

**DATA COLLECTION SURVEY
ON
ASEAN REGIONAL COLLABORATION
IN
DISASTER MANAGEMENT**

**FINAL REPORT
COUNTRY REPORT
LAO PDR**

DECEMBER 2012

JAPAN INTERNATIONAL COOPERATION AGENCY

**NIPPON KOEI CO., LTD.
ALMEC CORPORATION
MITSUBISHI RESEARCH INSTITUTE, INC.**

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List of Abbreviations and Acronyms

A

AADMER	: ASEAN Agreement on Disaster Management and Emergency Response
ACDM	: ASEAN Committee for Disaster Management
ADMIS	: ASEAN Disaster Management Information System
ADPC	: Asian Disaster Preparedness Center
AHA Center	: ASEAN Coordination Center for Humanitarian Assistance on Disaster Management
ASEAN	: Association of South East Asian Nations

B

BCP	: Business Continuity Plan
BMKG	: Badan Meteorologi, Klimatologi, dan Geofisika (Meteorological, Climatological and Geophysical Agency)
BPBD	: Badan Penanggulangan Bencana Daerah (Regional Disaster Management Agency)

C

CBDRM	: Community-Based Disaster Risk Management
CCDM	: Commune Committee for Disaster Management
CCFSC	: Central Committee for Flood and Storm Control
CEA	: China Earthquake Administration
CRED	: Center for Research on the Epidemiology of Disasters
CVGHM	: Centre for Volcanology and Geological Hazard Mitigation

D

DCDM	: District Committee for Disaster Management
DDMC	: District Disaster Management Committee
DDMFSC	: Department of Dyke Management, Flood and Storm Control
DDMRC	: District Disaster Management and Relief Committee
DGM	: Department of Geology and Mining
DID	: Department of Irrigation and Drainage
DKI	: Daerah Khusus Ibukota (Special Capital Territory)
DMH	: Department of Meteorology and Hydrology
DMIS	: Disaster Management Information System
DOR	: Department of Road
DPWT	: Department of Public Works and Transportation
DRR	: Disaster Risk Reduction
DWR	: Department of Water Resources

E

ECHO	: The Humanitarian Aid and Civil Protection department of European Commission
EDIS	: Establishment of Disaster Information Management System
EM-DAT	: Emergency Disaster Database
EOS	: Emergency Operating System
EWS	: Early Warning System

G

GDP	: Gross Domestic Product
GIZ	: Deutsche Gesellschaft für Internationale Zusammenarbeit (German Agency)

	for International Cooperation)
GPS	: Global Positioning System
GTS	: Global Telecommunication System
H, I	
HFA	: Hyogo Framework for Actions
ICHARM	: International Centre for Water Hazard and Risk Management
I-DRMP	: Integrated Disaster Risk Management Plan
InaTEWS	: Indonesia Tsunami Early Warning System
INGO	: International Non-government Organisation
J	
JICA	: Japan International Cooperation Agency
JMG	: Minerals and Geoscience Department Malaysia
L	
LANGOCA	: Laos Australia NGO Cooperation Agreement
Lao PDR	: Lao People's Democratic Republic
LIPI	: National Institute of Science
LNMC	: Lao National Mekong Committee
M	
MES	: Myanmar Engineering Society
MGB	: Mines and Geosciences Bureau
MGS	: Myanmar Geosciences Society
MLSW	: Ministry of Labour and Social Welfare
MMDA	: Metro Manila Development Authority
MONRE	: Ministry of Natural Resources and Environment
MPWT	: Ministry of Public Works and Transportation
MRC	: Mekong River Commission
MRCS	: Mekong River Commission Secretariat
N	
NCDM	: National Committee for Disaster Management
NDMC	: National Disaster Management Center
NDMC	: National Disaster Management Committee
NDMO	: National Disaster Management Office
NFP	: National Focal Point
NGO	: Non-governmental Organization
O~R	
OFDA	: Office of Foreign Disaster Assistance
PDMC	: Province Disaster Management Committee
PHIVOLCS	: Philippine Institute of Volcanology and Seismology
PMO	: Prime Minister's Office
REDAS	: Rapid Earthquake Damage Assessment System
S	
SATREPS	: Science and Technology Research Partnership for Sustainable Development
SEACAP	: South East Asia Community Access Programme
SOP	: Standard Operating Procedure
T~	
TMD	: Thai Meteorological Department
USGS	: United States Geological Survey

VDPU	:	Village Disaster Protection Unit
VSAT	:	Very Small Aperture Terminal
YCDC	:	Yangon City Development Committee

Abbreviations of Measures

Length

mm	=	millimeter
cm	=	centimeter
m	=	meter
km	=	kilometer

Area

ha	=	hectare
m ²	=	square meter
km ²	=	square kilometer

Volume

l, lit	=	liter
m ³	=	cubic meter
m ³ /s, cms	=	cubic meter per second
MCM	=	million cubic meter
m ³ /d, cmd	=	cubic meter per day

Weight

mg	=	milligram
g	=	gram
kg	=	kilogram
t	=	ton
MT	=	metric ton

Time

sec	=	second
hr	=	hour
d	=	day
yr	=	year

Money

BND	=	Brunei Dollar
KHR	=	Cambodian Riel
IDR	=	Indonesian Rupiah
LAK	=	Lao Kip
MMK	=	Myanmar Kyat
MYR	=	Malaysian Ringgit
PHP	=	Philippine Peso
SGD	=	Singapore Dollar
THB	=	Thai Baht
USD	=	U.S. Dollar
VND	=	Vietnamese Dong

Energy

Kcal	=	Kilocalorie
KW	=	kilowatt
MW	=	megawatt
KWh	=	kilowatt-hour
GWh	=	gigawatt-hour

Others

%	=	percent
o	=	degree
'	=	minute
"	=	second
°C	=	degree Celsius
cap.	=	capital
LU	=	livestock unit
md	=	man-day
mil.	=	million
no.	=	number
pers.	=	person
mmho	=	micromho
ppm	=	parts per million
ppb	=	parts per billion
lpcd	=	litter per capita per day
Mw	=	moment magnitude scale

Exchange Rate

Exchange Rate			August 18, 2012
Country	Currency		Exchange rate to USD (1USD=79.55JPY)
Brunei	BND	Brunei Dollar	1.2538
Cambodia	KHR	Cambodian Riel	4,068
Indonesia	IDR	Indonesian Rupiah	9,490
Lao PDR	LAK	Lao Kip	7,982.5
Malaysia	MYR	Malaysian Ringgit	3.1315
Myanmar	MMK	Myanmar Kyat	875.5
Philippines	PHP	Philippine Peso	42.4
Singapore	SGD	Singapore Dollar	1.2538
Thailand	THB	Thai Baht	31.51
Vietnam	VND	Vietnamese Dong	20,845

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Lao PDR

Abbreviation

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CHAPTER 1 INTRODUCTION

1.1 Background of the Survey

Frequency of natural disasters has been increasing for last 30 years in the world, having caused great damages/losses. Among those damages/losses, about 90 % are concentrated in the Asian region where natural disasters are one of the serious issues not only for humanitarian but also for economic and industrial point of view.

1.2 AADMER, HFA and AADMER Work Program

Under such circumstance, the ASEAN 10 countries had reached an agreement of “the ASEAN Agreement on Disaster Management and Emergency Response (AADMER)” on 26th July, 2005 (ratified on 24th December, 2009); in order to strengthen the disaster management structure in the region for the implementation of the Hyogo Framework for Actions (HFA) 2005-2015.

In relation to those activities above, the ASEAN Committee for Disaster Management (ACDM) adopted “AADMER Work Program 2010-2015” as the guideline of the activities for the AADMER, at its 15th Meeting of March, 2010 held in Singapore.

1.3 AHA Centre

At the same time, the ASEAN countries recognized the necessity to establish “the ASEAN Coordination Centre for Humanitarian Assistance on Disaster Management (AHA Centre)” and set up as a provisional status in Jakarta, Indonesia in October, 2007.

As the first phase of the AADMER Work Program 2010-2015, the AHA Centre has formally been established in November 2011 at the ASEAN Summit Meeting in Bali, Indonesia; and to be ratified in due course. The AHA Centre has started various activities with such assistance as procurement of facilities/equipment, provision of technical supports and so on from donors including Japan.

1.4 Cooperation between ASEAN and Japan

On the other hand, it was re-affirmed that Japan and the ASEAN would continue the mutual cooperation in the field of disaster management, at the Special Japan-ASEAN Ministerial Meeting in Jakarta on April 9, 2011 held soon after the Great East Japan Great Earthquake; at the ASEAN Post Ministerial Conference of July 21, 2011; and at the Japan-ASEAN Summit on November 18, 2011. At the meeting/conference, Japan has expressed its commitment to support the activities of AHA Centre not only directly to the Centre but also through bi-lateral cooperation with each ASEAN country for the regional natural disaster management.

1.5 Data Collection Survey

The activities of the AHA Centre have just started and therefore they do not have much information even fundamental on natural disasters and disaster management of the ASEAN countries.

Japan International Cooperation Agency (JICA) has therefore decided to conduct “the Data Collection Survey on ASEAN Regional Collaboration in Disaster Management” for considerations of future plans of assistances to the AHA Centre and each ASEAN country in the field of natural disaster management.

1.6 Purposes of the Survey

The purposes of the survey are as follows:

- To collect basic information on disaster management of the ASEAN countries;
- To conduct needs and potential assessment for development of disaster management in the ASEAN region; and,
- To propose an ASEAN guideline/reference for flood risk assessment.

1.7 Outputs to Be Expected

- Inventory of information on disaster management of each ASEAN country;
- List of programs/projects/schemes for future assistances for disaster management;
 - Bi-lateral assistance;
 - Regional assistance;
- ASEAN guideline/reference for flood risk assessment.

This report presents the country report of Lao PDR. The full reports for the study were prepared separately as Main Report.

CHAPTER 2 HAZARD PROFILE

2.1 Introduction

The ASEAN countries are geographically located in Southeast Asia and north of Australia continent. The region is generally in areas of a tropical hot and humid climate zone the exception of the north-western part that experiences a humid sub-tropical climate. The region receives plentiful rainfall and remains humid in years. Generally, the countries have a dry and wet season due to seasonal shifts in monsoon, while the mountainous areas in the northern part have a milder and drier climate at high altitude.

The ASEAN region is geographically diverse and includes high hills and rugged mountains, elevated plateaus, highlands, floodplains, coastal plains and deltas underlined by various types of geology. The region is also home to large river systems such as the Mekong and Ayeyarwady River, and major water bodies as the Tonle Sap and Lake Tobe. There are several tectonic plates in the region that have cause earthquakes, volcanic eruptions and tsunamis; also locate the two great oceans of the Pacific and the Indians that are origins of seasonal typhoons or cyclones and tsunami. All these natural set-up are the background of a history of devastating disasters of various types that have caused economic and human losses across the regions.

Hereafter Chapter 2 describes an overview of disasters for the past 32 years from 1980 to 2011 based mainly on the data from “EM-DAT: The OFDA/CRED International Disaster Database: www.emdat.be - Université Catholique de Louvain - Brussels – Belgium.”¹ “Criteria and definitions” by EM-DAT; and the full set of data used this chapter are shown in Chapter 2.4.

The Team notes that there are such issues in EM-DAT to be improved/ clarified that definitions of some hazards including multi-hazard are unclear, disasters of small scales are not, so on. However, this data base is considered useful when outlines of disasters among different states are compared on a same assumption. The Team presents this chapter with intention that ASEAN states may share the knowledge of disasters in neighboring states and that the states may re-recognize that a data base on the basis of the unified ASEAN criteria, instead of EM-DAT, should be needed for detail analysis/understanding of disasters in the ASEAN region.

¹ Among the data set categorized as natural disaster in EM-DAT, “epidemic”, “insect infestation” and “wildfire” are not included in this survey.

2.2 Natural Disasters in the ASEAN Region

Number of Natural Disasters:

Figure 2.2.1 shows that in 1980-2011, 41% of the total number of disasters in the ASEAN region was due to flooding, followed by storms (33%). ‘Storms²’ and ‘floods’ (water related hazard totaling to about 74%) are the most frequent hazards in the region. It may be noted that the ‘mass movement’ has similar frequency as the earthquake, implying that mass movement/sediment disasters may not be negligible in the ASEAN region.

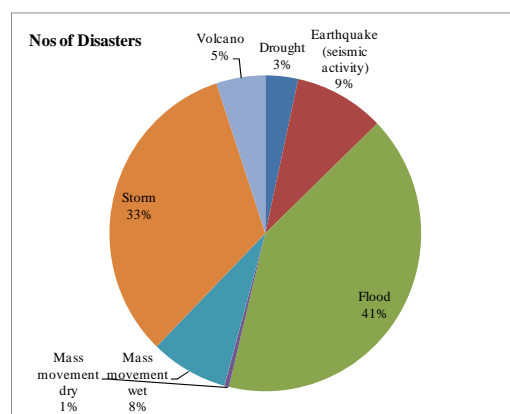
Total Number of Affected People:

Figure 2.2.2 shows the total number of affected people. About 47% of the total number of people was affected due to ‘storm’ followed by ‘flood’ (33%). Water related hazards totaled to 80% and have significant impact on the people in the ASEAN region (Figure 2.2.2 above). On the other hand, ‘drought’ affects a large number of people per event followed by ‘storm’ and ‘flood’ (Figure 2.2.2 below), implying that ‘drought’ prevails in wider areas of the region.

Total Number of Deaths:

Figure 2.2.3 shows that 49% of deaths were due to ‘earthquake’ followed by ‘storm (45%)’; these two disasters take 94% of the total death from natural disasters (Figure 2.2.3 above). In particular, ‘earthquakes³’ (including tsunamis) have the largest number of ‘death per event’ (Figure 2.2.3 middle), implying its devastating effects on human lives even with one occurrence.

It should be noted that in case of ‘mass movement (dry)’, 80% of affected people had been killed (Figure 2.2.3 below) that is the remarkable characteristic of the disaster of ‘mass movement (dry)’. Mass movement (dry) will have fatal impacts on human who are to be involved.



Disasters from 1980 to 2011	Nos of Disasters	%
Drought	36	3.4%
Earthquake (seismic activity)	99	9.4%
Flood	433	41.0%
Mass movement dry	5	0.5%
Mass movement wet	85	8.0%
Storm	344	32.6%
Volcano	54	5.1%
Total	1,056	100.0%

Data from 1980 to 2011
Source: "EM-DAT: The OFDA/CRED International Disaster Database
www.emdat.be - Université Catholique de Louvain - Brussels - Belgium"
Presentation: JICA Study Team (2012)

Figure 2.2.1 Nos. of Natural Disasters in ASEAN Region (1980-2011)

² EM-DAT defines: Severe Storm: A severe storm or thunderstorm is the result of convection and condensation in the lower atmosphere and the accompanying formation of a cumulonimbus cloud. A severe storm usually comes along with high winds, heavy precipitation (rain, sleet, hail), thunder and lightning”

³ EM-DAT does not include the terminology ‘tsunami’ in the ‘disaster type’ of the data base of July version.

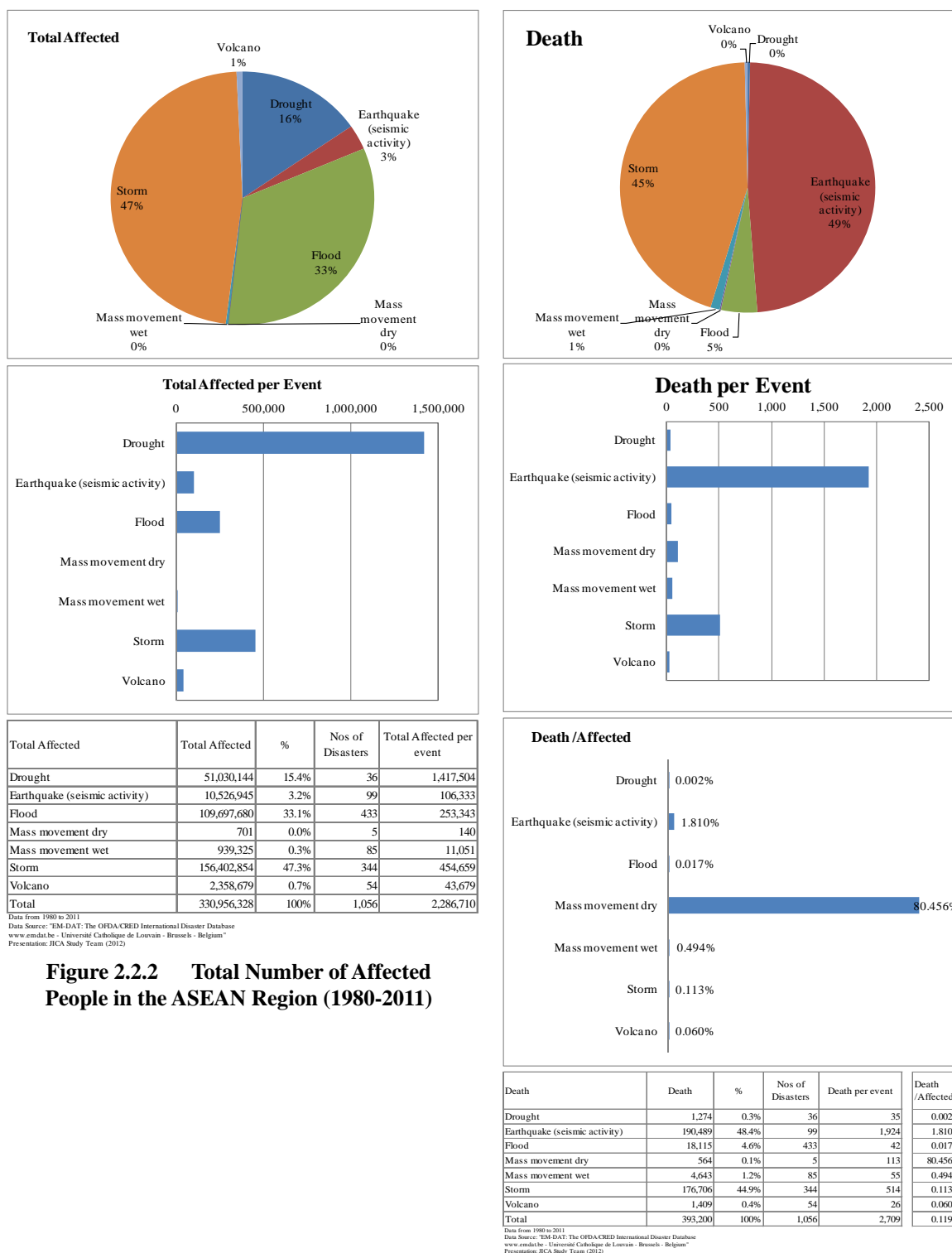


Figure 2.2.2 Total Number of Affected People in the ASEAN Region (1980-2011)

Figure 2.2.3 Total Number of Deaths in the ASEAN Region (1980-2011)

Estimated Cost per Disaster:

Figure 2.2.4 shows that 63% of the estimated cost of disasters in the ASEAN region is due to flooding followed by ‘storm (19%)’ and ‘earthquake (16%)’. This implies that flood disasters have caused serious economic damages in the ASEAN region for the past 32 years (1980-2011). Among the estimated cost due to flood about 37% (45.7 million USD) is due to the flood in Thailand (2011). This event indicates that natural disasters striking industrial areas will cause great economic losses. On the other hand, earthquake disasters (including ‘tsunami’) have the largest number in estimated cost per event followed by flood, implying its destructive effects on tangibles that can be converted to cost.

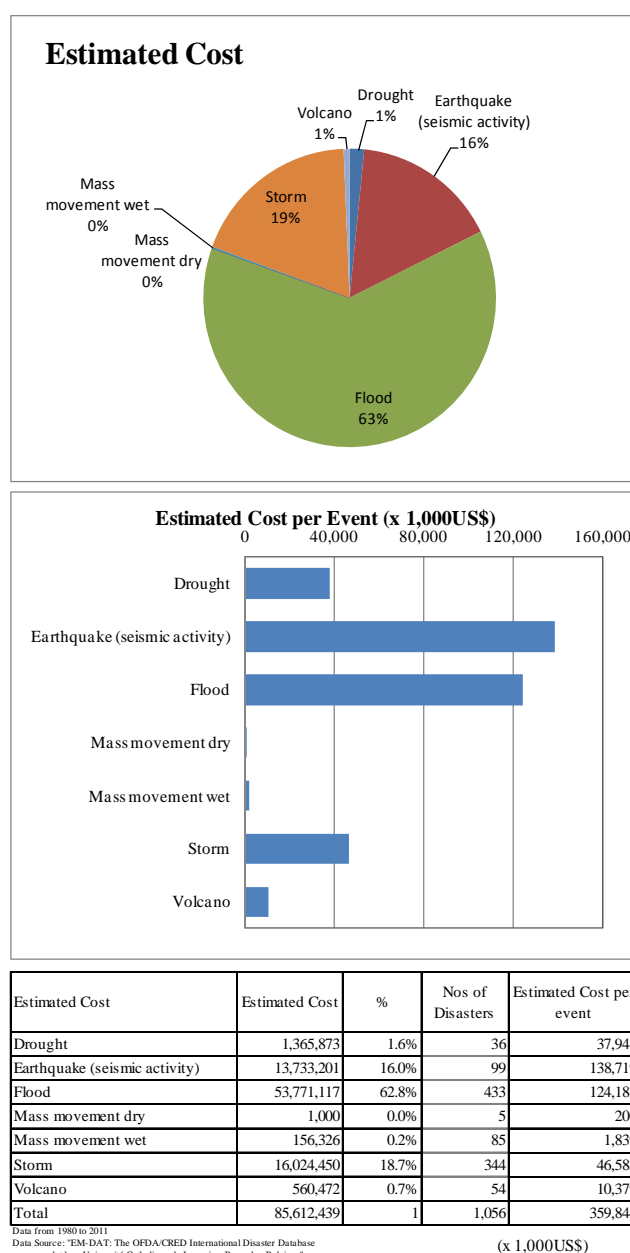


Figure 2.2.4 Estimated Cost per Disaster in the ASEAN Region (1980-2011) (x US\$1,000)

2.3 Outline of Natural Disasters

Figure 2.3.1 shows that the major natural disasters in Lao People's Democratic Republic (PDR) are flooding, storm, and drought in terms of number of disaster (flood: 62%, storm: 21%, and drought: 17%), and total number of affected people (flood: 60%, storm: 26%, and drought: 14%). On the other hand, deaths due to disasters were attributed to flooding (65%) and storm (35%). Estimated damage costs were caused by storm (95%) and flood (5%). The number of deaths was reported due to drought. It may be considered that people are affected mainly by flood (water) whereas economic losses are mainly caused by storms (considered from its strong winds).

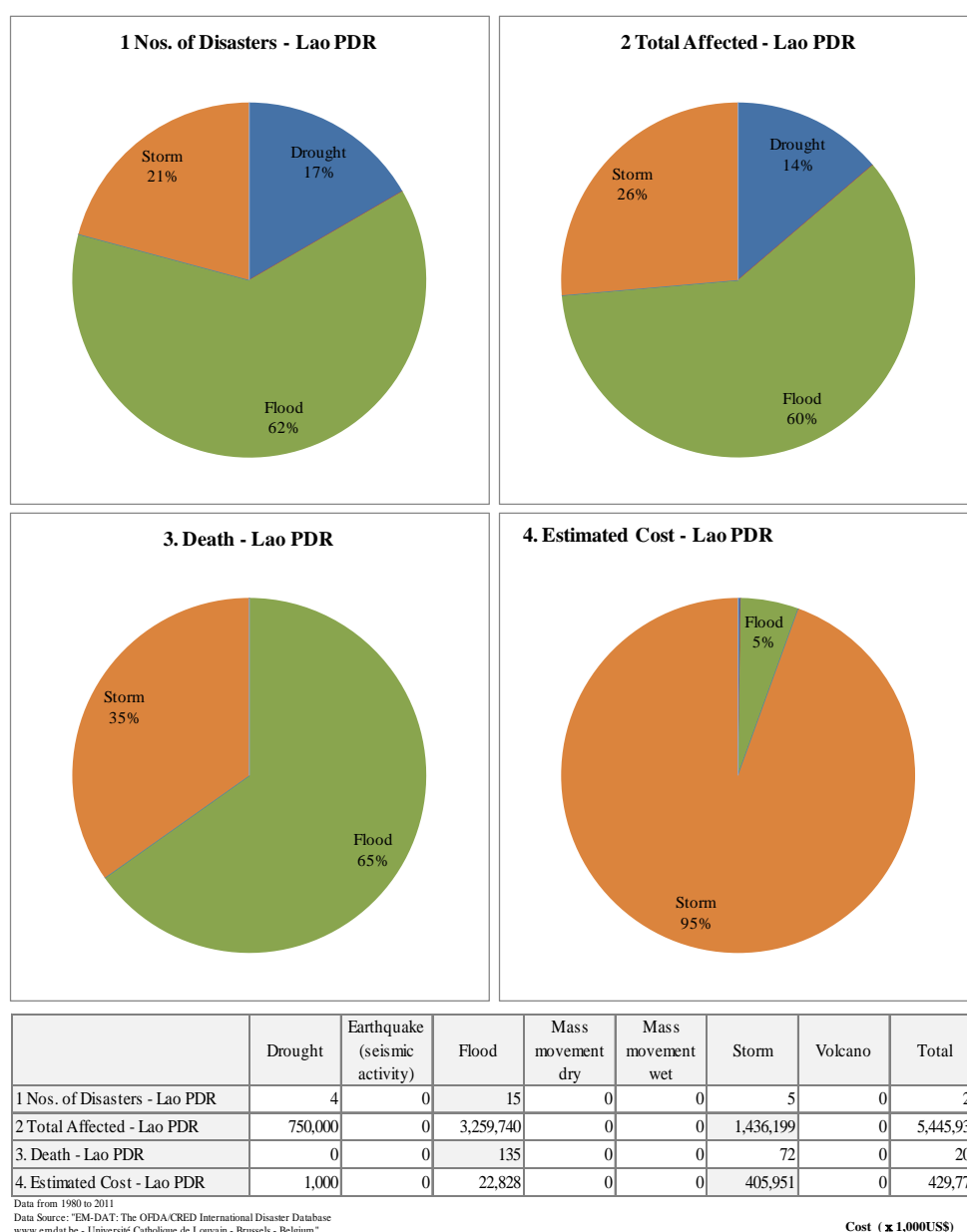


Figure 2.3.1 Outline of Natural Disasters in Lao PDR

2.4 Appendix to Chapter 2: Data Set Utilized for the Descriptions

The data set for the period of 1980 – 2011 were used for the description of the disaster outline in ASEAN region in this Chapter 2; and are presented in the tables for further reference.

The data were downloaded from "EM-DAT: The OFDA/CRED International Disaster Database; www.emdat.be - Université Catholique de Louvain - Brussels - Belgium" in July 2012.

The followings are criteria for a disaster to be entered in the database and definitions for classification for damages. Please refer to the web-site indicated above, for further information.

CRITERIA AND DEFINITION

CRITERIA

For a disaster to be entered into the database, at least one of the following criteria must be fulfilled:

- Ten or more people reported killed.
- One hundred or more people reported affected.
- Declaration of a state of emergency.
- Call for international assistance.

DEFINITION

EM-DAT data include the main following information:

Country: Country (ies) in which the disaster has occurred.

Disaster type: Description of the disaster according to a pre-defined classification

Date: When the disaster occurred. The date is entered as follow: Month/Day/Year

Killed: Persons confirmed as dead and persons missing and presumed dead (official figures when available)

Injured: People suffering from physical injuries, trauma or illness, requiring medical treatment as a direct result of a disaster

Homeless: People needing immediate assistance for shelter

Affected: People requiring immediate assistance during a period of emergency; it can also include displaced or evacuated people

Total affected: Sum of injured, homeless, and affected

Estimated Damage: Several institutions have developed methodologies to quantify these losses in their specific domain. However, there is no standard procedure to determine a global figure for economic impact. Estimated damage are given (000') US\$

(<http://www.emdat.be/criteria-and-definition>)

Table 2.4.1 Disaster Data Set of ASEAN Member States – Number of Disaster

No.	State	Drought	Earthquake (Ground Shaking)	Flood	Mass Movement (Wet)	Mass Movement (Dry)	Storm	Volcanic Eruption
1	Brunei	0	0	0	0	0	0	0
2	Cambodia	5	0	15	0	0	3	0
3	Indonesia	6	78	126	1	42	5	38
4	Lao PDR	4	0	15	0	0	5	0
5	Malaysia	1	1	32	1	4	6	0
6	Myanmar	0	4	13	0	3	6	0
7	Philippines	7	13	109	3	27	209	16
8	Singapore	0	0	0	0	0	0	0
9	Thailand	8	3	60	0	3	30	0
10	Vietnam	5	0	63	0	6	80	0
	ASEAN	36	99	433	5	85	344	54

Data source: "EM-DAT: The OFDA/CRED International Disaster Database; www.emdat.be - Université Catholique de Louvain - Brussels - Belgium" in July 2012

Table 2.4.2 Disaster Data Set of ASEAN Member States– Total Number of Affected People

No.	State	Drought	Earthquake (Ground Shaking)	Flood	Mass Movement (Wet)	Mass Movement (Dry)	Storm	Volcanic Eruption
1	Brunei	0	0	0	0	0	0	0
2	Cambodia	6,550,000	0	11,173,637	0	0	178,091	0
3	Indonesia	1,083,000	8,438,429	7,290,138	701	392,967	14,638	772,966
4	Lao PDR	750,000	0	3,259,740	0	0	1,436,199	0
5	Malaysia	5,000	5,063	566,058	0	291	47,946	0
6	Myanmar	0	37,137	850,112	0	146,367	2,866,125	0
7	Philippines	6,549,542	1,979,293	15,414,285	0	317,516	103,563,950	1,585,713
8	Singapore	0	0	0	0	0	0	0
9	Thailand	29,982,602	67,023	46,426,691	0	43,110	4,235,503	0
10	Vietnam	6,110,000	0	24,717,019	0	39,074	44,060,402	0
	ASEAN	51,030,144	10,526,945	109,697,680	701	939,325	156,402,854	2,358,679

Data source: "EM-DAT: The OFDA/CRED International Disaster Database; www.emdat.be - Université Catholique de Louvain - Brussels - Belgium" in July 2012

Table 2.4.3 Disaster Data Set of ASEAN Member States – Total Number of Deaths

No.	State	Drought	Earthquake (Ground Shaking)	Flood	Mass Movement (Wet)	Mass Movement (Dry)	Storm	Volcanic Eruption
1	Brunei	0	0	0	0	0	0	0
2	Cambodia	0	0	1,382	0	0	44	0
3	Indonesia	1,266	179,378	5,382	131	1,757	6	690
4	Lao PDR	0	0	135	0	0	72	0
5	Malaysia	0	80	196	72	96	275	0
6	Myanmar	0	145	422	0	109	138,709	0
7	Philippines	8	2,540	2,396	361	2,304	26,055	719
8	Singapore	0	0	0	0	0	0	0
9	Thailand	0	8,346	3,493	0	47	895	0
10	Vietnam	0	0	4,709	0	330	10,650	0
ASEAN		1,274	190,489	18,115	564	4,643	176,706	1,409

Data source: "EM-DAT: The OFDA/CRED International Disaster Database; www.emdat.be - Université Catholique de Louvain - Brussels - Belgium" in July 2012

Table 2.4.4 Disaster Data Set of ASEAN Member States – Estimated Cost (x US\$1,000)

No.	State	Drought	Earthquake (Ground Shaking)	Flood	Mass Movement (Wet)	Mass Movement (Dry)	Storm	Volcanic Eruption
1	Brunei	0	0	0	0	0	0	0
2	Cambodia	138,000	0	919,100	0	0	10	0
3	Indonesia	89,000	11,349,576	2,452,016	1,000	120,745	0	344,190
4	Lao PDR	1,000	0	22,828	0	0	405,951	0
5	Malaysia	0	500,000	1,012,500	0	0	53,000	0
6	Myanmar	0	503,600	136,655	0	0	4,067,688	0
7	Philippines	64,453	380,025	1,234,883	0	33,281	6,265,657	216,282
8	Singapore	0	0	0	0	0	0	0
9	Thailand	424,300	1,000,000	44,355,408	0	0	892,039	0
10	Vietnam	649,120	0	3,637,727	0	2,300	4,340,105	0
ASEAN		1,365,873	13,733,201	53,771,117	1,000	156,326	16,024,450	560,472

Data source: "EM-DAT: The OFDA/CRED International Disaster Database; www.emdat.be - Université Catholique de Louvain - Brussels - Belgium" in July 2012

CHAPTER 3 ORGANIZATION AND INSTITUTION

3.1 Disaster Management Law and Policy

It is expected that the Prime Minister's Decree to order the preparation of a Disaster Management Law will be issued by around October 2012. If the decree is issued as assumed, the law will be started to be prepared targeting to be enacted within 2013. The policy basis of Lao PDR's disaster management is the strategic plan to be discussed in Section 3.2 below.

3.2 Disaster Management Plan and Budget

A four-page paper entitled the "Strategic Plan on Disaster Risk Management in Lao PDR 2020, 2010 and Action Plan (2003-2005)" was issued in the form of Decree No.158 by the Ministry of Labour and Social Welfare (MLSW) in 2003. The plan lists long-term aims up to 2020 and goals for the medium-term until 2005 and 2010.

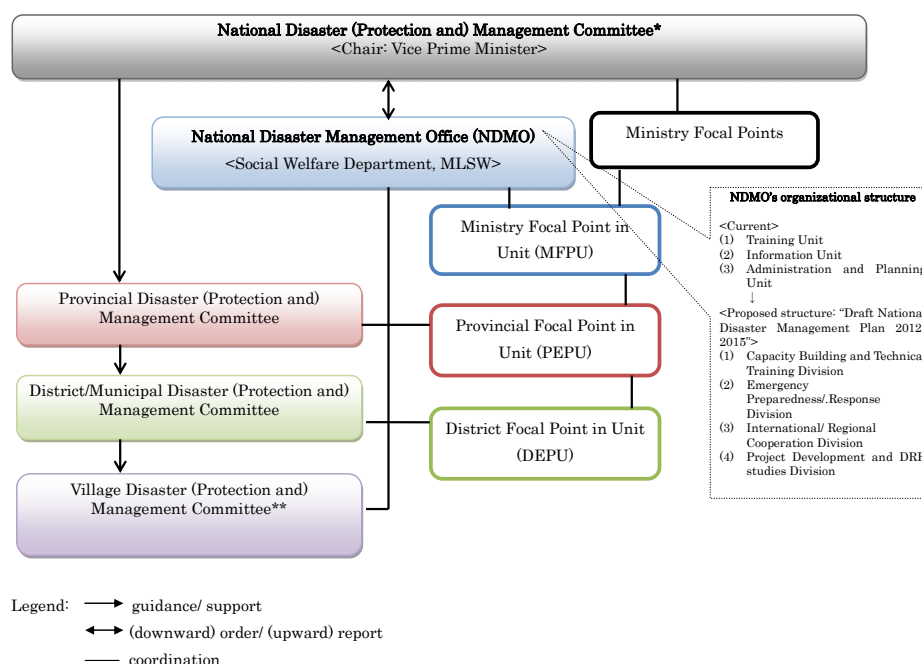
The new plan called the National Disaster Management Plan 2012-2015 has been drafted and reviewed. A decree from the Prime Minister is necessary for the above new plan to be implemented even after it is finalized. The MLSW, where the National Disaster Management Office (NDMO) belongs to, was allocated a fund totalling around LAK 1 billion for immediate use for disaster response in 2011, while government-wide annual allocation of emergency fund from the national budget amounts to around LAK 160 billion for 2009-2010 and LAK 100 billion for 2010-2011.

Five out of 17 provinces¹ and some of their districts have prepared provincial/district disaster management plans. The budget allocation at the local level seems insufficient.

3.3 Disaster Management Organization

Prime Minister Decree No. 158 was issued in 1999 in order to establish the National Disaster Management Committee (NDMC) and to position the NDMO within MLSW.

¹ Five provinces are: 1) Khammouane, 2) Savannakhet, 3) Vientiane, 4) Sayaboury, and 5) Saravan.



Source: Draft National Disaster Management Plan 2012- 2015, p.26. <Partly added by JICA Study Team>

Note: *NDMC is renamed by the draft decree on National Disaster Protection and Management (Provisional English Translation by the JICA Study Team). Differences are bracketed off. ** Committee at the village level is established especially where there is high risk. It is currently called the Village Disaster Protection Unit (VDPU) in the draft National Disaster Management Plan 2012- 2015.

Figure 3.3.1 Lao PDR's Disaster Management Structure

NDMC, which consists of the ministries, is chaired by the Deputy Prime Minister. Committees are also established in all the provinces (PDMC) and districts (DDMC). The Village Disaster Protection Unit (VDPU) is also set up at the village level.

NDMO has been established with the function of policy formulation for disaster management since 1997. It is located within the MLSW playing the secretariat function for NDMC. NDMO has units for training, information, and administration/planning with nine staff members. The NLSW departments at the local administrative level also play the secretariat roles for the respective disaster management committees.

Disaster management, including the NDMC structure and function, is under review and a new decree to adjust the current situation has been drafted.

3.4 Disaster Management at Community Level

Community-based disaster management programs are implemented by various donors targeting not only village people but local governments.

NDMO organizes public awareness events and activities every second week of October, commemorating the ASEAN International Disaster Management Day.

3.5 Issues and Needs Concerning Organization and Institution

(1) Issues²

- a) To establish the legal basis on disaster management;
- b) To enhance capacity of local governments to be able to prepare disaster management plans;
- c) To build a function for disaster prevention and mitigation within NDMC or to provide NDMO with authority to play the main role in terms of inter-ministerial coordination;
- d) To adjust the division of labor between NDMO and the newly established Department of Natural Disaster Management and Climate Change in the Ministry of Natural Resources and Environment, which is also mandated to deal with (water-related) disaster management; and
- e) To allocate the budget to benefit all the communities impartially in order to standardize their capacity.

(2) Needs³

- a) Preparation of a disaster management law, including appropriate institutional and organizational settings as well as standardized frameworks of the disaster management plan;
- b) Preparation and standardization of local level disaster management plans; and
- c) Institutionalization of community-based disaster management at the local level through provision of training for both local government officers in charge and communities' members.

² The view in a) is identified by NDMO in the interview with the JICA Study Team, while the views from b) to e) are attributed to the JICA Study Team.

³ The view in a) is identified by NDMO in the interview with the JICA Study Team, while the views in b) and c) are attributed to the JICA Study Team.

CHAPTER 4 PRESENT SITUATION OF DISASTER MANAGEMENT AGAINST PREVAILING NATURAL DISASTERS IN LAO PDR

4.1 Flood

(1) Present Situation of Flood Disaster

Most cities and towns of Lao PDR lie along the Mekong River and its tributaries, and are thus prone to flooding due to overflow of dikes during the rainy season. The four major flood prone areas are situated along the main stream of Mekong, namely Vientiane, Thakhek, Savannakhet, and Pakse. Flash floods also occur mainly in the tributaries in the mountainous northern and eastern regions of the country. As for the most recent floods, a wide area of the country seriously suffered from floods in 2011, which are described later.

(2) Risk Assessment

According to the Ministry of Agriculture and Forestry, 10% of paddy fields nationwide are in flood prone areas. A systematic assessment of flood hazard has not been made. However, the preparation of a hazard map is being attempted by the Department of Water Resources (DWR) under the Ministry of Natural Resources and Environment (MONRE) based on information from the Department of Meteorology and Hydrology (DMH), with technical support from MRC. Also, a nationwide hazard map will be prepared with assistance from the World Bank.

(3) Monitoring / Early Warning System

Monitoring

There are 13 major river basins in the country. The DMH manages 113 monitoring stations for water level and rainfall in the whole country. Out of 113, 44 stations are telemetric. There are four telemetric and three manual monitoring stations along the main stream of the Mekong River. Data observed by the telemetric systems are sent to the DMH in Vientiane everyday.

Rainfall data and water level data at key stations along the Mekong River and its major tributaries are sent to the Mekong River Commission Secretariat (MRCS) for flood forecasting not only in Lao PDR but also in other MRC member countries.

Early Warning

Flood warning is issued by the DMH based on pre-determined criteria of river water level and rainfall, and is disseminated to the line ministries and provinces as well as to mass media through fax or email. Information is also provided to the public through mass media, website, and verbal communication through the use of a loud speaker.

Warning information on flash floods including landslides, which have been increasing in recent years, are issued when the 12-hourly rainfall exceeds 100 mm. However, a particular monitoring system or warning criteria for flash floods has not been established yet.

A system for issuing an evacuation order has not been established. At present, the National Disaster Management Office (NDMO) is the one to decide each time. The standard operating

procedure (SOP) on flood has been recently drafted, and a system on decision making is being organized.

(4) Preparedness / Prevention and Mitigation

An integrated plan for flood mitigation has neither been developed at national nor local levels. However, flood protection dikes, sluice gates, diversion channels, and drainages have been constructed by the Ministry of Public Works and Transportation (MPWT) particularly in major cities located along the Mekong River such as Vientiane Capital, Bolikhamsay, Khammouan, Savannakhet, and Champassak, and along major tributaries.

An integrated management system for reservoir operations has not been put into place. One of the causes of flooding in Savannakhet Province in 2011 was considered to be water discharge released from the hydropower dam located upstream. According to MONRE, they are expected to serve as a coordinating organization for integrated reservoir operation in the near future.

As part of disaster preparedness, a Flood Preparedness Program was implemented by the Lao National Mekong Committee (LNMC), the Asian Disaster Preparedness Center (ADPC) and the NDMO with financial support from GIZ and ECHO. The main activities include, i) awareness-raising and enhancing of people's capacities, ii) preparation and implementation of programs, and iii) integration of flood preparedness and emergency management into local development plans.

(5) Emergency Response

At present, emergency response activities are initiated by the NDMO. However, a systematic emergency operating system (EOS) has not been prepared. Particular evacuation drills in case of flood have not been carried out.

(6) Result of Field Survey on Flooding in 2011

Overview of Floods in July-August 2011

The impacts of Tropical Storm Haima have compounded with the arrival of Tropical Storm Nock-ten and the beginning of the monsoon season. The two storms claimed 42 deaths and affected 429,954 people or 82,493 households in 1790 villages in 96 districts of 12 provinces. The total damage was estimated at about LAK 1,765 billion (USD 220 million).

Outline of the Field Survey

This flood damage and field interview survey was conducted in the southern region of Lao PDR, Savannakhet, and Khammouan Provinces, on February 28-29, 2011.

Findings

- The disaster management committee for Nasang Village in Savannakhet Province is composed of five members. The mayor of the village receives a flood warning from the local government, and then he disseminates the warning to the villagers. The committee holds a regular meeting to discuss how to move household properties to safer places, how to cultivate lands at higher elevation and so on.

- The residential area of Bandan Village in Khammouan Province had been inundated with a depth of more than 1.5 m for 40 days, though the duration of inundation is normally around one week for ordinary floods. The inundation depth in the paddy field was 1.5-2.5 m deep.
- Topographical condition of Champhone District of Savannakhet Province is quite different from its surrounding areas. Once heavy rain occurs, rainwater flows down toward low-lying land where Champhone District is located. In case of ordinary flood, which occur every year, around 3000 ha of irrigation area is normally flooded.
- During the 2011 flood, the disaster management committee of Champhone District was not able to provide enough support to villagers due to insufficient budget. However, the committee members patrolled and monitored the water level everyday by utilizing two boats.
- A flood warning was not disseminated to Ban Dong Muong Village in Savannakhet Province, though a warning system has been established at the district level. Though the village has access to TV programs normally, the signal of Lao TV in this area was disrupted due to heavy rains.

(7) Issues and Needs

Based on the above field survey as well as the interview survey with the government offices at the national level, the following key issues were identified:

- An integrated plan for flood mitigation has neither been developed at national nor local levels.¹
- Systematic assessment of flood hazard has not been made.¹
- An integrated management system for reservoir operation has not been set up.¹
- Since more than half of the stations are manual type at present, the availability of rapid information is limited. It is required to install adequate telemetric systems in order to forecast a flood event timely. A monitoring system for flash flood forecast has not been established.¹

To solve the above major issues, the following were also identified:²

(a) Enactment of Reservoir Operation Rules

During the flood in 2011, the reservoir water levels of some large dams utilized for hydropower or irrigation purposes reached dangerous levels due to the long duration and wide range of rainfall. Some of those dams had to release large volumes of water from the reservoirs. And it was reported that the released water caused flood damages in downstream areas. This might have occurred due to the operation of hydropower dams including PFI systems in which the institutions and organizations for river basin management have not been developed. In addition, new hydropower dams are being planned in the country. In this regard, it will be necessary to conduct a study on the improvement of legal systems related to such in order to enact a rule on reservoir operation.

¹ The view is attributed to the JICA Study Team.

² All the views are attributed to the JICA Study Team.

(b) Master Plan Study on Integrated Flood Management in the Mekong River Basin

Although the riverbank is being constructed along the Mekong River around major four cities including Vientiane, it is assumed that urban drainage issues will emerge in some urban cities. In contrast, systematic flood mitigation measures have not been developed for small and medium size cities. As experienced in the Chao Phraya River in Thailand, flood damage may have significant impact on a nation's economic foundation. Lao PDR is not exempted from such since its major cities are located along the Mekong River. Because of these factors, there is an urgent need to evaluate flood disaster in the Mekong River basin including urban drainage issues, and to formulate a master plan aiming at making cities and rural communities more resilient to flood disaster.

(c) Study on Flood Disaster Assessment and Management for Special Economic Zones (SEZs)

Although the SEZs in Lao PDR have not suffered from severe floods, such as the experience of Thailand in 2011, some foreign companies that have invested in Lao PDR are concerned about the possibility of flood. In this regard, the development of high precision flood hazard maps in SEZs is required for the purpose of providing criterion in deciding to advance or to set non-life insurance rating. In some cases, hazard mapping itself may provide some difficulties. Also flood vulnerabilities should be evaluated and authorized. In this regard, it is strongly proposed to conduct the above captioned study.

4.2 Earthquake and Tsunami

(1) Present Situation of Earthquake and Tsunami Disaster

Seismic activity in Lao PDR is limited in the northern part of Lao PDR and the main active faults are also distributed in the northern part. No earthquake has occurred in the main cities along the Mekong River basin. Earthquakes which have occurred in the northern part of Lao PDR had magnitudes of less than 6.0. There has been no significant damage due to earthquake in Lao PDR and its surrounding countries in the past. In recent years, a magnitude 5.5 earthquake occurred in Bokeo Prefecture, near the border of Thailand, in 1996 (Figure 7.2.10). Houses and temples in Lao PDR as well as in Thailand were damaged and developed cracks due to the earthquake.

(2) Risk Assessment

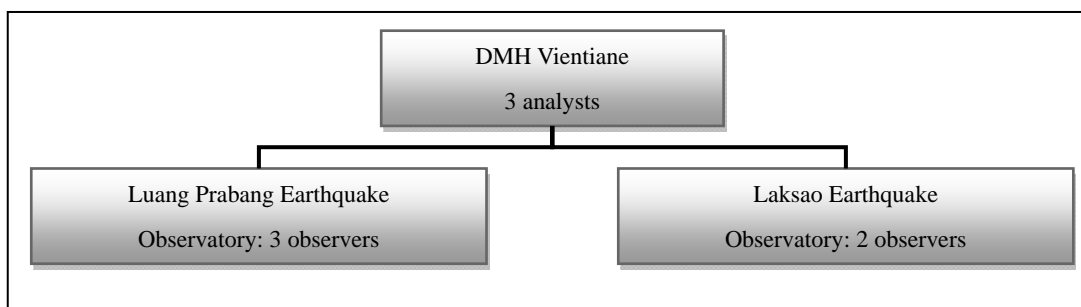
According to the Strategic Plan of 2011 to 2015, the leading agency for the production of a hypocenter distribution map is the DMH; however, an earthquake hazard map has not been developed by any organization to date.

(3) Monitoring / Early Warning System

With assistance from the China Earthquake Administration (CEA), the DMH installed a broadband seismograph and a strong motion accelerograph at Luang Prabang and Laksao in 2008. Also, the DMH has conducted seismic observation since 2009. There were 34 earthquakes observed by the seismographs in 2009. The observation data was transferred to

the CEA and DMH in Vientiane through VSAT satellite communication, and such data were archived in the DMH. The electric power of seismographs is supplied by AC power, and 12 batteries, such as the ones used for vehicles, were set as backup power supply. The seismographs have been working smoothly so far as they are checked occasionally and have been upgraded twice already. The observers in the local observatory cannot maintain the devices. In case of trouble, the DMH requests the CEA for repairs.

The seismic observation and analysis staff consist of three analysts in Vientiane, three observers in Luang Prabang, and two observers in Laksao (see Figure 4.1).



Source: Interview with DMH (Created by the JICA Study Team)

Figure 4.2.1 Seismic Observation Systems in DMH

The DMH presently conducts the determination of hypocenter and magnitude using software provided by the CEA. However, since there are only two observation stations in Lao PDR, in case an earthquake occurs, the DMH collects seismic information from surrounding countries such as China, Vietnam, and Thailand via internet; and thereafter they manually analyze the collected information. Therefore, hypocenter determination needs about an hour after the occurrence of an earthquake.

The DMH mentioned that increasing the number of seismographs is a most important issue, and also there is a need to develop the engineers' capacity for operations and maintenance of instruments, and analysis of data. The DMH also mentioned that with economic growth in the main cities such as Vientiane, analysis technique for strong motion observation data and design of quake resistance standards need to be developed.

In case that an earthquake occurs, the DMH at Vientiane analyzes the data from each observatory and then makes an official letter to the director general of DMH. Seismic information is transmitted from the DMH through the DMH branch office to the provincial disaster management committee whose chairman is the vice president. And also the DMH disseminates the information to the minister of MONRE, NDMC and mass media, and announces in their website as well. Communications with relevant authorities are conducted via fax. Mass media through TV and newspapers broadcast the earthquake information immediately depending on the scale of earthquake. Radio broadcasts such information more quickly.

(4) Issues and Needs

1) Issues³

- a) Develop and improve the seismic monitoring system and to strengthen the capacity of monitoring and operation staff of the DMH are the most important issues.

2) Needs⁴

- a) Development of a seismic observation network, and capacity development for the operation of the observation network.

4.3 Volcano

There is not volcano in Lao PDR.

4.4 Sediment Disaster

(1) Present Situation of Sediment Disaster

Mountainous areas cover about 80% of the whole country of Lao PDR. Sediment disasters occur in mountainous areas as triggered by heavy rainfall or typhoons during the rainy seasons. Such have caused severe damage to human lives and infrastructure especially roads. In the northern region of Lao PDR, landslides and slope failures have occurred repeatedly due to typhoons. When typhoon Haima hit Lao PDR in 2011, large-scale slope failures of more than 300 m wide occurred along National Road 13 N, resulting in road closure and loss of human lives. The costs for recovery of National Road 13 N in 2011 was estimated at LAK 100 billion, and of all national and district roads throughout the country the same year was estimated at LAK 900 billion. As compared to the road maintenance budget of about LAK 300 billion of the Department of Road (DOR) for fiscal year 2011, the cost of recovery from road disaster has become an economic burden to Lao PDR.

(2) Risk Assessment

The organization specializing in sediment disaster does not exist in any governmental organization such as the DGM and DWR, therefore, sediment disaster information and technology for disaster prevention have not been accumulated, and impact assessment including producing a hazard map for sediment disaster has not been conducted either.

In order to anticipate sediment disaster damage along trunk roads and to plan disaster prevention measures, the JICA Study Team recommended the collection of disaster information throughout Lao PDR, and the development of a master plan to prioritize the route and area. Based on the priority order of the master plan, there is a need to conduct risk assessment including developing hazard maps of each route.

³ All views are identified by the DMH in the interview with the JICA Study Team.

⁴ All views are attributed to the JICA Study Team.

(3) Monitoring / Early Warning System

There is no activity regarding monitoring and early warning system for sediment disasters except for meteorological and hydrological observations by the DMH. The JICA Study Team recommended the development of a hazard map to identify susceptible areas to sediment disaster, and establishment of an observation system and early warning system.

(4) Preparedness / Prevention and Mitigation

Proactive countermeasures such as slope protection works and landslide countermeasures (drainage works and removal of unstable soil) are important issues in Lao PDR. Even though sediment disaster occurs frequently in Lao PDR, the technology needed for sediment disaster countermeasures remain undeveloped. Only urgent removal of fallen/collapsed sediments after such a disaster has been carried out. Permanent countermeasures such as slope protection works and/or landslide countermeasures have yet to be implemented. It was considered that post-disaster responses and increasing secondary damages contribute to the tightening of the road maintenance budget. The JICA Study Team considered that strengthening of the road maintenance system/mechanism, management of disaster records and development of knowledge and experience on disaster prevention in the DOR as the road administrative organization are important issues in the road sector of Lao PDR.

In the South East Asia Community Access Programme (SEACAP) supported by the UK, simple and reasonable countermeasures such as gabion walls and revetment works were constructed and a handbook about design and construction of countermeasures was formulated. However, the countermeasures are small-scale and simple enough that such cannot be adapted to large-scale landslides and slope failures. The MPWT hopes to improve on preventive technology against large-scale slope disaster in order to secure safe traffic.

(5) Emergency Response

Emergency response for sediment disasters along roads is administrated by the DOR under the MPWT and DPWT of each province. The DPWT agrees to unit price contracts with local contractors in advance of the rainy season. In case sediment disaster occurs, the contractors remove the fallen sediments and/or cuts on the mountainside using heavy machinery in order to re-open the road as soon as possible.

Detailed geological investigation is not conducted before construction. Since the scale, range and mechanism of sediment disasters have not been clarified, reasonable measures could not be applied to adequate areas. Moreover, leaving the slope unstable and unprepared responses have caused secondary damages and increased the road maintenance cost. The JICA Study Team suggested implementing emergency response based on a systematic procedure including planning permanent countermeasures.

(6) Issues and Needs

- 1) Issue⁵
 - a) Improve road disaster management using structural and non-structural measures.
- 2) Needs⁶
 - a) Development of road disaster prevention plans for economic corridors and capacity development on road maintenance and of the management sector.

⁵ All views were identified by the MPWT in the interview with the JICA Study Team.

⁶ All views are attributed to the JICA Study Team.

CHAPTER 5 DISASTER MANAGEMENT INFORMATION, EARLY WARNING AND DISASTER EDUCATION

The HFA-3 mentions that stakeholders need to use knowledge, innovation and education to build a culture of safety and resilience at all levels. In order to achieve that, it is important to collect and integrate various types of information on disaster management to be able to share, and freely use it.

In this chapter, the JICA Study Team organized an overview of the current situation and challenges of each ASEAN country regarding Disaster Management Information System (DMIS) and education for disaster prevention and mitigation.

5.1 Disaster Management Information System (DMIS)

Table 5.1.1 Information System on Disaster Management (Lao PDR)

		Availability	Competent Agency
Disaster Management Information System		- (under construction)*	NDMO*
Disaster Loss Database		○ (under integration into EDIS)*	NDMO*
Early Warning System	Flood	○	DMH
	Flash Flood	○	DMH
	Typhoon/Cyclone	○	DMH
	Landslide	-	-
	Tsunami		
	Volcano		
	Severe Weather	○ (heavy rainfall, strong wind)	DMH

Source: JICA Study Team, (*) HFA Progress Report (2009-2011) (Legend: ○: available, -: not available)

(1) DMIS and Disaster Loss Database

The NDMO has been implementing two projects under the thematic area of risk assessment and disaster information management. These are:

- a) Establishment of Disaster Information Management System (EDIS) Project of LANGOCA Program
- b) Development of National Risk Profile Project under cooperation with UNDP.

The EDIS project is being implemented under the Laos Australia NGO Cooperation Agreement (LANGOCA) by NDMO, ADPC and Save the Children Australia. The project is built on a web-based system (DesInventar) previously tested in Sayaboury Province under a pilot project implemented in 2008-2009. This disaster information management system was proven effective in the province and its implementation was commenced at the national level in 2010¹.

¹ HFA Progress Report (2009-2011), Lao PDR

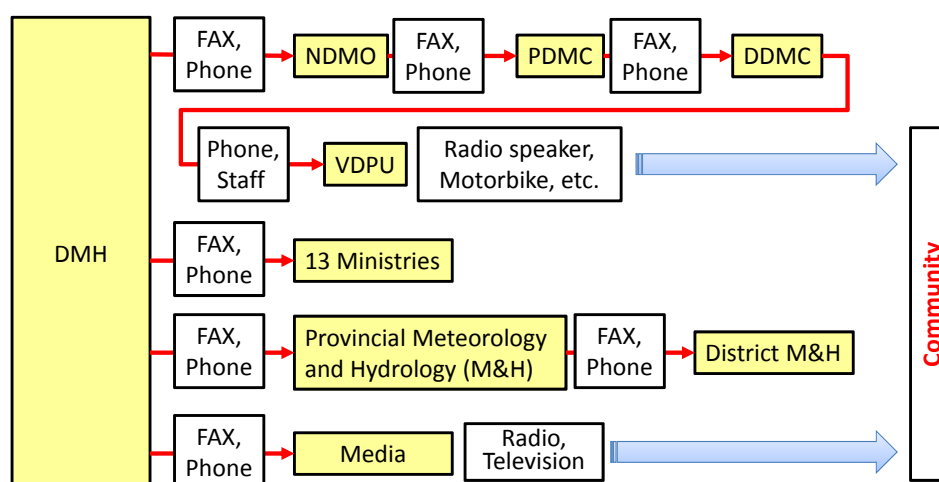
(2) Early Warning System (EWS)

Meteorological and hydrological monitoring and early warning (severe weather, typhoon, heavy rainfall, very hot weather, flood, and flash flood) are under the responsibility of DMH. DMH issues early warnings to relevant organizations (PMO, NDMO, 13 government agencies, each provinces, and mass media). For flood warning, DMH issues warning information in three stages (flood advisory/flood warning/flood announcement) based on observed water levels and rainfall forecast using data from 20 hydrological stations along the Mekong River and its main tributaries.

Early warning of flash floods (including landslides) is issued when rainfall is forecasted to exceed 100 mm in 12 hours. Observation networks to prepare for flash floods have not been developed yet. The criterion for issuing early warning of flash floods is not also available. DMH issues information of flash floods based on the MRC flash flood guidance.

(3) Means of Dissemination of Early Warning

DMH disseminates early warning information to NDMO, 13 agencies, and local meteorological observatories. Information is also sent to mass media (radio staff and/or newspapers) by fax, to TV staff by e-mail, to the public by websites and village staff. From the village staff to village people, various means of communication are used, such as hand-speakers, outdoor loudspeakers and so on.



VDPU: Village Disaster Protection Unit (140 units in the whole country)

Source: JICA Study Team based on the interview survey for DMH

Figure 5.1.1 Dissemination Flow of Early Warning

5.2 Education for Disaster Prevention and Mitigation

Education for disaster prevention and mitigation has been carried out to the communities mainly in NDMO, while receiving the support of the NGOs. The NDMO creates posters and booklets regarding disaster prevention for the residents to read.

Programs of education for disaster prevention and mitigation are under the responsibility of the Ministry of Education. There is a curriculum for elementary school students in 3rd, 4th and 5th grade regarding the matter. Textbooks that deal with fire, floods, droughts, landslides, a contagious disease have already been published. Evacuation drills are conducted on the day for disaster reduction during the second week of October. DMH also conducts open houses which is one of its education programs. More than 500 elementary and high school students visited DMH in 2011. Also, DMH made weather publications for students, school teachers and line agencies concerned.



Source: DMH, Floods 2011 affected by Tropical Cyclones Best Tracks over LAO PDR (PowerPoint)

Figure 5.2.1 Open House (left) and Example of Weather Publication (right)

NDMO has opened a website and is beginning to share information on a trial basis for knowledge share. The website is very necessary to accumulate the good practice in the future.

5.3 Issues and Needs Identified – Lao PDR

Issues and Needs Identified are as show in the table 5.3.1.

Table 5.3.1 Issues and Needs Identified by the Study Team (Lao PDR)

Issues and Needs	Bilateral cooperation
Early Warning ²	<ul style="list-style-type: none"> - Development of means of early warning (especially for flash flood) , from governmental agencies to communities; - Implementation of CBDRM
Enhancement of Disaster Education for CBDRM	<ul style="list-style-type: none"> - Assistance of CBDRM (e.g. Evacuation drills, Community based hazard mapping, Building shelter management system and evacuation plans, improvement of early warning system, Formulation of community disaster manual and awareness plan) - Development of guide lines how to conduct CBDRM. - Development for knowledge sharing mechanism among communities. - Capacity Building for implementing CBDRM

Source: JICA Study Team

² According to interview survey to NCDM by the JICA Study Team (2012)

CHAPTER 6 PREPAREDNESS FOR EFFECTIVE RESPONSE

6.1 Current Situation of Preparedness for Emergency Response

Preparedness and contingency plans have been prepared for certain disasters (mainly flood). The current contingency plan is being reviewed to be revised. This will include the preparation of SOPs. The emergency response is supposed to be headed by local level disaster management organizations for mobilizing assistance resources from the government, the army and local communities.

Some resources for emergency are allocated to the national as well as provincial levels. Ministries such as Health, Public Works and Transportation, Agriculture and Forestry, and Defense have some financial reserves for emergencies, respectively. The Ministry of Labor and Social Welfare, of which NDMO is part as a division, has allocated stocks such as shelter materials and food for emergency assistance at various administrative levels.

In case of a disaster, the Ministry of Foreign Affairs will ask for international/local NGOs to mobilize assistance. The NDMO, with its Disaster Assessment Committee, collects pre- and post-disaster information with its partners' assistance. Although the Emergency Task Force is set-up within NDMC to disseminate information from the national to the community level, there are still challenges in coordination and further exchange of information. This issue is taken up in the draft National Disaster Management Plan as a proposal for the establishment of a Disaster Response Coordination Center. The center is to be operational in the onset of a disaster. NDMO on the other hand is in need of an Emergency Operation Center as its internal function.

6.2 Issues and Needs of Assistance for Emergency Response

(1) Issues¹

- a) To formulate well-designed standard operating procedures (SOP)
- b) To mobilize adequate manpower and budget for NDMO
- c) To improve inter-agency coordination for emergency response arrangement
- d) To improve horizontal information exchange between national and multilateral stakeholders

(2) Needs²

- a) Preparation of SOP
- b) Establishment of a Disaster Response Coordination Center to resolve information coordination failure at the onset of disasters.
- c) Establishment of an Emergency Operation Center within NDMO

¹ The views in a) b) and d) are identified by NDMO in the interview with JICA, while the view in c) is identified by the JICA Study Team.

² All the views are identified by NDMO in the interview with the JICA Study Team.

CHAPTER 7 NEEDS IDENTIFICATION FOR DISASTER MANAGEMENT

This chapter summarizes the survey results and describes the proposals for ASEAN regional collaboration in disaster management.

7.1 Issues and Needs According to Themes

7.1.1 Institution / Organization

(1) Institutional Issues: Disaster Management Law

In keeping with the strategic goals of Hyogo Framework for Action (HFA), ASEAN countries have shifted their disaster management policy focus from responsive to preventive and mitigating orientation. As such policy shift is still in transition, not all ASEAN countries have established their institutional foundation in terms of legal and organizational arrangements.

Out of ten ASEAN countries, four countries (Brunei, Indonesia, the Philippines, and Thailand) have disaster management law. Three countries, namely Cambodia, Myanmar, and Vietnam, are in the process of enacting their disaster management law within 2012 or in 2013. **Lao PDR** expects to formulate and enact disaster management law by 2013. Malaysia needs more steps to start preparing its disaster management law. It seems unnecessary for Singapore to have its comprehensive disaster management law aside from other related laws, because it is relatively free from natural hazards.

Disaster management law is fundamental especially for effectively conducting disaster preventive/mitigating activities as government budget allocation for disaster management attributes to its legal basis. While many countries have spared a portion of special budget through emergency funds when disaster strikes, an integrated budget for comprehensive disaster prevention and mitigating activities is scarcely prepared as these resources are normally allocated to respective sector ministry without sufficient coordination. Such integration of the budget will, on the other hand, require a comprehensive disaster management plan and a specialized agency as its preconditions.

(2) Institutional Issues: Disaster Management Plan and Organization

1) Readiness of Disaster Management Plan of ASEAN countries

Preparation of disaster management plan varies from country to country among ASEAN countries. Four out of ten ASEAN countries (Indonesia, the Philippines, Thailand, and Vietnam) possess disaster management plans. Brunei's disaster management plan consists of: i) Strategic National Action Plan and ii) Standard Operating Procedure. Cambodia had a plan for some years but has not been implemented as intended because its legal basis was not yet put into place. **Lao PDR** is currently drafting the plan to obtain legal approval. Myanmar is in the process of revising its plan together with necessary legal re-arrangement including organizational re-structuring (to be completed within 2012). It seems enough for Singapore to have existing national contingency plan. Disaster management plans at the local level are also

expected to be prepared; however, it is an issue for most of ASEAN countries in terms of how these will be well-prepared.

2) Disaster Management Organization at the National Level

All ASEAN countries have disaster management organizations. Most of them are composed of committees presided by high level government authority and secretariats, which are most likely under the leading ministry for disaster management. These committees are organized mainly for emergency response, and the secretariats are expected to deal with disaster prevention, mitigation and preparedness apart from emergency arrangements, without enough resources and authority in most cases. Although a shift of policy focus on disaster management from emergency response to prevention, mitigation, and preparedness has been observed in most of ASEAN countries, it would be necessary for existing secretariat organizations to have clearer mandates and authority or to be an independent agency just like a case of Indonesia in order to make inter-governmental coordination as well as disaster management activities smooth.

Table 7.1.1 Institutional Conditions of Disaster Management in ASEAN Countries

Institutional Conditions		Brunei	Cambodia	Indonesia	Lao PDR	Malaysia	Myanmar	Philippines	Singapore	Thailand	Vietnam
Disaster Management Law	Presence	O		O				O		O	
	Enacted <Planned> Year	*1 2006	<2013>	2007	<2013>	*2 -	<2012>	2010	*3 -	2007	<2013>
Disaster Management Plan	Presence at the National Level	O*4	O*5	O	*6 -	*7 -	O	O	O*8	O	O*9
	Presence at the Local Level	O	O	O	O*10	O*11	.	O	*12 -	O	O
Disaster Management Organization	National Level	Committee	O	O*13	O	O	O	O	O	O	O
		Secretariat	O*14	O	O	O	O	O	O	O	O
	Local Level		O	O	O	O	O	O	*15 -	*16 -	O
Community-based Disaster Management		O	*17 -	*17 -	*17 -	*17 -	*17 -	*17 -	O	*17 -	*17 -

Source: JICA Study Team

Note: 'O': Available; '-': Not Available

1*: Disaster Management Order subrogates the law; 2*: Malaysia needs more steps to start preparing disaster management law; 3*: It seems unnecessary for Singapore to have comprehensive disaster management law aside from other related laws because it is relatively free from natural hazards; *4: It consists of SNAP and SOP; *5: Implementation issue exists; *6: It will be approved within 2012; *7: SOPs subrogate it; having the plan is considered unnecessary; *8: Emergency plan subrogates it; *9: The plan is to be revised; *10: Five out of 16 provinces prepared it; *11: It will be revised; *12: It seems not necessary; *13: Committee is within the implementing organization; *14: It is still an interim arrangement; *15: It seems not necessary; *16: Local administrations provided its function; *17: Implemented mainly through donor-led program.

3) Disaster Management Organization at the Local Level

Disaster management organizations are also set up locally in most of ASEAN countries. Many of them, however, are established in order to prepare/respond to emergency circumstances which frequently and seasonally occur. Local disaster management organizations are expected to prepare local disaster management plans on the basis of their respective national plan, which extend their functions to mitigation and prevention activities. Local disaster management organizations are also involved in the community-based disaster management activities, with the assistance of external donors in most cases. Generally, community-based disaster management seems not comprehensive as its activities are partial and often serve as ad hoc through donor supports. To make it sustainable, it needs an institutional foundation at the local level by enhancing the capacity of local government organization for disaster management.

Table 7.1.1 summarises the institutional/organizational conditions of ASEAN countries.

According to the information in Table 7.1.1 concerning institution and organization matters obtained by the study, the JICA Study Team identifies and summarizes the issues and needs for cooperation as shown in Table 7.1.2. The JICA Study Team considers that the cooperation can be provided bilaterally between Japan and respective ASEAN country, or can be regionally provided among ASEAN countries as shown in Table 7.1.3.

Table 7.1.2 Issues and Needs on Institution/Organization

Issues and Needs	Country									
	Brunei	Cambodia	Indonesia	Lao PDR	Malaysia	Myanmar	Philippines	Singapore	Thailand	Vietnam
1. Improve the legal system for disaster management	-	O	-	O	O	O	-	-	-	O
2. Build intelligence infrastructure for disaster prevention plan as well as mitigation measures	-	O	-	O	O	O	-	-	-	O
3. Formulate or update the national disaster management plan	-	O	-	O	-	O	-	-	-	-
4. Implement local disaster management plan and community based disaster management	-	O	-	O	O	O	O	-	O	O
5. Strengthen the organization and functions (shifting from response to prevention and mitigation) of disaster management institutions	-	O	-	O	-	O	-	-	-	O

Source: JICA Study Team

Note: 'O': Issues/needs identified; '-': Issues/needs not particularly identified

Table 7.1.3 Issues and Needs for Institutional Improvement of ASEAN Countries

Issues and Needs	Countries	Bilateral/ ASEAN Regional Cooperation
Improvement of legal system for disaster management	Cambodia Lao PDR Malaysia Myanmar Vietnam	(1) Bilateral cooperation International survey for information collection to standardize disaster management law for preparation, modification, and enforcement. (2) ASEAN cooperation Standardization of ASEAN disaster management institutional arrangement. (Lead countries: Indonesia and Thailand)
Building intelligence infrastructure for disaster prevention as well as mitigation measures to be planned	Cambodia Lao PDR Malaysia Myanmar Vietnam	(1) Bilateral cooperation Information collection on disaster management plans and its frameworks for replication referring Japan's plan and framework as a basic case. Mitigation measures of every disaster are also collected for reference. (2) ASEAN cooperation Sharing basic information on disaster management plans and mitigation measures with each other in a comparative manner, for regional knowledge base to be created.
National disaster management plan to be formulated or updated	Cambodia Lao PDR Myanmar	(1) Bilateral cooperation Using the frameworks of national disaster management plan of Japan, comprehensive framework is clarified. (2) ASEAN cooperation Standardization and modelling of national disaster management plan extracting good practices of ASEAN countries for replication and mutual learning.
Local disaster management plan and implementation of community based disaster management	Cambodia Lao PDR Malaysia Myanmar Philippines Thailand Vietnam	(1) Bilateral cooperation Using the frameworks of local level disaster management plan of Japan, comprehensive framework is clarified for local level planning (community based disaster management component is also included). (2) ASEAN cooperation Standardization and modelling of local disaster management plan as well as community based disaster management practices extracted from ASEAN countries for replication and mutual learning.
Organizational and functional strengthening (shifting from response to prevention and mitigation) of disaster management institutions	Cambodia Lao PDR Myanmar Vietnam	(1) Bilateral cooperation Optimization of disaster management organizations including law revision. Support capacity development of professional staffs in the area of disaster management. (2) ASEAN cooperation Standardization of disaster management organizational structures and functions by referring the cases of advanced ASEAN countries (e.g., Indonesia and Thailand) and support latecomers.

Source: JICA Study Team

7.1.2 Risk Assessment, Early Warning and Mitigation

(1) Flood Disaster Management

1) Recent Trends of Flood Damages and Overview of Needs of Countermeasures

The Typhoon Ketsana caused extensive flood damages to the Philippines, Vietnam, Cambodia, Laos, and Thailand in 2009. Moreover, the compounded impact of Tropical Storm Haima and Typhoon Nock-ten caused extensive damages to Myanmar, Thailand, Laos, and Cambodia in

2011. The severe flood events have confirmed major issues regarding flood damages of recent years in the ASEAN countries.

While occurrences of flash floods of rivers in mountainous and/or semi-arid lands as well as common riverine floods have been recognized, the issues on urban-type floods and urban drainage associated with rapid development of economic zones and urbanization have become obvious. It has been recognized that an increasing speed of flood peak discharge associated with development of economic zones and urbanization tends to be more rapid compared to a variability of rainfall caused by climate change. An increase of flood runoff ratio (an increase of hazard) combined with development; urbanization and expansion of slums caused by increase in poverty have rapidly aggravated the vulnerability of urban areas to floods. As a result, quantitative assessment and identification of flood risk has been highlighted as a major issue. An increase in flood risks has enhanced needs of flood insurance. Rising of sea level caused by global warming have also increased fears of flooding in agricultural areas (Mekong Delta) and urban areas (Jakarta, Ho Chi Min).

Table 7.1.4 Summary on the Preparation of Flood Hazard Map

Country / Region	Preparation of Flood Hazard Map			
	Status	Covered Area	Map Scale	Information Source
Brunei	Completed	Whole country	To be confirmed	Interview
Cambodia	In preparation	Whole country	Large scale usable only for policy decision	Interview
Indonesia	Completed (large scale map)	Whole country	Each Province Level	BMKG's website
Lao PDR	Partially completed	8 Flood Prone Areas	1:90,000 – 1:550,000	ADPC's report
Malaysia	Partially completed	15 Flood Prone Areas	To be confirmed	DID's PPT
Myanmar	In preparation	Bago region	To be confirmed	Interview
Philippines	Partially completed	22 Provinces	To be confirmed	Interview
Singapore	Completed	Whole country	1:36,000	PUB's website
Thailand	Partially completed	Whole country	To be confirmed	Govt.'s PPT
Vietnam	Partially completed	4 Provinces	To be confirmed	Interview
<i>Mekong Basin</i>	<i>Completed</i>	<i>Middle to lower reach</i>	<i>1:400,000</i>	<i>MRC's website</i>

Source: JICA Study Team

Note: The above summary does not totally represent all the information provided.

Efforts have been made by ASEAN member countries in order to prepare hazard maps as shown in Table 7.1.4. However, most of the maps are of scales that are to be used for policy decisions. Those that are yet to be prepared are maps with detailed scales that will be used at the community level for preparedness and emergency response, or for detailed damage analysis for insurance purposes. This may be due to insufficient human and financial resources, including material resources such as topographic base maps of adequate scales.

The study classified the purposes of flood risk assessment as shown in Table 7.1.5 for better understanding.

Table 7.1.5 Purposes of Flood Risk Assessment and the Corresponding Description

Purpose	Description
Policy Making	Formulation of the national and regional development policies on strategic areas for disaster prevention, identification of model areas, and budgetary arrangements
Flood Management Planning	Preparedness for emergency actions (evacuation and rescue) and relief actions
Preparedness and Emergency Actions	Information for disaster mitigation and prevention planning, and river basin flood control master plan
Damage Analysis	Damage analysis for investment on regional industrial clusters and insurance on factories, buildings, and utilities; risk assessment on economic corridors such as roads, ports, and railways

Source: JICA Study Team (Draft Guide to Flood Risk Assessment)

Table 7.1.6 and Table 7.1.7 list example information required for corresponding purposes at the national and local levels, as well as for the local and community levels, respectively.

Table 7.1.6 Required Information for Policy Making and Flood Management Planning

Purpose	National	Local
Policy Making	Map scale: 1:100,000–1,000,000; Administrative boundaries; Inundation areas, water depth; Notation of flood risk class: Return period of flooding	Map scale: 1:50,000–250,000; Administrative boundaries; Inundation areas, water depth; Notation of flood risk class; Return period of flooding
Flood Management Planning	Map scale: 1:5,000-25,000 with contour lines and spot elevations; Administrative boundaries; Inundation areas, water depth, flow velocity, return period; Notation of flood risk class or water depth; Land uses (agricultural, industrial, commercial, residential, forest, swamp); Dikes, dams, retarding ponds, drainages, pumping stations; Roads, railways, bridges, port, air port, power stations, water supply facilities	Map scale: 1:5,000-25,000 with contour lines and spot elevations; Administrative boundaries; Inundation areas, water depth, flow velocity, return period; Notation of flood risk class or water depth; Land uses (agricultural, industrial, commercial, public, forest, swamp); Dikes, dams, retarding ponds, urban drainages; Roads, railways, bridges, port, air port, power stations, water supply facilities

Source: JICA Study Team (Draft Guide to Flood Risk Assessment)

Table 7.1.7 Required Information for Preparedness and Damage Analysis

Purpose	Local	Community
Preparedness and Emergency Actions	Map scale: 1:5,000-15,000 with contour lines and spot elevations; Administrative boundaries; Inundation areas, water depth, flow velocity, return period of flood; Dikes, flood posts, loud speaker posts, shelters, schools, dams, retarding ponds, drainages; Roads, railways, bridges; Safe evacuation routes,	Map scale: 1:5,000 – 15,000, or Google map, sketch map; Village or community boundaries; Inundation areas, water depth, flow velocity, return period of flood; Safe evacuation routes; Dikes, flood posts, loud speaker posts, shelters, schools, retarding ponds, drainages, ground water wells; Roads, railways, bridges,
Damage Analysis	Map scale: 1:5,000-25,000 with contour lines and spot elevations; Administrative boundaries; Inundation areas, water depth, flow velocity, return period; Notation of flood risk class; Land uses (agricultural, industrial, commercial, residential, forest, swamp); Flood control level of dikes, dams, retarding ponds, drainages, pumping stations; Roads, railways, bridges, port, air port, power stations, water supply facilities; Population distribution, transport quantity of trunk main roads and ports, production turnover of industrial parks; Rainfall depth, geology and forestation for land slide risk assessment.	

Source: JICA Study Team (Draft Guide to Flood Risk Assessment)

The common issues and needs on flood disasters for ASEAN countries are summarized in Table 7.1.8 below.

Table 7.1.8 Issues and Needs on Flood Disasters

Issues and Needs on Flood Disasters	Country									
	Brunei	Cambodia	Indonesia	Lao PDR	Malaysia	Myanmar	Philippines	Singapore	Thailand	Vietnam
Flood early warning system and integrated planning against wide range of floods caused by typhoons and cyclones	-	O	-	O	-	O	O	-	O	O
Flood early warning system and integrated planning against flash floods occurred in the mountainous areas, urban areas, and semi-arid lands	O	O	-	O	O	O	O	-	O	O
Flood control and drainage planning for urban areas and SEZ (securement of safety degree against floods in urban areas, SEZ, and supply chains)	-	O	P	P	P	P	-	P	O	O
Flood control planning in economic corridors including roads and ports (securement of safety degree against floods in supply chains)	-	O	-	P	P	P	-	-	O	-
Urban drainage planning associated with urban land subsidence, storm surges, and rising of sea level	-	-	O ^{*1}	-	-	-	-	-	-	O ^{*2}
Flood risk assessment survey for the purposes of investment risk assessment and flood insurance (including development of flood hazard maps)	-	O	O	O	O	O	-	-	O	O
Improvement of the legal frameworks for the enactment of reservoir operation rule (Improvement of legal systems in order to prevent artificial flood disasters caused by inappropriate reservoir operation of PFI hydropower dams)	-	O	-	O	-	O	O	-	O	O

Source: JICA Study Team

Legend: 'O' = Considered to be necessary; 'P' = considered to be potentially necessary;

'-' = Information was not made available to consider

Note 1: Regarding urban drainage planning associated with urban land subsidence, storm surges and rising of sea level, the above table shows only areas that were raised in the interview with the JICA Study Team (*1*2).

Note 2: *1 Indonesia (DKI Jakarta); *2 Vietnam (Ho Chi Ming, Mekong Delta area)

2) Proposed Aid Projects for Flood Disasters in Each ASEAN Country

To solve the above-mentioned issues, it is proposed to implement the following aid projects in each ASEAN country:

Table 7.1.9 List of Proposed Aid Projects on Flood Disasters in Each ASEAN Country

Country	List of Project
Brunei Darussalam	Although the country suffers from flash floods, it is possible to procure countermeasures by the country's own fund.
Cambodia	(i) Formulation of the Strategic Flood Control Plan in the Kingdom of Cambodia (ii) Master Plan Study on Integrated Flood Management in the Siem Reap River Basin (iii) Review of Master Plan for Urban Drainage in Phnom Penh (iv) Study on Flood Risk Assessment for SEZs in the Kingdom of Cambodia (v) Study on the Improvement of Legal Systems for Enactment of Reservoir Operation Rules (vi) Capacity Development of MOWRAM for Flood Management
Indonesia	(i) Study on Flood and Earthquake Risk Assessment in Bukasi – Karawang Region (ii) Study on Flood and Earthquake Risk Assessment for Economic Corridors Including Tanjung Priok Port, New Kalibau Container Terminal and Planned New Airports
Lao PDR	(i) Formulation of the Strategic Flood Control Plan in Lao People's Democratic Republic (ii) Master Plan Study on Urban Drainage in Vientiane (iii) Study on Flood Risk Assessment for SEZs in Lao People's Democratic Republic (iv) Study on the Improvement of Legal Systems for Enactment of Reservoir Operation Rules
Malaysia	(i) Study on Flood Risk Assessment for the Economic Corridor Johor – Kuala Lumpur – Penan – Kuda
Myanmar	(i) Master Plan Study on Integrated Flood Management in the Sittang River and the Bago River Basins (ii) Study on Flood Risk Assessment for the Thirawa SEZ (iii) Master Plan Study on Urban Drainage in Yangon
Philippines	(i) Technical assistance for development of flood hazard map and flood risk assessment depending on the intended use (ii) Study on the Improvement of Legal Systems for Enactment of Reservoir Operation Rules
Singapore	Urban drainage measures for Orchard Road (commercial accumulation zone): Although it is possible to procure countermeasures by the country's own fund, the issue has not been solved. There is an option that a private sector provides technical assistance for underground drainage tunnel, underground reservoir, pumping facilities, etc., which have been implemented in Tokyo.
Thailand	(i) Urgent Study on the Improvement of Legal Systems for Restructuring of Flood Reinsurance
Vietnam	(i) Master Plan Study on Urban Drainage in Hanoi (ii) Study on Flood Risk Assessment for the West Hanoi SEZ (iii) Master Plan Study on Urban Drainage in Ho Chi Minh (iv) Formulation of the Strategic Flood Control Plan in Can Tho

Source: JICA Study Team

3) Proposed Projects on Flood Disaster for ASEAN Collaboration

The following projects are expected to be more effective if they are implemented through ASEAN collaboration:

- Preparation of guideline on the improvement of legal systems for enactment of reservoir operation rules
- Preparation of guideline on flood risk assessment

(2) Earthquake and Tsunami Disaster Management

The present situation of monitoring and early warning system of the ASEAN member countries are summarized in the Table 7.1.10 below. For reference, the number of monitoring points in Japan is included.

Table 7.1.10 Present Situation of Monitoring and Early Warning System in ASEAN Region

Country		Broadband Seismograph	Accelerograph	GPS	Tsunami		EWS for Tsunami	Warning System
					Buoy	Gage		
Earthquake Countries	Indonesia	160	216	20	23 (2 Operational)	58	BMKG (InaTEWS)	24 Sirens
	Myanmar	12 (5 Operational)	11	0	0	2	nil	nil
	Philippine	66	6	2	1 (Wet Censor) ^{*1}	47	PHIVOLCS	Each Barangay
	Thailand	41	22	5	3 (All damaged)	9	NDWC	328 Warning Tower
Surrounding Countries	Brunei	<i>tbc</i>	<i>tbc</i>	<i>tbc</i>	<i>tbc</i>	Installed	nil	nil
	Cambodia	nil	nil	nil	nil	nil	nil	nil
	Lao PDR	2	2	9	-	-	-	-
	Malaysia	17	13	191	3	17	MMD (MNTEWC)	23 Sirens
	Singapore	2	6	<i>tbc</i>	0	12	MSS (TEWS)	Installed
	Vietnam	15	<i>tbc</i>	<i>tbc</i>	<i>tbc</i>	2	IoG	10 Sirens
Japan (March 2012)		142 (HSS ^{*2} =1,270)	3,559 ^{*3} 724 ^{*4}	1,494	Tidal gauge + tsunami gauge=247 ^{*5}		JMA, others	Sirens/ TV /Radio /others

Source: All the information of ASEAN countries was collected by the JICA Study Team (2012); Information of Japan was from HP of Headquarters for Earthquake Research Promotion;

Note: *tbc*: to be confirmed; ^{*1} WET censor: tsunami detecting censor installed at coast land; ^{*2}: HSS: High sensitivity seismograph; ^{*3}: surface type, there are about 2,900 other points; ^{*4}: underground type; ^{*5}: there are 15 GPS tidal gauges and 35 water pressure gauges at the bottoms of the sea;

The density of monitoring instruments may differ from country to country depending on the policy taken for disaster management. In Japan for example, a monitoring network was planned to achieve (i) real time monitoring of seismic motion when earthquakes occur, (ii) understanding of geological structures that enhance seismic motion, (iii) forecasting of strong

seismic motion when earthquakes occur, (iv) real time forecasting of tsunami when earthquakes occur and (v) evaluation of possibility of tsunami-earthquake (stealth earthquake). To realize those, the plan is to propose intervals of monitoring devices, which are 15-20 km for height sensitivity monitoring seismograph, 100 km for broadband seismograph, 15-20 km for accelerograph, and 20-25 km for GPS¹. As a result, considerably dense monitoring networks have been established as shown in Table 7.1.10.

1) Indonesia

a) Enhancement of the tsunami observation system for Indonesia Tsunami Early Warning System (InaTEWS).

- Indonesia intended to establish the monitoring network for InaTEWS consisting of 160 broadband seismographs, 500 accelerometers, 40 GPSs, 80 tide gauges and 23 buoys².
- As shown in Table 7.1.10, the number of monitoring facilities excluding broadband seismographs, has to be increased to achieve the plan. In particular, tsunami observation buoys or other observation facilities have to be installed to the original level. Presently, the buoy observation facilities are proven to be not sustainable³; therefore, options such as new submarine water pressure gauge system or other alternatives have to be considered.
- As for the tide gauges, information from some gauges are transmitted to BMKG via satellite with 15 minutes delay. It is understood that the system is being upgraded to transmit data via GTS to achieve near real time monitoring.

b) Formulation of disaster management plan and BCP for Jakarta

- The Study Team also recommends an earthquake disaster management plan for Jakarta City since large scale earthquakes have not occurred for a long period. Considering that Jakarta is now being developed as an economic center of the ASEAN region, such plan is necessary to minimize effects to the city due to damage caused by large scale earthquakes.
- As recommended in the other section of this report, a comprehensive disaster management plan that includes not only earthquake/tsunami but flood as well, is recommended for formulation.
- Based on the comprehensive disaster management plan, BCP for the city will have to be formulated.

c) Research on seismology and tsunami

- Research in seismology for east Indonesia is needed, in particular for the regions facing Cleves Sea where large earthquakes are observed to occur.
- Detailed tsunami simulations have been conducted by various agencies. It is necessary to integrate these results of tsunami simulation into InaTEWS.

¹ “Fundamental Research and Monitoring Plan for Earthquake”, August 1997, Headquarters for Earthquake Research Promotion, Japan (in Japanese)

² Indonesia Tsunami Early Warning System (InaTEWS): Concept and Implementation (2008)

2) Myanmar

a) Development of earthquake and tsunami observation network and capacity development for observation and analysis

- Earthquake monitoring facilities are obviously not enough as shown in Table 7.1.10. It is recognized by the Department of Meteorology and Hydrology (DMH) that seismic and tsunami observation network and early warning system should be urgently developed.
- Also, capacity development is indispensable to engineers in charge of the operation of observation system and early warning system, and analysis of earthquake characteristics (hypocenter, magnitude, and so on).

b) Formulation of disaster management plan and BCP for the main cities

- The main cities including Yangon City are located at an earthquake prone area where Sagaing Fault lies nearby and many large earthquakes have occurred. On the other hand, Yangon City as well as a new economic special zone is being developed rapidly. It is necessary to develop an earthquake and tsunami disaster management plan and BCP for Yangon City, including the special economic zone.

3) Philippines

a) Enhancement of earthquake and tsunami monitoring networks

- Under the Science and Technology Research Partnership for Sustainable Development (SATREPS), efforts were made for real-time earthquake monitoring, advanced source analyses and intensity observation, and evaluation of earthquake generation potential. For this purpose, broadband seismographs and accelerographs were installed, and integrated to the existing satellite telemeter monitoring network in order to realize/improve rapid estimation of ground motion, liquefaction, landslide, and tsunami through enhanced Rapid Earthquake Damage Assessment System (REDAS).
- On the other hand, it is understood that the Philippine Institute of Volcanology and Seismology (PHIVOLCS) intends to increase the number of tsunami monitoring gauges rather than increasing the number of broadband seismometer. Presently, tsunami is monitored using one 'wet censor' (see Table 7.1.10) that is a water level gauge installed at the coast remote islands, although a total of ten wet sensors were originally considered to be installed⁴.
- In any case, the number of tsunami observation facilities off the coast are not sufficient and should be increased.
- Similarly, the number of GPSs and accelerometer should also be increased to monitor the activities of numerous active faults traversing in the Philippines archipelago.

b) Integrated Urban Disaster Management Plan for Metropolitan Manila and Surrounding Areas

- An earthquake disaster management plan for Metropolitan Manila was conducted through JICA's technical cooperation project in 2004. Through the detailed discussions on damage estimation, emergency response, Community-Based Disaster Risk Management (CBDRM)

⁴[http://tsunami.ihs.ncu.edu.tw/~scstw/2007/doc/5a_01_\(Dr.Dimalanta\)_Tsunami%20research%20activities_Dimalanta.pdf](http://tsunami.ihs.ncu.edu.tw/~scstw/2007/doc/5a_01_(Dr.Dimalanta)_Tsunami%20research%20activities_Dimalanta.pdf)

and other existing conditions in Manila, and necessary mitigation measures were recommended.

- Since the JICA project was conducted, urbanization of Manila area has progressed rapidly towards outside the Metropolitan area such as Marikina, Rizal, Bulacan, Cavite, and Laguna, with a total population reaching 25 million. Systematic consideration to disaster protection infrastructures have not been given to these areas, which has increased the vulnerability of Mega-Manila to disasters.
- The JICA Study Team therefore considers that review and updating of earthquake damage estimation is required in Manila including surrounding areas of Metropolitan Manila.
- Also, it is necessary to review the tsunami disasters along the coastal area of Manila Bay based on possible earthquake warning raised by the United States Geological Survey (USGS) at the Manila Trench.

c) Earthquake Damage Estimation and Integrated Urban Disaster Management for Large Local Cities such as Cebu and Davao.

- The basic concept of this project is same as that proposed for Metropolitan Manila. Cebu City and Davao City are big cities in the central and southern Philippines. Both cities are located at earthquake prone areas, where topographical condition is mainly coastal lowland. Thus, in case strong earthquakes occur, extensive damages of both ground shakings and tsunamis are expected.
- In order to take necessary earthquake disaster prevention measures, it is necessary to conduct damage estimation and formulate integrated disaster management plans.
- Based on the disaster management plan, priority projects for damage reduction should be selected and implemented.

4) Thailand

The Thai Meteorological Department (TMD) has installed 41 broadband seismographs installed (see the Table 7.1.10) with intervals shorter than 150 km except at some points; nine tidal gauges covering tsunami prone coastal area; and 22 accelerometer in the northwest part where many active faults are located. This deployment was achieved based on the two phased Seismic Network Project (Phase-I: 2005-2006; Phase-II: 2006-2009) initiated after the Sumatra earthquake in 2004. There may not be urgent needs for increasing monitoring stations, except replacing the damaged tsunami buoys. Issues and needs that the Study Team identified are as follows:

- a) Study on the development of earthquake monitoring system and disaster prevention plan in northern Thailand
- Earthquakes epicenters in Myanmar and **Lao PDR** also caused damages to Thailand. However, the seismic observation networks in Myanmar and **Lao PDR** have not been developed well. The Study Team considers that Thailand may be in a position to assist its surrounding countries in establishing a seismic monitoring network in the bordering areas through installation of monitoring equipment and/or providing technical assistances.

- Based on the results of seismic observations, an earthquake disaster prevention plan on earthquake-resistant design and earthquake-induced landslides in northern Thailand is necessary.

5) Other Countries

a) Brunei, Malaysia, and Vietnam

Tsunamis possibly induced by earthquakes along the Manila Trench in the South China Sea will reach the coastal areas of Brunei, Malaysia, and Vietnam. These countries raised this subject and recognized the need for the establishment of monitoring and early warning system. Consequently, the Study Team recommended the formulation of tsunami disaster management plans while conducting risk/impact assessment. In particular, Brunei and Vietnam should enhance their tsunami monitoring and early warning system (Malaysia has developed their own systems).

b) **Lao PDR**

Development of seismic observation network and capacity development for the operation of observation network

- Earthquakes have occurred in the areas bordering Thailand and Myanmar. Monitoring facilities are definitely insufficient as shown in Table 7.1.10. Moreover, there is a need for capacity building of seismic engineers in terms of operation and maintenance of instruments and analysis of data as well.
- With the growing economy in main cities such as Vientiane, analysis technique for strong motion observation data need to be improved; and quake-resistance standards need to be developed.

c) Cambodia and Singapore

Both Cambodia and Singapore are almost free from earthquake and tsunami disasters. No urgent issues and needs were identified.

Table 7.1.11 List of Main Projects on Seismic and Tsunami Disaster Management

Country	Project
Countries for detailed survey	
Indonesia	<ol style="list-style-type: none"> 1) Enhancement of the tsunami observation system for InaTEWS 2) Formulation of disaster management plan and BCP for Jakarta 3) Research on seismology and tsunami
Myanmar	<ol style="list-style-type: none"> 1) Development of earthquake and tsunami observation network and capacity development for observation and analysis 2) Formulation of disaster management plan and BCP for main cities
Philippines	<ol style="list-style-type: none"> 1) Enhancement of earthquake and tsunami monitoring networks 2) Integrated urban disaster management plan for Metropolitan Manila and its surrounding areas 3) Earthquake damage estimation and integrated urban disaster management for large local cities such as Cebu and Davao
Thailand	<ol style="list-style-type: none"> 1) Study on the development of earthquake monitoring system and disaster prevention plan
Other countries	
Brunei, Malaysia, Vietnam	<ol style="list-style-type: none"> 1) Formulation of tsunami disaster management plan including disaster risk assessment, proposing tsunami monitoring, and early warning systems 2) Regional collaborative research on the mechanism and characteristics of earthquake and tsunami induced by Manila trench
Lao PDR	<ol style="list-style-type: none"> 3) Development of earthquake observation network and capacity development for operation of observation network.
Singapore, Cambodia	No particular issues and needs were identified.

Source: JICA Study Team

(3) Other Natural Disaster Management

Volcano Disasters Management

The Centre for Volcanology and Geological Hazard Mitigation (CVGHM) in Indonesia and PHIVOLCS in the Philippines are leading agencies that have developed volcanic hazard maps, monitoring and early warning systems targeting active volcanoes. In case of eruptions, said agencies issue evacuation orders based on their monitoring information.

When Merapi of Indonesia erupted in 2006 and 2010, 110,000 and 151,745 people were affected while less than 10 and 386 were killed, respectively. It is said that the early warnings based on monitoring were timely issued.

When Mt. Mayon of the Philippines erupted in 2006, and 2009-2010, though 43,849 and 141,161 people, respectively, were evacuated, no casualties were reported. This is because of the effective monitoring and early warning, and evacuation education conducted. However, following the eruption in 2006, strong rainfall produced lahar from the volcanic ash, causing boulders from said eruption to kill 1,266 people. Thus, PHIVOLCS has to enhance their monitoring and early warning plan for similar secondary disasters in its program.

SATREPS was implemented in these two countries to improve their monitoring and early warning systems of volcanic activities. Moreover, continuous improvement/enhancement of their existing volcanic observation networks is required.

Needs for volcanic disaster in ASEAN countries are summarized in Table 7.1.12.

Table 7.1.12 List of Draft Main Cooperation Project for Volcanic Disaster

Country	Project
Indonesia	- Improvement/enhancement of the existing volcanic observation network
Philippines	- Expansion of volcanic observation systems - Development of a regional disaster prevention plan

Source: JICA Study Team

Sediment Disasters Management

Sediment disasters have occurred in mountainous areas including not only in residential areas, but also along trunk roads being utilized as economic supply chains. The disasters have affected human lives and social-infrastructures. Sediment disaster prevention measures to ensure a safe and secure transportation in supply chains are urgent issues in ASEAN countries

Table 7.1.13 Issues and Needs on Sediment Disasters

Issues and Needs	Country									
	Brunei	Cambodia	Indonesia	Lao PDR	Malaysia	Myanmar	Philippines	Singapore	Thailand	Vietnam
1. Development/improvement of sediment disaster hazard maps for countermeasure plan, land-use plan, and evacuation plan	-	-	*	O	*	O	*	-	*	*
2. Development of monitoring and early warning system including analysis technology	-	-	O	O	*	O	*	-	*	O
3. Introduction and upgrading of proactive structural measure for sediment disaster	-	-	O	O	*	O	O	-	O	O
4. Sediment disaster prevention planning in economic corridors to develop a safe/secure transportation	-	-	O	O	-	O	*	-	O	O
5. CBDRM for sediment disaster	-	-	*	O	*	O	*	-	*	O

Source: JICA Study Team

Note: 'O': Issues/Needs identified; '*': Available at present, to be enhanced/improved; '-': Issues/Needs not particularly relevant

The challenges and needs on sediment disaster management in ASEAN countries are summarized in Table 7.1.13.

Table 7.1.14 List of Draft Cooperation Project for Sediment Disaster Management

Country	Project
Indonesia	- Study on comprehensive sediment disaster management plan in strategic priority areas
Loa PDR	- Development of the road disaster prevention plan for the economic corridor and capacity development for road maintenance and management sector.
Malaysia	- Study on sediment disaster management plan in Kundasang (Kota Kinabalu) of Sabah district, Uluk Klang of Selangor district, and Cameron Highlands of Pahang district
Myanmar	- Study on sediment disaster management in mountainous areas including CBDRM
Philippines	- Study on the comprehensive sediment disaster management plan
Thailand	- Study on the development of sediment disaster monitoring and effective utilization of SABO technology
Vietnam	- Study on basic sediment disaster management plan

Source: JICA Study Team

- a) **Indonesia:** Study on comprehensive sediment disaster management plan in strategic priority areas

Indonesia is one of the most sediment disaster prone countries in ASEAN region. The hazard maps were developed in some landslide and debris flow prone areas, and CBDRM for sediment disaster has been implemented in collaboration with JICA in some area. The disaster management composed of risk assessment, planning and implementing countermeasure, early warning and etc has not been implemented systematically. Thus, the JICA Study Team recommends the above mentioned study.

- b) **Lao PDR:** Development of road disaster prevention plan on the economic corridor and capacity development for road maintenance and management sector.

The following are the three needs to strengthen the capacity of road management and to prevent road disasters; 1) Strengthening management capacity for sediment disaster risk reduction, 2) Improvement of countermeasures against large scale landslides, and 3) Development of early warning system for road disaster.

- c) **Malaysia:** Study on comprehensive sediment disaster management plan in Kundasang (Kota Kinabalu) of Sabah district, Uluk Klang of Selangor district, and Cameron Highlands of Pahang district

Minerals and Geoscience Department Malaysia (JMG) raised the issues of sediment disasters in the above three areas. Though much direct information has not been made available, the Team considers the implementing the above mentioned study will provide advanced technology of Japan on sediment disaster management to Malaysia.

- d) **Myanmar:** Study on comprehensive sediment disaster management in mountainous areas including CBDRM

There is a need to conduct countermeasures including early warning against sediment disasters in the mountainous area. The Asian Highway AH-1 that passes through Myanmar from Thailand to Bangladesh and India traverses a mountainous area where sediment disaster occurs. There is a need to improve the maintenance and management capacity of the road administrator.

e) Philippines: Study on the comprehensive sediment disaster management plan

The Mines and Geosciences Bureau (MGB) has developed a sediment disaster hazard map and conducted workshop and evacuation drill in areas susceptible to disasters. Consequently, it enlightened the community on disaster prevention. However, accuracy of the sediment disaster hazard map is so low due to small-scale base topographic map, which is not applicable for establishing a disaster prevention plan and evacuation plan. Monitoring system including early warning system has yet to be developed. Moreover, proactive countermeasures have not been constructed in disaster areas and thus, the main response is rehabilitation after disaster occurrence. There is a need to formulate a comprehensive sediment disaster prevention plan, where priority orders of areas susceptible to sediment disasters are decided based on the existing risk assessment. Based on the plan, improvement of the hazard map and implementation of structural and non-structural measures need to be conducted economically and effectively.

f) Thailand: Study on the development of sediment disaster monitoring and effective utilization of SABO technology

The CBDRM has been actively conducted in many communities in the mountainous areas. There are two needs to strengthen the sediment disaster management, namely, 1) Improvement of the existing monitoring system by introducing automatic observation instruments such as rainfall and river level gauge, and developing the criteria based on correlation between rainfall intensity and disaster occurrence; 2) Introduction of advanced technology on debris flow detection sensor and countermeasures against the debris flow and landslides.

g) Vietnam: Study on basic sediment disaster management plan

Not much information was made available in Vietnam regarding sediment disaster management. SATREPS conducted research on disaster management in the central Vietnam. The Team considers that such assistance should be extended to other sediment disaster prone areas in Vietnam. The Study proposed will identify sediment disaster prone areas and prioritize such areas for implementation of disaster management projects.

7.1.3 Disaster Management, Early Warning and Disaster Education

The HFA-3 states that stakeholders need to use knowledge, innovation, and education to build a culture of safety and resilience at all levels. This section describes an overview of the current situation and challenges of each ASEAN country about disaster management information system and education for disaster prevention and mitigation.

(1) Knowledge Management - Disaster Management Information System (DMIS)

The DMIS is a system that supports disaster management planning and decision making effectively and timely for preparedness, emergency response, and recovery activities. Disaster management agencies should accumulate historical disaster data for conducting risk assessment in a normal situation. During emergency situations, such agencies shall issue early

warning, order evacuation, conduct search and rescue, and other activities needed based on the monitoring results. At the same time, information on damage, disaster response, necessary support, and others will have to be collected and integrated through a disaster management information system. The information will also be shared among relevant agencies.

The present situation of DMIS, disaster loss database and early warning system are shown in Table 7.1.15 below.

Table 7.1.15 Present Situation of DMIS and Early Warning System

Information System on Disaster Management		Country									
		Brunei	Cambodia	Indonesia	Lao PDR	Malaysia	Myanmar	Philippines	Singapore	Thailand	Vietnam
DMIS		n/a	u/c	O	u/c	O	n/a	O	O	n/a	n/a
Disaster Loss Database		n/a ^{*1}	u/c	O	u/c	n/a	n/a	O	n/r ^{*4}	n/a	O ^{*6}
Early Warning System	Flood	O	O	O	O	O	O	O	O	O	O
	Flash Flood	n/a	n/a	n/a	O	d-n/a	d-n/a	n/a	n/r	n/a	-p
	Typhoon/Cyclone	O	n/a	O	O	O	O	O	n/r	O	O
	Landslide	n/a	n/a	O	n/a	n/a	n/a	d-n/a	n/r	O	-p
	Tsunami	n/a	n/a	O	n/r	O	O	O	O	O	O ^{*5}
	Volcano (ash monitoring included)	n/r	n/r	O	n/r	O	n/r	O	O	n/r	n/r
	Severe weather ^{*2}	O	O	O	O	O	O	O	O	O	O
	Rough Sea	O ^{*3}	d-n/a	O	n/r	d-n/a	d-n/a	d-n/a	d-n/a	d-n/a	d-n/a
	Drought	d-n/a	d-n/a	d-n/a	d-n/a	O	d-n/a	d-n/a	d-n/a	O	d-n/a
	Haze	d-n/a	d-n/a	d-n/a	d-n/a	O	d-n/a	d-n/a	O	d-n/a	d-n/a
	Storm Surge	d-n/a	d-n/a	d-n/a	n/r	d-n/a	O	d-n/a	d-n/a	d-n/a	d-n/a

Source: JICA Study Team (2012), National Progress Report on the Implementation of the Hyogo Framework for Action (2007-2009, 2009-2011)

Note: *1: Disaster losses are systematically reported, monitored and analyzed; *2: Heavy rain, Strong wind; *3: strong wind, tropical storm; *4: A disaster loss database for natural disaster is not needed because a large disaster has not occurred so far; *5: Tsunami EWS has been established only in Da Nang; *6: The database has information on main disasters since 1989, but CCFSC maintains records for much longer but only on hard-copies;

Legend: 'O': available; 'n/a': not available; 'u/c': under construction; 'n/r': not relevant; d-n/a: data not available; -p: pilot project only

According to the above information, the following are considered as issues and needs for cooperation.

Table 7.1.16 Issues and Needs for DMIS⁵

Issues and Needs	Country	Bilateral/ ASEAN Regional Cooperation
Development of Disaster Management Information System	Brunei Myanmar Philippines*a (Thailand)*b Vietnam	1. Bilateral cooperation - Development of disaster management information system based on GIS. 2. ASEAN cooperation - (proposed in the other section called “ADMIS”)
Development of DMIS	Brunei (Malaysia)*b Myanmar Vietnam	1. Bilateral cooperation - Establishment of a mechanism for collecting and accumulating disaster loss data. - Development of disaster loss database and sharing system. 2. ASEAN cooperation - Improvement of ASEAN DRR Portal and accumulating disaster loss data of each county. (Lead organization: ASEAN Secretariat and/or AHA Centre) - Development of disaster loss database and sharing system for ASEAN Region. (Lead organization: AHA Centre)

Source: JICA Study Team

Note: *a: Available DMIS is not GIS basis; *b: The countries are considered to be capable to establish it by herself.

(2) Education for Disaster Prevention and Mitigation

Disaster education is necessary to raise people’s awareness on disaster management in general. Knowledge on disasters such as scientific information, simulating earthquake intensities by shaking tables, and evacuation drills should be practiced in schools, communities, and private sectors. It is important to know how to respond to disaster in order to save own lives during its occurrence. Moreover, it is also important to promote cooperation during emergency cases as a family or community unit, in order to achieve possible evacuation support, maintain evacuation sites, manage social safety, and so on.

School education serves as basic public disaster education. In order to promote school education on disaster management, education system needs to be developed systematically such as enhancement of school curriculum, textbooks, and other necessary materials.

Several ASEAN countries already prepared these education materials including pamphlets, posters, and videos. NGOs are supporting the preparation of education materials and community education.

For effective disaster education, the following items will be developed:

- a) Teaching guidelines and teacher’s training,
- b) Education materials according to grade level,
- c) Disaster simulator for earthquake, and smoke/fire extinguisher training, and
- d) Regular disaster drill in schools.

In addition to school disaster education, community education is also necessary based on CBDRM. Interchange of disaster knowledge and sharing information among communities are

⁵ All the views are attributed to JICA Study Team.

key items for community disaster education. Local governments should promote community disaster education in cooperation with NGOs.

Private sectors also need to conduct disaster management education and training for employees to protect or minimize damage. Based on the regional disaster management plan or governmental regulations, private sectors need to prepare emergency management plan by themselves. Regular drill for emergency management should also be conducted regularly.

According to the above information concerning Disaster Management Information System (DMIS), obtained through survey, the following are considered to be issues and needs for cooperation.

Table 7.1.17 Issues and Needs for Education on Disaster Prediction and Mitigation⁶

Issues and Needs	Country	Bilateral/ ASEAN Regional Cooperation
(1) Enhancement of School Education	Cambodia Myanmar Vietnam	(1) Bilateral cooperation <ul style="list-style-type: none"> - Development of teaching guidelines and teacher's training. - Development of teaching materials according to grade level. - Development of disaster simulator for earthquake, and smoke/fire extinguisher training. - Regular disaster drill at school. - Development of education material databases. (2) ASEAN cooperation <ul style="list-style-type: none"> - Improvement of ASEAN DRR Portal and accumulating disaster loss data of each county. (Lead organization: ASEAN Secretariat and/or AHA Centre)
(2) Enhancement of Disaster Education for CBDRM	Brunei Cambodia Indonesia Lao PDR Philippines Vietnam	(1) Bilateral cooperation <ul style="list-style-type: none"> - Assistance of CBDRM (e.g., evacuation drills, community based hazard mapping, building shelter management system and evacuation plans, improvement of early warning system, formulation of community disaster manual and awareness plan) - Development of guidelines on how to conduct CBDRM. - Development for knowledge sharing mechanism among communities. - Capacity building for implementing CBDRM
(3) Enhancement of Disaster Education for Private Sectors	All ASEAN countries	(1) ASEAN cooperation <ul style="list-style-type: none"> - Creation of BCP guide line for private sector. - Creation of BCP guide line for regional industrial clusters

Source: JICA Study Team

⁶ All the views are attributed to the JICA Study Team.

7.1.4 Preparedness for Effective Response

(1) Needs for Early Warning System

Early warnings are issued by agencies who conduct monitoring or by disaster management agencies (or coordinating agencies). In any case, routes/means that transmit disaster information within most of administrative agencies at various levels have been established. However, the information routes from administrative agencies to public/communities have not necessarily been established. Table 7.1.18 shows the present situation of the availability of early warning mechanism.

Table 7.1.18 Present Situation of Early Warning

	Information flow		Country									
			Brunei	Cambodia	Indonesia	Lao PDR	Malaysia	Myanmar	Philippines	Singapore	Thailand	Vietnam
	From	To										
Means of warning dissemination (Availability of procedural guidelines, facilities/equipment, mechanism)	Monitoring Agency	Decision making agencies at National level and local level	O a	u/c a	O a	O a	O a	tel a	O a	O a	O a	O a
	Decision making agency	Local government										
	Local government	Communities under impending hazard	* a,b	* a	O b	* a	O a	* a,b	O b	O a	O a	* a

Notes: O: Available for operation; *: Partially available/limited function; u/c: Under construction; tel: Public telephone line only

Source: a: Interview by the Study Team, b: National Progress Report on the Implementation of the Hyogo Framework for Action (2007-2009, 2009-2011)

The main route/means of disseminating warning information to public are the mass media (television, radio, newspapers), internet (social networking websites), and the like. In some ASEAN countries, natural hazard prone communities do not receive timely and/or understandable warnings on impending hazard events. There is, thus, a common challenge/need that public should be informed of an impending hazard or be given proper information in order for them to determine whether they should evacuate or not.

Early warning systems by administrative offices issued to public other than mass media need to be installed/improved in order to realize an end to end warning dissemination to risk prone communities⁷. The early warning systems should include procedural guidelines⁸, facilities/equipment, staffing, and so on.

⁷ There are means of dissemination by local staffs riding motorbikes or bicycles with loudspeakers, bells, drums, and speakers of religious facilities, etc.

⁸ Including criteria for the decisions to issue evacuation orders

Table 7.1.19 Needs for Early Warning

Country	Needs
Brunei ⁹ , Cambodia ¹⁰ , Lao PDR ¹¹ , Myanmar ¹² , Vietnam ¹³	<ul style="list-style-type: none"> - Development means of early warning (procedural guidelines and/or facilities/equipment, mechanism) , from government agencies to communities; - Implementation of CBDRM

Source: JICA Study Team

Recently, possibly due to the prevailing climate change, flash floods occur more frequently in various areas in the world. This is also an impending issue for disaster management. Efforts have been made in various countries to predict such flash floods, though needs to be established firmly. Concurrently, with the efforts for prediction, effective and timely early warning systems should be established for flash floods.

It has also been identified that there will be significant scales of earthquakes that could happen at ocean trenches of western and southwestern islands of the Philippines. Such earthquakes are considered to trigger considerable scale of tsunamis that may reach surrounding countries like the Philippines, Malaysia (Saba, Sarawak), Brunei, Indonesia, and Vietnam facing South China Sea, Sulu Sea, and Celebs Sea.

- A concentrated research on earthquake and tsunami, hazard mapping, and so on needs to be conducted.
- At the same time, tsunami early warning systems should be installed in those coastal areas together with formulation of (tsunami) disaster management plan including public awareness programs, evacuation exercises and so on.

⁹ According to interview survey to Tutong District Office by the JICA Study Team (2012)

¹⁰ Interview survey to NCDM (Cambodia) by the JICA Study Team

¹¹ Proposed by the JICA Study Team based on the interview with MDMO (Lao PDR)

¹² Proposed by the JICA Study Team based on the interview with MDPA (Myanmar)

¹³ Proposed by the JICA Study Team based on the interview with DDMFSC (Vietnam)

(2) Disaster Preparedness

There are six core indicators proposed and used for HFA concerning “reduce the underlying risk factors”.

Table 7.1.20 Core Indicators of HFA 4: “Reduce the Underlying Risk Factors”

Core Indicator 1	Disaster risk reduction is an integral objective of the environment-related policies and plans, including for land use, natural resource management and climate change adaptation.
Core Indicator 2	Social development policies and plans are being implemented to reduce the vulnerability of populations most at risk.
Core Indicator 3	Economic and productive sectoral policies and plans have been implemented to reduce the vulnerability of economic activities.
Core Indicator 4	Planning and management of human settlements incorporate disaster risk reduction elements, including enforcement of building codes.
Core Indicator 5	Disaster risk reduction measures are integrated into post-disaster recovery and rehabilitation processes.
Core Indicator 6	Procedures are in place to assess disaster risk impacts of all major development projects, especially infrastructure.

Source: UNISDR, Indicators of Progress: Guidance on Measuring the Reduction of Disaster Risks and the Implementation of the Hyogo Framework for Action, 2008.

Figure 7.1.1 below enumerates the evaluated results of HFA 4 core indicators of 10 ASEAN countries.

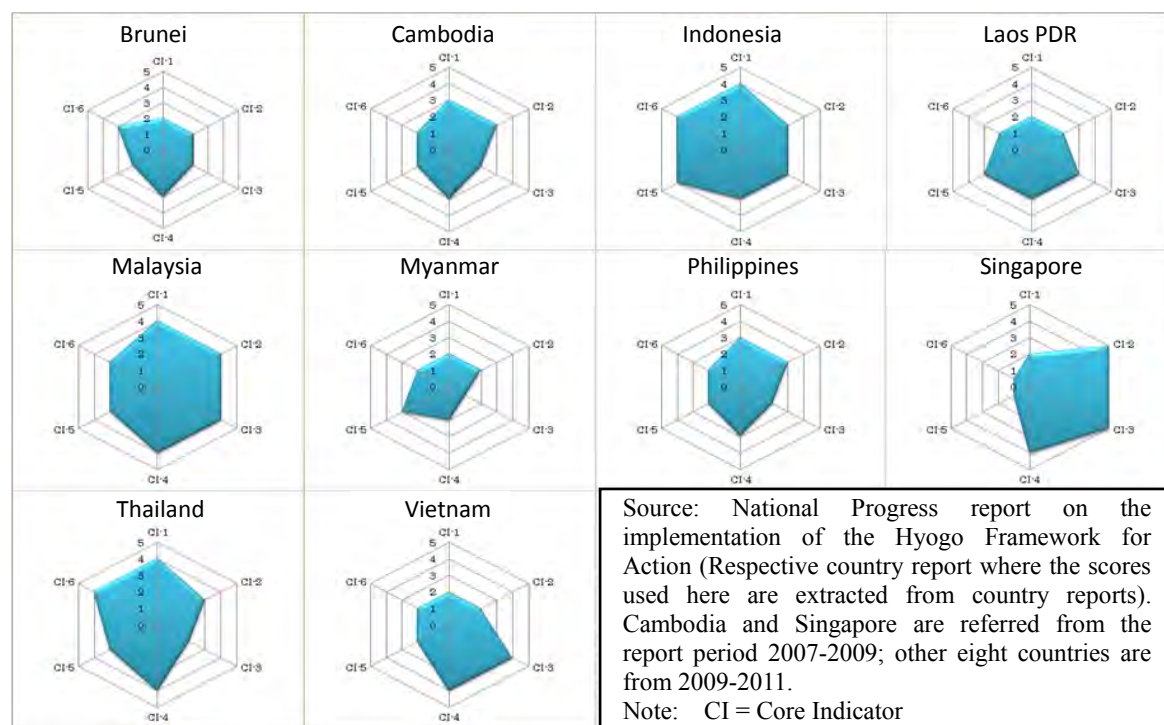


Figure 7.1.1 Results of Grading HFA 4 Core Indicators by 10 ASEAN Countries

Glancing over above Figure 7.1.1 provides an idea on what indicators are better or worse for certain countries. Indonesia, Malaysia, and Thailand are largely high standing. Some indicators, however, are not so relevant for some countries which resulted in fewer score as progress in such indicators are not necessarily required or urgent (e.g., core indicators 1, 2, 3 and 5 for Brunei, and core indicators 5 and 6 for Singapore). Table 7.1.21 shows indicator by issues in relevant countries (principally countries graded 2 or below were chosen), which gives ideas on necessary assistance.

Table 7.1.21 Issues by HFA 4 Core Indicators: 10 ASEAN Countries

Core Indicator 1	(1) Lao PDR: Pervasiveness of “Environmental Impact Assessment” (2) Myanmar: Development of “Environmental Impact Assessment” Framework (3) Vietnam: Incorporation of Disaster Risk Assessment into “Environmental Impact Assessment” Guideline
Core Indicator 2	(1) Lao PDR: Mobilization of resources to conduct “Social Safety Net” activities (2) Myanmar: Widening the targeted areas to implement social development programs (3) Vietnam: Mobilization of recovery fund and widening of disaster insurance options
Core Indicator 3	(1) Cambodia: Prevalence of disaster risk reduction within the economic sector (2) Myanmar: Formulation of policy in economic and productive sectors (3) Philippines: Creation of reinsurance facilities as a risk transfer mechanism (4) Thailand: Adaptation of disaster risk reduction in productive sector (except for agriculture sector)
Core Indicator 4	(1) Myanmar: Conduct of comprehensive multi-hazard assessment, incorporating human settlements and urban planning process
Core Indicator 5	(1) Cambodia: Integration of disaster risk reduction and post disaster recovery and rehabilitation into a strategy (2) Philippines: Making recovery planning process to be proactive (3) Vietnam: Resource mobilization for recovery and reconstruction
Core Indicator 6	(1) Cambodia: Adding practical experience in the procedure of disaster risk impact assessment (2) Lao PDR: Development of technical capacity and expertise in Environment and Social Impact Assessment (3) Myanmar: Creation of assessment framework for disaster impact, especially at the community level.

Source: National Progress Report on the Implementation of the Hyogo Framework for Action (Respective country report where above the information is extracted from). Also see the note under Table 4.1.6.<2>.

(3) Preparedness for Emergency Response

Table 7.1.22 below enumerates ten ASEAN countries with their respective conditions on preparedness for emergency response from the view point of planning, funding, operation/procedure Standard Operating Procedure (SOP), and disaster drill.

Table 7.1.22 Preparedness for Emergency Response: 10 ASEAN Countries

Country	Contingency Plan	Funding	Operation/Procedure	Disaster drill
Brunei	-	O	O (Waiting for new SOP to be approved within 2012)	O (Conducted in 24 districts)
Cambodia	Expected to be approved within 2012)	O	Expected to have a mechanism of implementation	Donor led
Indonesia	O (20-30 Districts/ cities have prepared)	O	O (Procedures are limited to national level)	O
Lao PDR	Expected to be revised, while it is still limited to flood	O (not enough)	Expected to revise SOP and contingency plan	Donor led
Malaysia	-	O	O (i.e., Seven SOPs)	O
Myanmar	O (Standing order)	O (not enough)	O (i.e., Standing order)	O
Philippines	Expected to prepare plan covering multiple hazards	O	Expected to prepare SOP	O (Coverage unknown)
Singapore	O	O	O	O
Thailand	Expected to formulate new one, reflecting the lessons from 2011 flood.	O	O	O
Vietnam	O (It is formulated every year up to the commune level)	O (not enough)	-	Model activity to be rolled out.

Source: JICA Study Team ; Note: O: Available

Overview of the contingency plans across 10 ASEAN countries indicates the following needs.

- Plans need to be extended to cope with multiple disasters¹⁴: **Lao PDR**, the Philippines, and Vietnam; and
- Capacity development to gain expertise¹⁵: Cambodia, **Lao PDR**, Myanmar, and the Philippines.

As for the operation/procedure for emergency response, certain needs are observed as follows:

- Establishment of operation mechanism¹⁶: Cambodia, **Lao PDR**, and the Philippines; and
- Preparation of SOP¹⁷: **Lao PDR**, the Philippines, and Vietnam.

7.2 Aid Projects Identified

7.2.1 Integrated Disaster Management Plan for Megacities in the ASEAN Region

In the ASEAN region, there are megacities having more than 10 million populations such as Bangkok, Ho Chi Min, Jakarta, and Manila. Other big cities are Davao, Hanoi, Kuala Lumpur, Surabaya, and Yangon. These cities are located mainly in the coastal lowland areas except for Kuala Lumpur. Such coastal lowland areas are relatively subject to high risks such as flood, earthquake, tsunami, and storm surge. Effects of climate change will also cause adverse impact on sea level rise, coastal erosion, rainfall intensity, and storm occurrence. Possible hazards to the ten capital cities and other major cities are listed in the table below.

¹⁴ The need is identified by the JICA Study Team, while the Philippines identified its own need.

¹⁵ The need is identified by the JICA Study Team.

¹⁶ The need is identified by the JICA Study Team.

¹⁷ The need is identified by Lao PDR and the Philippines, while the JICA Study Team identified the needs of Vietnam.

Among the megacities, Jakarta, Yangon, Manila and Bangkok should be highlighted from multi-hazard point of view.

In **Jakarta**, accumulation of social and economic infrastructure is so huge at present. Java Island is located in an earthquake prone area; however, detailed earthquake damage estimation and disaster management plan have not been prepared yet. In order to avoid or minimize earthquake disaster damage, earthquake disaster management plan shall at least be prepared at the soonest. Flooding is also a long lasting issue of this city. Rapid urbanization including excessive groundwater extraction ground subsidence has led to frequent and severe flooding, resulting in frequent disruption of capital functions. A comprehensive and integrated disaster management plan will therefore be needed. This is also necessary for risk management of business continuity with international investors.

Yangon is one of the hottest cities in the world in terms of economic investment. It is expected that its present population of 6 million will increase to 12 million by year 2030. Rapid urbanization will be unavoidable. It is understood that development master plan studies are in the pipe lines for urban development plan, water supply and drainage plan and plan for transportation sector. These master plan studies will incorporate factors of possible natural hazards. However, because Yangon is exposed to various types of hazard such as earthquake/tsunami originated by the Sagaing active fault, urban type floods prevailing even now, and storm surge such as Cyclone Nargis, comprehensive and integrated disaster management plan is considered to be indispensable, based on scientific hazard identification, risk and impact assessments.

In **Manila**, urbanization of its metropolitan area has extended to the north and south. Population of Mega Manila will soon reach 25 million including Bulacan, Marikina, Laguna, Rizal, and Cavite. Under this circumstance, the existing earthquake disaster management plan needs to be reviewed and updated based on recent statistics. Also, surrounding urbanized areas of Metropolitan Manila need to be included in this review. It is noted that Manila suffered from strong typhoon causing big flood disasters in 2009 and 2011, including the one caused by Typhoon Ondoy in 2009, which is compounded with storm surge. Flood disaster management is also important and necessary, especially in Metropolitan Manila. Although it is understood that a study on urban flood management in Metropolitan Manila is being conducted, a comprehensive and integrated disaster risk reduction management plan will be needed, taking into consideration the above-mentioned complexity caused by multi-hazard risks.

In **Bangkok**, after experiencing huge flood disaster in 2011, various disaster management plans for flood risk management are being prepared. However, it is also understood that the ground subsidence being caused by groundwater extraction has worsened the situation. Further, storm surge in coastal area has become a main challenging issue in addition to the risk from tsunami. Under this circumstance, comprehensive and integrated disaster risk reduction management will be needed as well for Bangkok.

**Table 7.2.1 Hazard Prone Capital Cities and Large Cities
- Needs for Multi-Hazard Integrated Disaster Risk Management Plan-**

Country	Mega-city/ Big City	Potentiality of Sever Hazards					Needs of Multi-hazard I-DRMP*	Needs Raised by the Institutions
		Earth -quake	Tsunami	Flood	Storm Surge	Volcano		
Brunei	Bandar Sri Begawan	-	O	O	-	-	-	NDMC
Cambodia	Phnom Penh	-	-	OO	-	-	-	Study Team
Indonesia	Jakarta	OO	OO	OO	-	O	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	BPBD/DKI-JK T
	Surabaya	O	O	OO	-	O	<input checked="" type="checkbox"/>	Study Team
Lao PDR	Vientiane	-	-	OO	-	-	-	MPWT
Malaysia	Kuala Lumpur	-	-	OO	-	-	-	DID
Myanmar	Yangon	OO	O	OO	OO	-	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	YCDC
	Naypyidaw	OO	-	-	-	-	-	MES/MGS
Philippines	Manila	OO	OO	OO	OO	O	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	MMDA
	Davao	OO	OO	OO	OO	O	<input checked="" type="checkbox"/>	Study Team
Singapore	Singapore	-	-	-	-	-	-	-
Thailand	Bangkok	-	-	OO	O	-	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	Study Team
Viet Nam	Ho Chi Min	-	O	OO	O	-	<input checked="" type="checkbox"/>	DDMFSC
	Hanoi	O	-	OO	-	-	<input checked="" type="checkbox"/>	DDMFSC

OO: High potential , O: Potential, -: Low potential
☒☒: Urgently required, ☒:Required, -: Not required
 *) I-DRMP: Integrated Disaster Risk Management Plan

(Source: JICA Study Team)

7.2.2 ASEAN Disaster Management – Satellite Imagery Analysis Technology Centre¹⁸

(1) Background

Satellite imagery is being utilized for quick assessment of situations soon after regional disasters occur. A mechanism of Sentinel Asia was established in 2006 to assist in disaster management of Asian countries. Under the mechanism, the countries who own satellites provide satellite information to other countries without satellites, on demand when disasters occur. It is reported that in the case of the flood of 2011 in Thailand, it analyzed satellite information provided through Sentinel Asia and successfully estimated/counted affected houses in the flooded area. It was also reported that satellite information was utilized effectively in the case of the Great East Japan Earthquake in March 2011.

The AHA Centre has recently joined the ‘Sentinel Asia’ as part of the Joint Project Team and is able to receive satellite information/imagery of the ASEAN member states. On the other hand, in order to utilize satellite information, analysis and/or visualization techniques of raw data are necessary together with facilities for the utilization of satellite information. Seven ASEAN countries¹⁹ are registered as Data Analysis Nodes (DAN), who are in charge of data analysis when requested.

In order to facilitate quickest coordination when disasters occur, the AHA Centre shall have disaster information as soon as possible. For this reason, the centre shall be desired to possess

¹⁸ This issue was proposed by the JICA Study Team (2012).

¹⁹ Brunei, Indonesia, Malaysia, Philippines, Singapore, Thailand, and Vietnam as of July 2011.
(http://www.jaxa.jp/press/2011/07/20110727_sac_sentinel.pdf)

its own capabilities for analyzing satellite information. Further, the future step will be for AHA Centre to have its own receiving antenna, consequently allowing it to receive raw data directly from earth observation satellites (EOS) whenever necessary.

(2) Effective Use of Satellite Imagery

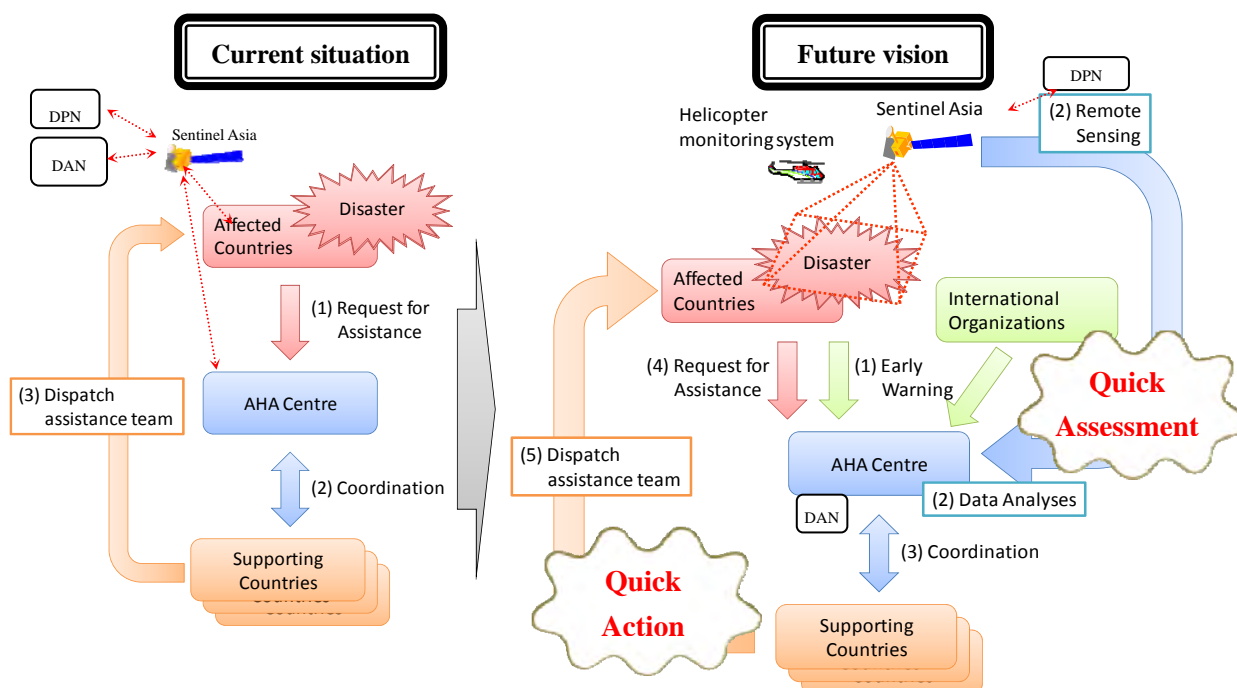
1) Present operation mechanism – Sentinel Asia

The following mechanisms have been established through Sentinel Asia:

- Disaster struck member countries to request the Sentinel Asia for satellite images of disaster struck areas;
- Sentinel Asia to request satellite data providers (called as Data Provider Nodes) for satellite images (raw digital information) concerned;
- The “Data Analysis Nodes” of member organizations to analyze the raw digital information for conversion into analysed visible images (value added images); and
- Sentinel Asia to send the value-added images to disaster struck members who requested such information.

2) Recommendation for speedy data utilization

Above-mentioned steps are required for any disaster-affected member country or AHA Centre to finally obtain analysed visible images. If AHA Centre should conduct all the steps above, a quicker impact assessment would become possible, enabling speedy response and relief activities on disasters in member countries. An image of operation mode of current situation and future vision is shown below.



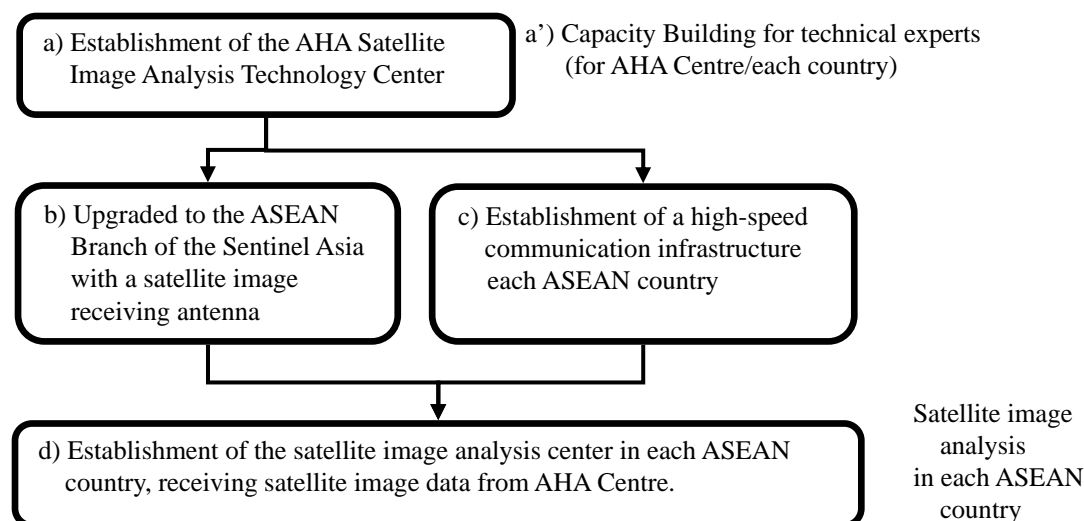
Source: JICA Study Team

Figure 7.2.1 Comparison between Current Situation and Future Vision on ASEAN Regional Support

Further, if each ASEAN country would have the capacity to analyse raw digital information taken by satellites, the operation speed of hazard assessment could be maximized.

(3) Recommended steps to be taken

Recommended steps for realization of the above mentioned concept is shown in Table 7.2.2 and are also illustrated in Figure 7.2.2 below.



Notes: Item codes (a) – (d) corresponds to the codes in the table below
Source: JICA Study Team (2012)

Figure 7.2.2 Recommended Flow of Steps to be Taken

Table 7.2.2 Establishment of the AHA Satellite Image Analysis Technology Centre

Establishment of the AHA Satellite Image Analysis Technology Centre				
Items			AHA Centre	Each ASEAN Country
1st Step	~3 years	a) Establishment of the “AHA Satellite Image Analysis Technology Center” for image analysis. Capacity Building for technical experts of AHA Centre.	O	
		a') Capacity building for technical experts in each ASEAN country at AHA Centre		O
2nd Step	~5 years	b) Establishment of the “ASEAN Branch Office” of the Sentinel Asia with a newly constructed image receiving antenna for direct receiving of image; for image analysis, training of AHA Centre.	O	
		a') Capacity building for technical experts in each ASEAN country at the ASEAN Branch of the Sentinel Asia		O
		c) Development of communication infrastructures between AHA Centre and ASEAN countries for transmitting images		O
3rd Step	~10 years	a') Capacity building for technical experts in each ASEAN country at the ASEAN Branch of the Sentinel Asia (tentative name).		O
		d) Establishment of the satellite image analysis center on the Sentinel Asia in each ASEAN country		O (if required)

Notes: item codes (a) – (d) corresponds to the codes in the figure above
Source: JICA Study Team (2012)

(4) Input needed

The following inputs will be required for the establishment of the AHA Satellite Image Analysis Technology Center:

Table 7.2.3 Inputs Required for the Establishment of the AHA Satellite Image Analysis Technology Center

Step	Goal	Input required
First Step	To introduce satellite image analysis technology to the AHA Centre	<ul style="list-style-type: none"> a. Provide equipment for data analysis and relevant computer software b. Dispatch experts on satellite image analysis to AHA Centre (a number of short period assignment) c. Invite experts from ASEAN member countries for training on satellite image analysis (a number of short period training) d. Employ experts to AHA Centre who are in charge of satellite image analysis
Second Step	For the AHA Centre to upgrade to “ASEAN Branch of Sentinel Asia” with own satellite data receiving antenna	<ul style="list-style-type: none"> a. Expand/enforce the function of the the satellite image analysis center b. Construct a data receiving antenna and provide necessary equipment c. Continue training to AHA Centre and the ASEAN member countries
	For ten ASEAN member states to be connected with high-speed communication infrastructure	<ul style="list-style-type: none"> a. Provide high-speed communication infrastructure connecting the ten ASEAN countries, and necessary capacity building and training
Third Step (in future)	To establish the satellite image analysis center in each ASEAN state, receiving satellite image data from AHA Centre	(as required)

Source: JICA Study Team

7.2.3 Natural Disaster Risk Assessment and Formulation of BCP for Regional Industrial Clusters²⁰

(1) Background

Flood disasters in 2011 had caused serious and historical damages to ASEAN countries. In particular, the flooding of the Chao Phraya River of Thailand has not only caused direct economic losses of USD 45.7 billion²¹ to firms in industrial parks and clusters of Thailand, but also indirectly and considerably affected economies of other ASEAN member countries and Japan, who are closely linked through networks of supply chains.

As a result, the flood disaster forced industries engaged in electronics, automotive parts, machinery parts, and others to shut down, which adversely affected the worldwide production of related businesses such as automotive industries, for a long period. According to the Office

²⁰ This subject was presented by the Study Team to the representative from ten ASEAN countries at the workshop held on 11 June 2011 in Jakarta

²¹ According to the estimation of the World Bank as of December 2011

of Insurance Commission, insured losses from the floods in Thailand 2011 were expected to be in excess of USD 10.8 billion²², which would be further adjusted in the final loss figures. Consequently, they were forced to withdraw from the affected areas or revise their terms and conditions, causing investors/industries to be hesitant in continuing their activities in the affected areas.

From the experiences of the Chao Phraya River flood in 2011, it was reaffirmed that natural disasters will have severe and adverse impacts not only on humanitarian aspects but also on national and inter-regional nations, as well as worldwide economy. It has also been recognized that against such huge natural disasters, efforts by individual firm/factory will experience limited effects. Therefore, an approach where industrial park/cluster acting as one unit of economic body, will have to be taken into consideration for disaster management.

Under such circumstance, formulation of business continuity plan (BCP)²³ is indispensable for each regional industrial cluster based on scientific risk assessment to minimize economic losses/damages resulting from natural disasters.

(2) Purpose

- a) To conduct natural disaster risk assessment for industrial clusters in the ASEAN region,
- b) To formulate a BCP for the target industrial cluster based on risk assessment, and
- c) To propose an ASEAN standard procedure for natural disaster risk assessment, and formulate business continuity plan for industrial clusters.

(3) Target Area for Research/Study

Industrial clusters in ASEAN member countries are to be nominated and selected through dialogues among relevant organizations.

(4) Contents/Outputs from Research/Study

The items for research and study are, but not limited to, the following shown in table below:

²² As of December 2011: Office of Insurance Commission

²³ In a broad sense, it is called as “Incident Preparedness and Operational Continuity Management (IPOCM)”

Table 7.2.4 Draft Work Items – Bi-lateral Cooperation

Phase 1 Natural Disaster Risk Assessment	Phase 2 Regional BCP
<ol style="list-style-type: none"> 1. Collect, organize analyze data of hazard, exposure, vulnerability, damage and others of identified natural disasters. Data collection of maps-information is also included. 2. Build a GIS database of natural disasters and socio-economic conditions. 3. Conduct hazard assessment and impact assessment of natural disasters; <ol style="list-style-type: none"> (1) Identification of hazard, risk and threat of flood, earthquake/tsunami, storm and others, (2) Estimation of direct and/or indirect economic damages/losses to industries and/or macro-economy, (3) Development of hazard maps according to various scenarios of hazard identified, and (4) Impact analysis 4. Assess impact on industries, supply chains and macro-economy. 5. Analyze and assess vulnerability and risk of facilities and/or properties susceptible to natural disasters. 	<ol style="list-style-type: none"> 6. BCP formulation <ol style="list-style-type: none"> (1) Prevention and mitigation programs (2) Response management programs (3) Emergency response management program (4) Continuity management program (5) Recovery management program (6) Risk transfer 7. Implementation and operation <ol style="list-style-type: none"> (1) Resources, roles, responsibility, and authority (2) Building and embedding BCP in the organization's culture (3) Competence, training, and awareness (4) Communication and warning (5) Operation control 8. Finance and administration 9. BCP performance assessment <ol style="list-style-type: none"> (1) System evaluation (2) Performance measurement and monitoring (3) Testing and exercise (4) Corrective and preventive action (5) Maintenance (6) Internal audits and self assessment 10. Management review (Items 6~10: after ISO/PAS 22399, except 6- (5) added by the Study Team)
<p>Notes:</p> <ol style="list-style-type: none"> 1) Indirect damages/losses (damages to industries and macro-economy) will have to be estimated from the viewpoint of ASEAN regional collaboration (Item 3. (2)), which necessitates a considerable period for comprehensive data collection and analysis. 2) Items 6 to 10 in Phase 2 defined as Regional BCP will be similar to comprehensive natural disaster management plan with a special emphasis on 'activity continuity'. 3) Accuracy of hazard maps and/or risk maps to be formulated will be subject to topographic maps (availability, scale and accuracy), accuracy of hazard analysis and others; those are largely dependent on volume of input from human resources and time. Accuracy of hazard maps will have therefore to be determined through an assessment of availability of resources to be input. 4) Items 7 -10 are standard items included in ISO procedures for sustaining the actual operation of the BCP. 5) Risk Transfer (6. (6)) is included by the Study Team in the plan is considered to be an essential alternative for risk management. 	

Source: JICA Study Team

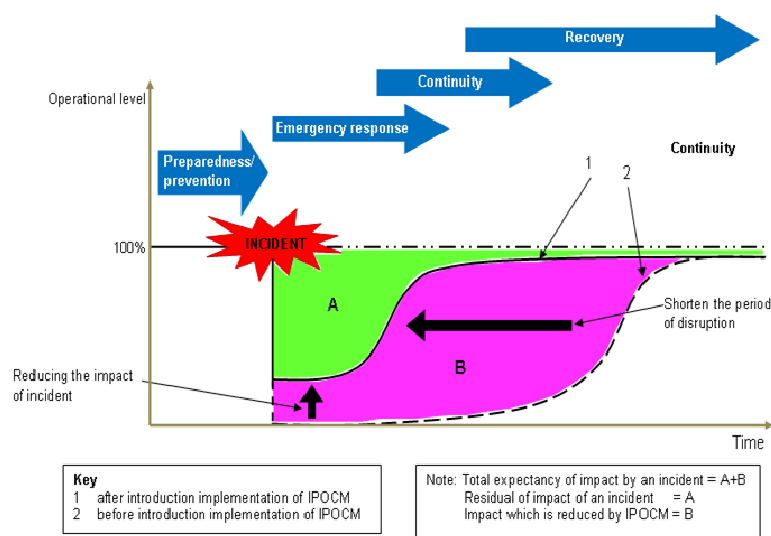
(5) Implementation Framework as ASEAN Regional Collaboration

Proposed implementation framework is shown in Table 7.2.5 below.

Table 7.2.5 Implementation Framework (Draft)

ASEAN Regional Collaboration (Input from ASEAN)	Bi-lateral Cooperation (Input from state where target industrial cluster will locate)
<ul style="list-style-type: none"> Coordination: AHA Centre Panel of Experts: Disaster related-organizations/institution in ASEAN region: <ul style="list-style-type: none"> ➢ ASEAN Secretariat^{Note-1} ➢ Researching/academic institutions^{Note-2} 	<ul style="list-style-type: none"> Counterpart agency: a government entity in charge of industrial clusters or the like, Member of implementation committee: entity in charge of disaster management at national (such as NFP), and local levels where the target industrial clusters are located, and entities in charge of relevant disasters
Input from Japan	
<ul style="list-style-type: none"> Funding Agency: Japan International Cooperation Agency (JICA) Technical Advisors: Researching /academic institutions/agency in Japan^{Note-3} Implementation: Consultants 	
<p>Examples of organization/institutions</p> <p>Note-1 : ASEAN Committee on Disaster Management (ACDM) Committee on Science and Technology (COST) Sub-committee on Meteorology and Geophysics</p> <p>Note-2: ASEAN Earthquake Modeling Group (Nanyang University, Singapore) , BMKG(Indonesia), PHIVOLCS (Philippines) Chulalongkorn University (Thailand) Asia Institute of Technology (Thailand) Southeast Asia Disaster Research Institute (SEADPRI-UKM) (Malaysia) LIPI, Indonesia University, ITB, Gadhja Mada University (Jogjakarta), Syiah Kuala University (Aceh) (Indonesia)</p> <p>Note-3: Tokyo University, Kyoto University, Tohoku University, I-Charm (Japan)</p>	

Source: JICA Study Team



Source: ISO/PAS 22399, Societal security – Guideline for incident preparedness and operational continuity management

Figure 7.2.3 Concept of Disaster Preparedness and BCP

7.2.4 Earthquake and Tsunami Disaster Management in Member Countries Facing South China Sea, Sulu Sea, and Celebes Sea²⁴

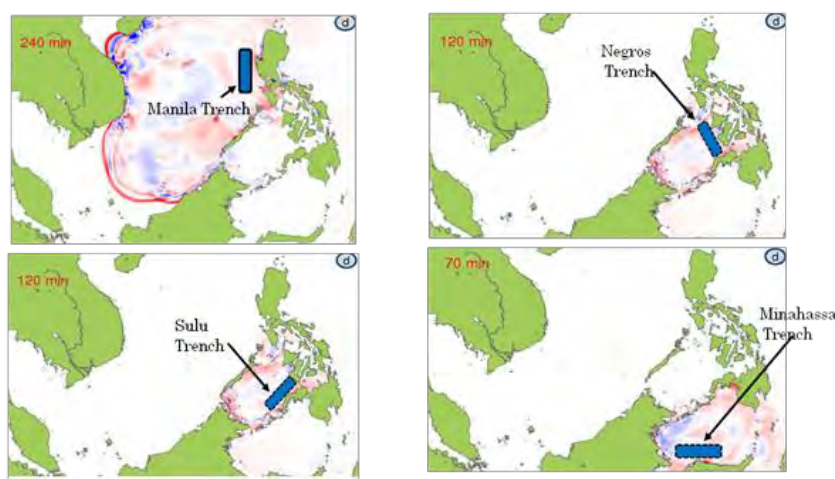
(1) Background

In the western offshore area of the Philippine Island, many trench structures are formed such as Manila Trench, Negros Trench, Sulu Trench, and Cotabato Trench. In the northern offshore area of Sulawesi Island in Indonesia, Minahasa Trench and Celebes Trench are distributed.

Out of these, USGS pointed out that there is a possibility of strong earthquake occurrence at M 8.5~9.0 in Manila Trench in near future. In case of occurrence of earthquake at this magnitude, not only an earthquake damage the Philippines, but also tsunami disaster will occur at the east central coast of Vietnam, Saba Sarawak area in Malaysia, and coastal area of Brunei. Other five trenches are also considered to be as possible sources of strong earthquakes accompanied with tsunamis.

Disaster management agencies of each country have already recognized the possibility of strong earthquake and tsunami originating in Manila Trench. The coastal area of the central Vietnam is beach resort areas having a world heritage. Similarly, the coastal area of Saba Sarawak in Malaysia is designated as a priority development area according to Saba Development Corridor Blue Print 2008~2025. At Seria coast in Brunei, petroleum and natural gas processing and exporting facilities are developed.

Once a strong earthquake and tsunami occur as pointed out by USGS and other researchers, such areas will possibly be severely affected. It is therefore recommended to implement (a) research on earthquake and tsunami and (b) formulation of disaster management plan in the western coast of the Philippines, central part of Vietnam coast, coastal area of Saba Sarawak, Brunei, and northern coast of Sulawesi Island in Indonesia.



Source: Tsunami simulation by MHD, Malaysia; locations of trenches added by JICA Study Team

Figure 7.2.4 Techtronic Trenches in South China Sea, Sulu Sea and Celebes Sea

²⁴ This issue was raised by the countries facing the seas; and was presented by the Study Team to the representatives from 10 ASEAN countries at the workshop held on 11 June 2011 in Jakarta.

(2) Purpose

- a) To conduct research on earthquakes/tsunamis that could possibly occur in South China Sea, Sulu Sea, and Celebes Sea (ASEAN Collaboration),
- b) To conduct impact/damage assessment through hazard mapping,
- c) To formulate disaster management plans, including monitoring, early warning system and evacuation plan (→ option only for bi-lateral cooperation),

(3) Target Area for Research/Study

- a) The western coast of the Philippines,
- b) The coastal area of the central part of Vietnam,
- c) Coastal area of Saba Sarawak of Malaysia,
- d) Coastal area of Brunei,
- e) Northern coast of Sulawesi Island of Indonesia

(4) Research/Study Contents

Activities of collaborative research and study are proposed as follows.

Table 7.2.6 Activities to be Conducted (Draft)

ASEAN Regional Collaboration (ASEAN Collaborative Research)	Development Study for Bi-Lateral Cooperation ^{Note-1} (Brunei, Indonesia, Malaysia, Philippines, Vietnam)
<ul style="list-style-type: none"> (1) To conduct collaborative research on earthquake/tsunami in South China Sea, Sulu Sea, and Celebes Sea. (2) To develop earthquake/tsunami models for the target hypo-central region. (3) To conduct computerized tsunami simulations with various assumptions. (4) To propose a scenario of earthquake for each hypo-central region. (5) To propose overall framework of earthquake and tsunami monitoring and warning system. 	<ul style="list-style-type: none"> (1) To review the scenario of earthquake in view of selected target areas. (2) To conduct tsunami simulation based on scenario earthquake with bathymetric information. (3) To estimate damages/losses with reasonably accurate topographic maps, especially for industry-invested area. (4) To evaluate impact on economic activities and supply chain. (5) To propose monitoring system for earthquake and tsunami. (6) To propose tsunami early warning system. (7) To propose disaster management plan. (8) To conduct training on disaster management in related countries.

Source: JICA Study Team

Note-1: Development study in member countries may start after scenario earthquakes are proposed from the collaborative research.

(5) Implementation Framework

A similar framework as in the previous section is proposed in Table 7.2.5.

(6) Implementation Period

- ASEAN Regional Collaboration : 24 months
- Bi-lateral cooperation : 24 months

Table 7.2.7 Implementation Framework (Draft)

ASEAN Regional Collaboration (Input from ASEAN)	Bilateral Cooperation (Input from state where target country)
<ul style="list-style-type: none"> • Coordination: AHA Centre • Panel of Experts: Disaster related-organizations/institution in ASEAN region: <ul style="list-style-type: none"> ➢ ASEAN Secretariat^{Note-1} ➢ Research/academic institutions^{Note-2} 	<ul style="list-style-type: none"> • Counterpart agency: entity in charge of disaster management at the national (NFP), and local levels where the target cities are located and entities in charge of relevant disasters
Input from Japan	
<ul style="list-style-type: none"> • Funding Agency: Japan International Cooperation Agency (JICA)^{Note-4} • Technical Advisors: Research/academic institutions/agency in Japan^{Note-3} • Implementation: Consultants 	
<p>Examples of organization/institutions</p> <p>Note-1 : ASEAN Committee on Disaster Management (ACDM) Committee on Science and Technology (COST) Sub-committee on Meteorology and Geophysics</p> <p>Note-2: ASEAN Earthquake Modeling Group (Nanyang Univ., Singapore) , BMKG(Indonesia), PHIVOLCS(Philippine)) Chulalongkorn University (Thailand), Asia Institute of Technology (Thailand), Southeast Asia Disaster Research Institute (SEADPRI-UKM) (Malaysia) LIPI, Indonesia Univ., ITB, etc. (Indonesia)</p> <p>Note-3: Tokyo University, Kyoto University, Tohoku University, I-Charm (Japan)</p> <p>Note-4: Funding by other sources within ASEAN member countries may be applicable.</p>	

Source: JICA Study Team

7.2.5 Development of ASEAN Disaster Management Information System (ADMIS)²⁵

(1) Background

For effective disaster management, a comprehensive database system that stores vast variety of information, which are not only related to disasters but also to socio-economics. Thus, development of GIS based ASEAN Disaster Management Information System (ADMIS) is necessary to support the basic activity of AHA Centre as an information hub for disaster management in the ASEAN region.

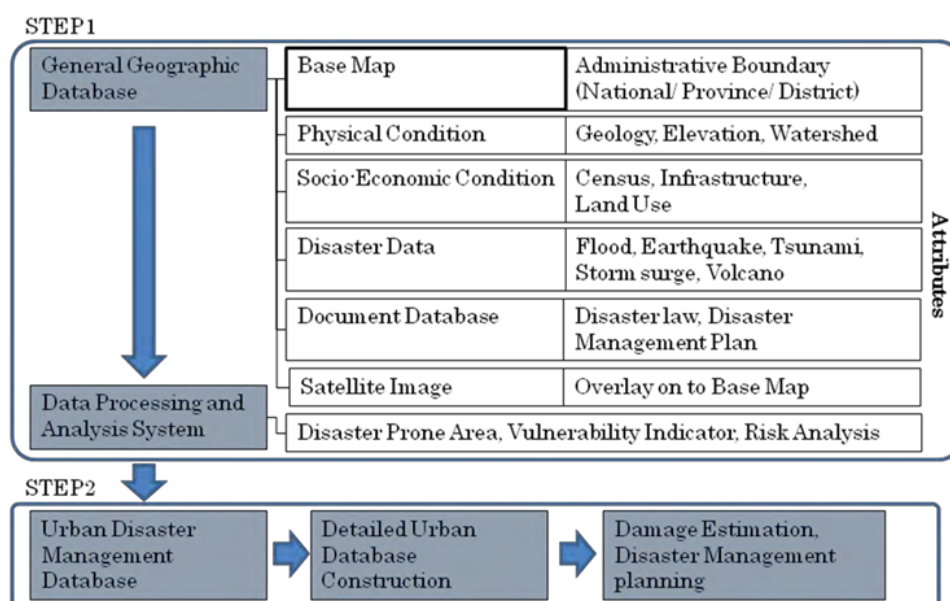
It is understood that the project for the development and deployment of Disaster Monitoring and Response System (DMRS) for the AHA Centre was launched in April 2012. It is expected that the system will offer early warning and decision support systems to be customized for the needs of AHA Centre and the ASEAN member countries. DMRS is considered to become much more powerful if linked with a comprehensive database that ADMIS can provide.

Thus, the present study recommends that the GIS based ADMIS be developed together with data set, which shall be collected as one component of the project.

(2) Concept of ADMIS Development

The concept of the proposed ADMIS is illustrated in the Figure 7.2.5

²⁵ This issue was briefly presented to the AHA Centre who was interested in the concept.



Source: JICA Study Team

Figure 7.2.5 Concept of ADMIS

ADMIS shall be developed in the following two steps.

1) The First Step of ADMIS Development

The first step consists of development of a general database, data collection and development of data analysis system

a) Development of a general database and data collection

In this step, general map data with scale of one to one million covering each ASEAN member country is created. Together with the creation of a base map, related natural and physical data, socio-economic data, infrastructure data, census data, and disaster data will be collected. Existing digital files of these geographic and statistical data will be utilized as much as possible to avoid duplicated investment.

Data collection items are indicated below as examples:

Table 7.2.8 Example of Information to be Collected

a.	Administrative boundary such as national, provincial, district, etc.,
b.	Census data such as population,
c.	Socio-economic statistics including income level,
d.	Existing land use,
e.	Physical conditions such as elevation, geology, fault line, and watershed boundary,
f.	Climatic data,
g.	Main road network, railway network, port location, airport location, urban center,
h.	River network, lakes, reservoirs, dam,
i.	Main hospitals related to disaster management,
j.	Satellite imageries, and
k.	Others.

Source: JICA Study Team

Collected map data will be specifically manipulated to adjust its scale and legends, and finally integrated into a uniform projection system.

b) Development of data analysis system

Data processing and analysis system are among the important aspects, which will be developed for effective use of geographic database using the overlay technique, for example, the spatial analysis.

In addition to the development of data processing and analysis system, many numerical data will be analyzed and mapped to generate indicators to support decision making. General vulnerability indicators for example will be generated through the numerical data analysis, and mapped using the data processing and analysis system. These will result in general vulnerability maps. Thereafter, existing disaster prone areas will be combined with the general vulnerability maps to identify fundamentally problematic areas in the ASEAN region.

ADMIS will be linked with related database system or existing regional disaster management system such as flood risk analysis and earthquake disaster analysis.

2) The Second Step of ADMIS Development

The second step of ADMIS development will focus on detailed geographic database development for large or megacity disaster management system. Large topographic maps with scales such as 1:2,500 or 1:5,000 will be collected /generated in this system for the creation of a detailed database system. Similar information is listed in Table 7.2.8 although more detailed information shall be collected.

AHA Centre will conduct the necessary systems operation and maintenance through effective use of GIS-based ADMIS for disaster management.

(3) Issues to be solved for ADMIS Development

In order to develop ADMIS, the member countries shall agree on map data sharing system including the scale, projection system and accuracy, data collection and dissemination methodology in disaster management field.

Specific cooperation with AHA Centre will be needed to make a general agreement for ADMIS development, similar to the cooperation being conducted for the development of ASEAN Guideline on flood risk assessment.

(4) Implementation Framework

The study proposes the following framework for implementation. The AHA Centre is expected to act as the coordinator for the project.

Table 7.2.9 Activities to be conducted

ASEAN Regional Collaboration	In Each Member State
<ul style="list-style-type: none"> ● Creation of ADMIS 	<ul style="list-style-type: none"> ● Collection of information for the database system. The information to be collected will also be provided to each member state for the creation of their own database system, which may be implemented in the next stage.

Source: JICA Study Team

Table 7.2.10 Implementation Framework

ASEAN Regional Collaboration	In Each Member State
<ul style="list-style-type: none"> ● Counterpart/coordination: AHA Centre ● Implementation: Consultants ● Cooperation: PDC^{*1} 	<ul style="list-style-type: none"> ● Collaboration: the ASEAN member countries
<ul style="list-style-type: none"> ● Funding agency: Japan International Cooperation Agency (JICA) 	
Note ^{*1} : Pacific disaster center implemented DMRS project	

Source: JICA Study Team

(5) Implementation Period

1. Preparation	: 6 months
2. Data collection in the ASEAN member countries	: 6 months
3. Development of database, creation of analysis system	: 9 months
Total	: 21 months

7.2.6 Disaster Information System in Major Cities of ASEAN Region with ASEAN Common Data Format²⁶

(1) Background

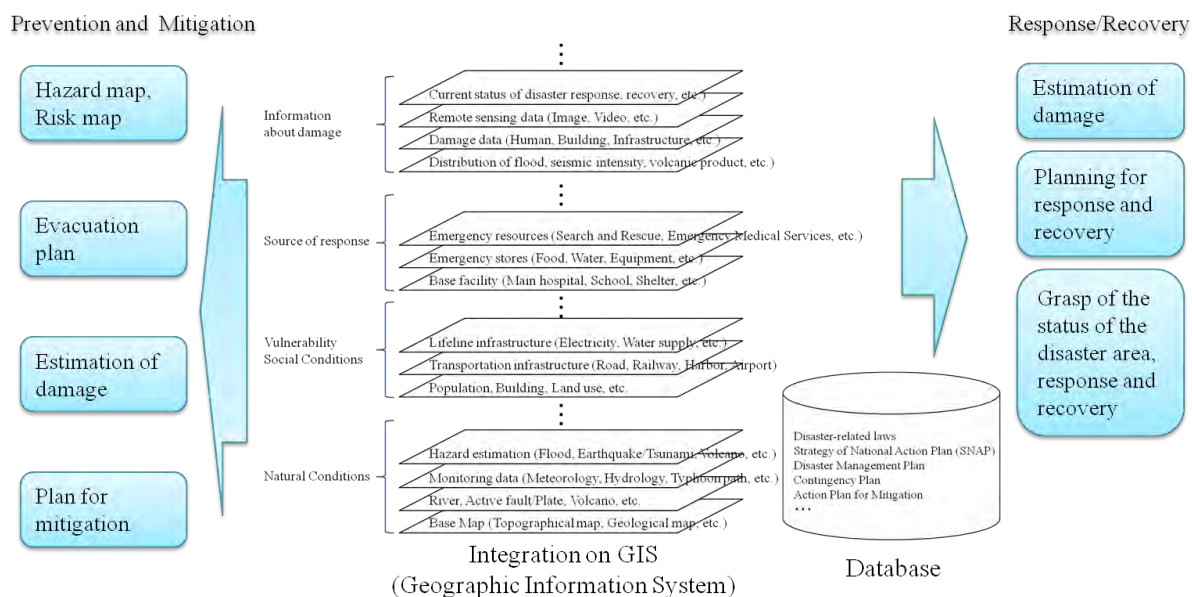
In order to materialize the disaster risk reduction, conducting disaster risk assessment should be a prerequisite condition. This will require various sets of information regarding past disaster records, socio-economic conditions, natural, and physical constitutions, and so on. Therefore, DMIS should be introduced to integrate such valuable information. The DMIS will also be utilized for formulation of disaster management plan, as a decision making tool when disasters occur as well as data accumulation of disaster related information. Though little autonomy of ASEAN countries has introduced such DMIS at present, it is expected for them to introduce soon the DMIS for disaster risk management.

The AHA Centre, as the coordinating body of ASEAN disaster management, should be linked to the DMIS of ASEAN member countries for smooth coordination with shared information. For this purpose, data type, accuracy, format, and so on of essential information will have to be standardized among the ASEAN member countries.

This proposed program will provide standard format of data, which will be stored as part of the database in DMIS of the ASEAN member countries. It will also build the DMIS for

²⁶ This issue is proposed by the JICA Study Team in this report.

targeted local autonomies such as megacities with information to be collected in the program, in accordance with the specifications to be proposed by this program.



Source: JICA Study Team

Figure 7.2.6 Conceptual Image of DMIS

(2) Alignment with the ASEAN's Effort in Disaster Management

The “Risk Assessment, Early Warning and Monitoring” is one of the four strategic components of the AADMER Work Program 2010-2015, proposing “GIS-based Disaster Information-Sharing Platform for Early Warning” as one of its flagship projects. Accordingly, the Daft AHA Centre Strategic Work Plan includes “monitoring for disaster alert and assessing potential disaster situation” as Function 2; and “ASEAN Strategy on Disaster Risk Assessment (the draft roadmap for risk assessment)” selected “ASEAN-wide Disaster Risk Assessment” as the subject in the executive summary.

As such, this program proposed aligned with the ASEAN efforts in disaster management

(3) Activities Proposed

- Propose ASEAN common data format for DMIS.
- Build disaster management systems for targeted cities that need special attention to multi-hazard disasters. The systems will also be equipped with data analysis system.
- Collect information necessary and store them to the disaster management systems built by this program. Consequently, the system will be a proto-type of disaster management systems to be introduced to other cities of the ASEAN member countries.

(4) Implementation Framework

- Targeted institutions/organizations:

Table 7.2.11 Targeted Institutions/ Organizations

Outputs	Target institutions/organization
Proposing ASEAN common data format of disaster management systems	ASEAN member countries through AHA Centre
Building DMIS with necessary data collection	Mega cities to be proposed

Source: JICA Study Team

- Coordination: AHA Centre
- Implementation: Consultants
- Funding Agency: JICA

(5) Period Required

- Formulation ASEAN Common data format : 6 months
- Data collection in targeted cities : 6 months
- Database design, data input and data analysis system : 9 months

7.2.7 Others Subjects for Collaborative Research

- 1) Research community based disaster, management with consideration of national/local cultures of ASEAN regions
- 2) Case studies of community disaster management exercised in the Great East Japan Earthquake and their applicability to the ASEAN region.
- 3) Research on psychology and reactions in cases of huge disaster, and its applicability to disaster management.
- 4) Research on effectiveness of mangrove forest against tsunami – case studies.
- 5) Research on effective promotion of evacuation exercise in ASEAN Countries
- 6) Research on disaster-proof infrastructure with optimized cost and benefits.
- 7) Research on comprehensive disaster risk assessment of megacities in ASEAN countries.
- 8) Research on worst case scenario simulation for disaster management in ASEAN region, leaning from the Great East Japan Earthquake.