

























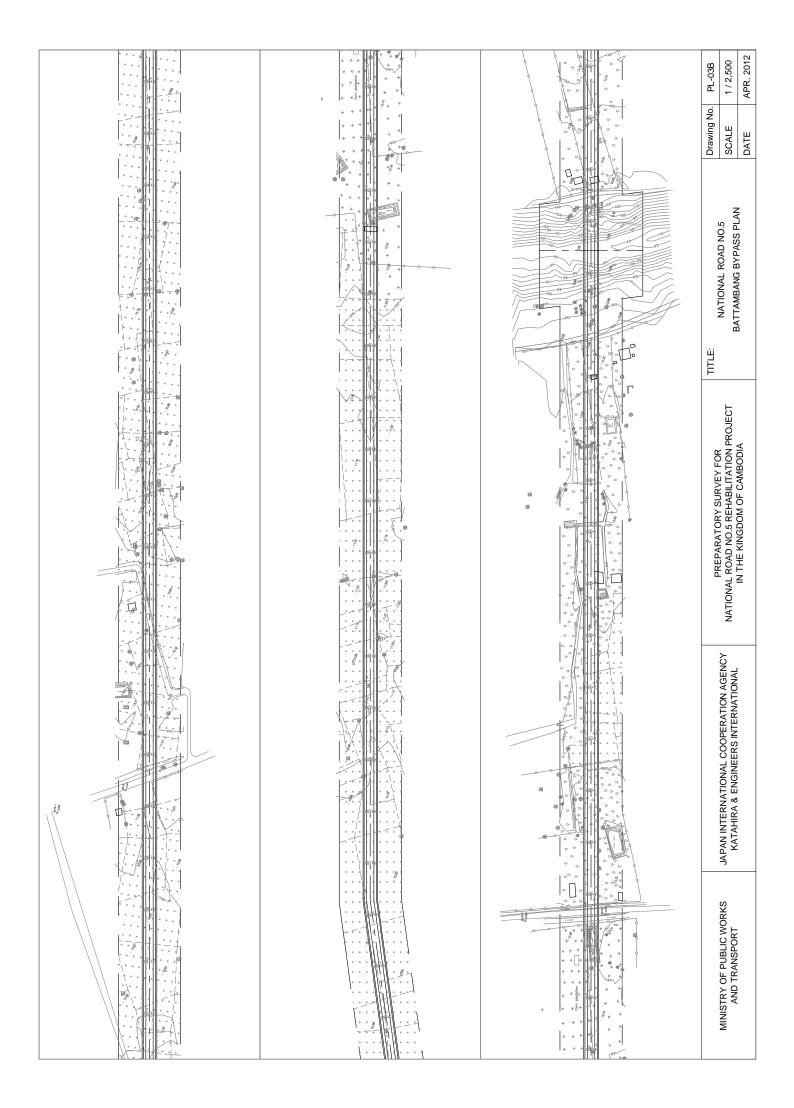


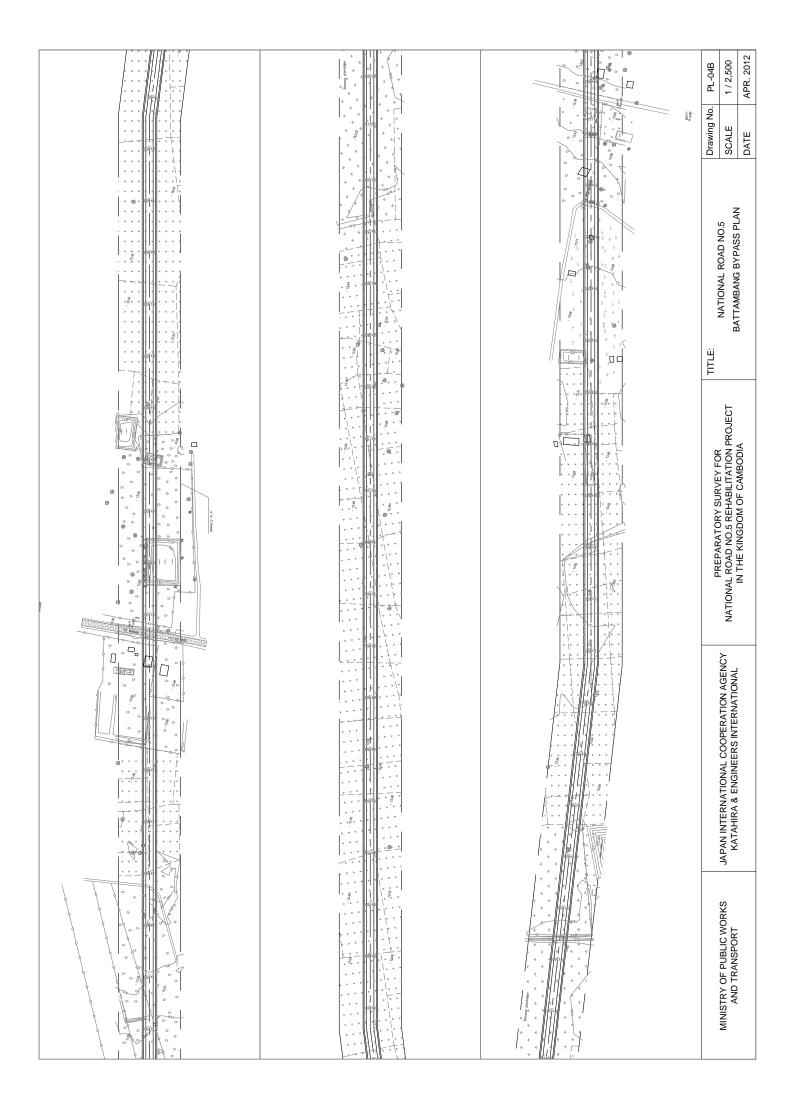


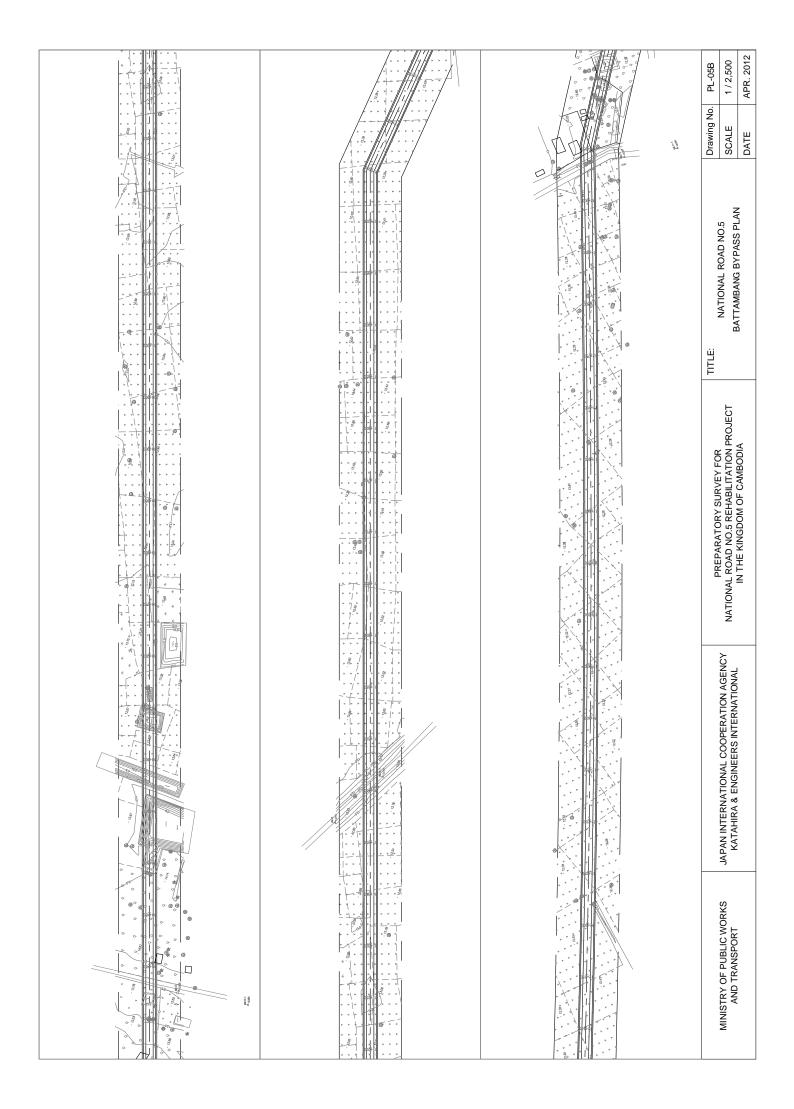
Appendix 10-2 Battambang Bypass

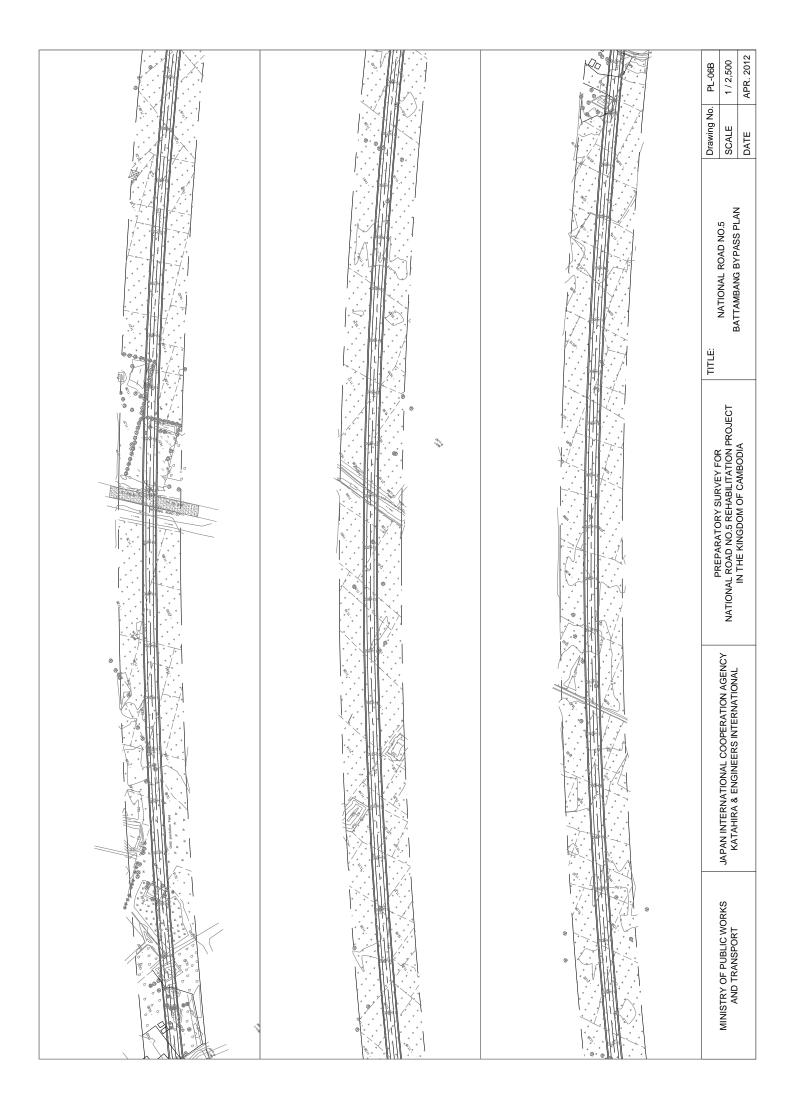
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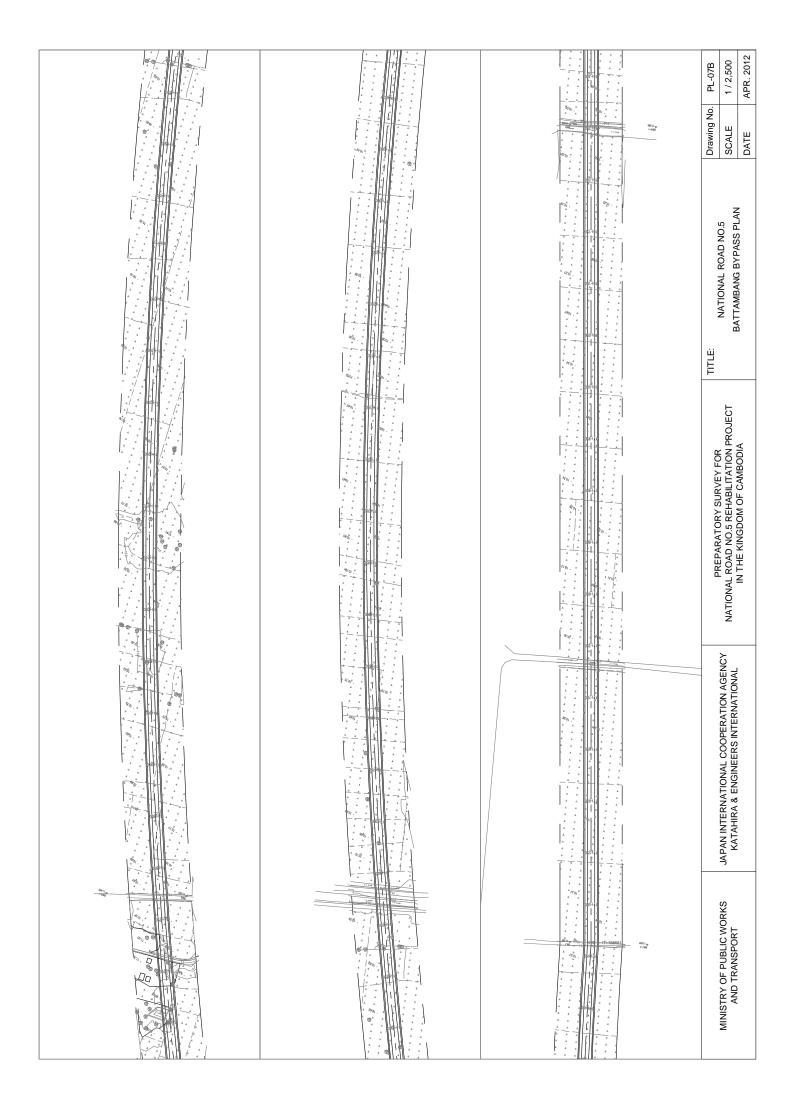
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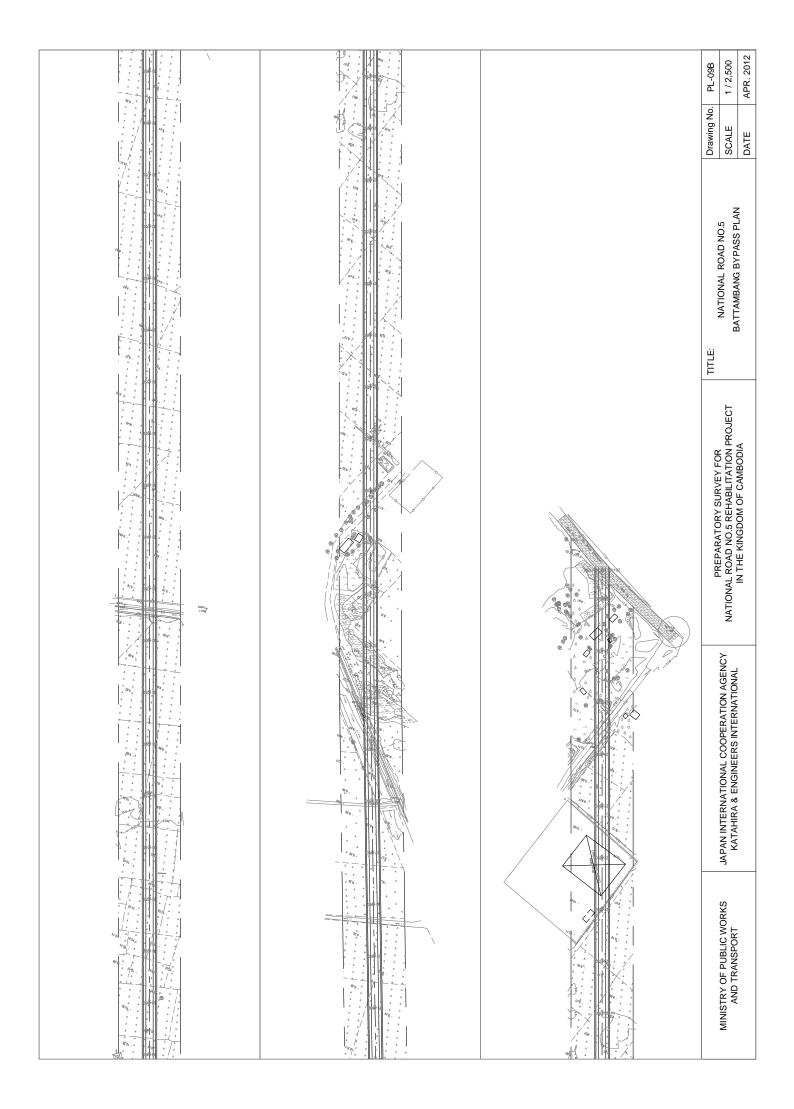




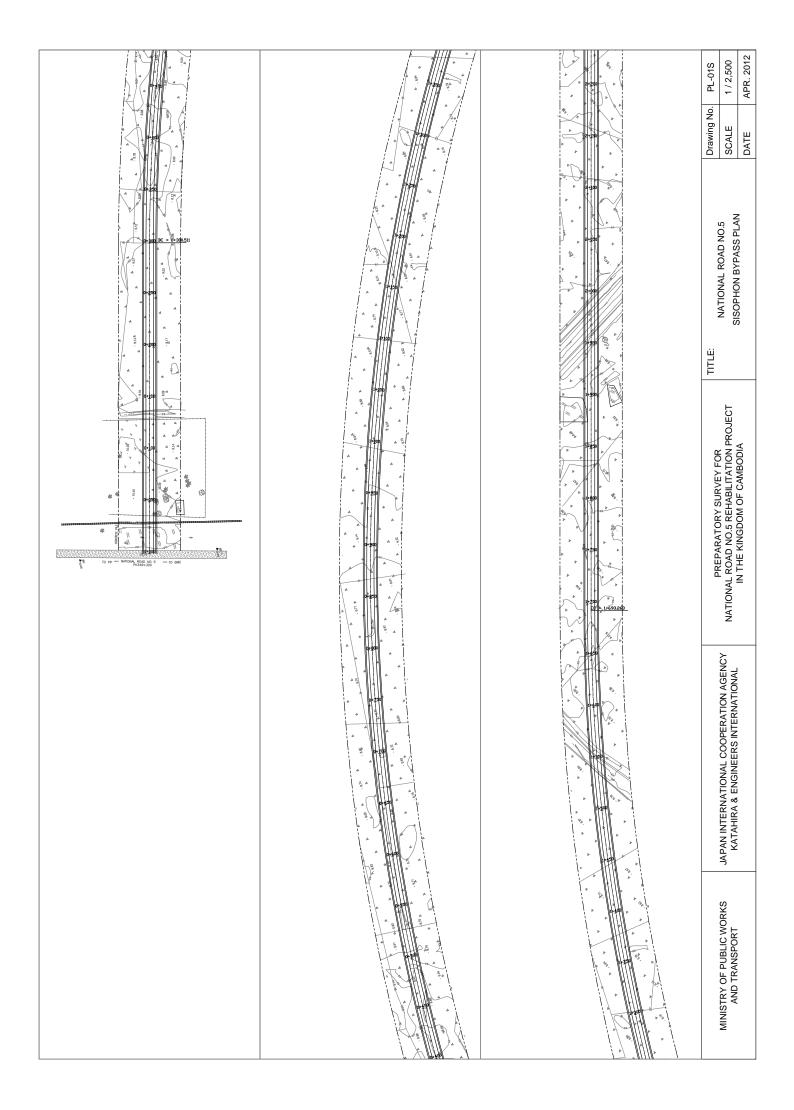


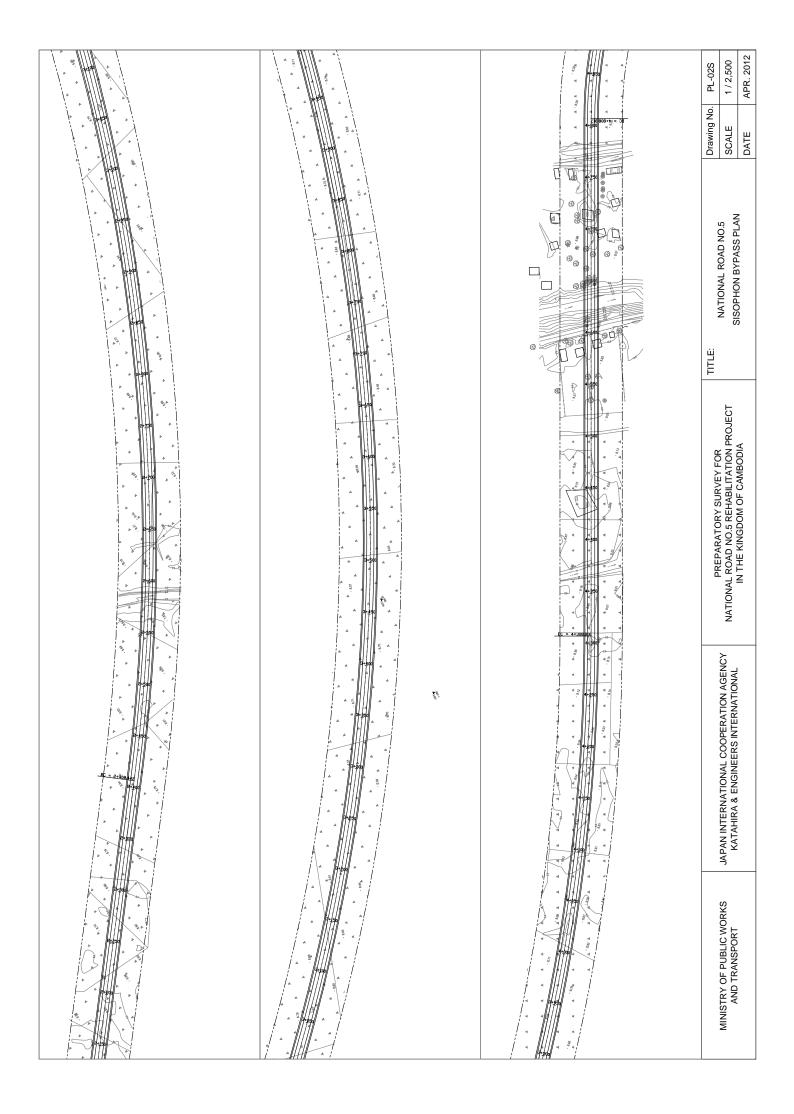


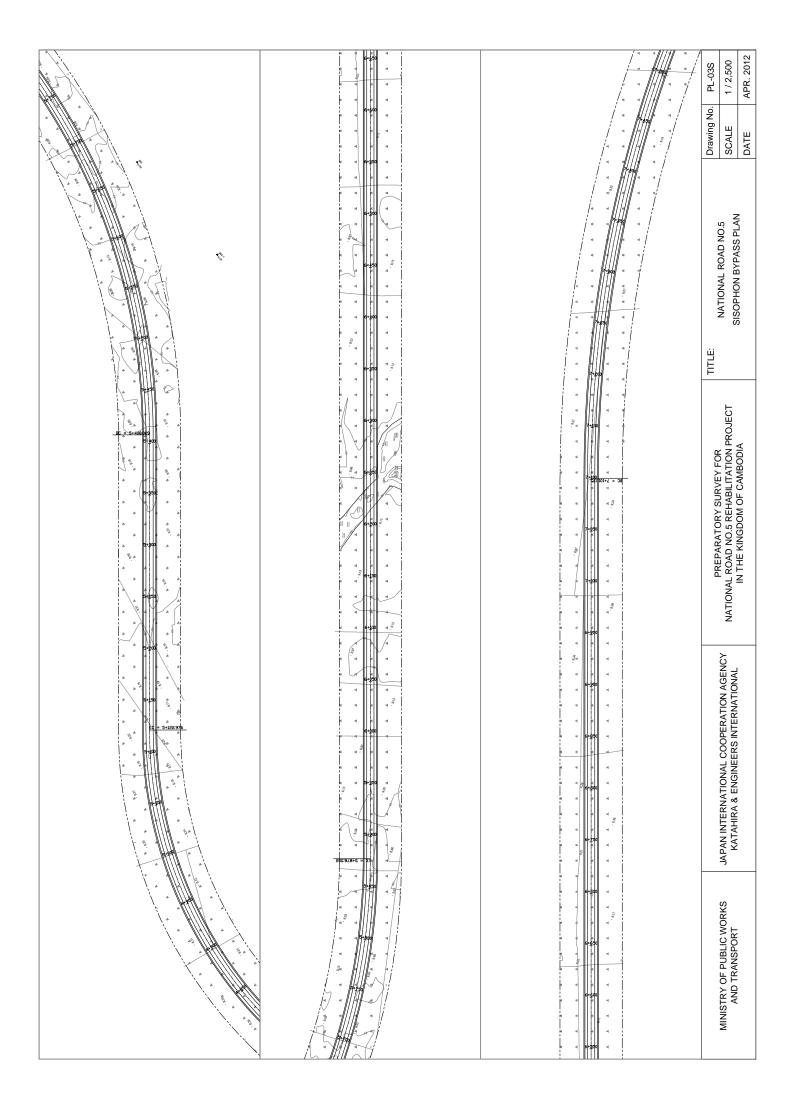
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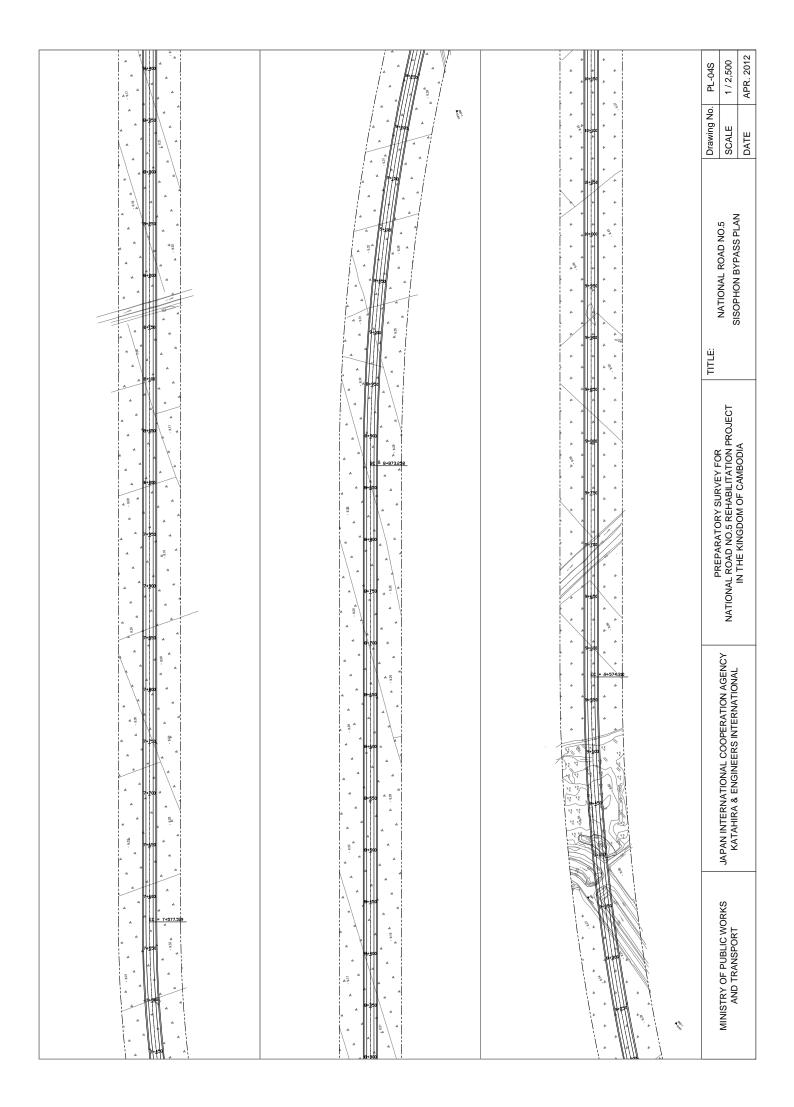


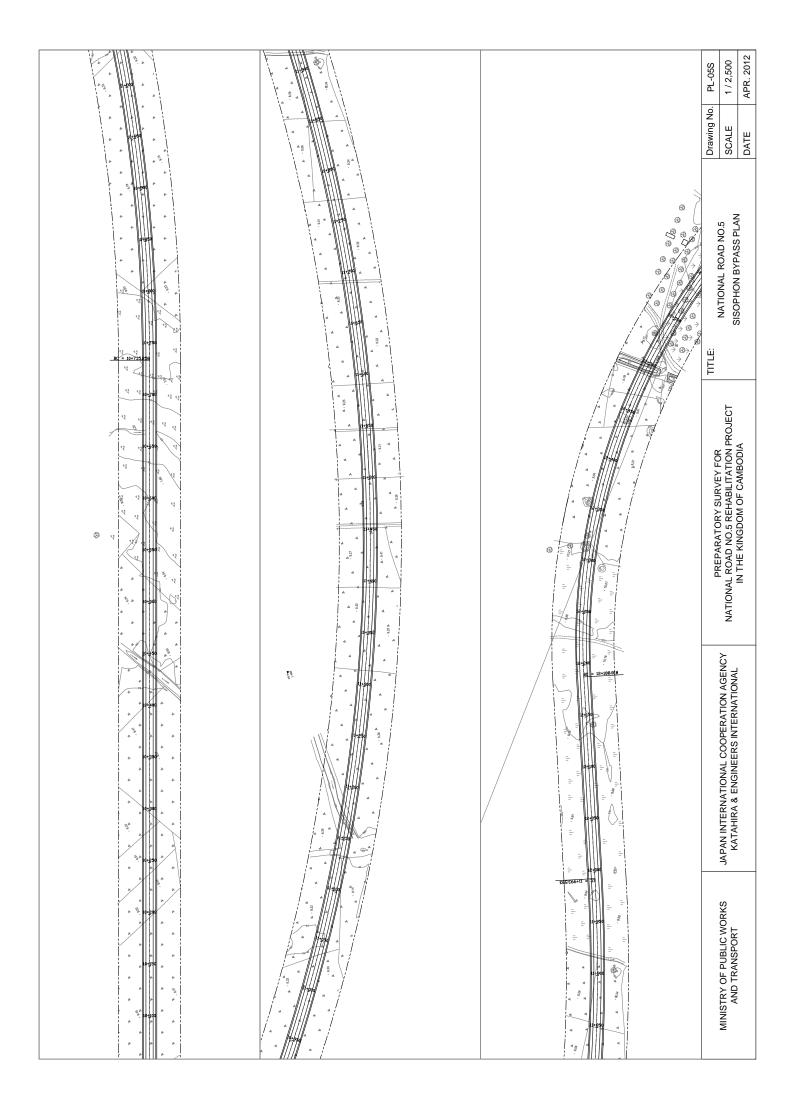
Appendix 10-3 Sisophon Bypass

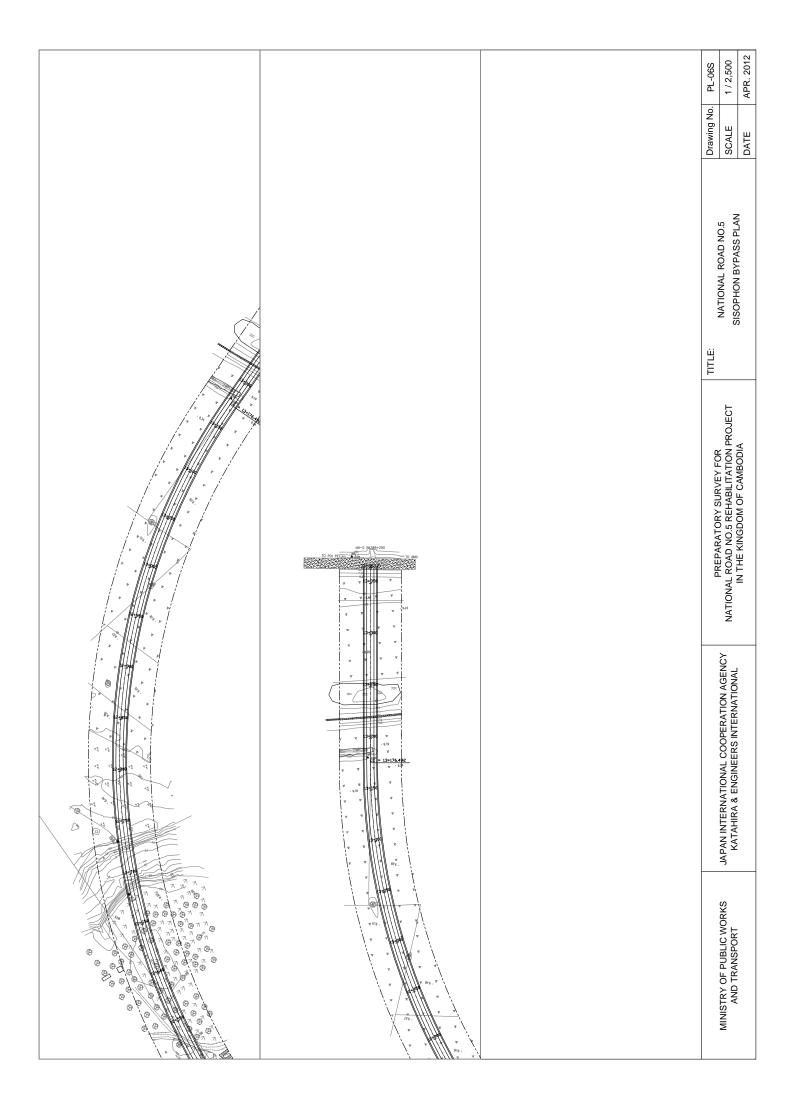








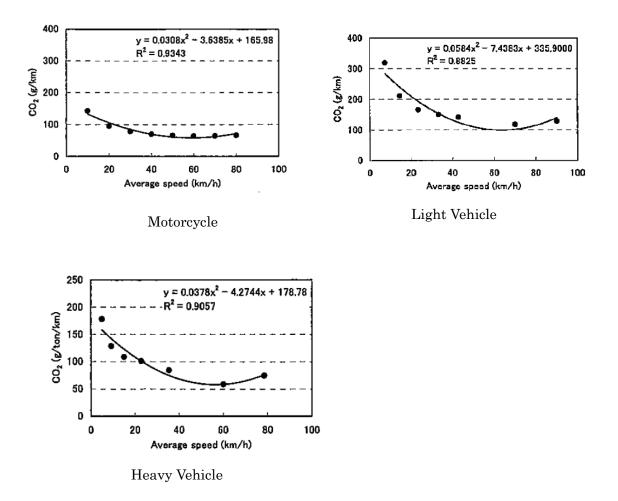




Appendix 17-1 Calculation of Total CO₂ Emission

1. Unit Emission Rate

Gross amounts of emission of CO^2 for 'With Project' and 'Without Project' cases were calculated using JICA STRADA. Unit emission rates of Motorcycles, Light Vehicles and Heavy Vehicles were quoted from the report of 'the Project of Support to Clean Development Mechanism for Mitigating Global Environmental Problems, 2003'. The unit emission rate for each vehicle type is shown below:



2. Result of Calculation

The result of calculation is as shown below:

(1) Motorcycle

Year	Vehicl	e-Kms	Speed (km/hour)		n/hour) Co2 (g/km)		Co2 (g/km)		Co2 (ton/km)			Total Reduction (ton)	
	Without	With	Without	With	Without	With	Without	With	Without	With			
					Per V	ehicle	North S	Section	North S	Section	% Reduction	Per Day	Per Year
2016	442,330	442,330	49.1	60.0	61.582	58.55	27,239,566	25,898,422	27.2	25.9	4.9%	1.3	489.5
2018	535,440	535,440	48.0	60.0	62.295	58.55	33,355,235	31,350,012	33.4	31.4	6.0%	2.0	731.9
2021	722,607	723,027	44.0	60.0	65.514	58.55	47,340,853	42,333,211	47.3	42.3	10.6%	5.0	1,827.8
2030	1,129,170	1,130,757	32.9	58.9	79.611	58.524	89,894,353	66,176,403	89.9	66.2	26.4%	23.7	8,657.1

(2) Light Vehicle

Ye	ar	Vehicle	e-Kms	Speed (Speed (km/hour) Co2 (g/km)		Co2 (g/km)			Co2 (ton/km)	Total Reduction (ton)			
		Without	With	Without	With	Without	With	Without	With	Without	With			
						Per V	ehicle	North S	lection	North S	Section	% Reduction	Per Day	Per Year
20	16	173,570	174,062	49.2	60.0	111.301	99.842	19,318,470	17,378,658	19.3	17.4	10.0%	1.9	708.0
20	18	194,066	194,558	48.1	60.0	113.232	99.842	21,974,527	19,425,100	22.0	19.4	11.6%	2.5	930.5
20	21	249,120	249,686	44.3	60.0	120.992	99.842	30,141,527	24,929,110	30.1	24.9	17.3%	5.2	1,902.5
20	30	370,242	370,866	33.5	59.1	152.256	100.276	56,371,627	37,188,999	56.4	37.2	34.0%	19.2	7,001.7

(3) Heavy Vehicle

Year	Vehicle	e-Kms	Speed (F	(m/hour)	Co2 (g/km)		Co2 (g/km)			Co2 (ton/km)	Total Reduction (ton)		
	Without	With	Without	With	Without	With	Without	With	Without	With			
					Per V	ehicle	North S	Section	North S	Section	% Reduction	Per Day	Per Year
2016	76,317	76,317	49.4	60.0	59.87	58.396	4,569,079	4,456,588	4.6	4.5	2.5%	0.1	41.1
2018	84,204	84,204	48.5	60.0	60.386	58.396	5,084,723	4,917,157	5.1	4.9	3.3%	0.2	61.2
2021	105,248	105,248	45.3	60.0	62.718	58.396	6,600,965	6,146,082	6.6	6.1	6.9%	0.5	166.0
2030	140,499	140,499	34.9	59.4	75.644	58.252	10,627,881	8,184,328	10.6	8.2	23.0%	2.4	891.9

Note: Only the traffic volume and vehicle-kilometerage of Light Vehicles are estimated to increase sue to diversion from other roads, such as NR 6 after NR 5 is improved. Some Light Vehicles are supposed to use NR 6 in the future as congestion of NR 5 will become severe. Motorcycles are not estimated to divert from other roads because their trip lengths are short and not affected by improvement of NR 5. Heavy Vehicles are not estimated from other road, probably because there will be little difference in travel speeds on NR 5 and NR 6.

(4) Total Reduction

	Total Reduction (ton)						
Year	Per Day	Per Year					
2016	3.4	1,238.6					
2018	4.7	1,723.6					
2021	10.7	3,896.4					
2030	45.3	16,550.6					

Appendix 17-2

Method and Assumptions Adopted in Estimation of Noise and Gas Emission

1. Estimation of Noise

(i) Model for estimation

The model adopted to estimate traffic noise is the model developed by the Acoustic Society of Japan. This model has been widely used in Japan for estimation of road traffic noise.

This model is expressed as follows:

(a) Basic model: Basic model is as follows.

$$L_{Aeq} = 10\log_{10}(10^{L_{AE}/10} \frac{N}{3600}) = L_{AE} + 10\log_{10}N - 35.6$$

Where,

 L_{Aeq} : Equivalent noise level [dB] N: Traffic volume [veh/h]

And,

$$L_{AE} = 10\log_{10}\left\{\frac{1}{T_0}\left(\sum_i 10^{L_{A,i}/10} \cdot \Delta t_i\right)\right\}$$

Where,

 $\begin{array}{rcl} L_{Ai} & : & \text{Time fluctuation of noise level at A-characteristics, } \Delta t_i \equiv \Delta l_i / V_i \, [\text{s}] \\ T_0 & : & \text{Unit time [s]} \\ \Delta l_i & : & \text{Length of } i \text{th section of the road [m]} \\ V_i & : & \text{Average travel speed of vehicles on } i \text{th section of the road [m/s]} \end{array}$

(b) Propagation Model: Propagation is calculated by the following formula.

$$L_{A,i} = L_{WA,i} - 8 - 20\log_{10} r_i + \Delta_{cor,j}$$

Where,

$L_{A,i}$:	Noise level from <i>i</i> th point propagated to the point of measurement at A
		characteristics [dB]
$L_{WA,i}$:	Noise power level of the vehicle at <i>i</i> th point at A characteristics [dB]
r _i	:	Distance between <i>i</i> th point and the point of measurement [m]
$\Delta_{cor,i}$:	Correction factors dependent on conditions of propagation [dB]

(c) Power Level of Vehicles Noise : Power level of vehicle noise is calculated by the following formula.

$$L_{WA} = a + b \log_{10} V + C$$

Where,

- L_{WA} : Average travel speed of vehicles [km/h]
 - a : Coefficient dependent on the type of vehicle (See table below)
 - b : Coefficient dependent on vehicle speed; 30 for deceleration and constant speed, 10 for acceleration and unstable speed
 - C : Coefficient for other conditions, such as type of pavement surface

Table of coefficient a:

Type of Vehicle	Constant Speed (40 – 140 km/h)	Unstable Speed
Small Vehicle	46.7	82.3
Large Vehicle	53.2	88.8

(ii) Assumptions

Following conditions are assumed:

- Point of calculation of noise level
- Distance from the road: 20m from the centerline of the road
- Height: 1.2m from ground surface
- Traffic volume: Traffic volume estimated at Station No. 7

Year			Year 2021			Year 2030	
Vehicle	Туре	MC	LV	HV	MC	LV	HV
Station	5	3,693	3,672	18,90	5,623	5,106	2,610
Station	6	34,713	5,554	2,756	54,063	7,612	3,700
Station	7	24,647	6,492	1,527	38,560	9,253	2,110
Station	8	12,700	4,827	2,774	19,807	7,245	3,687

MC: Motorcycle LV: Passenger car, Small truck etc HV: Large truck, Large bus etc

- 2. Estimation of Gas Emission from Traffic and Its Diffusion (NOx and SPM)
- (i) The models adopted in the estimation of emission gas are those widely used in Japan.
 - (a) Windy Condition: Under the condition with wind velocity of 1.0m/sec or larger, so-called 'Plume Model' is adopted.

$$C(x, y, z) = \frac{Q}{2\pi \cdot u \cdot \sigma_y \cdot \sigma_z} \exp\left(-\frac{y^2}{2\sigma_y^2}\right) \left[\exp\left\{-\frac{(z-H)^2}{2\sigma_z^2}\right\} + \exp\left\{-\frac{(z+H)^2}{2\sigma_z^2}\right\}\right]$$

Where,

C(x, x)	y,z)	:	Concentration of NOx at point (x,y,z) (ppm) (or concentration of SPM(mg/m ³))	
	Q	:	Emission of NOx from the source (ml/s) (For SPM mg/s)	
	и	:	Average wind velocity (m/s)	
	H	:	Height of source of emission (m)	
$\sigma_{_y}$,	σ_{z}	:	Width of diffusion in horizontal direction (y), a	and vertical direction (z) (m)

- x: Distance from the source of emission in the direction of wind(m)
 - y : Horizontal distance in the direction of x axis (m)
 - z : Vertical distance perpendicular to x axis (m)
- (b) Calm Air Condition: Under calm air condition with wind speed of 1.0 m/sec or less, so-called 'Puff Model' is adopted.

$$C(x, y, z) = \frac{Q}{(2\pi)^{3/2} \cdot \alpha^2 \cdot \gamma} \left\{ \frac{1 - \exp\left(\frac{-\ell}{t_0^2}\right)}{2\ell} + \frac{1 - \exp\left(\frac{-m}{t_0^2}\right)}{2m} \right\}$$

Where,

$$\ell = \frac{1}{2} \cdot \left\{ \frac{x^2 + y^2}{\alpha^2} + \frac{(z - H)^2}{\gamma^2} \right\} \qquad m = \frac{1}{2} \cdot \left\{ \frac{x^2 + y^2}{\alpha^2} + \frac{(z + H)^2}{\gamma^2} \right\}$$

 $t_0 : \text{Time corresponding to initial diffusion (s)}$ α , γ : Coefficient on width of diffusion x: Distance measured in the direction of wind direction (m) γ : Horizontal distance measured perpendicular to x axis (m) z: Vertical distance measured perpendicular to x axis(m) $t_0 = \frac{W}{2\alpha}, \quad W = \text{carriageway width}$

And
$$\alpha = 0.3$$
, $\gamma = 0.18$ (day time), 0.09 (night time)

(ii) Assumption

Assumed conditions are as follows:

(a) Wind speed and direction

Since there are no data of average wind speed and average wind direction, average of the

maximum wind speed as shown below:

		Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2010	Direction	NE	SW	S	S	NW	SW	SW	Е	SW	NE	N	NE
	Speed	5	5	4	5	14	7	10	8	6	5	5	5
2011	Direction	NW	NW	N	SE	S	W	W	SW				
	Speed	6	5	5	7	12	8	10	12				

Gust factor of 2.5 was adopted to estimate average wind speed from maximum wind speed.

- (b) Point of estimation of concentration
 - Distance from road: 20m from centerline of the road
 - Height: 1.5m from ground surface

Appendix 18-1 IOL/SES Questionnaire Form

INVENTORY OF LOSS AND SOCIO-ECONOMIC QUESTIONNAIRE

QID:	
Date of interview:/ 2011	Starting time:
Interviewer's name:	Ending time:
Supervisor's name:	Village Headman:
I. LOCATION	
PK: (Road direction is from Phnon	n Penh to Banteay Mean Chey).
Left Right NR#5	Bypass
House No: Village	9:
Commune: District:	Province:
Distance from centerline of road to people's land	
Distance from centerline of road to people's house.	meters (first column or wall).
II. PROFILE OF HOUSEHOLD HEAD	
Ask for head of household (if not present ask spous	se or other adult, but over 18 years old)
2.1 H/H Name: Call N	ame:
2.2 Age: Sex: Male	e 🖵
2.3 Occupation:	
2.4 Ethnic group:	
Code: 1=Khmer 2=Chinese 3 5=Other (specify)	3=Cham 4= Vietnamese
2.5 The respondent is the household head? \Box)	Yes (If yes go to 2.8) 🛛 🛛 No
2.6 If no, what is the relationship with the household	d head?
Name of the respondent:	
2.7 Age: Sex: Male Femal	e 🗖
2.8 Fill H\H head status in the box below (multi and	swers)
1= Aged (From 60 years old and older) 2=W	idow 3=Disabled 4=Landless
5= Income<20\$/month/person (National Povert	y Line for Urban Area-2007)
III. SOCIOECONOMIC PROFILE OF AFFECT	ED HOUSEHOLD
3.1 How many members are in the household?	
3.2 If there is more than one family, who are living i	in this house, give all.
No. Relationship Age Sex Marital Literate	School # Years of Working Activities

No.	Relationship to H/H	Age	Sex	Marital status	Literate	School attending	# Years of graded		ng Act (code)	
	(code)		1=M 2=F	(code)	1=No 2=Yes	1=No, 2=Yes	completed education	1 st	2 nd	3 rd
(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)	(K)
1										

No.	Relationship to H/H	Age	Sex	Marital status	Literate	School attending	# Years of graded completed	Working Activities (code)		
	(code)		1=M 2=F	(code)	1=No 2=Yes	1=No, 2=Yes	education	1 st	2 nd	3 rd
(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)	(K)
2										
3										
4										
5										
6										
7										
8										
9										
10										
11										
Code B: 1=Self, 2=Spouse, 3=Son/Daughter, 4=Parent, 5=Brother/Sister, 6=Relative, 7=Other (specify)										

Code E: 1=Single, 2=Married, 3=Divorced/separate 4= Widowed 5 = Other.....

Code J, K and L:

00 None or Unable to work	09Batery charging	18 Government officer
01 Small business	10 Construction Worker	19 Manufacturer/Craftsman
02 Food processing for sale*	11 Garment factory worker	20 Farmer (on own farm)
03 Hotel/tourism/restaurant	12 Company staff	21 Fishman
04 Hair cut/dresser/Beauty shop	13 Credit provider/ Money exchange	22 Livestock Raising
05 Wedding host	14 Motor transporter	23 NGO staff
06 Merchant/Market trader	15 Taxi driver	24 Migration out for job
07 Machinery/Vehicle mechanic	16 Agricultural laborer/Worker	25 Pupil/Student
08 Electrician	17 Non agricultural laborer/Worker	26 Other (specify)

3.3 Household Assets

3.3.1 Agricultural equipments:

Equipment types	Number	Total Cost/R	Equipment types	Number	Total Cost/R
Oxcart			Tractor		
Plow			Hand tractor		
Harrow			Rice mill machine		
Water pump			Other		

3.3.2 Other Assets:

Equipment types	Number	Total Cost/R	Equipment types	Number	Total Cost/R
Bicycle			TV/VCR/VCP		
Motorbike			Sewing machine		
Bamboo rail			Air conditioner		
Car/ Pickup/Minivan			Washing machine		
Truck			Refrigerator		

Boat without engine		Telephone	
Boat with engine		Generator	
Radio/Cassette Player		Other (specify)	

3.3.3 Livestock:

Type of livestock	То	tal Number	Sales of Livestock in 201		
Type of investock	Qty	Value in Riels	Qty	Value in Riels	
Oxen					
Buffalo					
Pigs					
Horses					
Chickens					
Ducks					
Other (spec.)					

3.3.4 Main Trees:

Code of Trees

1=Bamboo	2=Banana	3=Coconut	4=Tamarind	5=Chan Kiri
6=Sapodilla	7=Deum Chan	8=Kamping Reach	9=Kantuot	10=Khvet
11=Jack Fruit	12=Korki	13=Kor	14=Krasang	15=Mkak
16=Longan	17=Pring	18=Jujube	19=Sdau	20= Orange
21=Soda	22=Grapefruit	23=Custard apple	24=Sour sop	25=Guava
26=Teuk Dos Kou	27=Acacia/Eucalyptus	28=Lemon	29=Mango	30=Papaya
31= Sugar Palm	32=Cashew	33=Other		

No	Tree types	Unit	Total Qty	Income in 2010 (Riel)	Number of affected trees		
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							
	Total Income (Riel)						

3.3.5 Land and Agricultural products:

A. What is your affected land in ROW? (The question "A" is not for bypass)

Land Category	Total of using, m ²	Affected Area		
		Length, m	Width, m	Size, m ²
Rice field (Sre)				

Orchard (Chamkar)		
Flooded Area		
Commercial		
House Plot / Home Garden		
Other (specify)		

B. What is your affected land outside ROW?

Land Category	Total Owning,	Affected Area			
	m ²	Length, m	Width, m	Size, m ²	
Rice field (Sre)					
Orchard (Chamkar)					
Flooded Area					
Commercial					
House Plot / Home Garden					
Other (specify)					

C. Agricultural production (all land):

Crop	Area grown,	Harvested	Unit price	Production	Farming	Gross
	m2	Amount,		cost,	Expend	Return,
		Kg	Riel/Kg	Riel	Riel	Riel
Dry rice						
Wet rice						
Vegetable						
Other crop						
	Total (Riel)					

3.3.6 House and other Structures:

Structure	Туре	Code:	

1=House	2=House/Sho	p 3=Kitc	hen	4=Bathroom
5= Grange/Storage	6=Shop/Resta	aurant 7=Crat	ft / Workshop	8= Stall / Market stall
9= Animal table/pigs	ty 10=Other (spe	ecify)		
Floor Code:				
1 st =One floor 2	2 nd =Two floors	3=Khmer Style	4= Other	

Construction Material Code:

1- Temporary Material	2- Thatch	3- Tin / Fibro/ Plastic Sheet	
4- Wood	5- Bamboo	6- Roofing Tile	7- Floor Tile
8- Mortar	9- Concrete	10- Earth	11-Metal
12- Brick	13-Others (spec.)		

12- DICK					
Material	Structure:	Structure:	Structure:	Structure	
Roof					
Wall					

Material	Structure:	Structure:	Structure:	Structure:
Floor				
Column				
Story				
Total floor area, m2				
Affected area, m2				

- How many years have you been living here? year(s)

- If you rent the affected structure, how much do you pay per month? Riels

- Where will you relocate to resettle? Shifting back, to same village, other village

3.3.7 Other fixed assets:

No.	TYPE OF ASSETS	UNIT	Affected Qty.	Other
1.	Concrete Well	set		
2.	Pump Well	set		
3.	Timber post with wire	Meter long		
4.	Concrete post with wire	Meter long		
5.	Brick Wall, 100mm	Meter long		
6.	Brick Wall, 200mm	Meter long		
7.	Water supply system	m		
8.	Mortar	m²		
9.	Vehicle washing place			
10.	Toilet			
11.	Other (spec.):			

3.4 Incomes

3.4.1 What are the main sources of total income in your household?					
1 st 2 nd	3 rd 4 th				
1. Wages or salary	2. Farming hired labor,	3. Business or trade			
4. Agricultural production	5. Livestock	6. Fishing			
7. Equipment making	8. Equipment renting	9. Transportation			
10. House/land renting	11. Remittance	12. Other (spec.)			
3.4.2 How much the total in 1 st	ncome (cash and kind) from these a Riels	ctivities in <i>Last Year</i> :			
2 nd	Riels				
3 rd	Riels				
4 th (others)	Riels				

Total in Riels (convert to US\$)

3.5 Expenses

Annual expenseRiel converts to USD:

3.5.1 Daily expense (recently expense):

Item	Unit	Price per unit/Riel	Total price/Riel
Rice			
Food (fish, meat, vegetable, and spices).			
Snack			
Wood/charcoal/fuel/gas			
Other (spec.)			

3.5.2 Monthly expense:

Item	Unit	Price per unit/Riel	Total price/Riel
Cosmetics (perfume, powder, and soap).			
Health (drug, treatment fee).			
Water			
Electricity power			
Other service			
Gasoline			
Other (spec.)			

3.5.3 Yearly expense:

Item	Unit	Price per unit/Riel	Total price/Riel
Clothes			
Education (material, tutoring, and meals at school).			
Furniture			
House repairing			
Ceremonies/marriages			
Entertainment/travel			
Other (spec.)			
Annual total:			

3.6 Health

3.6.1 Where do you and your household members often go for? (Please tick)

Facility	Health Treatment	Serious Illness	Birth Delivery	How far? Km
Traditional Midwife				
Traditional Healer				
Drug shop				
Private Pharmacy				
Health Center				

Provincial Hospital		
Private Clinic		
Private Hospital		
Other:		

3.6.2 What are the three most important problems with the public health services for the people in this village?

1 = Lack of beds/Equipment

- 2 = Not enough medicine
- 4 = Poor quality of service 5 = No midwife
- 7= Long distance 8 = Unsanitary
- 10 = Other (Describe).....
- 1. Most important
- 2. Second important
- 3. Third important

3.7 Education

- **3.7.1** How many children in household is primary school age (6-11)?
- 3.7.2 How many children in household attend primary school?
- **3.7.3** If children of primary school age, not attending school, main reason for non attendance?
 - 1 = Can not afford school costs 3 = Takes too long to get to school

2 = Have to help in business 4 = other (describe).....

- 3.7.4 How many children in household is lower secondary school age (12-14)?
- 3.7.5 How many children in household attend lower secondary school?
- **3.7.6** If children of lower secondary school age, not attending school, main reason for non attendance?
 - 1 = Can not afford school costs 3 = Takes too long to get to school
- 2 = Have to help in business 4 = other (describe).....

3.8 Credit:

3.8.2 If yes, please fill the table below:

When did you borrow money? Month/year	Credit Amt.	From Whom (Code)	Interest rate

Code:1 = Govt. /Bank
4 = Credit provider2 = NGOs / Society
5 = Relative3 = Landlord / traders
6 = other (specify).....

3.8.3 What did you use this money for (multi answers)?

1 = Food consumption 2

4 = Building/Repairing house

- 2 = Health care 5 = Ceremony/Wedding
- 6 = Farming

3 = Schooling costs

3 = No physician medical

6 = High price

9 = Unhelpful staff

Γ		
F		

7 = Business improving 8 = Supporting to family members

9 = To meet cost caused by the Project

10 = other (specify).....

3.9 Living condition

A. Water source:

3.9.1	Drinking/cooking:		Washing/bathing			
	1= Stream/river 5= Rain water	2= Lake/pond 6= Buying	3= Protected 7= Waterwork			
3.9.2	If buying from vendor,	how much it cost	per day?	Riels		
3.9.3	If you collect by yourse	elf, how much time	e you spend to	o do so? minu	utes	
3.9.4	Is the drinking water fil	ter? 🛛 🗌 Ye	s 🗆 N	10		
3.9.5	Is the drinking water be	oiled? 🛛 🗌 Ye	s, always 🛛	Yes, some times	🗖 No	
3.9.6	Is the drinking water fil	tered? 🛛 🗖 Ye	s 🗆 N	10		

B. Sanitation:

3.9.7	Do you have a pit latrine?	🗖 Yes	🗖 No	
3.9.8	Is there any drainage system	near your house?	🗖 Yes	🗖 No
3.9.9	If yes, please tick in box as fo	ollow:		
	Proper rain water drainage			
	Waste water drainage			
	An open drain			
C. Ene	ergy source:			
3.9.10	Lighting:	Cooking:		
	1 = None 4 = State Electricity 7 = Gas / Kerosene	2 = Fire wood 5= Battery 8 = Torch/Rubber	3 = Private 6 = Charco 9 = Other	

3.10 Accessibility to other facility service

Please indicate the distance of following facility service:

Facilities	Average Distance (Km)
Nearest School	
Market	
Religious centre (Pagoda)	
Drug shop	
Health Centre/ Referral(or District) hospital	
Provincial/Municipality hospital	
Police Administrative Post	
Commune Centre	
District Centre	
Other Urban	

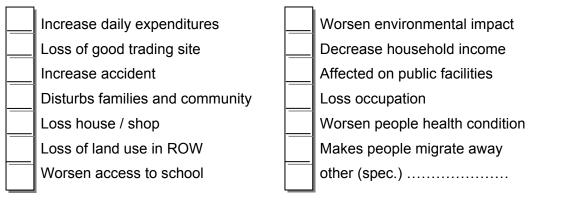
IV. Perception on the project

4.1	What do you thi	nk about the	project?		
	0 = No answer	1 = Bad	2 = Good & Bad	3 = Good	4 = Very good

4.2 If good/very good, rank the 3 following statements in the boxes:

 Improve cargo transportation	Improve environment
Decrease of congestion/accident	 Create more direct/indirect job
Improve travel of tourist	 Reduced daily expenditures
Attract more investment	Flood prevent
 Increase land price	Big push to outskirts area (Bypass)
 Improve access other facilities	Improve local product marketing
	Others (spec.)

4.3 If you think there are some bad things about the project, rank 3 following statement in the boxes:



4.4 Will you agree to move your affected properties from PRW?

0 = No answer 1 = Not agree

2 = Agree with assistant

3=Voluntary to move

<u>SIGNATURE</u>

Household Head

Village Headman

Interviewer

Sketch map of the affected house

Appendix 18-2

Terms of Reference for External Monitoring Agency (EMA)

Resettlement Plan Implementation

Terms of Reference

For

External Monitoring Agency (EMA)

Resettlement Plan Implementation

The National Road No.5 Rehabilitation Project

I. Background

1. In the Kingdom of Cambodia ("Cambodia"), the road transport accounts for around 65% of the passenger transport, for 70% of the freight transport, and play the most important role in the domestic transport. However the most of the roads were severely deteriorated during the civil war in the 70's to 80's. Since the early 90's, Royal Government of Cambodia (RGC) has been exerting strenuous effort on rehabilitation of the road network with the assistance of Japan, the United States, Australia, Asian Development Bank ("ADB") and World Bank.

2. National Road No.5 (NR5) is the trunk road reaching Bangkok through the border between Cambodia and Thailand. It is also designated as Asian Highway A-1 or Southern Economic Corridor of GMS. The Survey Roads was damaged by the flood in 2000, and the section between Prek Kdam and Thlea ma'Am and the section between Battambang and Sisophon have been temporarily repaired. Therefore, Royal Government of Cambodia requested Japanese Ioan for rehabilitating 2 sections of NR 5, Prek Kdam – Thlea ma'Am and Battambang – Sisophon, and construction of 3 bypasses around Banteay Mean Chey, Battambang and Kampong Chhnang.

3. The resettlement plan (RP) contains the measures to be carried out by MPWT and the Inter-ministerial Resettlement Committee (IRC) to avoid and/or minimize impacts on the affected households (AHs), particularly on their sources of livelihood, and for the purpose of improving or at least restoring their standards of living to pre-project level consistent with WB's *Operational Policy (OP 4.12)* (December 2001).

4. The purpose of the (RP) is to identify the impact on the local population of upgrading and rehabilitation the road; and to provide measures for compensation where the population is negatively affected by the work, primarily through the acquisition of farmland and encroachment on to residential and commercial sites.

5. AHs are grouped into three broad categories, viz. Individual, Household and Communities and within each group other sub-groups are defined. In particular within the household category there are vulnerable groups defined as those that are socially or economically disadvantaged and who will suffer more, economically and socially, from relocation and improvement than the general population. AHs falling into one or more of the following categories are defined as vulnerable groups;

- (i) female headed households;
- (ii) landless households that have no other residential land holdings;
- (iii) disabled households heads;
- (iv) households below the Cambodia poverty line defined as <US\$20.00/cap. per month;

(v) Aged household heads with no household member within the active labor force.

6. Since August 2011, a Resettlement Plan (RP) has been prepared based on census and IOL, baseline socioeconomic survey and SHM. In addition, in February 2012, the RP was updated due to another bypass in Banteay Mean Chey has to be included in the Project. The RP has been prepared by JICA study team based on i) census and inventory of all affected households; ii) baseline socio-economic survey; and iii) replacement cost study for affected land, structure and trees.

7. Centre of the resettlement policy is that the affected people will be compensated for their lost assets at replacement cost and provided with rehabilitation assistance to ensure improvement, or at least maintaining their living standards and income to level they would have without the project. The cut-off-date is the date of the first day of IOL, for NR#5 and Battambang bypass is on 1st August 2011 and for Banteay Mean Chey bypass is on 6th February 2012.

8. Refer to the IOL results, there are 2,265 households will be affected by the Project. Among them, there are 656 AHs will be lost their private land. A total of 1,556,605.71 m² of private land in the two bypasses will be acquired for the Project. Of these, 86.32% (1,343,597.54 m²) is used for growing rice. 704 AHs, along NR #5 and the two bypasses, that their main structures (house, house-shop and/or shop/restaurant) will be affected by the project, comprising 681 AHs along NR #5 and 23 AHs along the two bypasses. With regard to fruit trees and timber trees, a total of 38,363 trees of various species and age in NR #5 and the two bypasses have been counted during the IOL. Except some trees in Battambang bypass, most trees are not commercially grown, meaning, they are sporadically planted inside the ROW.

II. Management and Monitoring

9. The Resettlement plan requires that the external agency contracted to provide external monitoring of the Implementation of the RP. The external monitor will indicate any corrective measures necessary to the RP during its implementation and on the overall effects that resettlement in having on the AHs and on their socioeconomic status.

10. A particular responsibility of the external monitor will be to monitor and evaluate the effectiveness of measures to replace any loss and livelihoods of AHs and of measures to utilize resettlement planning and implementation to maximize the benefits to the immediately adjacent and wider populations of the road improvement and of its integration with social, economic and infrastructural development in the road corridor and the wider region.

III. Requirement for external monitoring

3.1 <u>Monitoring and Evaluation</u>

- 11. The monitoring and evaluation agency will address specific issues as the following:
 - Payment of compensation and allowances as per approved Update RP (URP). Identify whether all AHs are covered under the URP and confirm that they are all eligible for compensation, resettlement and rehabilitation assistance, irrespective of tenure status, social or economic standing, and any such factors that may discriminate against achieving the project objectives. Timing of disbursement of payment and documentation DMS and payments;
 - (ii) Public consultation and awareness of resettlement entitlements;

- (iii) Coordination of resettlement activities with construction schedule;
- (iv) Land acquisition and transfer produces;
- (v) Construction of replacement house and structures;
- (vi) Level of satisfaction of AHs with the provision and implementation of the URP;
- (vii) Grievance redress mechanism;
- (viii) Capacity of AHs to restore /re-establish livelihoods and living standard. Special attention will be given to severely affected AHs and vulnerable AHs;
- (ix) Trends in living standards. Throughout the RP implementation process, the EMA will observe and conduct surveys to monitor the progress AHs are making to restore living standards. Special attention will be paid to any differences based on gender and ethnicity. Any potential problems in the restoration of living standards will be reported;
- (x) Resettlement impacts caused during construction activities; and
- (xi) Receive complaints from AHs if any and explain to the aggrieved AHs the eligibility for compensation and livelihood restoration set out in the approved URP.

3.2 Post evaluation

12. Post-evacuation activities will also be carried out one (1) year after the completion of all relocation activities.

IV. Specific Purpose of External Monitoring

13. The Project requires the services of a domestic monitoring and evaluation team to conduct an independent assessment of the extent to which resettlement and rehabilitation objectives are being met. Specifically, the objectives of the monitoring program are:

- (i) to ensure that the standard of living of AHs are restored or improved;
- to monitor whether the overall project and resettlement objectives are being met in accordance with the Resettlement Plan, and if not to suggest corrective measures;
- (iii) to assess if rehabilitation measures and compensation are sufficient and comply with WB's *Operational Policy (OP 4.12)* (December 2001);
- (iv) to identify problems or potential problems; and
- (v) to identify methods of responding immediately to mitigate and resolve problems.

V. Methodology of Monitoring and Evaluation

- 14. The methods for external monitoring and evaluation include:
 - (i) Review of RP approved by both Government and JICA including Loan Agreement.

- (ii) Review of detailed measurement survey documents to be able to establish a baseline for monitoring and evaluating project benefits. The EMA will check on a random basis the DMS process with AHs from identification to agreement on DMS results.
- (iii) Review of SES baseline prepared during RP preparation (Feasibility Study) and RP updating (following detailed design). If land acquisition (i.e., RP implementation) does not occur for at least two years, EMA will carry out another SES. A post resettlement survey will be carried out one year following completion of resettlement activities. Sampling will include 20% of severely affected AHs as well as at least 10% of all other AHs. The same AHs interviewed during RP updating will be interviewed.
- (iv) Participatory rapid appraisals (PRA): Consultation with AHs and various stakeholders such as resettlement committee, PMU, community leaders; key informant interviews; community public meetings; focus group discussions; direct field observations; and in-depth case studies of good practices and problems identified by internal or external monitoring and required special efforts to resolve.
- (v) Verification of Replacement cost survey: The EMA will verify whether compensation paid was at replacement cost. A special market study to validate whether the rates used in compensating for land and other non-land assets were at replacement costs will be undertaken.
- (vii) The EMA will carry out random checks of payments disbursed to AHs during monitoring. The EMA will submit a resettlement audit report per project upon completion of compensation payment to AHs.

VI. Team Composition, Timing, and Submission of Reports

15. The domestic EMA will be composed of one team leader with extensive experience in monitoring and evaluation of resettlement activities in Cambodia and with strong ability in preparing resettlement compliance/monitoring reports. He/she should demonstrate good communication skill and have at least a bachelor degree in a relevant field. The team leader will be assisted by two (2) social enumerators. All reports will be submitted to IRC.

16. The monitoring work will be consisted in period of two years and post evaluation will be conducted one after completion of RP implementation. The monitoring reports will be included one inception report, 8 quarterly monitoring reports, one audit report annex to the 8th quarterly monitoring report, one base line survey report (six months after MEA assignment) and one post evaluation report.

No.	Position	Working Day	Number	Total Input
	Monitoring Work			
1	Team Leader	178	1	178
2	Social Enumerator	119	2	238
	Base Line Survey			
1	Team Leader	50	1	50
2	Social Enumerator	30	2	60
	Post Evaluation			
1	Team Leader	50	1	50
2	Social Enumerator	30	2	60
			Total	636

17. Duration of Field visits and report preparation will be as follows:

18. Submission of inception and quarterly report will be within two weeks (14 days) after monitoring activities while submission of post-evaluation report will be within one month after post-evaluation activities.

19. The quarterly report will summarize the findings of the EMA, including (a) progress of RP implementation, including any deviations from the provisions of the RP; (b) identification of problem issues and recommended solutions to inform implementing agencies and resolve issues in a timely manner; (c) identification of specific gender and ethnic minorities issues, as relevant; and (d) report on progress of the follow –up of issues and problems identified in the previous reports.

VII. Expression of Interest

20. Please prepare an estimation of the time and finances required to undertake this work. Should you be awarded the contract, a price would be negotiated to undertake and initial consultation and investigation with the community, after which a fixed amount contract would be set and agreed.

Expressions of interest should be addressed to:

[INSERT name, office, address]

Expressions of interest should be received no late than [time, date]

Inquiries may be directed to: [INSERT name, position, phone number]

Appendix 18-3 Terms of Reference for Training and Income Restoration

Terms of Reference for Training and Income Restoration

I. Background Information

1. A Training and Income Restoration Program is part of the compensation package provided to all households severely affected by works and land acquisition for the National Road No.5 Rehabilitation Project. Severely affected households are those which lose more than 20% of their total use of land, or whose houses, shops and stalls and employment are lost or severely damaged or/and vulnerable AHs.

2. An NGO/Consulting firm will undertake overall management of the Training and Income Restoration Program, and will be appointed for that purpose by the IRC/MEF. The Program will be supervised by the Ministry of Economic and Finance.

3. The NGO/Consulting firm will directly administer the off-farm training and income restoration program and will for that purpose manage a Training Fund, a Credit Fund and an Apprenticeship Program for household heads, their wives, sons and daughters. It will provide management support for the Agricultural Relocation and Extension Program and will provide a training of trainers program for the Provincial and District agencies taking part.

4. National Road No.5 (NR5) is the trunk road reaching Bangkok through the border between Cambodia and Thailand. It is also designated as Asian Highway A-1 or Southern Economic Corridor of GMS. The Survey Roads was damaged by the flood in 2000, and the section between Prek Kdam and Thlea ma'Am and the section between Battambang and Sisophon have been temporarily repaired. Therefore, Royal Government of Cambodia requested Japanese loan for rehabilitating 2 sections of NR 5, Prek Kdam – Thlea ma'Am and Battambang – Sisophon, and construction of 3 bypasses around Banteay Mean Chey, Battambang and Kampong Chhnang.

5. Since August 2011, a Resettlement Plan (RP) has been prepared based on census and IOL, baseline socioeconomic survey and SHM. In addition, in February 2012, the RP was updated due to another bypass in Banteay Mean Chey has to be included in the Project. Refer to the IOL results, there are 2,265 households will be affected by the Project. Among them, there are 656 AHs will be lost their private land. A total of 1,556,605.71 m² of private land in the two bypasses will be acquired for the Project. Of these, 86.32% (1,343,597.54 m²) is used for growing rice. 704 AHs, along NR #5 and the two bypasses, that their main structures (house, house-shop and/or shop/restaurant) will be affected by the project, comprising 681 AHs along NR #5 and 23 AHs along the two bypasses. With regard to fruit and timber trees, a total of 38,363 trees of various species and age in NR #5 and the two bypasses have been counted during the IOL.

II. Appointment of NGO/Consulting firm

6. For that purpose the IRC proposes to enlist the services of suitably qualified Consulting Firm or Non-Government Organization (NGO) to develop and implement the Project's Income Restoration Program (IRP). The Consulting organization or NGO should have the following qualifications:

i. Must have good track records in designing and implementing IRP and Gender Development Program within Cambodia;

- ii. Must have the necessary community development and gender orientation and experience to appropriately deal with the poor and vulnerable AHs;
- iii. Must be familiar with the use of Participatory Rapid Appraisal tools; and
- iv. An inclusion of Gender Specialist in the Team.

III. Objectives of Training and Income Restoration Program

7. The NGO/Consultant firm shall undertake overall management of the Training and Income Restoration Program, and will be appointed for that purpose by the MEF. The Program will be supervised by the Resettlement Unit (RU) in the Ministry of Economy and Finance.

3.1 General objective

8. The general objective or goal of the program is to minimize the impact of the project on the livelihoods of AHs, to restore their income and to reduce poverty and social exclusion in the project area.

3.2 Specific project purposes

- 9. The specific project purposes are:
 - (i) to improve the vocational skills of severely and vulnerable AHs by other means to restore and improve their livelihoods and incomes from off-farm (non-agricultural) employment; and
 - (ii) to provide land and opportunities for production and marketing of crops for 176 households severely affected by losses of land, by means of the provision of appropriate and sustainable income restoration programs.

IV. Activities

10. To prepare the training and income restoration program, the NGO/Consultant will carry out the following tasks:

- a) Carry out Situational and Needs Analysis
- Analyze existing sources of income of severely and vulnerable AHs. To establish a baseline to gauge the success of income restoration program, estimate current actual income of the AHs;
- Conduct consultations, needs, aptitude, and preference surveys among the AHs;
- Determine whether poor/vulnerable AHs have special needs different to other households;
- Identify the major socio-economic situations and problems of the AHs and it must be understood in the context of the basic profile and culture of the affected communities and the concrete descriptions of their way of life and livelihoods.

b) Identify existing or planned programs of the Government, NGOs, and other agencies within the project area to design appropriate strategies to link up with or expand such programs.

c) Prepare a gender strategy to include enhancement of opportunities for women's participation, and to provide women increased opportunities to learn new skills and participate in the decision-making process, and take advantage of new employment and income-generating opportunities.

11. Based on the results of the activities above, the NGO/Consultant will design the appropriate training and sustainable income restoration programs based on the **proposed number** below. The number of target participants **is about 1,486 AHs (about 2,962 participants, two participants per an AH)**, comprising 956 relocated AHs, 176 SAHs and 349 Vulnerable AHs.

4.1 Off farm training

12. The NGO/Consultant will conduct a training needs survey during the first month of the program, making use of data from existing project socio-economic surveys. On this basis the NGO/Consultant will provide 6 months vocational or pre-vocational training to not more than two members of each severely affected household, including household heads, spouses, sons and daughters.

13. Training will be mainly in skills for which there is an established employment demand, but may include basic literacy and numeracy. Skills for which training has provisionally been proposed include crafts production, building trades, motor repair, languages, computer, hairdressing and tailoring.

14. Training will be at a minimum of four centers accessible in each of the four sections of the road works and will be conducted in Khmer (2 centers for each province).

4.2 Job creation

15. Job creation will be undertaken by the NGO/Consultant as 6 month apprenticeships with established enterprises, primarily in Banteay Mean Chey and Battambang province aimed at providing on-the-job training and employment for sons and daughters of severely affected households.

16. The NGO/Consultant will also facilitate hiring of AHs on a priority basis on ongoing project construction activities in order for AHs to benefit directly from the Project.

4.3 Small agricultural and agro-industrial credit

17. The NGO/Consultant will facilitate access to existing credit program such as small agricultural production or agro-industrial loans. Loans will be for plant materials, livestock, on-farm irrigation, agricultural tools and equipment, and for small agricultural or food processing equipment, depending on the outcome of review of current socio-economic situation and AHs' preferences.

4.4 Agricultural extension and training

18. The NGO/Consultant will provide training of trainers and management support for an agricultural extension program to be carried out by the concerned Provincial Departments in

each of the two provinces. Agricultural extension and farmer training will be specifically for land use and production development such as livestock, cash crop, home garden and etc. The NGO/Consultant will also provide training for women in agricultural and food processing and marketing.

4.5 Access to small enterprise credit

19. The Consultant will facilitate access to existing credit programs to enable AHs to obtain small enterprise loans. Loans will be for crafts production equipment, tools for construction or repair work, and for shop and stall equipment and stock.

V. Indicators

20. Depending on the trainings and sustainable income restoration programs selected in consultation with AHs, the following indicators may be used.

5.1 Off farm training

21. By estimating about 800 members of severely and vulnerable affected households will be trained sufficiently to undertake employment or will become literate under a literacy and numeracy program: 400 in Program Year 1 (PY1) and 400 in PY2.

5.2 Job creation

22. By estimate about 400 sons or/and daughters of severely and vulnerable affected households will obtain employment through apprenticeships in established enterprises: 200 in PY1 and 200 in PY2.

5.3 Small agricultural and agro-industrial credit

23. Affected households will establish agricultural production and post-harvest or small agroindustrial enterprises. Some women will receive credit for small scale agricultural and food processing.

24. For this purpose credit will be provided as small agricultural production or agro-industrial loans under the management of the NGO/Consultant from existing credit programs.

5.4 Agricultural extension and training

25. Training of trainers will be provided to 10 Agricultural staff in each of two Provinces and in all Districts for an agricultural extension program to be carried out by the concerned Provincial and District Departments.

26. Agricultural extension and farmer training will be conducted for about 352 farmers, including women farmers, for land and water use and production development in the project area: 176 in PY1 and 176 in PY2.

27. The NGO will provide training for 160 women in agricultural and food processing and marketing: 80 in PY1 and 80 in PY2.

5.5 Access to Small enterprise credit

28. Severely and vulnerable AHs will restore or increase their incomes through provision of credit to use in the development and operation of small enterprises funded through existing credit programs.

VI. Staffing and Other inputs

29. The NGO/Consultant will provide training personnel in accordance with the following requirements. Durations given below are indicative and subject to variation, during the currency of the services, by agreement with MEF, and estimated on the following basis.

- (i) It is assumed that the training and income restoration will be completed within 24 months;
- (ii) Person -month of personnel has been considered only the period stayed on the site or relevant institution, organizations or factories for training purpose. Time spent in other place such as Home office of Phnom Penh shall not be included in the person-month.

Position	Number	Person-month
Team Leader	1	12
Vocational Trainer	4	24
Credit Coordinator	2	8
Apprenticeship Trainer 1	2	12
Agricultural Trainer 1	2	14
Agricultural Trainer 2	2	12
Total	13	82

Personnel Inputs of Consulting Services

VII. Requirement for report and Reporting

7.1 Requirement for report

30. The Training and Income Restoration Program must include concrete actions for income restoration, including budget, timetables, responsibility for implementation, economic assumptions and risks and contingency arrangements. The Reports will include, but not limited, to the following:

- 31. Inception report
 - A review of current socioeconomic conditions of the AH including income baseline, if existing data is not sufficient, carry out supplementary socio-economic survey;
 - A summary of AHs' preferences for training and income restoration (indicating description of methods used to elicit AHs' views);
 - A summary of potential training and income restoration programs (based on identified economic activities and opportunities prevalent in the area) and options available to AHs and of the process of matching AHs to particular programs or activities;
 - A gender strategy.
- 32. <u>Training and income restoration program plan</u>

- Detailed feasibility studies of the technical, economic, financial and institutional viability of the proposed income restoration programs¹, including realistic estimation of incomes to be received by participating AHs and the number of AHs that can participate in each activity;
- A time-bound plan on specific programs for AHs who have lost their productivity means;
- A time-bound plan for development of human capital (appropriate trainings which have an established employment demand);
- A time-bound plan on job creation and provision of access to capital for small enterprise, small agricultural, and agro-industrial credit;
- Arrangements and indicators for monitoring the effectiveness of training and income restoration programs and for modifying plans found to be ineffective;
- Budget and Implementation Schedule.

33. Progress reports (Quarterly)

• The content will include progress based on arrangements and monitoring indicators as set out in the income restoration plan report. It will also include satisfaction of AHs, problems encountered and strategies or resolutions agreed on.

34. <u>Completion report</u>

• It will include concise history of the program, evaluation of the implementation, including financial audit statements.

7.2 Reporting

- 35. The selected NGO/Consultant will submit the following to IRC-MEF:
 - Inception Report, one month after mobilization
 - Training and Income Restoration Plan, within two months after submission of Inception report (contents as indicated in section VI above),
 - Quarterly progress reports
 - Completion Report

VIII. Estimated Budget and Schedule

8.1 Estimated budget

36. The estimated budget allocated for the Program is about \$102,00.00 NGO/Consulting firm proposing to undertake the program are required to provide a detailed budget for the program, which can include up to one third of the fund to cover the cost of their administration, overheads, training personnel and field costs and transportation.

8.2 Schedule

¹ Can be existing or planned programs of the Government, NGOs, and other agencies within the project area with appropriate strategies to link up with or expand such programs.

37. The selected consulting firm for the IRP program will be hired for two and a half years. The firm will be engaged full-time for the first two years while in the third last year, the consulting firm will provide back-stop support to the participating AHs, as needed. Post-IRP evaluation will be carried at the end of year 3 or completion of the program.

Appendix 18-4 Terms of Reference for Training and Income Restoration

Terms of Reference for Training and Income Restoration

I. Background Information

1. A Training and Income Restoration Program is part of the compensation package provided to all households severely affected by works and land acquisition for the National Road No.5 Rehabilitation Project. Severely affected households are those which lose more than 20% of their total use of land, or whose houses, shops and stalls and employment are lost or severely damaged or/and vulnerable AHs.

2. An NGO/Consulting firm will undertake overall management of the Training and Income Restoration Program, and will be appointed for that purpose by the IRC/MEF. The Program will be supervised by the Ministry of Economic and Finance.

3. The NGO/Consulting firm will directly administer the off-farm training and income restoration program and will for that purpose manage a Training Fund, a Credit Fund and an Apprenticeship Program for household heads, their wives, sons and daughters. It will provide management support for the Agricultural Relocation and Extension Program and will provide a training of trainers program for the Provincial and District agencies taking part.

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5. Since August 2011, a Resettlement Plan (RP) has been prepared based on census and IOL, baseline socioeconomic survey and SHM. In addition, in February 2012, the RP was updated due to another bypass in Banteay Mean Chey has to be included in the Project. Refer to the IOL results, there are 2,265 households will be affected by the Project. Among them, there are 656 AHs will be lost their private land. A total of 1,556,605.71 m² of private land in the two bypasses will be acquired for the Project. Of these, 86.32% (1,343,597.54 m²) is used for growing rice. 704 AHs, along NR #5 and the two bypasses, that their main structures (house, house-shop and/or shop/restaurant) will be affected by the project, comprising 681 AHs along NR #5 and 23 AHs along the two bypasses. With regard to fruit and timber trees, a total of 38,363 trees of various species and age in NR #5 and the two bypasses have been counted during the IOL.

II. Appointment of NGO/Consulting firm

6. For that purpose the IRC proposes to enlist the services of suitably qualified Consulting Firm or Non-Government Organization (NGO) to develop and implement the Project's Income Restoration Program (IRP). The Consulting organization or NGO should have the following qualifications:

i. Must have good track records in designing and implementing IRP and Gender Development Program within Cambodia;

- ii. Must have the necessary community development and gender orientation and experience to appropriately deal with the poor and vulnerable AHs;
- iii. Must be familiar with the use of Participatory Rapid Appraisal tools; and
- iv. An inclusion of Gender Specialist in the Team.

III. Objectives of Training and Income Restoration Program

7. The NGO/Consultant firm shall undertake overall management of the Training and Income Restoration Program, and will be appointed for that purpose by the MEF. The Program will be supervised by the Resettlement Unit (RU) in the Ministry of Economy and Finance.

3.1 General objective

8. The general objective or goal of the program is to minimize the impact of the project on the livelihoods of AHs, to restore their income and to reduce poverty and social exclusion in the project area.

3.2 Specific project purposes

- 9. The specific project purposes are:
 - (i) to improve the vocational skills of severely and vulnerable AHs by other means to restore and improve their livelihoods and incomes from off-farm (non-agricultural) employment; and
 - (ii) to provide land and opportunities for production and marketing of crops for 176 households severely affected by losses of land, by means of the provision of appropriate and sustainable income restoration programs.

IV. Activities

10. To prepare the training and income restoration program, the NGO/Consultant will carry out the following tasks:

- a) Carry out Situational and Needs Analysis
- Analyze existing sources of income of severely and vulnerable AHs. To establish a baseline to gauge the success of income restoration program, estimate current actual income of the AHs;
- Conduct consultations, needs, aptitude, and preference surveys among the AHs;
- Determine whether poor/vulnerable AHs have special needs different to other households;
- Identify the major socio-economic situations and problems of the AHs and it must be understood in the context of the basic profile and culture of the affected communities and the concrete descriptions of their way of life and livelihoods.

b) Identify existing or planned programs of the Government, NGOs, and other agencies within the project area to design appropriate strategies to link up with or expand such programs.

c) Prepare a gender strategy to include enhancement of opportunities for women's participation, and to provide women increased opportunities to learn new skills and participate in the decision-making process, and take advantage of new employment and income-generating opportunities.

11. Based on the results of the activities above, the NGO/Consultant will design the appropriate training and sustainable income restoration programs based on the **proposed number** below. The number of target participants **is about 1,486 AHs (about 2,962 participants, two participants per an AH)**, comprising 956 relocated AHs, 176 SAHs and 349 Vulnerable AHs.

4.1 Off farm training

12. The NGO/Consultant will conduct a training needs survey during the first month of the program, making use of data from existing project socio-economic surveys. On this basis the NGO/Consultant will provide 6 months vocational or pre-vocational training to not more than two members of each severely affected household, including household heads, spouses, sons and daughters.

13. Training will be mainly in skills for which there is an established employment demand, but may include basic literacy and numeracy. Skills for which training has provisionally been proposed include crafts production, building trades, motor repair, languages, computer, hairdressing and tailoring.

14. Training will be at a minimum of four centers accessible in each of the four sections of the road works and will be conducted in Khmer (2 centers for each province).

4.2 Job creation

15. Job creation will be undertaken by the NGO/Consultant as 6 month apprenticeships with established enterprises, primarily in Banteay Mean Chey and Battambang province aimed at providing on-the-job training and employment for sons and daughters of severely affected households.

16. The NGO/Consultant will also facilitate hiring of AHs on a priority basis on ongoing project construction activities in order for AHs to benefit directly from the Project.

4.3 Small agricultural and agro-industrial credit

17. The NGO/Consultant will facilitate access to existing credit program such as small agricultural production or agro-industrial loans. Loans will be for plant materials, livestock, on-farm irrigation, agricultural tools and equipment, and for small agricultural or food processing equipment, depending on the outcome of review of current socio-economic situation and AHs' preferences.

4.4 Agricultural extension and training

18. The NGO/Consultant will provide training of trainers and management support for an agricultural extension program to be carried out by the concerned Provincial Departments in

each of the two provinces. Agricultural extension and farmer training will be specifically for land use and production development such as livestock, cash crop, home garden and etc. The NGO/Consultant will also provide training for women in agricultural and food processing and marketing.

4.5 Access to small enterprise credit

19. The Consultant will facilitate access to existing credit programs to enable AHs to obtain small enterprise loans. Loans will be for crafts production equipment, tools for construction or repair work, and for shop and stall equipment and stock.

V. Indicators

20. Depending on the trainings and sustainable income restoration programs selected in consultation with AHs, the following indicators may be used.

5.1 Off farm training

21. By estimating about 800 members of severely and vulnerable affected households will be trained sufficiently to undertake employment or will become literate under a literacy and numeracy program: 400 in Program Year 1 (PY1) and 400 in PY2.

5.2 Job creation

22. By estimate about 400 sons or/and daughters of severely and vulnerable affected households will obtain employment through apprenticeships in established enterprises: 200 in PY1 and 200 in PY2.

5.3 Small agricultural and agro-industrial credit

23. Affected households will establish agricultural production and post-harvest or small agroindustrial enterprises. Some women will receive credit for small scale agricultural and food processing.

24. For this purpose credit will be provided as small agricultural production or agro-industrial loans under the management of the NGO/Consultant from existing credit programs.

5.4 Agricultural extension and training

25. Training of trainers will be provided to 10 Agricultural staff in each of two Provinces and in all Districts for an agricultural extension program to be carried out by the concerned Provincial and District Departments.

26. Agricultural extension and farmer training will be conducted for about 352 farmers, including women farmers, for land and water use and production development in the project area: 176 in PY1 and 176 in PY2.

27. The NGO will provide training for 160 women in agricultural and food processing and marketing: 80 in PY1 and 80 in PY2.

5.5 Access to Small enterprise credit

28. Severely and vulnerable AHs will restore or increase their incomes through provision of credit to use in the development and operation of small enterprises funded through existing credit programs.

VI. Staffing and Other inputs

29. The NGO/Consultant will provide training personnel in accordance with the following requirements. Durations given below are indicative and subject to variation, during the currency of the services, by agreement with MEF, and estimated on the following basis.

- (i) It is assumed that the training and income restoration will be completed within 24 months;
- (ii) Person -month of personnel has been considered only the period stayed on the site or relevant institution, organizations or factories for training purpose. Time spent in other place such as Home office of Phnom Penh shall not be included in the person-month.

Position	Number	Person-month
Team Leader	1	12
Vocational Trainer	4	24
Credit Coordinator	2	8
Apprenticeship Trainer 1	2	12
Agricultural Trainer 1	2	14
Agricultural Trainer 2	2	12
Total	13	82

Personnel Inputs of Consulting Services

VII. Requirement for report and Reporting

7.1 Requirement for report

30. The Training and Income Restoration Program must include concrete actions for income restoration, including budget, timetables, responsibility for implementation, economic assumptions and risks and contingency arrangements. The Reports will include, but not limited, to the following:

- 31. Inception report
 - A review of current socioeconomic conditions of the AH including income baseline, if existing data is not sufficient, carry out supplementary socio-economic survey;
 - A summary of AHs' preferences for training and income restoration (indicating description of methods used to elicit AHs' views);
 - A summary of potential training and income restoration programs (based on identified economic activities and opportunities prevalent in the area) and options available to AHs and of the process of matching AHs to particular programs or activities;
 - A gender strategy.
- 32. <u>Training and income restoration program plan</u>

- Detailed feasibility studies of the technical, economic, financial and institutional viability of the proposed income restoration programs¹, including realistic estimation of incomes to be received by participating AHs and the number of AHs that can participate in each activity;
- A time-bound plan on specific programs for AHs who have lost their productivity means;
- A time-bound plan for development of human capital (appropriate trainings which have an established employment demand);
- A time-bound plan on job creation and provision of access to capital for small enterprise, small agricultural, and agro-industrial credit;
- Arrangements and indicators for monitoring the effectiveness of training and income restoration programs and for modifying plans found to be ineffective;
- Budget and Implementation Schedule.

33. Progress reports (Quarterly)

• The content will include progress based on arrangements and monitoring indicators as set out in the income restoration plan report. It will also include satisfaction of AHs, problems encountered and strategies or resolutions agreed on.

34. <u>Completion report</u>

• It will include concise history of the program, evaluation of the implementation, including financial audit statements.

7.2 Reporting

- 35. The selected NGO/Consultant will submit the following to IRC-MEF:
 - Inception Report, one month after mobilization
 - Training and Income Restoration Plan, within two months after submission of Inception report (contents as indicated in section VI above),
 - Quarterly progress reports
 - Completion Report

VIII. Estimated Budget and Schedule

8.1 Estimated budget

36. The estimated budget allocated for the Program is about \$102,00.00 NGO/Consulting firm proposing to undertake the program are required to provide a detailed budget for the program, which can include up to one third of the fund to cover the cost of their administration, overheads, training personnel and field costs and transportation.

8.2 Schedule

¹ Can be existing or planned programs of the Government, NGOs, and other agencies within the project area with appropriate strategies to link up with or expand such programs.

37. The selected consulting firm for the IRP program will be hired for two and a half years. The firm will be engaged full-time for the first two years while in the third last year, the consulting firm will provide back-stop support to the participating AHs, as needed. Post-IRP evaluation will be carried at the end of year 3 or completion of the program.