## BASIC DESIGN STUDY REPORT ON THE PROJECT FOR IMPROVEMENT OF SAFETY LABORATORY FOR NATIONAL INSTITUTE OF HYGIENE AND EPIDEMIOLOGY IN

# THE SOCIALIST REPUBLIC OF VIET NAM

**JUNE 2006** 

## JAPAN INTERNATIONAL COOPERATION AGENCY

	G	Μ	
	J	R	
0	6 -	14	9

#### PREFACE

In response to a request from the Government of the Socialist Republic of Viet Nam, the Government of Japan decided to conduct a basic design study on the Project for Improvement of Safety Laboratory for National Institute of Hygiene and Epidemiology and entrusted the study to the Japan International Cooperation Agency (JICA).

JICA sent to Viet Nam a study team from January 11th to 27th, 2006.

The team held discussions with the officials concerned of the Government of Viet Nam, and conducted a field study at the study area. After the team returned to Japan, further studies were made. Then, a mission was sent to Viet Nam in order to discuss a draft basic design, and as this result, the present report was finalized.

I hope that this report will contribute to the promotion of the project and to the enhancement of friendly relations between our two countries.

I wish to express my sincere appreciation to the officials concerned of the Government of the Socialist Republic of Viet Nam for their close cooperation extended to the teams.

June 2006

Masafumi Kuroki Vice President Japan International Cooperation Agency

#### Letter of Transmittal

We are pleased to submit to you the basic design study report on the Project for Improvement of Safety Laboratory for National Institute of Hygiene and Epidemiology in the Socialist Republic of Viet Nam.

This study was conducted by the Consortium of Nihon Sekkei, Inc. and Fujita Planning Co., Ltd., under a contract to JICA, during the period from January to June 2006. In conducting the study, we have examined the feasibility and rationale of the project with due consideration to the present situation of Viet Nam and formulated the most appropriate basic design for the project under Japan's grant aid scheme.

Finally, we hope that this report will contribute to further promotion of the project.

Very truly yours,

Kanichi Kuwana Project Manager

Basic Design Study Team on the Project for Safety Laboratory for National Institute of Hygiene and Epidemiology

The Consortium of Nihon Sekkei, Inc. and Fujita Planning Co., Ltd.

## Location Map





## List of Figures & Tables

Chapt	ter 2		
F	Figure 2-1	Flow Chart for Diagnosis of Avian Influenza	. 7
F	Figure 2-2	Flow Chart for Research of Avian Influenza	. 7
F	Figure 2-3	Flow Chart for Diagnosis of Avian Influenza	. 14
F	Figure 2-4	Flow Chart for Research of Avian Influenza	. 15
F	Figure 2-5	The third floor of the High Tech Center Building (BSL-3 laboratories)	. 16
F	Figure 2-6	Project Site	. 25
F	Figure 2-7	Site Plan	. 25
F	Figure 2-8	Standard BSL-3 laboratory layouts	. 28
F	Figure 2-9	BSL-3 laboratory layout in the Project	. 28
F	Figure 2-10	Section of the trench	. 29
F	Figure 2-11	The western part of the third floor of the High Tech Center Building	
		(The BSL-3 laboratories)	. 32
F	Figure 2-12	The eastern part of the third floor of the HTC Building	
		(the associated facilities including the BSL-2 laboratories)	. 33
F	Figure 2-13	The fourth floor of the High Tech Center Building	
		(Air Conditioning Machine Room)	. 34
F	Figure 2-14	The basement first floor of the High Tech Center Building	. 35
F	Figure 2-15	The first floor of the High Tech Center Building	. 35
F	Figure 2-16	The second floor of the High Tech Center Building	. 35
F	Figure 2-17	Elevations of the north and south sides of	
		the High Tech Center Building	. 36
F	Figure 2-18	Sections plans of the eastern and western parts of	
		the High Tech Center Building	. 37
F	Figure 2-19	The Energy Plant Block	. 37
F	Figure 2-20	Comparison between the existing brick wall structure	
		and partially modified steel frame structure of the fourth floor	. 40
F	Figure 2-21	Main Power System	. 42
F	Figure 2-22	Telephone System Scheme	. 43
F	Figure 2-23	Fire Alarm System	. 44
F	Figure 2-24	Security System	.45
F	Figure 2-25	Automatic Control / Monitor System	. 46
F	Figure 2-26	Water Supply System	. 47
F	Figure 2-27	Wastewater System	. 48
F	Figure 2-28	Fire Fighting System	. 48

Figure 2-29 Air conditioning system	50
Figure 2-30 Air conditioning system concept drawings	51
Figure 2-31 Chilling Source/Chilled Water Pipe System (Japanese Work)	
Figure 2-32 Heating Source/Steam Pipe/Oil Pipe System	
Figure 2-33 Organization for Implementation of the Project	
Figure 2-34 Organization of the Project Committee	
Figure 2-35 Approval Procedure of the Project	
Figure 2-36 Building work division 1 (BSL-3 laboratory side)	94
Figure 2-37 Building work division 2 (East side)	95
Figure 2-38 Building work division 3 (Underground building)	96
Figure 2-39 Supervision System	
Figure 2-40 Work Schedule	106
Figure 2-41 Organization Chart Plan for HTC Building	109
Figure 2-42 Maintenance System for Various Types of Equipment	111
Figure 2-43 Organization for the maintenance/management	
of the facilities/equipment in NIHE	119
Table 2-1 Outline of the Grant Aid Project	3
Table 2-2  Final Equipment Request List	17
Table 2-3  Equipment Plan for BSL-3 Laboratory	
Table 2-4  Equipment Plan for BSL-3 Animal Laboratory	
Table 2-5 Equipment of Chemical Laboratory, BSL-2 Laboratory and	
Specimen Storage	21
Table 2-6  Reasons for inclusion in Architectural Works	
Table 2-7 Results of this Examination	23
Table 2-8 Components of the Project Facility	27
Table 2-9 Details and size of the facilities	
Table 2-10 Load table for the High Tech Center Building	
Table 2-11 Summary of the HTC Building Facility Plan	41
Table 2-12 Characteristics of the BSL-3 laboratories in the Facility Plan	
Table 2-13 Centralized monitoring system	45
Table 2-14 Finish materials and construction methods	54
Table 2-15 Proposed equipment list for the equipment included	
in the Facility Plan	55
Table 2-16 Specifications and purposes of use of the equipment	
included in the Facility Plan	

Table 2-17 Proposed equipment list for the equipment included	
in the Equipment Plan	57
Table 2-18 Specifications and purposes of use of the equipment	
included in the Equipment Plan	58
Table 2-19 List of drawings	60
Table 2-20 Scope of Works	91
Table 2-21 Procurement Plan for Main Building Materials	101
Table 2-22 Procurement Plan for Main Equipment and Materials	103
Table 2-23 Installation Work Items for Equipment	104
Table 2-24 Cost breakdown and amounts shared by the Vietnamese side	107
Table 2-25 Approximate Estimation of Project Cost	108
Table 2-26 Personnel Plan for HTC Building	109
Table 2-27 Calculation of Maintenance and Operation Costs for	
the Facilities on the Third and Fourth Floor of HTC Building	112
Table 2-28 Expenses Project for HTC Building	117
Table 2-29 NIHE Budget Projection	118
Table 2-30 Participants of the Software Component training	120
Table 2-31 Verification methods of the effectiveness	121
Table 2-32 Input Plan of the Software Component	121
Table 2-33 Implementation schedule of the Software Component	122
Table 2-34 Output items of the Software Component	123

### **ABBREVIATIONS**

AIDS	Acquired Immunodeficiency Syndrome	
A/P	Authorization to Pay	
B/A	Banking Arrangement	
BS	British Standard	
BSL	Bio-Safety Level	
CDC	Centers for Disease Control and Prevention	
CPRGS	Comprehensive Poverty Reduction and Growth Strategy	
DANIDA	Danish International Development Agency	
E/N	Exchange of Notes	
ECG	Electrocardiogram	
GDP	Gross Domestic Product	
GNI	Gross National Income	
JASS	Japanese Architectural Standard Specification	
JICA	Japan International Cooperation Agency	
JIS	Japan Industrial Standard	
HIV	Human Immunodeficiency Virus	
НТС	High-Tech Center Building	
MDF	Main Distribution Frame	
МОН	Ministry of Health	
MPI	Ministry of Planning and Investment	
NICE		
NGO	Non-Governmental Organization	
NIHE	National Institute of Hygiene and Epidemiology	
PABX	Private Automatic Branch Exchange	
SARS	Severe Acute Respiratory Syndrome	
UNFPA	United Nations Population Fund	
VND	Viet Nam Dong	
WHO	World Health Organization	

#### Summary

In the Socialist Republic of Viet Nam (hereinafter 'Viet Nam'), in the wake of the SARS outbreak, avian influenza is presently causing serious damage to the people and fowls in Viet Nam. In 2005, 65 cases of human avian influenza infection were reported. Further spread of the infection is being feared. To implement urgent and highly effective infectious disease control measures, the government of Viet Nam issued a 'Resolution of the Political Bureau on Protection, Care and Promotion of People's Health in the New Situation' on 23rd February 2005. Subsequently, to execute the resolution, the government formulated a 'Government Action Program' and announced it on 5th October 2005. In this program, the government of Viet Nam recognizes manufacturing of various medicines and strengthening of technology in examination and analysis of high-risk pathogens as measures against emerging and re-emerging infectious diseases such as avian influenza.

The high-risk pathogens including avian influenza virus, SARS corona virus, and HIV are mainly handled in the National Institute of Hygiene and Epidemiology (NIHE), the core institution in the infectious disease control in Viet Nam. In 2005, NIHE received 2,346 clinical specimens from cases of avian influenza. It uses the existing BSL-2+ laboratories for the diagnosis. In accordance with the recommendation of the World Health Organization (WHO), this high-risk pathogen, avian influenza virus, must be handled in highly secure laboratories at the level of BSL-3 (Bio-Safety Level 3, BSL-3 laboratories). However, as there is no BSL-3 laboratory either in NIHE or even in Viet Nam, the safety of laboratory workers or the surrounding environment is not ensured because of the inability to maintain negative pressure in the interior of laboratories or sterilize the exhaust with high-performance filters.

Under such circumstances, construction of BSL-3 laboratories which enables safe and appropriate examination of high-risk pathogens is the issue of the highest priority in the infectious disease control in Viet Nam. The preparation of bio-safety regulations for and the improvement of the technology in the handling of high-risk pathogens are required, as well as the construction of the BSL-3 laboratories in Viet Nam.

Taking such current conditions into consideration, the Ministry of Health of Viet Nam requested the government of Japan to provide Grant Aid Cooperation to the construction of the BSL-3 laboratories and associated laboratories for experiments on and diagnosis of high-risk pathogens. The purpose of this project is to enable NIHE to experiment on and diagnose high-risk pathogens safely and appropriately by constructing four BSL-3 laboratories and BSL-2 and chemical laboratories, which support activities in the BSL-3 laboratories, in the High-Tech Center (HTC) Building under construction in the premises of NIHE, and procuring the relevant laboratory equipment.

In addition, Viet Nam requested a Technical Cooperation project mainly for the capacity building of NIHE in examination of high-risk pathogens for the safe operation of the BSL-3 laboratories. In accordance with the Record of Discussions of March 2006, the Technical Cooperation project started with assistance in the preparation and application of the bio-safety regulations and the improvement of technology in handling high-risk pathogens. The construction of the BSL-3 laboratories under Grant Aid Cooperation and the preparation and application of the bio-safety regulations and improvement of the technology in handling high-risk pathogens under Technical Cooperation will enable safe and accurate examination and diagnosis of high-risk pathogens in accordance with international standards.

The summary of the 'Project for Improvement of Safety Laboratory for National Institute of Hygiene and Epidemiology' is as follows:

Responsible Agency:	The Ministry of Health, the Government of Viet Nam	
Implementing Agency:	The National Institute of Hygiene and Epidemiology (NIHE)	
Entire project period:	Approximately 13 months, including the detailed design and bidding (plan)	
Planned construction site:	Hai Ba Trung District, Hanoi City	

Building structure:	The High-Tech Center Building The interior works on the third and fourth floors of a building of reinforced concrete and with one floor below the ground and four floors above the ground (an existing building constructed by the Vietnamese side)	
	Associated facilities (Energy Plant) Equipment works in a one-stor concrete/Iron-framed (to be construct	ried building of reinforced ed by the Vietnamese side)
Total floor area:	The High-Tech Center Building	1,775.6 m <sup>2</sup>
	The associated facilities	172.5 m
	Total	1,948.1 m <sup>2</sup>

#### Project details:

Building	Structure type	Facility details
HTC Building	Reinforced concrete, four-storied	Third Floor       BSL-3 laboratories       BSL-2 laboratories, chemical laboratories, and       associated facilities       Control room       Fourth Floor       mechanical/electric equipment and machinery       required for the BSL-3 laboratories and associated       facilities       Basement       Sterilization tank unit
Energy Plant Block and Trench	Reinforced Boiler, chiller, associated plumbing and wiring, etc. (However, the building and the back-up generator are under the responsibility of the Vietnamese side.)	
Procurement of Equipment	The equipment necessary for the operation of Project facilities. (Inverted Microscope, Centrifuge, Refrigerated, Refrigerated Microcentrifuge, Deep Freezer -80°C, CO <sub>2</sub> Incubator, Vertical Autoclave, Shaking Water Bath, Medical Refrigerador, etc.)	
Software component	Technical training on the maintenance/management of the equipment systems in the BSL-3 laboratories	

The total expense required for this project is estimated at 979 million Yen (888 million Yen from the Japanese side and 91 million Yen from the Vietnamese side).

The budgets required for the maintenance/management of the third and fourth floors of the HTC Building for the first fiscal year after the completion of the works under this project, the fiscal 2008, and the following fiscal year, the fiscal 2009, will be VDN 5.82 billion and 7.15 billion (approximately 42 million and 51 million Yen), respectively.

With regard to the balance between the revenue and the expenditure of the entire NIHE, the budgetary allocation from the Ministry of Health is expected to increase. The revenue from the examinations is also expected to increase because of the increases in diagnosis and research activities on emerging and re-emerging infectious diseases and the number of examinations. With these increases, the surpluses of VDN 2.4 billion and 4.0 billion (or approximately 17 and 29 million Yen) are expected for the fiscal 2008 and 2009, respectively, even after deducting the costs borne by Vietnamese side for the Project. Therefore, it is considered unlikely that a financial problem will hinder the maintenance/management of the facilities and equipment.

The implementation of this project (including the works under Japan's cooperation and those under the responsibility of the Vietnamese side) is expected to generate the following direct impacts:

Safety of handling high-risk pathogens will be ensured.

At present, high-risk pathogens such as avian influenza virus are handled in the BSL-2+ laboratories with insufficient safety in NIHE. The construction of the BSL-3 laboratories will enable experiments on and diagnoses of the pathogens under the appropriate and safe environment.

The number of examination items and examinations will increase.

At present, as high-risk pathogens are handled in the existing BSL-2+ laboratories, the number of specimens acceptable to NIHE is limited. The construction of the BSL-3 laboratories equipped with the necessary functions will increase the number of examination items, including the examination of high toxicity viruses, and the acceptable number of specimens.

The implementation of this project is expected to realize the following indirect impacts:

It will become possible to take appropriate measures against emerging and re-emerging infectious diseases.

The construction of the BSL-3 laboratories will enable prompt assessment of the prevalence and cases of infectious diseases and such assessment will enable implementation of appropriate infectious disease control measures.

NIHE will become a model institute for the construction of epidemiologic research facilities in Viet Nam.

The first BSL-3 laboratories in Viet Nam will be constructed in this cooperation project. These laboratories will be able to act as a model for the construction of high safety laboratories in subordinate local research institutes.

The number of research papers will increase and their contents will be improved.

This project is expected to lead to the increase in the number of published research papers by enabling experiments and research on high-risk pathogens, which are to be conducted in a BSL-3 laboratory and, thus, contribute to the development of the infectious disease research in Viet Nam.

These observations prove that the implementation of this project under Japan's Grant Aid Cooperation as a measure against emerging and re-emerging infectious diseases such as avian influenza for the (approximately 83 million) Vietnamese is highly significant. It is extremely relevant and necessary because the project will build the capacity in manufacturing of various medicines and in the technology for the examination and analysis of high-risk pathogens.

The implementation of the works under the responsibility of the Vietnamese side at appropriate times is an important condition for the launch of this cooperation project. In particular, the completion of the modification of the HTC Building under construction and the construction of the Energy Plant Block by the Vietnamese side is a prerequisite for the launch of the works by the Japanese side. The continuous usage of the facilities and equipment provided in this project in good conditions will have to be guaranteed by securing the budget and personnel required for the appropriate operation and maintenance/management and through sufficient training to the laboratory and maintenance/management staff.

## **Table of Contents**

Preface		
Letter of Transmittal		
Location Map/ Perspective		
List of Figures & Tables		
Abbreviations		
Summary		
Chapter 1 Background of the Project		
Character 2. Constants of the Decised		
2.1 Pasia Concent of the Project		
2-1 Basic Concept of the Project		
2-2 Dasic Design of the Requested supariese Assistance		
2-2-2 Basic Plan		
2-2-2-1 Overall Project Description (Study of the Request)		
2-2-2-2 Site Plan		
2-2-2-3 Architectural Plan 27		
2-2-2-4 Structural Plan		
2-2-2-5 Mechanical and Electrical Plan		
2-2-2-6 Construction Material Plan53		
2-2-2-7 Equipment Plan57		
2-2-3 Basic Design Drawings 60		
2-2-4 Implementation Plan		
2-2-4-1 Implementation Policy 87		
2-2-4-2 Implementation Conditions		
2-2-4-3 Scope of Works		
2-2-4-4 Consultant Supervision 97		
2-2-4-5 Quality Control Plan		
2-2-4-6 Procurement Plan 100		
2-2-4-7 Implementation Schedule 105		
2-3 Obligations of Recipient Country		
2-4 Project Operation Plan		
2-5 Other Relevant Issues		
Chapter 3 Project Evaluation and Recommendations		
3-1 Project Effect		
3-2 Recommendations 126		
[Appendices]		
1. Member List of the Study Team		
2. Study Schedule		
3. List of Parties Concerned in Recipient Country		
4. Minutes of Discussions		

Chapter 1. Background of the Project

## CHAPTER 1. BACKGROUND OF THE PROJECT

In Viet Nam, in the wake of the SARS outbreak in 2003, avian influenza is presently causing serious damage to the people and fowls in Viet Nam. In 2005, 65 cases of human avian influenza infection were reported. As the country has the largest fatalities caused by the disease in the world, further spread of the infection is being feared. Under such conditions, to implement urgent and highly effective infectious disease control measures, the government of Viet Nam issued a 'Resolution of the Political Bureau on Protection, Care and Promotion of People's Health in the New Situation' on 23rd February 2005. Subsequently, to execute the resolution, the government formulated a 'Government Action Program' and announced it on 5th October 2005. In this program, the government of Viet Nam recognizes manufacturing of various medicines and strengthening of technology in examination and analysis of high-risk pathogens (corresponding to the Level 3 or higher in 'the Risk Group and Bio-safety Level Definitions' of the Guideline for the Safety Control of Pathogens of the National Institute of Infectious Diseases of Japan, May 2005) as measures against emerging and re-emerging infectious diseases such as avian influenza.

The high-risk pathogens including avian influenza virus, SARS corona virus, and HIV are mainly handled in the National Institute of Hygiene and Epidemiology (NIHE), the core institution in the infectious disease control, in Viet Nam. In 2005, NIHE received 2,346 clinical specimens from cases of avian influenza. It uses the existing BSL-2+ laboratories for the diagnosis.

In accordance with the recommendation of the World Health Organization (WHO), this high-risk pathogen, avian influenza virus, must be handled in highly secure laboratories at the level of BSL-3 (Bio-Safety Level 3, BSL-3 laboratories). However, as there is no BSL-3 laboratory either in NIHE or even in Viet Nam, the safety of laboratory workers or the surrounding environment is not ensured because of the inability to maintain negative pressure in the interior of laboratories or sterilize the exhaust with high-performance filters.

Under such circumstances, construction of BSL-3 laboratories which enables safe and appropriate examination of high-risk pathogens is the issue of the highest priority in the infectious disease control in Viet Nam. The preparation of bio-safety regulations for and the improvement of the technology in the handling of high-risk pathogens are required, as well as the construction of the BSL-3 laboratories in Viet Nam.

Taking such current conditions into consideration, the Ministry of Health of Viet Nam requested the government of Japan to provide Grant Aid Cooperation to the construction of the BSL-3 laboratories and associated laboratories for experiments on and diagnosis of high-risk pathogens. The purpose of this project is to enable NIHE to experiment on and diagnose high-risk pathogens safely and appropriately by constructing four BSL-3 laboratories and BSL-2 and chemical laboratories, which support activities in the BSL-3 laboratories, in the High-Tech Center (HTC) Building under construction in the premises of NIHE, and procuring the relevant laboratory equipment. In addition, Viet Nam requested a Technical Cooperation project mainly for the capacity building of NIHE in examination of high-risk pathogens for the safe operation of the BSL-3 laboratories. In accordance with the R/D of March 2006, the Technical Cooperation project started with assistance in the preparation and application of the bio-safety regulations and the improvement of technology in handling high-risk pathogens. The construction of the BSL-3 laboratories under Grant Aid Cooperation and the preparation and application of the bio-safety regulations and improvement of the technology in handling high-risk pathogens under the Technical Cooperation will enable safe and accurate examination and diagnosis of high-risk pathogens in accordance with international standards.