.5.6 Literacy

(1) Literacy of the Affected Households' Heads and Spouses

The male household head literacy rates are 96.4% and female spouse literacy rates are 89.9%. There is a small gap between the literacy rates of male household heads and their spouses. Among 318 female household heads, there are only 252 (79.2%) of them are literacy. Women, in general, receive less education than men, especially for widows. The survey results show that female HH are, around 17%, less literate than male HH. Therefore, women enter the labour market with a lower education and less vocational skills than men. Even thought, they (men and women) work the same job and same quality of work, but sometimes women still get a salary less than men.

| Et au trans | Male AH Head | | | Fema | ale AH Hea | ad | Female Spouse | | | |
|-----------------------|--------------|-------|------|------|------------|------|---------------|-------|------|--|
| Stratum | # AH | Yes | % | # AH | Yes | % | # AH | Yes | % | |
| Project Survey | 1,293 | 1,247 | 96.4 | 318 | 252 | 79.2 | 1,232 | 1,107 | 89.9 | |
| ВМСН | 303 | 295 | 97.4 | 69 | 51 | 73.9 | 287 | 257 | 89.5 | |
| ВТВ | 410 | 393 | 95.9 | 121 | 100 | 82.6 | 390 | 353 | 86.1 | |
| PST | 580 | 559 | 96.4 | 128 | 101 | 78.9 | 555 | 497 | 89.5 | |

 Table 16.5-8
 Literacy of Affected Households' Heads and Spouses

Data source: Project Survey conducted from September 2013 to January 2014.

(2) Adult Literacy (age from 15 years and over)

Adult literacy rate is the percentage of the population aged 15 years and over who can both

⁴ Aged was defined as a person who is more than 60 years old and without young to support.

read and write a simple message in any language. The Table 16.5-9 presents an adult literacy rate of both male and female of 92.6% which is considerably high and the single literacy rate of male and female 96.6% and 88.8% respectively.

| Stratum | B | Both Sex | | | Male | | Female | | | |
|-----------------------|-------|----------|------|-------|-------|------|--------|-------|------|--|
| Stratum | Pop. | Yes | % | Pop. | Yes | % | Pop. | Yes | % | |
| Project Survey | 5,666 | 5,246 | 92.6 | 2,768 | 2,673 | 96.6 | 2,898 | 2,573 | 88.8 | |
| ВМСН | 1,285 | 1,188 | 92.5 | 637 | 618 | 97.0 | 648 | 570 | 88.0 | |
| ВТВ | 1,758 | 1,627 | 92.5 | 860 | 832 | 96.7 | 898 | 795 | 88.5 | |
| PST | 2,623 | 2,431 | 92.7 | 1,271 | 1,223 | 96.2 | 1,352 | 1,208 | 89.3 | |

 Table 16.5-9
 Adult Literacy (age from 15 years and over)

Data source: Project Survey conducted from September 2013 to January 2014.

16.5.7 Educational Attainment of the Population

Since 2000, education for all Cambodians has been re-energized by the world's commitment to the Millennium Development Goal (MDG). Based on its commitment toward the MDG, RGC, with assistance from its development partners and NGO communities, has made their efforts to develop a National Education Plan. Furthermore, the Ministry of Education, Youth and Sport has developed the Education for all policy documents. Cambodian MDG (Global MDG2) aims 'to ensure that by 2015, all children will be able to complete a full course of 9-year basic education'. (Source: Cambodia Millennium Development Goals Report November 2003).

| Stratum | Sex | None or Little | Primary Not Completed | Completed Primary Education % | Completed Lower Secondary Education % | Completed Upper Secondary Education % | Post- Secondary Education |
|--------------|--------|-------------------|-----------------------------|--|--|--|---------------------------------|
| D • 4 | Male | 10.1 | 23.2 | 24.5 | 21.4 | 12.7 | 8.1 |
| Project | Female | 16.0 | 28.9 | 24.7 | 16.3 | 8.7 | 5.4 |
| Survey | Both | 13.1 | 26.1 | 24.6 | 18.8 | 10.7 | 6.8 |
| | Male | 12.2 | 25.5 | 26.7 | 19.3 | 9.7 | 6.7 |
| BMCH | Female | 18.6 | 30.7 | 22.4 | 15.9 | 7.2 | 5.2 |
| | Both | 15.4 | 28.0 | 24.6 | 17.6 | 8.5 | 5.9 |
| | Male | 10.2 | 24.1 | 27.5 | 19.9 | 11.6 | 6.7 |
| BTB | Female | 16.1 | 30.1 | 26.7 | 16.3 | 6.3 | 4.5 |
| | Both | 13.2 | 27.1 | 27.1 | 18.1 | 8.9 | 5.6 |
| | Male | 8.8 | 21.4 | 21.2 | 23.6 | 15.0 | 9.9 |
| PST | Female | 14.7 | 27.1 | 24.5 | 16.4 | 11.1 | 6.2 |
| | Both | 11.8 | 24.3 | 22.9 | 19.9 | 13.0 | 8.0 |

 Table 16.5-10
 Education Attainment of Population Aged 5 Years and Over

Data source: Project Survey conducted from September 2013 to January 2014.

In the Project area, 13.1% of the population (both male and female) has no or little education. The difference of none and little education between sexes is more than one and half with 16.0% for female and 10.1% for male. Around 24.6% of education attainment for both male and female has at least completed primary education. As shown in Table 16.5-10, there are only 18.8% who have

completed lower secondary schooling, and 6.8% who have attended post-secondary education for both male and female. The gap between sexes increases for higher level of education nearly one and half, i.e. 8.1% of male have post-secondary education, compared to female, which is only 5.4%.

16.5.8 Current School Attendance

Information on school attendance was collected in respect of the population aged from 6 to 14 years old. School attendance was defined as enrolment and studying at a primary and lower secondary school. School attendance in primary education is 96.4%, while lower secondary school is 96.9%. The percentage of primary school attendance is smaller than lower secondary school attendance due to most of pupils in primary school, are too young/small and they often leave school after a few months of school enrolment/registration.

In particular, rural poorer families in the past, young girls are probably allowed to attend school of grade 6 in primary school and after that they stay at home to help their families as additional agricultural labour. At the present, most of the families send and encourage their daughters to go to school in higher level of education. The Table 16.5-11 shows that about 97.6% of female pupils have attended secondary school. They do not have dropped out school.

| Stuatum | Sex | Prin | nary School | | Lower Secondary School | | | | |
|------------|--------|-----------|-------------|------|------------------------|-----------|-------|--|--|
| Stratum | Sex | Age: 6-11 | Attending | % | Age: 12-14 | Attending | % | | |
| Deve to st | Male | 430 | 414 | 96.3 | 214 | 206 | 96.3 | | |
| Project | Female | 381 | 368 | 96.6 | 211 | 206 | 97.6 | | |
| Survey | Both | 811 | 782 | 96.4 | 425 | 412 | 96.9 | | |
| | Male | 111 | 108 | 97.3 | 52 | 49 | 94.2 | | |
| BMCH | Female | 85 | 84 | 98.8 | 42 | 42 | 100.0 | | |
| | Both | 196 | 192 | 98.0 | 94 | 91 | 96.8 | | |
| | Male | 147 | 138 | 93.9 | 70 | 68 | 97.1 | | |
| втв | Female | 124 | 118 | 95.2 | 77 | 75 | 97.4 | | |
| | Both | 271 | 256 | 94.5 | 147 | 143 | 97.3 | | |
| | Male | 172 | 168 | 97.7 | 92 | 89 | 96.7 | | |
| PST | Female | 172 | 166 | 96.5 | 92 | 89 | 96.7 | | |
| | Both | 344 | 334 | 97.1 | 184 | 178 | 96.7 | | |

 Table 16.5-11
 Current School Attendance for Primary and Lower Secondary

Data source: Project Survey conducted from September 2013 to January 2014.

16.5.9 Affected Households' Head Engaged in Farming and Non-farming

About 26.8% of household heads are working on farms, while non-farming is 68.9% (Other rests 4.3% are aged or disable or unable to work.). Table 16.5-12 shows that the percentage of household heads working on farms is highest in BMCH with 31.5%. A sizeable number of male and female household heads surveyed (363 persons or 28.1% and 68 persons or 21.4%, respectively) are engaged in farming.

| Structure | Namel on of I | Tawaahalda | Non a | ctivity | Non-fa | rming | Farr | ning |
|-----------|---------------|------------|-------|---------|--------|-------|------|------|
| Stratum | Number of I | Housenoids | No. | % | No. | % | No. | % |
| Developed | Male | 1,293 | 45 | 3.5 | 885 | 68.4 | 363 | 28.1 |
| Project | Female | 318 | 25 | 7.9 | 225 | 70.8 | 68 | 21.4 |
| Survey | Total | 1,611 | 70 | 4.3 | 1,110 | 68.9 | 431 | 26.8 |
| | Male | 303 | 4 | 1.3 | 197 | 65.0 | 102 | 33.7 |
| BMCH | Female | 69 | 3 | 4.3 | 51 | 73.9 | 15 | 21.7 |
| | Total | 372 | 7 | 1.9 | 248 | 66.7 | 117 | 31.5 |
| | Male | 410 | 16 | 3.9 | 259 | 63.2 | 135 | 32.9 |
| втв | Female | 121 | 10 | 8.3 | 91 | 75.2 | 20 | 16.5 |
| | Total | 531 | 26 | 4.9 | 350 | 65.9 | 155 | 29.2 |
| PST | Male | 580 | 25 | 4.3 | 429 | 74.0 | 126 | 21.7 |
| | Female | 128 | 12 | 9.4 | 83 | 64.8 | 33 | 25.8 |
| | Total | 708 | 37 | 5.2 | 512 | 72.3 | 159 | 22.5 |

Table 16.5-12 Farming and Non-farming Affected Households' Head

Data source: Project Survey conducted from September 2013 to January 2014.

16.5.10 Fishing Community

Among 151 AHs interviewed, there are only 27 AHs (17.9%) are in fishing activity. Among the 27 AHs of the fishing family, the 24 AHs (15.9%) are only fish just for their leisure or eating, while 3 AHs (2.0%) get income from fishing.

| Stratum | Number | Fis | hing | Leisure | e/ Eating | Selling/Money | |
|-------------------------|--------|-----|------|---------|-----------|---------------|-----|
| Stratum | of HH | Yes | % | Yes | % | Yes | % |
| Project Survey | 151 | 27 | 17.9 | 24 | 15.9 | 3 | 2.0 |
| Pursat City (PST) | 118 | 25 | 21.2 | 23 | 19.5 | 2 | 1.7 |
| Kandieng district (PST) | 33 | 2 | 6.1 | 1 | 3.0 | 1 | 3.0 |

 Table 16.5-13
 Fishing Activities around Pursat Town (Bypass)

Data source: Project Survey conducted from September 2013 to January 2014.

Based on the socio-economic survey, the main source of fishing for the 27 fishing families is a stream or small river, reservoir and Tonle Sap River.

| Stratum | Number | Reservoir | | Tonle S | Sap river | Stream/small river | | |
|-------------------------|--------|-----------|------|---------|-----------|--------------------|------|--|
| Stratum | of HH | Yes | % | Yes | % | Yes | % | |
| Project Survey | 27 | 11 | 40.7 | 1 | 3.7 | 15 | 55.6 | |
| Pursat City (PST) | 25 | 10 40.0 | | 1 | 4.0 | 14 | 56.0 | |
| Kandieng district (PST) | 2 | 1 | 50.0 | 0 | 0.0 | 1 | 50.0 | |

 Table 16.5-14
 A place to Conduct the Fishing

Data source: Project Survey conducted from September 2013 to January 2014.

Of the 27 fishing families, 88.9% do fishing only in rainy season, 7.4% do fishing only in dry season and 3.7% do fishing for the whole year. None of them have joined in fishery community.

| Stars torres | Number | Whole year | | Rainy | season | Dry season | | |
|-------------------------|--------|------------|-----|-------|--------|------------|-----|--|
| Stratum | of HH | Yes | % | Yes | % | Yes | % | |
| Project Survey | 27 | 1 | 3.7 | 24 | 88.9 | 2 | 7.4 | |
| Pursat City (PST) | 25 | 1 | 4.0 | 22 | 88.0 | 2 | 8.0 | |
| Kandieng district (PST) | 2 | 0 | 0.0 | 2 | 100.0 | 0 | 0.0 | |

Table 16.5-15Duration of the Fishing

Data source: Project Survey conducted from September 2013 to January 2014.

16.5.11 Main Sources of Income of Affected Households

According to the survey, the main sources of income of the AHs include 75.9% business/trade followed by 57.1% from agricultural sector (agricultural production, livestock, fishing and fish culture), and 55.7% depend on wages/salary. Remittance of 7.2% is also another main source of household income from their jobs in other places.

| Province | Project | Survey | BM | СН | BI | В | PS | ST | | | | |
|-------------------------|---------|--------|-----|------|-----|------|-----|------|--|--|--|--|
| Number of Households | 1,611 | | 37 | 372 | | 531 | |)8 | | | | |
| Item | No. | % | No. | % | No. | % | No. | % | | | | |
| Wages/salary | 897 | 55.7 | 208 | 55.9 | 218 | 41.1 | 471 | 66.5 | | | | |
| Farming hired labor | 29 | 1.8 | 13 | 3.5 | 12 | 2.3 | 4 | 0.6 | | | | |
| Business/trade | 1,222 | 75.9 | 261 | 70.2 | 464 | 87.4 | 497 | 70.2 | | | | |
| Agricultural production | 741 | 46.0 | 165 | 44.4 | 271 | 51.0 | 305 | 43.1 | | | | |
| Livestock | 166 | 10.3 | 14 | 3.8 | 55 | 10.4 | 97 | 13.7 | | | | |
| Fishing | 6 | 0.4 | 2 | 0.5 | 2 | 0.4 | 2 | 0.3 | | | | |
| Equipment making | 10 | 0.6 | 0 | 0.0 | 8 | 1.5 | 2 | 0.3 | | | | |
| Equipment rental | 5 | 0.3 | 0 | 0.0 | 4 | 0.8 | 1 | 0.1 | | | | |
| Transportation | 60 | 3.7 | 12 | 3.2 | 19 | 3.6 | 29 | 4.1 | | | | |
| House/land rental | 98 | 6.1 | 14 | 3.8 | 37 | 7.0 | 47 | 6.6 | | | | |
| Remittance | 116 | 7.2 | 28 | 7.5 | 44 | 8.3 | 44 | 6.2 | | | | |
| Fish culture | 6 | 0.4 | 0 | 0.0 | 1 | 0.2 | 5 | 0.7 | | | | |
| Other | 74 | 4.6 | 22 | 5.9 | 22 | 4.1 | 30 | 4.2 | | | | |

 Table 16.5-16
 Main Source of Income of the AHs

Data source: Project Survey conducted from September 2013 to January 2014.

16.5.12 Affected Households Income

Under the survey purposes, the affected household income included earnings and receipts from all sources received by all household members during the last year. Participants in the economic activity include employers, own account workers, employees or unpaid family workers, rentals (house, land, equipment, etc.) or recipient of pensions, grants, etc.

A significant number (83.3%) of male household heads reported that they are earning an annual income higher than USD 3,000 (among them, 55.4% earning more than USD 5,000 a year), while 11.4% reported an annual income between USD 2,000 and USD 3,000. Only 0.2% of the male household heads reported that their earnings are less than USD 600 a year.

| Stratum | <= 600 | | 600+ - 1,000 | | 1,000+ -2,000 | | 2,000+ -3,000 | | 3,000+ - 4,000 | | 4,000+ - 5,000 | | 5,000+ | | Total | |
|---------|--------|-----|--------------|-----|---------------|-----|---------------|------|----------------|------|----------------|------|--------|------|-------|--------|
| | No. | % | No. | % | No. | % | No. | % | No. | % | No. | % | No. | % | No. | % |
| Project | 2 | 0.2 | 6 | 0.5 | 61 | 4.7 | 147 | 11.4 | 176 | 13.6 | 185 | 14.3 | 716 | 55.4 | 1,293 | 100.00 |
| BMCH | 0 | 0.0 | 3 | 1.0 | 15 | 5.0 | 25 | 8.3 | 34 | 11.2 | 37 | 12.2 | 189 | 62.4 | 303 | 100.00 |
| BTB | 2 | 0.5 | 1 | 0.2 | 24 | 5.9 | 67 | 16.3 | 72 | 17.6 | 61 | 14.9 | 183 | 44.6 | 410 | 100.00 |
| PST | 0 | 0.0 | 2 | 0.3 | 22 | 3.8 | 55 | 9.5 | 70 | 12.1 | 87 | 15.0 | 344 | 59.3 | 580 | 100.00 |

Data source: Project Survey conducted from September 2013 to January 2014.

Likewise, a significant number (67.0%) of female household heads reported that they are earning an annual income higher than USD 3,000 (among them, 35.8% earning more than USD 5,000 a year), while 16.4% reported an annual income between USD 2,000 and USD 3,000. It is noted that all female household heads in BMCH and PST province earn income higher than USD 600 a year.

| G4 4 | <= 600 | | 600+ - 1,000 | | 1,000+ -2,000 | | 2,000+-3,000 | | 3,000+ - 4,000 | | 4,000+ - 5,000 | | 5,000+ | | Total | |
|---------|--------|-----|--------------|-----|---------------|------|--------------|------|----------------|------|----------------|------|--------|------|-------|--------|
| Stratum | No. | % | No. | % | No. | % | No. | % | No. | % | No. | % | No. | % | No. | % |
| Project | 1 | 0.3 | 12 | 3.8 | 40 | 12.6 | 52 | 16.4 | 54 | 17.0 | 45 | 14.2 | 114 | 35.8 | 318 | 100.00 |
| BMCH | 0 | 0.0 | 3 | 4.3 | 8 | 11.6 | 12 | 17.4 | 10 | 14.5 | 6 | 8.7 | 30 | 43.5 | 69 | 100.00 |
| BTB | 1 | 0.8 | 3 | 2.5 | 21 | 17.4 | 17 | 14.0 | 24 | 19.8 | 20 | 16.5 | 35 | 28.9 | 121 | 100.00 |
| PST | 0 | 0.0 | 6 | 4.7 | 11 | 8.6 | 23 | 18.0 | 20 | 15.6 | 19 | 14.8 | 49 | 38.3 | 128 | 100.00 |

Table 16.5-18 Annual Income (USD) of AHs Headed by Females

Data source: Project Survey conducted from September 2013 to January 2014.

Table 16.5-19 shows the sources of cash income of all 1,611 households interviewed. It reveals that the average monthly income of household and capita is USD 598.79 and USD 124.75, respectively. Of all interviewed households in the Project area, there are 53.8% has their main income source from business/trade, 28.9% has their second main income from wages or salary and 7.82% has their third main income from agricultural sector(i.e. agricultural production, livestock, fishing and fish culture).

Table 16.5-19 Average Annual and Monthly Income (USD) per Capita

| Téanna | Casa | Annual Income | | | | | | |
|-------------------------|-------|---------------|------|-----------|--|--|--|--|
| Items | Case | USD | % | Average | | | | |
| Wages/salary | 897 | 3,343,459.69 | 28.9 | 3,727.38 | | | | |
| Farming hired labor | 29 | 44,583.75 | 0.4 | 1,537.37 | | | | |
| Business/trade | 1,222 | 6,224,965.44 | 53.8 | 5,094.08 | | | | |
| Agricultural production | 741 | 669,125.85 | 5.8 | 903.00 | | | | |
| Livestock | 166 | 176,411.87 | 1.5 | 1,062.72 | | | | |
| Fishing | б | 2,687.50 | 0.02 | 447.92 | | | | |
| Equipment making | 10 | 33,116.25 | 0.3 | 3,311.63 | | | | |
| Equipment rental | 5 | 7,550.00 | 0.1 | 1,510.00 | | | | |
| Transportation | 60 | 273,775.25 | 2.4 | 4,562.92 | | | | |
| House/land rental | 98 | 202,606.78 | 1.8 | 2,067.42 | | | | |
| Remittance | 116 | 151,636.37 | 1.3 | 1,307.21 | | | | |
| Fish culture | 6 | 62,331.25 | 0.5 | 10,388.54 | | | | |

| Téana | Cara | Anr | nual Income | | | |
|--------------------|----------------|--------------------|-------------|----------|--|--|
| Items | Case | USD | % | Average | | |
| Other | 74 | 383,539.08 | 3.3 | 5,182.96 | | |
| Total | 3,430 | 11,575,789.08 | 100 |).00% | | |
| Currency in USD | | Annual | Monthly | | | |
| Nur | nber of Interv | viewed HHs = 1,611 | | | | |
| Household income** | | 7,185.47 | | 598.79 | | |
| Capita income*** | | 1,496.97 | 124.7 | | | |

* Each household gets income from more than one source

** [Household income]=[Total Annual Income]/[Total Number of Interviewed HHs]

*** A HH has 4.8 persons in average. (Capita income=Household income / 4.8)

Data source: Project Survey conducted from September 2013 to January 2014.

16.5.13 Credit

Generally, households in the project area have access to credits or loans from various agencies, both private/official and non-official credit institutions. The survey showed that 44.1% (710 AHs) of the 1,611 AHs have received credit from different agencies. The credit sources of the 710 AHs include 35.5% from private bank institutions, 25.2% from NGOs, 11.3% from credit providers, 10.4% from relatives, 5.8% from landlords/traders, and the rest of 11.8% from other credit sources.

| | | | | | | - | • | | 0 | | | | | | |
|---------|-----------|--------------|------|------------|------|-----------|------|-------------|-----|-------------|------|------|-------|-----|------|
| | Number of | Rece cree | | Priv Ba | | NG Soc | | Land Tra | | Cre Prov | | Rela | tives | Oth | ers |
| | HHs No. % | | No. | % | No. | % | No. | % | No. | % | No. | % | No. | % | |
| Project | 1,611 | 710 | 44.1 | 252 | 35.5 | 179 | 25.2 | 41 | 5.8 | 80 | 11.3 | 74 | 10.4 | 84 | 11.8 |
| BMCH | 372 | 149 | 40.1 | 76 | 51.0 | 21 | 14.1 | 9 | 6.0 | 21 | 14.1 | 13 | 8.7 | 9 | 6.0 |
| BTB | 531 | 239 | 45.0 | 52 | 21.8 | 101 | 42.3 | 22 | 9.2 | 27 | 11.3 | 36 | 15.1 | 1 | 0.4 |
| PST | 708 | 322 | 45.5 | 124 | 38.5 | 57 | 17.7 | 10 | 3.1 | 32 | 9.9 | 25 | 7.8 | 74 | 23.0 |

 Table 16.5-20
 Credit Acquired During the Last Year

Data source: Project Survey conducted from September 2013 to January 2014.

Generally, households acquire loans for various purposes, such as for farming, health treatment, starting/expanding business, and family support. As shown in Table 16.5-21, most households (73.2%) get loans for expanding their businesses follows by 19.7% for farming, 12.4% for food consumption, 11.5% for health care, 9.0% for schooling cost and 8.5% for house repairing/building.

| Tuble 1010 21 Tulposes of frequining the orbit | | | | | | | | | | |
|--|------|------|-----|------|-----|------------|-----|------|--|--|
| Items | Proj | ect | BM | ICH | BT | T B | PST | | | |
| Items | No. | % | No. | % | No. | % | No. | % | | |
| Number of HHs | 710 | 710 | | 49 | 239 | | 32 | 2 | | |
| Food consumption | 88 | 12.4 | 6 | 4.0 | 63 | 26.4 | 19 | 5.9 | | |
| Health care | 82 | 11.5 | 24 | 16.1 | 31 | 13.0 | 27 | 8.4 | | |
| Schooling costs | 64 | 9.0 | 15 | 10.1 | 33 | 13.8 | 16 | 5.0 | | |
| Building/repairing house | 60 | 8.5 | 7 | 4.7 | 26 | 10.9 | 27 | 8.4 | | |
| Ceremony/wedding | 11 | 1.5 | 0 | 0.0 | 4 | 1.7 | 7 | 2.2 | | |
| Farming | 140 | 19.7 | 36 | 24.2 | 67 | 28.0 | 37 | 11.5 | | |

 Table 16.5-21
 Purposes of Acquiring the Credit

Preparatory Survey for National Road No.5 Improvement Project (Middle Section: Thlea Ma'am – Battambang, and Sri Sophorn – Poipet)

| Téoma | Proj | ject | BN | ИСН | B | ГВ | PST | |
|---------------------------|------|------|-----|------|-----|------|-----|------|
| Items | No. | % | No. | % | No. | % | No. | % |
| Business expanding | 520 | 73.2 | 103 | 69.1 | 190 | 79.5 | 227 | 70.5 |
| Supporting family members | 26 | 3.7 | 9 | 6.0 | 5 | 2.1 | 12 | 3.7 |
| Others | 53 | 7.5 | 8 | 5.4 | 16 | 6.7 | 29 | 9.0 |

Data source: Project Survey conducted from September 2013 to January 2014.

16.5.14 Sanitation

(1) Water Sources for Drinking and Cooking

Of the interviewed households in the Project area, only 8.9% use pipe water from waterworks, 19.3% from protected wells, while 5.8% use water from unprotected wells. Moreover, 45.1% buy clean water during the dry season for their daily consumption and approximately, 48.7% use rainwater during the wet season. Lake/pond was also the main source of drinking water for 20.4%, while 0.9% still uses water from stream/river.

Table 16.5-22Water Sources for Drinking and Cooking

| Stars trans | #HHs Stream/River | | Lake/ | Lake/Pond Protected Well | | Unprotected Well | | Rainwater | | Buying | | Waterworks | | | |
|-------------|-------------------|-----|-------|--------------------------|------|------------------|------|-----------|-----|--------|------|------------|------|-----|------|
| Stratum | #nns | No. | % | No. | % | No. | % | No. | % | No. | % | No. | % | No. | % |
| Project | 1,611 | 14 | 0.9 | 329 | 20.4 | 311 | 19.3 | 93 | 5.8 | 785 | 48.7 | 727 | 45.1 | 143 | 8.9 |
| BMCH | 372 | 0 | 0.0 | 117 | 31.5 | 133 | 35.8 | 36 | 9.7 | 135 | 36.3 | 98 | 26.3 | 0 | 0.0 |
| BTB | 531 | 0 | 0.0 | 170 | 32.0 | 103 | 19.4 | 35 | 6.6 | 429 | 80.8 | 217 | 40.9 | 20 | 3.8 |
| PST | 708 | 14 | 2.0 | 42 | 5.9 | 75 | 10.6 | 22 | 3.1 | 221 | 31.2 | 412 | 58.2 | 123 | 17.4 |

Data source: Project Survey conducted from September 2013 to January 2014.

71.7% of the interviewed households always boil their drinking water. Boiling water is by far the most common method for Cambodian people to protect from any bacteria. 8.6% of the interviewed households sometimes boil water before drinking, while 19.7% drink water without boiling.

| | Namahamat | Boiling Water for Drinking | | | | | | | | |
|----------------|-------------------------|----------------------------|------|------|-------|-------|------|--|--|--|
| Stratum | Number of Households | Alw | ays | Some | times | Never | | | | |
| | nousellolus | No. | % | No. | % | No. | % | | | |
| Project Survey | 1,611 | 1,155 | 71.7 | 139 | 8.6 | 317 | 19.7 | | | |
| BMCH | 372 | 271 | 72.8 | 33 | 8.9 | 68 | 18.3 | | | |
| BTB | 531 | 363 | 68.4 | 44 | 8.3 | 124 | 23.4 | | | |
| PST | 708 | 521 | 73.6 | 62 | 8.8 | 125 | 17.7 | | | |

 Table 16.5-23
 Boiling Water for Drinking

Data source: Project Survey conducted from September 2013 to January 2014.

Approximately 40.1% of interviewed households have to buy water for washing/bathing during the dry season. Wells and rainwater are the most common water sources for the local people (63.1%) to make a bath and wash (see Table 16.5-24 for detailed information).

| C4ma 4mm | #IIII~ | Strean | ı/River | Lake/ | Pond | Protecte | ed Well | Unprotee | cted Well | Rainv | water | Buy | ing | Water | works |
|----------|--------|--------|---------|-------|------|----------|---------|----------|-----------|-------|-------|-----|------|-------|-------|
| Stratun | n #HHs | No. | % | No. | % | No. | % | No. | % | No. | % | No. | % | No. | % |
| Project | 1,611 | 18 | 1.1 | 378 | 23.5 | 348 | 21.6 | 92 | 5.7 | 576 | 35.8 | 646 | 40.1 | 154 | 9.6 |
| BMCH | 372 | 1 | 0.3 | 129 | 34.7 | 147 | 39.5 | 37 | 9.9 | 113 | 30.4 | 64 | 17.2 | 0 | 0.0 |
| BTB | 531 | 0 | 0.0 | 175 | 33.0 | 116 | 21.8 | 32 | 6.0 | 306 | 57.6 | 190 | 35.8 | 21 | 4.0 |
| PST | 708 | 17 | 2.4 | 74 | 10.5 | 85 | 12.0 | 23 | 3.2 | 157 | 22.2 | 392 | 55.4 | 133 | 18.8 |

 Table 16.5-24
 Water Sources for Washing and Bathing

Data source: Project Survey conducted from September 2013 to January 2014.

(2) Toilet

In the Project area, 79.6% of the interviewed households have own latrine, while 20.4% do not have access to toilet facilities as they depend on "open defecation" or sharing toilets with their neighbours. In particular, 97.0% of respondents in Kandieng District/PST and only 71.4% of AHs in Krakor District/PST have their own toilet.

16.5.15 Energy Sources for Lighting and Cooking

Battery is still the most commonly used energy source for lighting in Cambodia's rural areas, where electricity is not available. However, in the Project area, only 1.7% of the surveyed AHs claimed that they use rechargeable stationary batteries for lighting. Moreover, 94.7% use publicly provided electricity (state electricity) as their source, while 1.3% use kerosene lamp. About 2.4% of AHs claim that they use their own generators.

| Starstan. | Number of | Private G | enerator | State El | ectricity | Bat | tery | Gas/Kerosene | | |
|----------------|-----------|-----------|----------|----------|-----------|-----|------|--------------|-----|--|
| Stratum | HHs | No. | % | No. | % | No. | % | No. | % | |
| Project Survey | 1,611 | 39 | 2.4 | 1,525 | 94.7 | 27 | 1.7 | 21 | 1.3 | |
| ВМСН | 372 | 39 | 10.5 | 324 | 87.1 | 4 | 1.1 | 5 | 1.3 | |
| втв | 531 | 0 | 0.0 | 520 | 97.9 | 9 | 1.7 | 2 | 0.4 | |
| PST | 708 | 0 | 0.0 | 681 | 96.2 | 14 | 2.0 | 14 | 2.0 | |

Table 16.5-25Energy Sources for Lighting

Data source: Project Survey conducted from September 2013 to January 2014.

Based on the survey results, 23.1% of interviewed households use liquefied petroleum gas as their source for cooking, while 64.7% and 53.5% use charcoal and firewood, respectively. Only 6.5% of interviewed households in the Project area use electricity as their energy source for cooking

| Stars to an | Number of | Firev | vood | State Ele | ectricity | Char | coal | Gas/Kerosene | | | |
|-------------|-----------|-------|------|-----------|-----------|------|------|--------------|------|--|--|
| Stratum | HHs | No. | % | No. | % | No. | % | No. | % | | |
| Project | 1,611 | 862 | 53.5 | 105 | 6.5 | 1043 | 64.7 | 372 | 23.1 | | |
| ВМСН | 372 | 228 | 61.3 | 21 | 5.6 | 235 | 63.2 | 102 | 27.4 | | |
| втв | 531 | 296 | 55.7 | 30 | 5.6 | 362 | 68.2 | 89 | 16.8 | | |
| PST | 708 | 338 | 47.7 | 54 | 7.6 | 446 | 63.0 | 181 | 25.6 | | |

Table 16.5-26 Energy Sources for Cooking

Data source: Project Survey conducted from September 2013 to January 2014.

16.5.16 Transportation

Bicycles are more commonly used as a mode of transportation in rural areas, while motorcycles are more conveniently and more commonly used in urban areas. In the study, it reveals that around 58.9% of interviewed households have bicycles and 76.0% have motorbikes. Only a small number of households have trucks, at about 5.1%, and 13.2% have a car/pickup/minivan. It was estimated that the average value of transport equipment in the Project area is around USD 2,614 per household.

| Table 10.5-27 | Transport Equi | pment and its | values | | | | | | | |
|---------------------|--------------------|--------------------|------------|--|--|--|--|--|--|--|
| Mada of Tuonen out | Total Value | Total AH | ls = 1,611 | | | | | | | |
| Mode of Transport | (USD) | # Having | % | | | | | | | |
| Bicycle | 23,234.00 | 949 | 58.9 | | | | | | | |
| Motorbike | 1,304,410.00 1,225 | | | | | | | | | |
| Car/pickup/minivan | 1,889,600.00 | 212 | 13.2 | | | | | | | |
| Truck | 994,200.00 | 82 | 5.1 | | | | | | | |
| Boat without engine | 235.00 | 4 | 0.2 | | | | | | | |
| Grand Total | 4,2 | 4,211,679.00 (USD) | | | | | | | | |
| Average/Household | | 2,614.00 (USD |) | | | | | | | |
| | | | | | | | | | | |

 Table 16.5-27
 Transport Equipment and Its Values

Data source: Project Survey conducted from September 2013 to January 2014.

16.5.17 Household Appliances

Telephones are the most common household appliance among the AHs interviewed, with 1,535 households (95.3%) reporting that they own at least one up to more than five per household. The second most common appliance is TV/VRC/VCP (87.4%). Table 16.5-28 shows the percentage of households owning other types of electrical appliances, such as 17.3% owning radio/cassette players, and 13.8% owning sewing machines. A small proportion of households own equipment for convenience such as generators at 3.8%, washing machines at 4.5%, air conditioners at 4.0%, and refrigerators 9.9%. It was estimated that the average value of other assets in the target area is around USD 224.60 per household.

| Stratum | Total Value | Total Housel | nolds = 1,611 | | | | | | | | |
|-----------------------|--------------|----------------|---------------|--|--|--|--|--|--|--|--|
| Stratum | (USD) | #Having | % | | | | | | | | |
| Radio/cassette player | 3,464.25 | 278 | 17.3 | | | | | | | | |
| TV/VCR/VCP | 86,938.75 | 1,408 | 87.4 | | | | | | | | |
| Sewing machine | 29,963.00 | 223 | 13.8 | | | | | | | | |
| Air conditioner | 19,750.00 | 65 | 4.0 | | | | | | | | |
| Washing machine | 13,175.00 | 73 | 4.5 | | | | | | | | |
| Refrigerator | 31,526.00 | 159 | 9.9 | | | | | | | | |
| Telephone | 155,872.00 | 1,535 | 95.3 | | | | | | | | |
| Generator | 21,205.00 | 61 | 3.8 | | | | | | | | |
| Grand Total | 3 | 61,894.00 (USD |) | | | | | | | | |
| Average/Household | 224.60 (USD) | | | | | | | | | | |

 Table 16.5-28
 Household Appliances and Its Values

Data source: Project Survey conducted from September 2013 to January 2014.

16.5.18 Housing Characteristic

(1) Dwelling Space by Household

There are 1,611 dwellings in the sample. Average floor area of dwellings is 53.5 square meters (sqm) per household or 11.15 square meters per person (average household size is 4.8). For all Cambodia (CSES-2004), the average dwelling space per household is 42.0 sqm. The average floor area of dwelling ranged from 39.0 sqm per household in rural areas to 48.8 sqm in other urban areas, and to 64.3 sqm in urban Phnom Penh.

| | | | | | | | 01 | | | | | |
|---|--------------|-------|-------------------|-------------------|------|----------------|-------|--------------------------|-------|--------------------|------|-------|
| | Edward and a | No. | Total size | Average | ≤ 20 | \mathbf{m}^2 | 20+ - | 50 m ² | 50+ - | 100 m ² | 100+ | m^2 |
| | Stratum | H/H | in m ² | in m ² | No. | % | No. | % | No. | % | No. | % |
| ĺ | Project | 1,611 | 86,215 | 53.5 | 151 | 9.4 | 777 | 48.2 | 520 | 32.3 | 131 | 8.1 |
| Ī | BMCH | 372 | 18,027 | 48.5 | 63 | 16.9 | 163 | 43.8 | 107 | 28.8 | 28 | 7.5 |
| | ВТВ | 531 | 27,678 | 52.1 | 39 | 7.3 | 302 | 56.9 | 154 | 29.0 | 35 | 6.6 |
| | PST | 708 | 40,510 | 57.2 | 49 | 6.9 | 312 | 44.1 | 259 | 36.6 | 68 | 9.6 |

Table 16.5-29Dwelling Space

Data source: Project Survey conducted from September 2013 to January 2014.

(2) Building Material

In the studied area 66.2% of the roofs are built from galvanized iron, fibrocement and plastic sheet. There is 26.0% from roofing title and only 2.8% from thatch. 53.8% of houses have wooden walls, the rest being mainly brick with 21.9%. 47.2% have wooden floors. The remaining 21.7% and 23.7% are of floor tile and mortar, respectively.

| Construction Material | Roof | | Wal | 1 | Floor | |
|------------------------------|-------|-------|-----|-------|-------|-------|
| (Total HH = 2,111) | No. | % | No. | % | No. | % |
| Thatch | 10 | 0.62 | 26 | 1.61 | 0 | 0.0 |
| Tin / Fibro/ Plastic Sheet | 1,200 | 74.49 | 325 | 20.17 | 0 | 0.0 |
| Wood | 0 | 0.0 | 921 | 57.17 | 697 | 43.27 |
| Bamboo | 0 | 0.0 | 7 | 0.43 | 5 | 0.31 |
| Roofing Tile | 327 | 20.30 | 0 | 0.0 | 0 | 0.0 |
| Floor Tile | 0 | 0.0 | 0 | 0.0 | 297 | 18.44 |
| Mortar | 0 | 0.0 | 0 | 0.0 | 498 | 30.91 |
| Concrete | 39 | 2.42 | 0 | 0.0 | 0 | 0.0 |
| Earth | 0 | 0.0 | 0 | 0.0 | 82 | 5.09 |
| Brick | 0 | 0.0 | 291 | 18.06 | 0 | 0.0 |

Table 16.5-30Building Material

Data source: Project Survey conducted from September 2013 to January 2014.

16.5.19 People's Perception of the Project

(1) Expectation with the Project

AHs showed satisfaction with the Project since it was reported that 15.1% rated the Project as 'very good', and 58.4% rated it as 'good'. However, about 24.0% of the total households rated the Project as 'good and bad'.

| | | | | | 0 | | | |
|--------------|---------|-------|------|-------|-----|-------|-----|-------|
| Téorea | Project | | BMCH | | BTB | | PST | |
| Items | No. | % | No. | % | No. | % | No. | % |
| Bad | 40 | 2.5 | 10 | 2.7 | 2 | 0.4 | 28 | 4.0 |
| Good and bad | 387 | 24.0 | 81 | 21.8 | 60 | 11.3 | 246 | 34.7 |
| Good | 940 | 58.4 | 218 | 58.6 | 389 | 73.2 | 333 | 47.0 |
| Very good | 244 | 15.1 | 63 | 16.9 | 80 | 15.1 | 101 | 14.3 |
| Total | 1,611 | 100.0 | 372 | 100.0 | 531 | 100.0 | 708 | 100.0 |

| Table 16.5-31 | Satisfaction with the Project |
|---------------|-------------------------------|
|---------------|-------------------------------|

"Bad": because the Project they will: 1) increase daily expend; 2) loss of good trading site; 3) increase accident;4) disturbs people and community;5) affect on house/shop; 6) loss of land use in PRW; 7) worsen access to school; 8) worsen environmental impact; 9) decrease household income;10) affected on public facilities; 11) loss of occupation; 12) worsen people health condition; and 13) make people migration away. Data source: Project Survey conducted from September 2013 to January 2014.

(2) Benefits of the Project

In the area of improvements, interviews revealed that around 86.0% of AHs believed that the Project will help decrease congestion/accident when travelling, while 55.7% mentioned it will improve access to other facilities. About 45.1% responded that the Project will improve cargo transportation. Table 16.5-32 shows more detailed information on the Project benefits.

| Table 10.3-32 Three Kanks of Project Denents | | | | | | | | |
|--|-----------------------------|------|-------|------|--------|------|-------|------|
| | Total Number of HHs = 2,111 | | | | | | | |
| Most Important Benefits | To | tal | First | | Second | | Third | |
| | No. | % | No. | % | No. | % | No. | % |
| Improve cargo transportation | 726 | 45.1 | 311 | 19.3 | 270 | 16.8 | 145 | 9.0 |
| Appreciation of land prices | 81 | 5.0 | 11 | 0.7 | 27 | 1.7 | 43 | 2.7 |
| Reduced daily expenditures | 86 | 5.3 | 3 | 0.2 | 15 | 0.9 | 68 | 4.2 |
| Decrease of congestion/accidents | 1385 | 86.0 | 874 | 54.3 | 399 | 24.8 | 112 | 7.0 |
| Improve access to other facilities | 897 | 55.7 | 149 | 9.2 | 436 | 27.1 | 312 | 19.4 |
| Flood prevention | 40 | 2.5 | 3 | 0.2 | 12 | 0.7 | 25 | 1.6 |
| Improve travel of tourists | 461 | 28.6 | 86 | 5.3 | 179 | 11.1 | 196 | 12.2 |
| Improve environment | 214 | 13.3 | 21 | 1.3 | 71 | 4.4 | 122 | 7.6 |
| Big push to outskirts area | 376 | 23.3 | 53 | 3.3 | 70 | 4.3 | 253 | 15.7 |
| Attract more investment | 169 | 10.5 | 31 | 1.9 | 52 | 3.2 | 86 | 5.3 |
| Create more direct/indirect jobs | 139 | 8.6 | 24 | 1.5 | 25 | 1.6 | 90 | 5.6 |
| Improve local product marketing | 24 | 1.5 | 1 | 0.1 | 4 | 0.2 | 19 | 1.2 |
| Other | 8 | 0.5 | 4 | 0.2 | 1 | 0.1 | 3 | 0.2 |

Table 16.5-32 Three Ranks of Project Benefits

Data source: Project Survey conducted from September 2013 to January 2014.

(3) Perception of Affected Households with Regards to Relocation

In terms of the perception of AHs concerning relocation due to the Project, 95.4% of interviewed households said that they agree to move from the PRW but will need some assistance from the Project. Meanwhile, 2.9% replied that they will voluntarily move without any compensation or assistance. About 1.5% did not answer. However, 0.2% of AHs refused to move from the PRW (see Table 16.5-33 for details).

| C 4 mg 4 mmg | Number of | Number of No Answer | | Refuse to Relocate | | Agree with Assistance | | Voluntarily Move | |
|--------------|------------|---------------------|-----|---------------------------|-----|-----------------------|------|-------------------------|-----|
| Stratum | Households | No. | % | No. | % | No. | % | No. | % |
| Project | 1,611 | 24 | 1.5 | 3 | 0.2 | 1,537 | 95.4 | 47 | 2.9 |
| BMCH | 372 | 3 | 0.8 | 1 | 0.3 | 362 | 97.3 | 6 | 1.6 |
| BTB | 531 | 0 | 0.0 | 0 | 0.0 | 526 | 99.1 | 5 | 0.9 |
| PST | 708 | 21 | 3.0 | 2 | 0.3 | 649 | 91.7 | 36 | 5.1 |

| Table 16.5-33 | Perception of AHs with Regards to Relocation |
|---------------|--|
| | |

Data source: Project Survey conducted from September 2013 to January 2014.

16.6 Organizational Framework

The owner of the Project is the Executing Agency (EA) which is MPWT; therefore, it has overall responsibility for the successful implementation of the RAP. The EA will be assisted by a number of offices within and outside MPWT, starting with the Project Management Unit (PMU) which is tasked with undertaking the Project. The Environmental Section of PMU (PMU-ES) will be established to work closely with the RD (Resettlement Department) of the Inter-ministerial Resettlement Committee (IRC) for the preparation, updating, and implementation of the RAP.

16.6.1 The Environmental Section of the Project Management Unit (PMU-ES)

PMU-ES of MPWT under guidance of IRC will work closely with RD/MEF as the lead arm of the PMU in the preparation and implementation of the RAP.

Its tasks include the followings:

- (a) Secure the approval of the RAP by IRC;
- (b) Secure prior approval from IRC and JICA for any variations in the approved RAP;
- (c) Secure the database of AHs and assets that will be gathered during the preparation and updating of the RAP;
- (d) Prepare progress reports on RAP implementation for submission to MPWT, PMU and JICA.

16.6.2 The Inter-ministerial Resettlement Committee (IRC) and the Resettlement Department (RD)

IRC is a collegial body headed by the representative from MEF and composed of representatives from concerned line ministries, such as the Ministry of Interior; MPWT, MLMUPC; MEF and MAFF. Created by the Prime Minister through *Decision No.13, dated 18 March 1997*, in connection with the resettlement of AHs in the Highway 1 Project (Loan 1659-CAM), IRC has since been involved in other foreign-assisted government infrastructure projects with involuntary resettlement. IRC will be established on ad hoc basis for each project upon the request from Executing Agency. RD is a secretariat of IRC and will work closely with other relevant institutions to deal with all resettlement issues caused by the project. The IRC will be established for NR-5

project.

The institutional setup for resettlement and land acquisition is indicated in Figure 16.6-1.

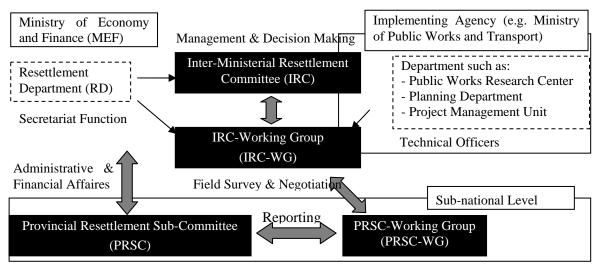


Figure 16.6-1 Inter-Ministerial Resettlement Committee (IRC) and Relevant Organizations

IRC will assume the function of a quasi-regulatory body, ensuring that funds for resettlement are spent properly and that the RAP is carried out as intended. The technical arm of IRC is its RD.

The RD will assist IRC in the following tasks:

- (a) Reviewing and approving the RAP, ensuring its consistency with JICA Guidelines and, later, the loan agreement;
- (b) Submitting the approved RAP to JICA;
- (c) Request to Provincial Governor to establish PRSC and PRSC-WG;
- (d) Orienting, as needed, PRSC and its WG (PRSC-WG) on their tasks relative to RAP updating and implementation;
- (e) Manage and supervise the implementation of RAP such as DMS;
- (f) Negotiation and Contract making with APs;
- (g) Securing from the national treasury the budget for carrying out the RAP, ensuring that funds are available in a timely manner and in sufficient amounts;
- (h) Ensuring the approval of all disbursements connected with the implementation of the RAP, such as payment for compensation and other entitlements, acquisition and preparation of replacement plots, operational expenses of personnel, etc.;
- (i) Ensuring that funds for resettlement are spent judiciously; and
- (j) Hire External Monitoring Agency to monitor the implementation of the RAP, ensuring that this is carried out in compliance with the Project resettlement policy and with the loan agreement.

16.6.3 Provincial Resettlement Sub-Committee

The Provincial Resettlement Sub-Committee (PRSC) is a collegial body at the provincial level. Headed by the Provincial Governor or Provincial Vice-Governor, its members are provincial department directors of line ministries represented in IRC, and also the chiefs of the districts and communes traversed along the Project road.

The technical arm of PRSC is PRSC-WG, which is headed by the Director (or a representative) of the Provincial Department of Public Works and Transport (PDPWT). The regular members of PRSC-WG come from the Provincial Government, the Provincial Department of Economy and Finance (PDEF), and the Ministry of Interior.

In an effort to make the whole process of resettlement effective, participatory and transparent, the chiefs of the affected communes and villages in affected communes will seat in PRSC-WG to tackle matters concerning their respective areas of jurisdiction.

PRSC, through PRSC-WG, will have the following functions:

- (a) Facilitate a sustained public information campaign, ensuring that the public, especially the AHs, are updated on any development regarding the Project and resettlement activities;
- (b) Cooperate with IRC-WG in conducting the implementation of RAP and assist public consultation and information disclosure meeting;
- (c) Manage the delivery of compensation and other entitlements to the AHs;
- (d) Receive and act on the complaints and grievances of AHs in accordance with the Project resettlement policy; and
- (e) Maintain a record of all public meetings, grievances, and actions taken to address complaints and grievances.

16.7 Implementation Schedule

During the detailed design stage, DMS and RCS will be conducted under management of IRC-WG. DMS will be implemented by IRC-WG in close cooperation with PRSC-WG and relevant local authorities. RSC will be updated by independent agency hired by IRC. Based on the result of DM an RCS, IRC will calculate compensation amount and request budget disbursement to RGC.

During the DMS, consultation meeting will be held and project information booklet will be distributed to all AHs by IRC-WG assisted by PRSC-WG. The information program will precede the marking of the PRW. Grievance procedures and structure will be established prior to DMS. The preparation for the updating of the RAP will follow immediately after the final identification survey and DMS.

After the compensation amount is expected to be undertaken simultaneously for different sections of the road, the compensation process, including agreement and certified record of quantities and

valuation of properties and physical payment of cash compensation and formal transfer of property in the form of land will take place before any construction start in a designated stretch of the road. Compensation payments are made at least 30 days before construction starts. The external monitor will be conducted during all of the above stages of implementation of the RAP. The external monitor's benchmark survey will be carried out prior to any physical relocation of AHs and AH structures.

IRC will mobilize its working group to work closely with PRSC-WG and the EMA before commencement of any resettlement activities, i.e., before RAP updating. Land acquisition and relocation of AHs will not commence until the updated RAP has been reviewed and approved by both IRC and JICA.

MPWT will ensure that contractor will not be issued notice to commence for any part of a section of a road to begin construction work unless it has (a) satisfactorily completed in accordance with the approved updated RAP, compensation payment and relocation; (b) ensured that income restoration program is in place; and (c) area required for civil works is free of all encumbrances. Table 16.7-1 summarizes the various inter-related activities connected with the updating and implementation of the RAP.

| ACTIVITIES | SCHEDULE | | | |
|---|----------------------------|--|--|--|
| JICA Approval of Draft RAP | August 2014 | | | |
| RAP Updating following Detailed Design | Early 2016 | | | |
| Submission and JICA Approval of Updated RAP | Middle 2016 | | | |
| Implementation of the Approved Updated RAP | Middle 2016 | | | |
| Internal Monitoring (Submission of Quarterly Progress Reports) | Middle 2016 and forwarding | | | |
| External Monitoring (Intermittent) | Middle 2016 to 2018 | | | |
| Post-evaluation | Middle 2018 | | | |
| Start of Civil Works* | Middle 2017 | | | |

 Table 16.7-1
 Indicative Schedule of Resettlement Activities (Temporal)

* For sections where there are no resettlement impacts.

16.8 Public Participation and Consultation

Stakeholders of the Project include provincial/district, commune/village officials, local people along the existing NR-5 (Thlea Ma'am – Battambang and Serei Sophorn – Poipet) and Pursat Bypass, and managers and staff of PDPWT (See Table 16.7-1). Participation provides for the opportunity and the process by which stakeholders influence and become co-responsible for development initiatives and decisions that affect them. Through participation, the needs and priorities of the local population are solicited; the adverse social impacts of the Project, including the corresponding mitigating measures, are collectively identified; and the commitment and feeling of ownership over the Project is engendered among the AHs.

16.8.1 Participatory Activities in Resettlement Plan's Planning

The public, especially the AHs, the local governments and road users will be consulted and their opinions solicited. They will in fact participate in the preparation of the RAP. Table 16.8-1 summarizes the roles and responsibilities of the EA, local governments, and AHs in the reparation.

| - | | Activities in KAT Training | |
|---|---|---|---|
| Project | Participatory Activities and | Outputs | Responsible |
| Process Stage | Participants | - | Institution |
| | Briefing of the provincial, district, commune, village officials, local people along NR-5 and Pursat Bypass, and PDPWT about the Project technical assistance, the resettlement impact, and activities of the consultant (provincial and first commune stakeholder meeting). | The local population including AHs and their representatives, local government officials, and managers and technical staff of PDPWT participated in the meeting and were consulted on the objectives, planning and impact of the project and of resettlement. | MPWT and Consultant (JICA Study Team) |
| Preparation | Conduct of IOL, census of AHs, social impact assessment, and RCS. | An IOL, census of AHs and RCS were conducted and the results were included in the RAP. | Consultants (JICA Study Team), assisted by local authorities and PDPWT. |
| or Feasibility | Discussion/consultation with IRC-RD and PMU-MPWT about the proposed project resettlement policy. | IRC were made fully aware of and consulted about social impact and resettlement policy. | Consultant (JICA Study Team) |
| Initial disclosure meeting with AHs to discuss the results of the IOL and gather suggestions on how to minimize and mitigate impacts, and discuss about relocation options (second commune stakeholder meeting). | AHs and community leaders are informed of social impact and any damage or loss of property including land losses, and consulted on impact mitigation and resettlement including any relocation. | MPWT and Consultant (JICA Study Team) | |
| | Drafting of the RAP and project information booklet (PIB) ⁵ and submission to PMU-MPWT, IRC-RD and JICA for review and approval. | Draft of RAP and PIB will be provided to and reviewed by MPWT, IRC-RD and JICA for approval. | Consultant (JICA Study Team) |

Table 16.8-1 Participatory Activities in RAP Planning

16.8.2 Public Consultations During Resettlement Action Plan Preparation

During RAP preparation stage, the following public consultations were held at different stages.

- (i) Provincial stakeholder meeting
- (ii) Public Consultation Meeting on cut-off date

⁵ The Project information booklet will be written in Khmer. The PIB will be distributed to each AH during the DMS, and updated PIB will be distributed before signing contract with AHs. An English version draft of PIB in *Appendix 16-1: Project Information Booklet (English Draft Version)* will be translated in Khmer and be distributed during the DMS. The updated PIB to be distributed before signing contract with AHs, information of rehabilitation options (including outline of IRP) will be added.

(1) Schedule of Stakeholder Meetings

The schedules of stakeholder meetings held regarding NR-5 and Pursat Bypass are shown in Table 16.8-2. Female participants were limited for the provincial stakeholder meetings because of original gender balance of local authorities in Cambodia. For the commune level meetings, MPWT collaborated with local authorities requested active participation from both male and female. As a result, certain ratio of female participation was observed in commune level meetings.

| Province | District/Commune | Venue | Date | Participants | |
|----------------------|-------------------------------------|----------------------|----------------------------|--------------|--|
| Provincial Sta | keholder Meeting | | | - | |
| DOT | K D (| | 7 Aug. 2013 | Male=19 | |
| PST | Krong Pursat | PDPWT Office | at 2:30 pm | Female=2 | |
| ртр | Kasa - Dettember - | DDDW/T Office | 8 Aug. 2013 | Male=27 | |
| BTB | Krong Battambang | PDPWT Office | at 8:30 am | Female=2 | |
| ВМСН | Krong Serei Sophorn | PDPWT Office | 8 Aug. 2013 | Male=21 | |
| ЫЛСП | Krong Serer Sophorn | PDP w I Office | at 2:30 pm | Female=1 | |
| Public Consul | ltation Meeting on cut-off date (at | commune level) | | | |
| 1-PST | Pursat City | Svay Att commune | 26 Aug. 2013 | Male=31 | |
| | - Svay Att | center | at 2:00 pm | Female=8 | |
| | Bakan District | Tropoing Champ | 26 Aug 2012 | Male=45 | |
| 2-PST | - Snam Preah | Trapaing Chorng | 26 Aug. 2013 at 3:30 pm | Female=45 | |
| | - Trapaing Chorng | commune center | at 5.50 pm | remate=45 | |
| 3-PST | Bakan District | Boeung Khnar | 27 Aug. 2013 | Male=37 | |
| 5-151 | - Boeung Khnar | commune center | at 8:30 am | Female=35 | |
| | Bakan District | Douth Daingaai | 27 Aug. 2013 | Male=80 | |
| 4-PST | - Au Ta Poang | Pouth Raingsei | at 10:00 am | Female=40 | |
| | - Savy Daun Keo | pagoda | at 10.00 and | remate=40 | |
| | Moung Reussei District | | 27 Aug. 2013 | Male=44 | |
| 5-BTB | - Reussei Kraing | Kraloam Phlok pagoda | at 2:00 pm | Female=16 | |
| | - Prey Svay | | at 2.00 pm | Temate=10 | |
| | Moung Reussei District | Moung Reussei | 27 Aug. 2013 | Male=80 | |
| 6-BTB | - Kear | Gov. Hall | at 3:30 pm | Female=60 | |
| | - Moung | Gov. Hall | at 5.50 pm | remate=00 | |
| | Moung Reussei District | Dob Krasaing primary | 28 Aug. 2013 | Male=70 | |
| 7-BTB | - Kakoh | school | at 8:30 am | Female=55 | |
| | - Prey Touch | | | | |
| 8-BTB | Sangke District | Kampong Preang | 28 Aug. 2013 | Male=49 | |
| 0-DID | - Kampong Preang | commune center | at 10:00 am | Female=31 | |
| 9-BTB | Sangke District | Kampong Preah | 28 Aug. 2013 | Male=25 | |
| <i>)-</i> DID | - Kampong Preah | Commune Centre | at 2:00 pm | Female=11 | |
| | Sangke District | Pok Chhmar primary | 28 Aug. 2013 | Male=35 | |
| 10-BTB | - Anlong Vil | school | at 3:30 pm | Female=17 | |
| | - Au Dambang 2 | 501001 | at 5.50 pm | 1 011mit=1 / | |
| | Ou Chrov District | Chan Sy Samky | 29 Aug. 2013 | Male=110 | |
| 11-BMCH | - Samroang | Ratnaream pagoda | at 8:30 am | Female=120 | |
| | - Koub | - annarouni pugodu | | | |
| 12-BMCH | Poipet City | Nimitt 1 Rest Hall | 29 Aug. 2013 | Male=60 | |
| 12 Divicit | - Nimitt | | at 10:00 am | Female=50 | |
| 13-BMCH | Poipet City | Mong Chin | 29 Aug. 2013 | Male=26 | |
| 10 Dirich | - Psar Kandal | pagoda | at 2:00 pm | Female=20 | |
| 14-BMCH | Poipet City | Paleley pagoda | 29 Aug. 2013 | Male=55 | |
| 1 Diffeit | - Poipet | r aloroj pagoda | at 3:30 pm | Female=21 | |

| Table 16.8-2 | Public Meetings Held Regarding National Road No.5 and the PST Bypass |
|--------------|--|
|--------------|--|

Preparatory Survey for National Road No.5 Improvement Project (*Middle Section: Thlea Ma'am – Battambang, and Sri Sophorn – Poipet*)

| Province | District/Commune | Venue | Date | Participants |
|----------|-------------------|------------------------|--------------|--------------|
| 15-PST | Krakor District | Thnoat Chum | 26 Dec. 2013 | Male=17 |
| 15-151 | - Thnoat Chum | Commune Centre | at 2:30 pm | Female=14 |
| 16-PST | Pursat City | Commune Council | 26 Dec. 2013 | Male=25 |
| 10-151 | - Prey Nhy | House | at 4:00 am | Female=12 |
| 17-PST | Pursat City | Dontooy Dai nagada | 27 Dec. 2013 | Male=26 |
| 17-151 | - Banteay Dei | Banteay Dei pagoda | at 8:30 am | Female=6 |
| 18-PST | Kandieng District | Veal Commune Center | 27 Dec. 2013 | Male=20 |
| 10-131 | - Veal | vear Commune Center | at 10:00 am | Female=15 |
| 19-PST | Pursat City | Au Sdog village conter | 27 Dec. 2013 | Male=25 |
| 19-131 | - Phteah Prey | Au Sdoa village center | at 2:00 am | Female=13 |

(2) Key Points Raised and Discussed

(a) **PROVINCIAL Stakeholder Meetings**

Three provincial stakeholder meetings were conducted in Pursat, Battambang and Banteay Mean Chey province. During the meetings, a representative of MPWT made a short presentation which focused on background of NR-5 and its current situation, the Project and its impacts (positive and negative), result of initial survey, information about schedule of IOL and baseline survey (in July 2011). All participants were also provided with opportunities to discuss on bypass options.

The key points raised and discussed during the pre-IOL public meetings are the followings and the questions and responses of the meeting are summarized in Table 16.8-3.

- (i) Background of NR-5 and its current situation;
- (ii) TA objective, including Project background and its impacts (both positive and negative);
- (iii) The schedule of main activities for conduct an IOL, census of APs, social impact assessment, and replacement cost study (RCS);
- (iv) Discussion about the bypass options;
- (v) Discussion of other issues, including question and answer portion.
- (b) PUBLIC Consultation Meeting on cut-off date

A few days before the IOL commenced on 2nd September 2013 and 30th December 2013, the first of a series of public meetings with stakeholders (e.g., road users, residents of traversed communities, transport operators, government agencies, civil society, etc.) was held in Pursat, Battambang and Banteay Mean Chey Provinces by the PMU-MPWT and the Consultant (JICA Study Team) for the purpose of discussing the following:

- (i) Project outline, technical assistance background and objectives;
- (ii) Main activities of the research team (i.e., conduct of socio-economic household survey, IOL, RCS, etc.);
- (iii) Briefing on Key Principal of the project's policy on involuntary resettlement;
- (iv) Probable positive and adverse impacts of the Project, and recommendations on how to avoid and mitigate negative impacts;

- (v) Informing on Cut-Off Date: 2nd September 2013 for NR-5 and 30th December 2013 for Pursat Bypass; and
- (vi) Question and Response.

After an introduction of Local Authority, representative of MPWT/ICD described the background of NR-5 and its current situation, background of the Project and its impacts, both positive and negative. During the meetings, all participants were reinformed and explained about the Cut-off Date is 2nd September 2013 for the existing NR-5 and 30th December 2013 for the Pursat Bypass. In each meeting, there was also an open floor for discussion among the participants. The results of discussion are summarized in Table 16.8-3.

Table 16.8-3Questions and Responses of the Public Consultation Meeting
(Provincial level and on cut-off date)

| Question | Response |
|---|--|
| 1. About the project implementation | |
| Could you tell us, when the project will be started implementation? | ICD/MPWT : This study is the primary process to collect all impact data and consultation information from people. After this process, the RAP will be submitted to JICA and Gov. for approval. In case both parties agreed, the detailed design phase will be continued. At the moment, an exactly time schedule could not be specific. |
| Will the improved road consist of protected | ICD/MPWT : The NR-5 will be developed in to 4 lances as well as the way for bicycle riding and walking. So, the detailed design will be taking high consideration in avoiding traffic accident. The traffic signs will be also equipped adequately like slowing down sign, cross way sign and so on. |
| Will the project construct a drainage system along the improved road? | ICD/MPWT : Engineers will design carefully, so the people should not concern about this issue. The designing will be much considered on social and environmental negative impacts as much as possible. |
| Which one is better between improving the existing road and a new bypass construction in Pursat town? | ICD/MPWT: At the moment there is no any decided option (bypass or widening existing road or flyover) for the Pursat town. But based on the idea of SHM at provincial level, the bypass construction is a very good option. Because the bypass will be: Minimized project impact in both social and environmental. The study found that it will be more affected to people who are living in the town. So a negative impact of the project is more. Reduced a traffic volume due to the road is ASEAN High Way that will be caused to increase traffic accident, noisier and more pollution. The bypass will bring economic growth into the area, because the land along it will be over capacity to load people due to the population increase from day to day. |
| The suggestion from villagers | The project should construct system drainage for rain water. The project should also include cross ways above the NR-5, especially where are a hospital and school for people as well as animals. The designing should be focused on bridge construction rather than constructing a box culvert. It is to avoid the flooding that destroyed our rice. |
| 2. About the ROW/PRW and Relocation | |
| After the relocation, could we continue to | ICD/MPWT: Of course, people could keep living as normal. In order |

| Question | Response |
|---|--|
| live on the remained ROW land (10 meters)? | to avoid any loss, they could not build any more permanent structures |
| | such as houses or shops on it. But for the people who have more land |
| | outside the ROW, they should move out. People can also continue to |
| | use the remained land for crop cultivation purpose. |
| | ICD/MPWT: Due to the agreement from MPWT and JICA study |
| How many meter of ROW land will be used to build this road (PRW)? | team, the survey was covered only 20 meters in both sides from the |
| | road center line, because engineer needs enough space for truck |
| | moving during the construction and road embankment due to the land |
| | level. Therefore, the drawing will be detailed designed after this study |
| | if RGC and JICA approved on the study report. However, the people |
| | who are living on remained ROW (10 meters), they can still continue |
| | to live. |
| | ICD/MPWT : The Project will take 40 m for the road construction |
| construction? | area (PRW), but do not mean that the actual road is 40 m width. The |
| | actual road size will be known during the detailed design. According |
| | to the government policy, the Project will try to minimize its |
| | resettlement impact as much as possible. The road will be constructed |
| | in 4 lanes and two road sides for pedestrian and bicycle. |
| To avoid any lost in the future, how many | ICD/MPWT: People have to construct their houses outside the |
| meters from the road that people can | ROW. It means more than 30 m from the road center line. |
| construct their houses? Can people continue to use on their | ICD/MPWT : People can continue to use the remained land only in |
| remained land (10 m) in the ROW? | crop cultivation purpose. In order to avoid any loss, new permanent |
| Temanicu failu (10 iii) in the ROW : | structures such as houses or shops will not be allowed to be built. |
| | For AHs that has remained land in ROW, they have 2 options: i) First |
| | option is to continue to live on the remained land if they would like to |
| | do so and ii) second option is to inform to IRC-WG during the DMS |
| | process that they are landless HH. Then, the AHs will be registered in |
| | landless HH list. However, the APs should not be so worry. The |
| | Government will strongly consider on the issue. |
| | ICD/MP WT : Now, we do not know how many there are landless |
| | household. Therefore, we have to conduct an IOL survey and then the |
| I will lose all land (landless) after the road | Project will find a solution to solve the problem. |
| construction, what the project will deal with me? | The Project is development project. Therefore, local people will get |
| me? | the benefit from the project. It means their livelihood will be better |
| | because of the road construction. |
| Is the road expending (PRW) in the same size for both sides? | ICD/MPWT: In principal, the PRW is the same size (20 m) for both |
| | sides, but for the detailed design is not really the same due to land |
| | situation. For IOL will study in 20 m-20 m in both sides. |
| What size is the ROW in urban area? | ICD/MPWT : According to the sub-degree No.197, issued on 23 |
| | November 2009 stated that in urban are, the ROW will be defined by |
| | provincial or city governor in particularly. |
| The suggestion from villagers | The detailed design should be take more attention on road curve near |
| | Kampong Preah Bridge and Panha Pagoda that life accidents |
| | happened many time already. |
| 3. About the compensation and other assis | |
| Will the compensation start in 2 nd September | ICD/MPWT : The compensation will start when the construction |
| | plan is approved and before the road construction. People should |
| | continue to live as normal because the road construction might be |
| | started in 4 to 5 years more. This study is only to collect impact data for resettlement budget estimation and RAP preparing. Therefore, it |
| 2015? | $\mathbf{r}_{\mathbf{r}}$ reserve the number estimation and $\mathbf{k} \Delta \mathbf{P}$ intervating independent of the second state |
| 2013? | |
| 2015 (| is very useful for people to raise any concern for including in the RAP. |

| Question | Response |
|---|--|
| structures in the PRW? | cut-off date will be compensated by the project. For any structure is |
| | built after the cut-off date will not eligible for the compensation. |
| How the project will compensate for my affected house that was built since 1979? | ICD/MPWT: It will be compensated at replacement cost which will |
| | study by an independent consultant. The affected structures will be |
| | classified by types of structures. Please remember that only those |
| | structures are constructed before the cut-off date will be eligible for |
| | the compensation. |
| How to compensate between the old and new building? | ICD/MPWT : The compensation will be based on replacement cost. |
| | It means all affected structures (new and old) will be compensated as |
| | new structure cost. ICD/MPWT: |
| | 1- The affected house will be compensated at replacement cost which |
| | will be calculated by independent consultant. The construction |
| | materials and labor cost will be calculated in market price in the local |
| The Project implementation will affect my | 9 r 00 |
| house in PRW. The remained land will be | 2- Because the affected land in PRW/ROW, it is a state land and will |
| too small. What can the Project do for that? | not be compensated. But during the Project implement phase, RGC |
| | would have a clear policy to help AHs. In case, affected people do not |
| | have any more land or the remained land is not suitable for living, the |
| | Project will help them to solve the problem. |
| Will the Project compensate for land | ICD/MPWT : If the land has been filled up for house construction or |
| improvement in PRW? | business activity, cost for land improvement will not be compensated, because land within PRW belonging to state. |
| | |
| improvement in PRW? | ICD/MPWT: If the people filling the land in PRW, it will not be |
| | compensated, because land within PRW belonging to state. |
| | ICD/MPWT: During the RAP updating, the study team will conduct |
| | updated RCS. The RCS results are based on market price for both |
| | construction materials and labor cost. Therefore, with the |
| compensate to us? | compensation rate people can rebuild their stall as the same previous |
| | condition. |
| now to compensate for affected pagoda gate? | ICD/MPWT : Affected public or community properties will not |
| 0 | compensate in cash, but it will be reinstated by the project. ICD/MPWT: The compensation will be provided too. |
| | ICD/MP WT : Of course, people will get compensation for their |
| well in PRW? | affected wells, even though it is constructed in ROW. |
| I have paid about USD 20,000.00 for my | ICD/MPWT : The replacement cost will be conducted by |
| house construction. Would the Project | independent consultant who has well experienced on it. The RCS |
| compensate for the same amount? | results are based on market price for both construction materials and |
| | labor cost. Therefore, with the compensation rate people can rebuild |
| | their houses in the same previous houses. |
| Will the compensation be done before the construction work? Do they compensate affected drainage and gates in the ROW? | ICD/MPWT: The compensation will be done before the construction |
| | work. This study is not for compensating, it is just for impact data |
| | collecting for preparing RAP. |
| | If the project was approved to construct, then the DMS will be done |
| | by IRC-WG for compensation payment. |
| | ICD/MPWT : The affected properties will divided into 2 types: (i) |
| | |
| | public property: it will be reconstructed by IRC and (ii) Private |
| | property: it will be compensated in cash by the project. The |
| | compensation will be done after resettlement DMS process is |

| Question | Response |
|--|--|
| | approved. Any properties before the cut-off date will included into |
| | the resettlement budget. |
| Is there a compensation for affected private culverts? | ICD/MP WT : Those affected culverts will be replaced with new ones, if it is belong to public property. In case it is a private property, the Project will compensate to the owner with replacement cost. It means people can restore their culverts with the compensation amount. |
| Will the Project compensate for crops in PRW? | ICD/MPWT : The Project will compensate in order to support AH income, because their incomes from the crops or trees will be temporarily decreased by the Project Impact. |
| Will the Project compensate for the whole structure if it is affected in a part (30%)? | ICD/MP WT : It will be based on the actual structure figure. Sometimes, the structure is affected a part, but it cannot be cut so the compensation have to be done for the whole structure. On the contrary, if the structure can cut in affected part, so the compensation will be done only the affected size. The compensation for the affected structure will be divided by type, size and number of floor. |
| If the construction work affects religious worship places such as spirit houses, how does the Project compensate for the community? | ICD/MPWT : In this case, the Project will be tried to avoid its impact as much as possible. In case, it could not avoid the Project will discuss with the community to find a suitable place to reconstruct it. It ensure that the new one is the same as or better than the old one. The cost for ceremony also will be provided if it is needed. |
| How to deal with the affected public or community property? | ICD/MPWT : The affected public or community property will be replaced with new ones. IRC WG will invite a few contractors to bide for rebuilding the affected properties. |
| Who is responsible for the property loss compensate? Is it JICA? | ICD/MPWT : No, the compensation will be a responsibility of the Cambodia Government side, which is implemented through IRC based on the approved policy by JICA and the Government of Cambodia. JICA will provide only a loan for road construction. Even though, JICA is also much considerate on resettlement policy and resettlement implementation. |

16.9 Grievance Redress

Grievances of AHs in connection with the implementation of the RAP will be handled through negotiation with the aim of achieving consensus. Complaints will go through three stages before they may be elevated to a court of law as a last resort.

16.9.1 First Stage, Commune Level

An aggrieved AH may bring his/her complaint to the commune leader. The commune leader will call for a meeting of the group to decide the course of action to resolve the complaint within 15 days, following the lodging of complaint by the aggrieved AH. The meeting of the group consists of the commune leader, representative/s from PRSC-WG of the district offices, and the aggrieved AH. The commune leader is responsible for documenting and keeping file of all complaints that are coursed through him/her. If after 15 days the aggrieved AH does not hear from Village or Commune, or if the AH is not satisfied with the decision taken by in the first stage, the complaint may be brought to the District Office either in writing or verbally.

16.9.2 Second Stage, District Office

The District office has 15 days within which to resolve the complaint to the satisfaction of all concerned. If the complaints cannot be solved in this stage, the district office will bring the case to the Provincial Grievance Redress Committee.

16.9.3 Third Stage, Provincial Grievance Redress Committee

The Provincial Grievance Redress Committee, which consists of Provincial Governor or Deputy Governor as a committee chairman and Directors of relevant Provincial Departments as members will be established in each province prior to DMS, meets with the aggrieved party and tries to resolve the complaint. The Committee may ask to PRSC-WG for a review of the DMS by the EMA. Within 30 days of the submission of the grievance the Committee must make a written decision and submit a copy of the same to MPWT, the EMA, IRC and the AH.

16.9.4 Final Stage, the Court Procedures

If the aggrieved AH is not satisfied with the solution made by the Provincial Grievance Redress Committee based on the agreed policy in the RAP, the committee shall file administrative procedures against the AHs with the participation of provincial prosecutors. The case will be brought to the Provincial Court and the same will be litigated under the rules of the court. At the same time, the AH can bring the case to the Provincial court. During the litigation of the case, RGC will request to the court that the project proceed without disruption while the case is being heard. If any party is unsatisfied with the ruling of the provincial court, that party can bring the case to a higher court. The RGC shall implement the decision of the court.

16.10 Relocation Strategy

16.10.1 Preferred Option by Landless AHs

Landless AHs have expressed their preferred option for relocation during stakeholder meeting in August 2013. They expressed their desire to relocate near the road or at near public facilities such as public hospitals, schools, markets, worships, and with provision of basic infrastructures such as access road in the resettlement sites, toilets, water supply connection, etc. Another consultation with them on relocation options and schedule to the new resettlement site will be conducted again during this RAP implementation.

16.10.2 Relocation Strategy

Landless AHs will be given opportunities to decide on two relocation options during for their relocation. The two options are (1)-self relocation (individual household) with project assistance

and (2)-group relocation to resettlement sites prepared by the project.

Self relocation option: For the road section with landless AHs, they can have their own relocation to any location they preferred. The cash assistance for land use will be provided them to make their own relocation arrangements. This cash assistance will be calculated based on the cost resettlement site per landless household, if it is prepared by the project.

Group relocation to resettlement sites prepared by the project: IRC-WG in collaboration with PRSC will acquire a piece of land for preparing a resettlement site based on consultation with landless AHs or their representatives. The location of the land will be as close as possible to their original land and will have acess to nearby or on-site primary and secondary schools, health facilities and market facilities. For this option, each landless AH will be relocated together in one resettlement site on a District basis, regardless commune of his/her existing location.

Under group relocation option, each landless AH will be provided a plot of land of 105 m^2 (7 m x 15 m) for free. After 5 consecutive years of living on the land, title to the land plot (secure tenure status) will be provided to the AHs. Similarly to private land owners who opt for land replacement, IRC will facilitate Ministry of Land Management, Urban Planning and Construction (MLMUPC) to provide the secure tenure status.

Prior to relocation of AHs, site development will ensure basic infrastructure including the following:

- (i) Source of water supply;
- (ii) Drainage system;
- (iii) Electricity to site and, as necessary, local distribution system; and
- (iv) Road access to and within the resettlement site.

All basic infrastructures at the resettlement site should be ready before AHs are asked to relocate there. Furthermore, impact on livelihood activities of all shop owners will be minimized. IRC-WG and PRSC-WG will consult with landless AHs about the relocation and civil work schedule including site development schedule during the DMS.

In addition to these, owners of affected private land can also opt for land replacement if they preferred and the land replacement will be equal size and located as close as possible to the existing location. All replacement lands will be provided for free with secure tenure status. IRC will facilitate Ministry of Land Management, Urban Planning and Construction (MLMUPC) to provide the secure tenure status.

16.10.3 Summary Cost of Resettlement Site Development

The land location and price were identified and surveyed by the consultant team during the project preparation, and the budget for resettlement site development was also estimated. Since the final selection of the land locations for Bakan, Moung Reussei and Ou Chrov District will be done

during the RAP implementation through consultation with landless AHs, the budget for relocation site development will be revised based on the actual land location selection and its price.

(1) Resettlement Site at Bakan District

In Bakan District, PST Province, there are only 39 landless AHs. Each of them will be entitled to a land plot of 105 m² (7 m x 15 m) at the new resettlement site. Basic infrastructures at resettlement site such as access roads, latrines, electricity and deep well will be provided.

(2) Resettlement Site at Moung Reussei District

In Moung Reussei District, BTB province, there are 14 landless AHs. The AHs will be encouraged to relocate to a new resettlement site with basic infrastructures such as access roads, latrines, drainages, and pumping wells, etc. Each landless AH will receive a land plot of 105 m^2 (7 m x 15 m), plus other basic infrastructures.

(3) Resettlement Site at Ou Chrov District

As mentioned in entitlement matrix, the project has two options with regard to relocation: (i) self-relocation and (ii) project sponsored site, calling resettlement site (RS) with security of tenure and basic infrastructure. In Ou Chrov District, BMCH province, there are 39 landless AHs. The same as other RS, the AHs are encouraged to relocate to a new resettlement site with basic infrastructures such as access roads, latrines, drainages, and pumping wells, etc. Each landless AH will receive a land plot of 105 m² (7 m x 15 m).

16.11 Income Restoration Strategy

Restoring the incomes of AHs, whose means of livelihood has been disturbed or removed, is a high priority for RGC and JICA. This is of particular concern with respect to households whose livelihoods as well as property are lost as a result of the road improvement. Therefore, an Income Restoration Program (IRP) will be developed during resettlement implementation stage, after DMS is conducted. IRC will contract out to implement IRP (See Appendix 16-3: Terms of Reference for Income Restoration Programs).

Possible measures to restore livelihood depend on sort of income sources. The Vulnerable, Severely and Relocating AHs will be entitled to an IRP to restore income and livelihood as affected by the project. Thus, the contents of income restoration should be discussed based on situations and need assessment of target groups. The result of SES and other surveys such as DMS can be utilized for the discussion to design an effective IRP.

16.12 Cost and Budget

The cost for resettlement will be covered by the government counterpart funds. Funds for the implementation of the RAP are part of the Project Cost. The land acquisition and resettlement cost has been estimated based on results of the IOL and the RCS conducted during the Project Study from September 2013 to January 2014.

16.12.1 Procedures for Flow of Funds

IRC will request the resettlement budget from MEF and the compensation amount will be transferred to relevant PDEF for releasing compensation and allowances to AHs. Payment of compensation and other entitlements will be in cash and will be distributed in public place (commune centre, school, pagoda etc.). The AHs will be notified through the village chiefs with regards to the schedule of payment of compensation and other entitlements.

16.12.2 Updating of the Compensation Rates

An RCS were conducted by local consultant during the project preparatory study as basis unit rate to estimate the cost for resettlement and land acquisition. Since compensation to AHs will be commenced in 2016 or 2017 (tentative schedule), the conducted RCS will be updated to reflect the current market price of affected property. The RCS updating will be conducted in parallel with the DMS.

16.12.3 Estimated Costs for Resettlement

The estimated costs for resettlement and land acquisition based on the RCS and the IOL during the project preparatory study is USD 8,059,913.55, which includes cash compensation, assistance and reinstate public assets for USD 888,815.00, external monitoring and income restoration of USD 482,217.05, administration cost of 5% equivalent to USD 344,440.75, and contingency of 5% or equivalent to USD 344,440.75. The Government will ensure timely provision of funds for resettlement costs and will meet any unforeseen obligations in excess of the resettlement budget in order to satisfy resettlement objectives. The resettlement estimated cost will be updated during the resettlement implementation based on the Detailed Measurement Survey (DMS) and the updated RCS.

16.13 Monitoring and Evaluation

16.13.1 Internal Monitoring

PMU-ES in close coordination with IRC will conduct an internal monitoring on resettlement implementation. The monitoring will include progress reports, the status of the RAP

implementation, information on location and numbers of people affected, compensation amounts paid by item, and assistance provided to AHs. The report of monitoring results will be prepared by MPWT and submitted to IRC and JICA on quarterly basis.

The following indicators will be monitored periodically by PMU-ES/MPWT:

- (i) Compensation and entitlements are computed at rates and procedures as provided in the approved RAP;
- (ii) AHs are paid as per agreed policy provided in the RAP by the Project authorities;
- (iii) Public information, public consultation and grievance redress procedures are followed as described in the approved RAP;
- (iv) Public facilities and infrastructure affected by the Project are restored; and
- (v) The transition between resettlement and civil works is smooth.

16.13.2 External Monitoring

The external monitor has the specific responsibility of studying and reporting on measures for income restoration and on social and economic situations of AHs particularly disrupted by the road works, including all households whose houses or shops and stalls are relocated. The external monitor also has the responsibility of reviewing potentials for job opportunities and training for AHs, including women and youth, which would be assisted by provincial authorities, and for which the Commune Resettlement Committees and local NGOs may provide additional support.

IRC will hire an External Monitoring Agency (EMA) to carry out external monitoring and post-implementation evaluation. The TOR for the engagement of the EMA is provided in Appendix 16-2: Terms of Reference for External Monitoring Agency. The external monitoring reports will be submitted to IRC on quarterly basis, and then IRC will forward to MPWT/PMU and JICA. The post evaluation will be conducted within one year after all resettlement activities are completed.

The EMA will assess (i) the achievement of resettlement objectives, (ii) changes in living standards and livelihoods, (iii) the restoration of the economic and social conditions of the AHs, (iv) the effectiveness, impact and sustainability of assistance measures, (v) the need for further mitigation measures, if any; and, (vi) identify strategic lessons for future policy formulation and planning. The EMA will also be responsible for checking the procedures and resolutions of grievances and complaints. The EMA may recommend further measures to be taken to redress unresolved grievances.