

JAPAN INTERNATIONAL COOPERATION AGENCY (JICA)

PEOPLE'S COMMITTEE OF HO CHI MINH CITY (PCHCMC)

MINISTRY OF PLANNING AND INVESTMENT (MPI)

THE SOCIALIST REPUBLIC OF VIET NAM

**THE DETAILED DESIGN STUDY
ON
HO CHI MINH CITY
WATER ENVIRONMENT IMPROVEMENT PROJECT
IN
THE SOCIALIST REPUBLIC OF VIET NAM**

FINAL REPORT

DRAFT BIDDING DOCUMENTS

PREQUALIFICATION DOCUMENTS

FOR

PACKAGE A :

TAU HU - BEN NGHE CANAL IMPROVEMENT

JUNE 2001

PACIFIC CONSULTANTS INTERNATIONAL

THE SOCIALIST REPUBLIC OF VIETNAM
PEOPLE'S COMMITTEE OF HO CHI MINH CITY

PROJECT MANAGEMENT UNIT

6th Floor, 216 Nguyen Dinh Chieu, District 3, Ho Chi Minh City, Vietnam
Telephone:84-8-9300529, Fax:84-8-9300528

INVITATION FOR PREQUALIFICATION

HO CHI MINH CITY WATER ENVIRONMENT IMPROVEMENT PROJECT

Under JBIC Loan Agreement No. _____

Package A : Tau Hu – Ben Nghe Canal Improvement

Date: _____

Contract No.: _____

1. The [Government of Socialist Republic of Vietnam](#) has received an ODA Loan from Japan Bank for International Cooperation, hereinafter referred to as the JBIC, toward the cost of [Ho Chi Minh City Water Environment Improvement Project](#), and intends to apply a portion of the proceeds of the loan to payments under the contract for which this invitation for Prequalification is issued. Disbursement of the ODA Loan by JBIC will be subject, in all respects, to the terms and conditions of the Loan Agreement, including the disbursement procedures and the "Guidelines for Procurement under JBIC ODA Loans". No party other than the Government of Socialist Republic of Vietnam shall derive any rights from the Loan Agreement or have any claim to Loan proceeds.
2. JBIC requires that bidders and contractors, as well as [the Government of Socialist Republic of Vietnam, the Borrower and The Project Management Unit, People's Committee of Ho Chi Minh City, the Employer](#), under contracts funded with JBIC ODA Loans and other Japanese ODA, observe the highest standard of ethics during the procurement and execution of such contracts. In pursuance of this policy, JBIC;
 - a) will reject a proposal for award if it determines that the bidder recommended for award has engaged in corrupt or fraudulent practices completing for the contract in question;
 - b) will recognize a contractor as ineligible, for a period determined by JBIC, to be awarded a contract funded with JBIC ODA Loans if it at any time determines that the contractor has engaged in corrupt or fraudulent practices in competing for, or in executing, another contract funded with JBIC ODA Loans or other Japanese ODA.
3. [The Project Management Unit, People's Committee of Ho Chi Minh City](#), hereinafter "the Employer," intends to prequalify contractors for the following contract under this project: [Package A : Tau Hu – Ban Nghe Canal Improvement, comprising of improvement works to 7.3 km of canal including dredging and excavation, filling, slope protection, rip rap, revetments, operation and maintenance road works and landscaping.](#)

4. It is expected that Invitations to Bid will be made in _____ [*month and year*].
5. Prequalification is open to firms and voluntarily formed joint ventures from eligible source countries, in accordance with the Guidelines for Procurement under JBIC ODA Loans. [Separate application is required for prequalification for other contract packages in this project.](#)
6. Eligible applicants may obtain the prequalification documents after _____ [*date*] by calling, writing or faxing:

The Director
Project Management Unit
6th Floor, 216 Nguyen Dinh Chieu
District 3, Ho Chi Minh City
Vietnam
Telephone: 84-8-9300529
Fax : 84-8-9300528

7. The request must clearly state
“Request for Prequalification Documents for
Ho Chi Minh City Water Environment Improvement Project
[Package A: Tau Hu – Ben Nghe Canal Improvement](#)
Contract No.: _____

The documents are available for a nonrefundable fee of [Vietnamese Dong 2 million](#). The Employer will promptly dispatch the documents by registered airmail, but under no circumstances will it be held responsible for late delivery or loss of the documents so mailed.

8. Minimum requirements for prequalification are :
 - (i) to be a financially sound construction firm, having in the last 5 years, an average annual turnover of Yen 50 billion equivalent including not less than Yen 5 billion for overseas works completed and at least one successfully completed construction project in the Socialist Republic of Vietnam;
 - (ii) to have had successful experience as a prime contractor in the execution of at least five (5) projects of within the last ten (10) years for canal construction and improvement works which included dredging, revetment construction which involved extensive coffering and dewatering and road construction works.
9. Submissions of Applications for Prequalification must be received in sealed envelopes, either delivered by hand or by registered mail to:

The Director
Project Management Unit
6th Floor, 216 Nguyen Dinh Chieu
District 3, Ho Chi Minh City
Vietnam

not later than:
_____ and be clearly marked

“Application to Prequalify for
Ho Chi Minh City Water Environment Improvement Project
[Package A: Tau Hu – Ben Nghe Canal Improvement](#)
Contract No.: _____”

10. The Employer reserves the right to accept or reject late applications.

11. Applicants will be advised, in due course, of the results of their applications. Only firms and joint ventures prequalified under this procedure will be invited to bid.

Director, Project Management Unit

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INSTRUCTIONS TO APPLICANTS

Ho Chi Minh City Water Environment Improvement Project

Under JBIC Loan Agreement No. _____

Project Management Unit

People's Committee of Ho Chi Minh City

Date : _____ 200_

Package A : Tau Hu – Ben Nghe Canal Improvement

Contract No. : _____

1. Scope of Bid

- 1.1 The Project Management Unit, People's Committee of Ho Chi Minh City, hereinafter called "the Employer," as agent for the Government of the Socialist Republic of Vietnam, has received an ODA Loan from Japan Bank for International Cooperation, hereinafter referred to as the JBIC, toward the cost of Ho Chi Minh City Water Environment Improvement Project, JBIC Loan Agreement No. _____ dated _____, and intends to apply a portion of the proceeds of this loan to payments under the contract for which this Invitation for Prequalification is issued. Disbursement of the ODA Loan by JBIC will be subject in all respects to the terms and conditions of the Loan Agreement, including the disbursement procedures and the "Guidelines for Procurement under JBIC ODA Loans." No party other than the Government of the Socialist Republic of Vietnam shall derive any rights from the Loan Agreement or have any right to the loan proceeds.
- 1.2 JBIC requires that bidders and contractors, as well as , the Government of the Socialist Republic of Vietnam, "the Borrower" and the Project Management Unit, People's Committee of Ho Chi Minh City, "the Employer", under contracts funded with JBIC ODA Loans and other Japanese ODA, observe the highest standard of ethics during the procurement and execution of such contracts. In pursuance of this policy, JBIC;
 - a) will reject a proposal for award if it determines that the bidder recommended for award has engaged in corrupt or fraudulent practices in competing for the contract in question;
 - b) will recognize a contractor as ineligible, for a period determined by JBIC, to be awarded a contract funded with JBIC ODA Loans if it at any time determines that the contractor has engaged in corrupt or fraudulent practices in competing for, or in executing, another contract funded with JBIC Loans or other Japanese ODA.
- 1.3 The Employer intends to prequalify contractors for the following contract under the project:

Package A: Tau – Ben Nghe Canal Improvement. The scope of work includes improvement works to 7.3 km of canal including dredging and excavation, filling, slope protection, rip rap, revetments, operation and maintenance road works and landscaping. Further details of the works are given in the appendix.

This application shall only be applicable to the above-mentioned package. Separate applications are required for prequalification for other contract packages in this project. It is expected that Invitations to Bid will be made in _____ [month and year].

2. Submission of Applications

1.4 Prequalification is open to firms and voluntarily formed joint ventures from eligible source countries as shown in the attached List of Eligible Source Countries included in these Prequalification Documents.

1.5 General information, including information on the climate, hydrology, topography, access to site, is included in the appendices. The contract will be a unit price contract. The bidding documents will be based on Sample Bidding Documents for Procurement of Civil Works under JBIC ODA Loans, including their currency and securities provisions.

2.1 Submission of applications for prequalification must be received in sealed envelopes, either delivered by hand, courier or by registered mail at:

Project Management Unit
6th Floor, 216 Nguyen Dinh Chieu
District 3, Ho Chi Minh City
Vietnam

not later than: _____ and be clearly marked

“Application to Prequalify for
Ho Chi Minh City Water Environment Improvement Project
Package A: Tau Hu – Ben Nghe Canal Improvement
Contract No.: _____”

The Employer reserves the right to accept or reject late applications

2.2 The name and mailing address of the Applicant shall be clearly marked on the envelope.

2.3 All the information requested for prequalification shall be answered in the English language. Where information is provided in another language, it shall be accompanied by a translation into English language, which will prevail and will be used for interpreting the information.

2.4 Failure to provide information that is essential to evaluate the Applicant’s qualifications, or to provide timely clarification or substantiation of the information supplied, may result in disqualification of the Applicant.

2.5 Requests for clarification of the requirements for this package and the criteria for qualification may be made to the Employer in written form not later than _____200_ at:

Project Management Unit
6th Floor, 216 Nguyen Dinh Chieu
District 3, Ho Chi Minh City
Vietnam

Answers in reply to such requests for clarification will be made by the Employer ten (10) days prior to the closing date for submission of prequalification applications.

3. Eligible Bidders

3.1 This invitation to prequalify is open to any bidder from an eligible source country as shown in the attached List of Eligible Source Countries included in these Prequalification Documents.

4. Qualification Criteria

4.1 Prequalification will be based on meeting the minimum requirements described in clause 4.4, the Applicant's general and particular experience, personnel and equipment capabilities, and financial position, as demonstrated by the Applicant's responses in the forms attached to the Letter of Application and the specific requirements for joint ventures given in clauses 5.1 and 5.2. The Employer reserves the right to waive minor deviations if they do not materially affect the capability of an applicant to perform the contract.

4.2 Failure to submit the following documents shall result in disqualification without further evaluation of the application:

- a) Letter of Application
- b) Power of Attorney
- c) Joint Venture Agreement, if applicable
- d) Credit Line issued by reputable bank as per clause 4.7
- e) Company Registration
- f) Articles of incorporation
- g) Letter of Authorization from subcontractor, if applicable

4.3 When highly specialized inputs (essential for execution of the Contract) are required by the Applicant from specialist subcontractors, such subcontractors and their inputs shall be described in Application Form 3.

4.4 **Minimum Requirements.** The Applicant shall have :

- a) average annual turnover as a prime contractor over the last ten (10) years for works completed of Yen 50 billion equivalent, including not less than Yen five (5) billion for overseas works completed including at least one successfully completed construction project in the Socialist Republic of Vietnam.
- b) to have had successful experience as a prime contractor in the execution of at least five (5) projects of within the last ten (10) years for canal construction and improvement works which included dredging, revetment construction which involved extensive coffering and dewatering and road construction works.

4.5 **Personnel Capabilities.** The Applicant must have suitably qualified personnel to fill the following positions. In Form 5, the Applicant will supply information on a prime candidate and on an alternate for each position; both people should meet the experience requirements specified below:

| Position | Total Experience (years) | In Similar Works (years) | As Manager of Similar Works (years) |
|--|---------------------------------|---------------------------------|--|
| Project Manager | 20 | 10 | 10 |
| Excavation/Dredging Engineer | 15 | 10 | 5 |
| Civil Engineer | 15 | 10 | 5 |
| Civil Supervisor | 15 | 10 | 5 |
| Other key positions nominated by Applicant | | | |

4.6 **Equipment Capabilities.** The Applicant should own, or have assured access (through hire, lease, purchase agreement, availability of manufacturing equipment, or other means) to the following key items of equipment in full working order, and must demonstrate that, based on known commitments, they will be available for use in the proposed contract. The Applicant may also list alternative equipment that it would propose for the contract, together with an explanation of proposal.

| Equipment type and characteristics | Minimum number required |
|--|-------------------------|
| 1 Clam Shell | 4 units |
| 2 Excavator | 3 units |
| 3 Dewatering Equipment | 3 units |
| 4 Other equipment nominated by applicant | |

4.7 **Financial Position.** As a minimum requirement, the Applicant shall submit a Bank Reference Letter with a Line of Credit amounting to at least Yen 3 billion. The Applicant should also demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, and other financial means sufficient to meet the construction cash flow for a period of twelve (12) months during the duration of the contract, estimated as Yen 1 billion equivalent, net of the Applicant's commitments for other contracts.

4.8 The audited financial statements for the last five (5) years shall be submitted and must demonstrate the soundness of the Applicant's financial position, showing long-term profitability. Where necessary, the Employer will make inquiries with the Applicant's bankers.

4.9 **Litigation History.** The Applicant should provide accurate information on any litigation or arbitration resulting from contracts completed or under its execution over the last five (5) years (state number of years). A consistent history of awards against the Applicant or any partner of a joint venture may result in failure of the application

5. Joint Ventures

5.1 Joint ventures must comply with the following requirements:

- (a) Following are the minimum qualification requirements:
 - (i) The lead partner shall meet not less than 70 percent of all the qualifying criteria given in each scoring paras. 4.4, 4.5 and 4.7 above.
 - (ii) Each of the other partners shall meet individually not less than 60 percent of all the qualifying criteria given in each scoring paras. 4.4, 4.5 and 4.7 above.
 - (iii) The joint venture must satisfy collectively the criteria of paras. 4.4 through 4.9 for which purpose the relevant figures for each partner will be added together to arrive at the joint venture's capacity.
- (b) Any bid with any change of the formation after prequalification will be rejected.
- (c) Any bid shall be signed so as to legally bind all partners, jointly and severally, and any bid shall be submitted with a copy of the joint venture agreement, as in Application Form 2a and 2b, providing the joint and several liabilities with respect to the contract.

- (d) Joint Venture may nominate subcontractor(s) for special works only, if necessary. In the event that some items of works are to be given to subcontractor(s), subcontract(s) shall not exceed 25% of the contract cost of works and subcontract agreement(s) between the Applicant and his subcontractor(s) shall be entered into and then submitted to the Employer for approval.
- 5.2 Submission by any firm through more than one application for the same package, either as an Applicant or a subcontractor, will result in disqualification.
- 6. Conflict of Interest**
- 6.1 The Applicant (including all partners of a joint venture) shall not be associated, nor have been associated in the past, with the consultant or any other entity that has prepared the design, specifications, and other prequalification and bidding documents for the Project, or with an entity that was proposed as Engineer for the Contract. Any such association may result in the disqualification of the Applicant.
- 7. Updating Prequalification Information**
- 7.1 Bidders shall be required to update the financial information used for prequalification at the time of submitting their bids and to confirm their continued compliance with the qualification criteria. A bid shall be rejected if the Applicant's qualification thresholds are no longer met at the time of bidding.
- 8. General**
- 8.1 Only firms and joint ventures that have been prequalified under this procedure will be invited to bid.
- 8.2 Bidders will be required to provide bid security in the form of a certified check, letter of credit, or a bank guarantee from a reputable bank of the Bidder's choice located in any eligible country for an amount of _____. The successful bidder will be required to provide performance security. Examples of acceptable forms will be supplied with the bidding documents.
- 8.3 The Employer reserves the right to
- (a) amend the scope and value of the contract to be bid, in which event the contract will only be bid among those prequalified bidders who meet the requirements of the contract as amended;
 - (b) reject or accept any application; and
 - (c) cancel the prequalification process and reject all applications.
- The Employer shall neither be liable for any such actions nor be under any obligation to inform the Applicant of the grounds for them.
- 8.4 Applicants will be advised by the Employer in writing by fax or telex, within 90 days of the date for submission of applications (para. 2.1 above), of the result of their application, and of the names of the prequalified applicants, without being assigned any reason for the Employer's decision.

Letter of Application

[Letterhead paper of the Applicant or partner responsible for a joint venture, including full postal address, telephone no., fax no., telex no., and cable address]

Date:.....

To: The Director
 Project Management Unit
 6th Floor, 216 Nguyen Dinh Chieu
 District 3, Ho Chi Minh City
 Vietnam

Ladies and/or Gentlemen,

1. Being duly authorized to represent and act on behalf of(hereinafter referred to as “the Applicant”), and having reviewed and fully understood all of the prequalification information provided, the undersigned hereby apply to be prequalified by yourselves as a bidder for:

Ho Chi Minh City Water Environment Improvement Project
Package A: Tau Hu – Ben Nghe Canal Improvement
Contract No.: _____

2. We attach to this letter ²⁶
 - (a) Certified Articles of Incorporation to certify the Applicant’s legal status;
 - (b) Certified Company registration in our country to show the principal place of business; and
 - (c) Certified Power of Attorney to authorize the persons signing this application.
3. Your Agency and its authorized representatives are hereby authorized to conduct any inquiries or investigations to verify the statements, documents, and information submitted in connection with this application, and to seek clarification from our bankers and clients regarding any financial and technical aspects. This Letter of Application will also serve as authorization to any individual or authorized representative of any institution referred to in the supporting information, to provide such information deemed necessary and as requested by yourselves to verify statements and information provided in this application, such as the resources, experience, and competence of the Applicant.

²⁶ For application by joint ventures, all the information requested in the prequalification documents is to be provided for the joint venture, if it already exists, and for each party to the joint venture separately. The lead partner should be clearly identified. Each partner in the joint venture shall sign the letter.

4. Your Agency and its authorized representatives may contact the following persons for further information²⁷ :

| | |
|----------------------------------|-------------|
| General and managerial inquiries | |
| Contact 1 | Telephone 1 |
| Contact 2 | Telephone 2 |

| | |
|---------------------|-------------|
| Personnel inquiries | |
| Contact 1 | Telephone 1 |
| Contact 2 | Telephone 2 |

| | |
|---------------------|-------------|
| Technical inquiries | |
| Contact 1 | Telephone 1 |
| Contact 2 | Telephone 2 |

| | |
|---------------------|-------------|
| Financial inquiries | |
| Contact 1 | Telephone 1 |
| Contact 2 | Telephone 2 |

5. This application is made with the full understanding that:
- (a) bids by prequalified applicants will be subject to verification of all information submitted for prequalification at the time of bidding;
 - (b) your Agency reserves the right to:
 - amend the scopes and value of any contracts bid under this project; in such event, bids will only be called from prequalified bidders who meet the revised requirements; and
 - reject or accept any application, cancel the prequalification process, and reject all applications.
 - (c) your Agency shall not be liable for any such actions and shall be under no obligation to inform the Applicant of grounds for them

Applicants who are not joint ventures should delete paras. 6 and 7 and initial the deletions.

6. Appended to this application, we give details of the participation of each party, including capital contribution and profit/loss agreements, in the joint venture or association. We also specify the financial commitment in terms of the percentage of the value of the contract, and the responsibilities for execution of the contract.

²⁷ Applications by joint ventures should provide on a separate sheet equivalent information for each party to the application.

7. We confirm that if we bid, that bid, as well as any resulting contract, will be:
- (a) signed so as to legally bind all partners, jointly and severally; and
 - (b) submitted with a joint venture agreement providing the joint and several liability of all partners in the event the contract is awarded to us.
8. The undersigned declare that the statements made and the information provided in the duly completed application are complete, true, and correct in every detail.

| | |
|---|--|
| Signed | Signed |
| Name | Name |
| For and on behalf of (name of Applicant or lead partner of a joint venture) | For and on behalf of (name of partner) |

| | |
|--|--|
| Signed | Signed |
| Name | Name |
| For and on behalf of (name of partner) | For and on behalf of (name of partner) |

| | |
|--|--|
| Signed | Signed |
| Name | Name |
| For and on behalf of (name of partner) | For and on behalf of (name of partner) |

General Information

All individual firms and each partner of a joint venture applying for prequalification are requested to complete the information in this form. Nationality information should be provided for all owners or applicants who are partnerships or individually-owned firms.

Where the Applicant proposes to use named subcontractors for critical components of the works (reference sub-clause 4.3 of the instructions), the following information should also be supplied for the specialist subcontractor(s), together with a brief description of their specialized input.

| | | |
|----|---|--------------------------------------|
| 1. | Name of Applicant or Partner of a Joint Venture | |
| 2. | Head office address | |
| 3. | Telephone | Contract |
| 4. | Fax | Telex |
| 5. | Place of incorporation / registration | Year of incorporation / registration |

| | | |
|-------------------------------------|------|-------------|
| Nationality of owners ²⁸ | | |
| | Name | Nationality |
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |

²⁸ To be completed by all owners of partnerships or individually-owned firms.

General Experience Record

Name of Applicant or Partner of a Joint Venture

All individual firms and all partners of a joint venture are requested to complete the information in this form. The information supplied should be the annual turnover of the Applicant (for each member of a joint venture), in terms of the amounts billed to clients for each year for work in progress or completed, converted to Yen at the rate of exchange at the end of the period reported.

Use a separate sheet for each partner of a joint venture.

| Annual turnover data (construction only) | | |
|--|----------|----------------|
| Year | Turnover | Yen equivalent |
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |
| 6. | | |
| 7. | | |
| 8. | | |
| 9. | | |
| 10. | | |

Joint Venture Summary

| Names of all Partners of a Joint Venture | | |
|--|--|--|
| 1. Lead partner | | |
| 2. Partner | | |
| 3. Partner | | |
| 4. Partner | | |
| 5. Partner | | |
| 6. Partner | | |

Total value of annual construction (and/or equipment, goods or services which apply to this contract) turnover, in terms of work billed to clients, in Yen equivalent, converted at the rate of exchange at the end of the period reported:

| Annual turnover data (construction only; Yen equivalent) | | | | | | | | | | | |
|--|--------------------|--------|--------|--------|--------|--------|--------|--------|--------|--------|---------|
| Partner | Form 2 page no. | Year 1 | Year 2 | Year 3 | Year 4 | Year 5 | Year 6 | Year 7 | Year 8 | Year 9 | Year 10 |
| 1. Lead partner | | | | | | | | | | | |
| 2. Partner | | | | | | | | | | | |
| 3. Partner | | | | | | | | | | | |
| 4. Partner | | | | | | | | | | | |
| 5. Partner | | | | | | | | | | | |
| 6. Partner | | | | | | | | | | | |
| Totals | | | | | | | | | | | |

Joint Venture Agreement

To: The Director
 Project Management Unit
 6th Floor, 216 Nguyen Dinh Chieu
 District 3, Ho Chi Minh City
 Vietnam

The undersigned of this declaration of cooperation are by means of attached Powers of Attorney legally authorized to act with regard to Ho Chi Minh City Water Environment Improvement Project, **Package A: Tau Hu – Ben Nghe Canal Improvement, Contract No.:** _____ and on behalf of their organizations.

They hereby declare:

1. that they will legalize a Joint Venture Agreement in case that a Contract for the Ho Chi Minh City Water Environment Improvement Project, **Package A: Tau Hu – Ben Nghe Canal Improvement, Contract No.:** _____ is awarded to their group;
2. that they have nominated _____ [*name of the lead partner*] as the Sponsor Firm of the group for the purpose of this Bid;
3. that they authorized Mr./Ms. _____ [*name of the person who is authorized to act as the Representative on behalf of the Joint Venture*] to act as the Bidder’s Representative in the name and on behalf of their group.
4. that all partners of the Joint Venture shall be liable jointly and severally for the execution of the Contract;
5. that this Joint Venture is an association constituted for the purpose of the execution of the Ho Chi Minh City Water Environment Improvement Project, **Package A: Tau Hu – Ben Nghe Canal Improvement, Contract No.:** _____;
6. that if the Employer accepts the Bid of this Joint Venture, it shall not be modified in its composition or constitution until the completion of Contract without the prior consent of the Employer;
7. that each partner’s share of the Work, stated as percentage of the total contract amount, shall be as follows:

| Name of Partner | Share of the Work (as percentage of the contract amount) |
|-----------------|---|
| 1. Lead Partner | |
| 2. Partner | |
| 3. Partner | |
| 4. Partner | |
| Total | 100 |

Give names and positions of the proposed Joint Venture Representatives, as well as organization's names and addresses:

| | | |
|----|---|------------|
| 1. | Name: | Signature: |
| | Position: | Date: |
| | Representative of (Organization's name) | |

| | | |
|----|---|------------|
| 2. | Name: | Signature: |
| | Position: | Date: |
| | Representative of (Organization's name) | |

| | | |
|----|---|------------|
| 3. | Name: | Signature: |
| | Position: | Date: |
| | Representative of (Organization's name) | |

| | | |
|----|---|------------|
| 4. | Name: | Signature: |
| | Position: | Date: |
| | Representative of (Organization's name) | |

| | | |
|----|---|------------|
| 5. | Name: | Signature: |
| | Position: | Date: |
| | Representative of (Organization's name) | |

Joint Venture Organization Chart

For applications by joint ventures, an organization chart of the proposed joint venture, showing proposed personnel at head offices of each partner and at the Site shall be attached hereto.

Particular Experience Record

| |
|---|
| Name of Applicant or Partner of a Joint Venture |
|---|

To prequalify, the Applicant shall be required to pass the specified requirements especially applicable to this form in addition to other minimum requirement, as set out in the "Prequalification Instructions to Applicants".

*On a separate page, using the format of Form (3a), the Applicant is requested to list all contracts completed of similar nature and complexity to the contract, in accordance with the requirement mentioned in Clause 4, for which the applicant wishes to qualify and **has** undertaken. The partners of a joint venture should respectively provide details of similar contracts to their share in the Joint Venture. The value should be based on the currencies of the contracts converted **to** Yen, at the date of substantial completion. The information is to be summarized, using Form (3a), for each contract completed by the Applicant or by each partner of a joint venture.*

Where the Applicant proposes to use named subcontractors for critical components of the works, the information in the following forms should also be supplied for each specialist subcontractor.

*Copies of evidence documents for work completed **must** be attached in support of the data given in **Form (3a)**.*

Details of Contracts of Similar Nature and Complexity

| |
|---|
| Name of Applicant or Partner of a Joint Venture |
|---|

Use a separate sheet for each contract.

| | |
|-----|---|
| 1. | Number of contract |
| | Name of contract |
| | Country |
| 2. | Name of employer |
| 3. | Employer address |
| 4. | Nature of works and special features relevant to the contract for which the Applicant wishes to prequalify. |
| 5. | Contract role (check one) Sole contractor Management Contractor Subcontractor Partner in a joint venture |
| 6. | Value in specified currencies at completion, or at date of award for current contracts, <ul style="list-style-type: none"> • Total Contract Amount: _____ (name of currency) • Sub-Contract Amount (if the role was sub contractor): _____ (name of currency) • Responsible Contract Amount (if the role was partner in a joint venture): _____ (name of currency) _____ (percentage of share)% |
| 7. | Equivalent value Yen |
| 8. | Date of award |
| 9. | Date of completion |
| 10. | Contract/subcontract duration (years and months) <div style="text-align: right; margin-right: 50px;">_____ years</div> <div style="text-align: right; margin-right: 50px;">_____ months</div> |
| 11. | Specified requirements ³² |

³² The Applicant should insert any specific contractual criteria required for particular operations, such as annual volume of earthmoving, dredging, or placing concrete

Summary Sheet: Current Contract Commitments / Works in Progress

| |
|---|
| Name of Applicant or Partner of a Joint Venture |
|---|

Applicants and each partner to an application should provide information on their current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued. Evidences for those such as true copies of Letter of intent and the contract signed shall be attached hereto

| Name of contract | Value of outstanding work (current Yen equivalent) | Estimated completion date |
|------------------|---|---------------------------|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |
| 6. | | |

Personnel Capabilities

| |
|-------------------|
| Name of Applicant |
|-------------------|

For specific positions essential to contract implementation, applicants should provide the names of at least two candidates qualified to meet the specified requirements stated for each position. The data on their experience should be supplied in separate sheets using one Form (5a) for each candidate. The site organization with supporting system of head offices shall be explained in detail explained on a separate sheet to demonstrate the capacity of the Applicant to perform the contract obligation.

| | |
|----|-----------------------------|
| 1. | Project Manager |
| | Name of prime candidate |
| | Name of alternate candidate |
| 2. | Dredging Engineer |
| | Name of prime candidate |
| | Name of alternate candidate |
| 3. | Civil Supervisor |
| | Name of prime candidate |
| | Name of alternate candidate |
| 4. | Other Position |
| | Name of prime candidate |
| | Name of alternate candidate |

<Note>

Details shall be included for all positions listed in Clause 4.5 including key positions nominated by the Applicant.

Candidate Summary

| |
|-------------------|
| Name of Applicant |
|-------------------|

| Position | Candidate | |
|-----------------------|--------------------------------|------------------------------------|
| | Prime | Alternate |
| Candidate information | 1. Name of candidate | 2. Date of birth |
| | 3. Professional qualifications | |
| Present Employment | 4. Name of employer | |
| | Address of employer | |
| | Telephone | Contact (manager/personnel office) |
| | Fax | Telex |
| | Job title of candidate | Years with present employer |

Summarize professional experience over the last 20 years, in reverse chronological order. Indicate particular technical and managerial experience relevant to the Project.

| From | To | Company / Project / Position / Relevant technical and managerial experience |
|------|----|---|
| | | |

Equipment Capabilities

| |
|-------------------|
| Name of Applicant |
|-------------------|

The Applicant shall provide adequate information to demonstrate clearly that it has the capability to meet to the requirements for each and all items of equipment listed in the Instructions to Applicants. A separate Form (6) shall be prepared for each item of equipment listed in para. 4.6 of the Instruction to Applicants, or for alternative equipment proposed by the Applicant.

| | | |
|-----------------------|--|---------------------------|
| Item of equipment | | |
| Equipment information | 1. Name of manufacturer | 2. Model and power rating |
| | 3. Capacity | 4. Year of manufacturer |
| Current Status | 5. Current location | |
| | 6. Details of current commitments | |
| Source | 7. Indicate source of the equipment Owned Rented Leased Specially manufactured | |

Omit the following information for equipment owned by the Applicant or partner.

| | | |
|------------|--|------------------------|
| Owner | 8. Name of owner | |
| | 9. Address of owner | |
| | Telephone | Contact name and title |
| | Fax | Telex |
| Agreements | Details of rental / lease / manufacture agreements specific to the Project | |

Financial Capabilities

| |
|---|
| Name of Applicant or Partner of a Joint Venture |
|---|

The Applicants, including each partner of a joint venture, should provide financial information to demonstrate that they meet the requirements stated in the Instructions to Applicants. Each applicant or partner of a joint venture must fill in this form. If necessary, use separate sheets to provide complete banker information. An original Line of credit for the required amount, a copy of the audited balance sheets and statement of profit and losses should be attached.

| | | |
|--------|----------------|------------------------|
| Banker | Name of banker | |
| | Name of banker | |
| | Telephone | Contact name and title |
| | Fax | Telex |

Summarize actual assets and liabilities in Yen equivalent (at the rates of exchange current at the end of each year) for the previous five years. Based upon known commitments, summarize projected assets and liabilities in Yen equivalent for the next two years, unless the withholding of such information is justified by the Applicant to the satisfaction of the Employer.

| Financial information in Yen equivalent | Actual: previous five years | | | | | Projected: Next two years | |
|---|--------------------------------|----|----|----|----|------------------------------|----|
| | 1. | 2. | 3. | 4. | 5. | 6. | 7. |
| 1. Total assets | | | | | | | |
| 2. Current assets | | | | | | | |
| 3. Total liabilities | | | | | | | |
| 4. Current liabilities | | | | | | | |
| 5. Sales | | | | | | | |
| 6. Ordinary Profits | | | | | | | |
| 7. Profits before taxes | | | | | | | |
| 8. Profits after taxes | | | | | | | |

Specify proposed sources of financing to meet the cash flow demands of the Project, net of current commitments for other contracts (Instructions to Applicants, para. 4.7)

| Source of financing | Amount (Yen equivalent) |
|---------------------|-------------------------|
| 1. | |
| 2. | |
| 3. | |
| 4. | |

Attach audited financial statements for the last five years (for the individual applicant or each partner of a joint venture).

Firms owned by individuals, and partnerships, may submit their balance sheets certified by a registered accountant, and supported by copies of tax returns, if audits are not required by the laws of their countries of origin.

Applicants are requested to submit a bank reference letter from a reputable commercial bank to effect that such bank certifies the financial capability of the applicants to meet their financial obligation to perform the said contract and also to submit a specific line of credit determined in Instructions to Applicants – Clause 4.7

Litigation History

| |
|---|
| Name of Applicant or Partner of a Joint Venture |
|---|

*The Applicants, including each partner of a joint venture, should provide information on any history of litigation or arbitration resulting from contracts executed in the **last 5 years** or currently under execution (Instructions to Applicants, para. 4.9). A separate sheet should be used for each partner of a joint venture).*

| Year | Award FOR or AGAINST Applicant | Name of client. Cause of litigation, and matter in dispute | Disputed amount (current value, Yen equivalent) |
|------|--------------------------------|--|---|
| | | | |

List of Eligible Countries of JBIC ODA Loans

All countries and areas

APPENDIX 1: PROJECT DESCRIPTION AND SCOPE OF WORK

1. Project Overview

The Ho Chi Minh City Water Environment Improvement Project aims to contribute to the improvement of urban drainage and sewerage system of Ho Chi Minh City.

The project area covers an area of 3,065 ha which includes the central part of the city as well as the outlying areas of Thanh Da and Ben Me Coc.

The main elements of the project are:

- Tau Hu – Ben Nghe canal improvement of 7.3 km
- Pump drainage improvement at Thanh Da (15.4 ha) , Ben Me Coc (1) (70.9 ha) and Ben Me Coc(2) (46.0 ha)
- Existing combined sewer improvement of 9.5 km
- Interceptor sewer of 12.2 km
- Conveyance sewer of 3.5 km
- Intermediate wastewater pumping station of 0.6 ha with a total pumping capacity of 133 m³/min
- Wastewater treatment plant with a capacity of 141,000 m³/day

2. Topographic Conditions

The project area region including central parts of the city is mostly urbanized. The northern region along Saigon River is low land area with an elevation of EL +0.6 to +9.2 m.

3. Geology

Ho Chi Minh City is located in the transitional part from the Northeastern Hills to the Mekong Delta. The geology in HCMC is composed of soils and rocks in Paleozoic and Cenozoic era. The Paleozoic rocks distribute at the northeastern hilly lands of HCMC. They are andesite, andesite tuff of Long Binh formation. The Cenozoic is composed of Holocene, Pleistocene and Tertiary deposits. The Pleistocene deposits include Thu Duc and Cu Chi formation. Thu Duc formation is distributed along the hilly land with its ground elevation varying from EL +5 to +30 m in Thu Duc and District 9 at the northeastern area of HCMC. Cu Chi formation distributes on the continuous plain relief with its ground elevation from EL +2 to 10 m in Hoc Mon District at the north of HCMC and most of existing urbanized area. The Holocene deposits are distributed on the continuous plain relief with its ground elevation vary from EL +0.6 to +1.0 m in suburban low-lying area.

Soil test conducted for the project show Holocene deposits, of which thickness varies from 2.5 to 35 m, covering Pleistocene deposit, of which thickness could not be confirmed because of the maximum boring depth of 50m. The thickness of Holocene deposits along the proposed interceptor sewer is within 10 m in District 1, 4, and 5, however, it is more than 20 m in District 8. The Holocene deposits are composed of very soft, low or high plasticity organic clay, sandy clay (cohesive fine-grained soil) and very loose, loose clayey sand or silty clay. They have low bearing capacity in N-value varies from 0 to 2

The Pleistocene deposits are medium dense silty sand, sand or clayey sand (cohesiveness coarse-grained soil) and sometimes they are stiff sandy clay or clay.

4. Climate

HCM City is located in the tropical monsoon zone and its climatic characteristics are almost uniform temperature, high humidity, and heavy precipitation. Meteorological observation has been carried out at Tan Son Nhat station since 1915.

Meteorological characteristics as recorded at the Tan Son Nhat station from 1976 to 1977 station are s follows:

- The annual average temperature and relative humidity are 27.4 °C and 77.2 % respectively;
- Annual sunshine hours are 2,508 hours,
- Annual average rainfall is 1,929 mm, of which about 93 % (1,788 mm) occurs during the rainy season starting from May to November with maximum monthly rainfall of 308 mm occurring in the month of August. Only about 7 % (141 mm) of annual rainfall occurs during the dry season from December to April.

5. Construction Materials

The following sources of materials are listed for information only. Applicants should satisfy themselves as to the suitability of such sources.

| Material | Source | Approx. Distance from Site |
|------------------------|--|----------------------------|
| Sand and Gravel | Long Thanh District, Dong Nai Province | 60 km |
| Sand Fill | Dong Nai River | 40 km |
| Concrete Aggregate | Bien Hoa City, Dong Nai Province | 30 km |
| Cement | Thu Duc District, HCMC | 15 km |
| Concrete Reinforcement | Long Thanh District, Dong Nai Province | 60 km |
| Precast Concrete Piles | Thuan An District, Binh Duong Province | 25 km |
| Precast Concrete Pipes | Thu Duc District, HCMC | 15 km |

6. Project Implementation

The project has been divided into packages for the purposes of implementation as follows:

- Package A: Tau Hu – Ben Nghe Canal Improvement
- Package B: Pump Drainage Improvement
- Package C: Interceptor Sewer Construction, Intermediate Wastewater Pumping Station Construction and Procurement of Sewer Cleaning Equipment
- Package D: Conveyance Sewer Construction and Existing Combined Sewer Improvement
- Package E: Construction of Wastewater Treatment Plant

7. Specific Information for Package A

Scope of Work

The works aim to improve storm water drainage, waterway transportation and water environment and consist of improvements to alignment, profile and cross-section and includes dredging, filling, excavation, construction of dike embankment and stone masonry revetments, roadworks and landscaping along the canal banks.

The main elements of the work include, but are not limited to, the following:

| ELEMENT OF WORK | QUANTITY |
|---|---|
| Excavation and dredging of Ben Nghe and Tau Hu canal for a length of 7.3 km. | 389,000 m ³ |
| Filling of portions of Ben Nghe canal and Tau Hu (downstream) canals | 215,000 m ³ |
| Construction of 13.8 km of stone masonry revetments along the canal banks: | - stone masonry: 85,200 m ² - rip rap: 20,800 m ³ - timber piling 2,885,000 m |
| Construction of operation and maintenance roads adjacent to improved canal banks: | 13.8 km |
| Landscaping along Ben Nghe canal and Tau Hu canal (downstream): | 13.8 km |

Implementation Schedule

The works are planned to commence in April 2003 with a time for completion of 900 days

Water Quality

Water in the Tau Hu – Ben Nghe canals is highly polluted by domestic and industrial sewage and has BOD in the range 100 – 250 mg/l, is black in colour and emanates an offensive odour.

APPENDIX 2: LOCATION OF THE PROJECT SITE

[Map of the site](#)

APPENDIX 3: DRAWINGS OF MAIN FEATURES OF THE WORKS

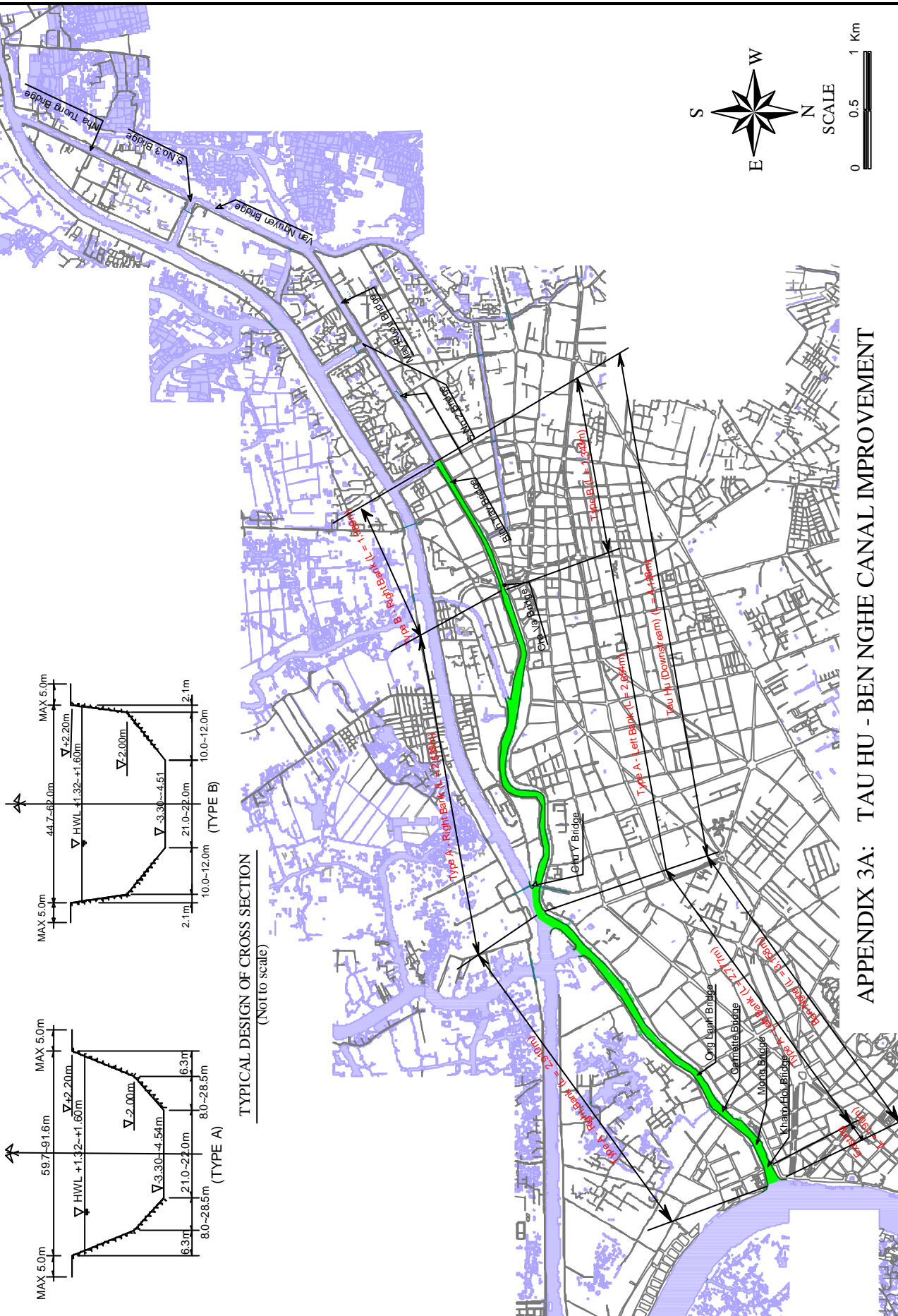
Refer to the attached drawings:

APPENDIX 3A: TAU HU – BEN NGHE CANAL IMPROVEMENT

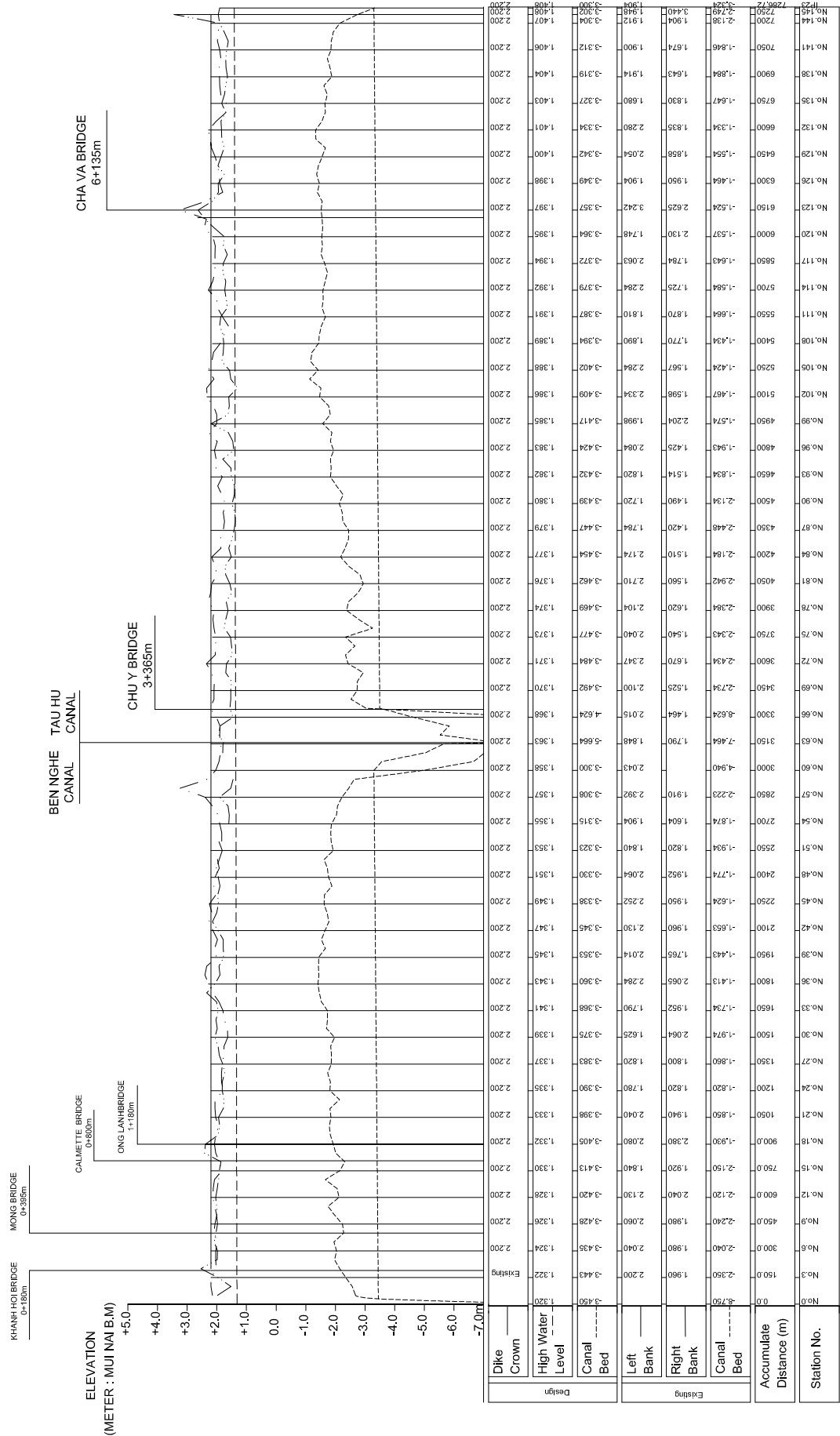
APPENDIX 3B: LONGITUDINAL PROFILE OF TAU HU – BEN NGHE CANAL

APPENDIX 3C: TYPICAL REVETMENTS FOR TAU HU – BEN NGHE CANAL

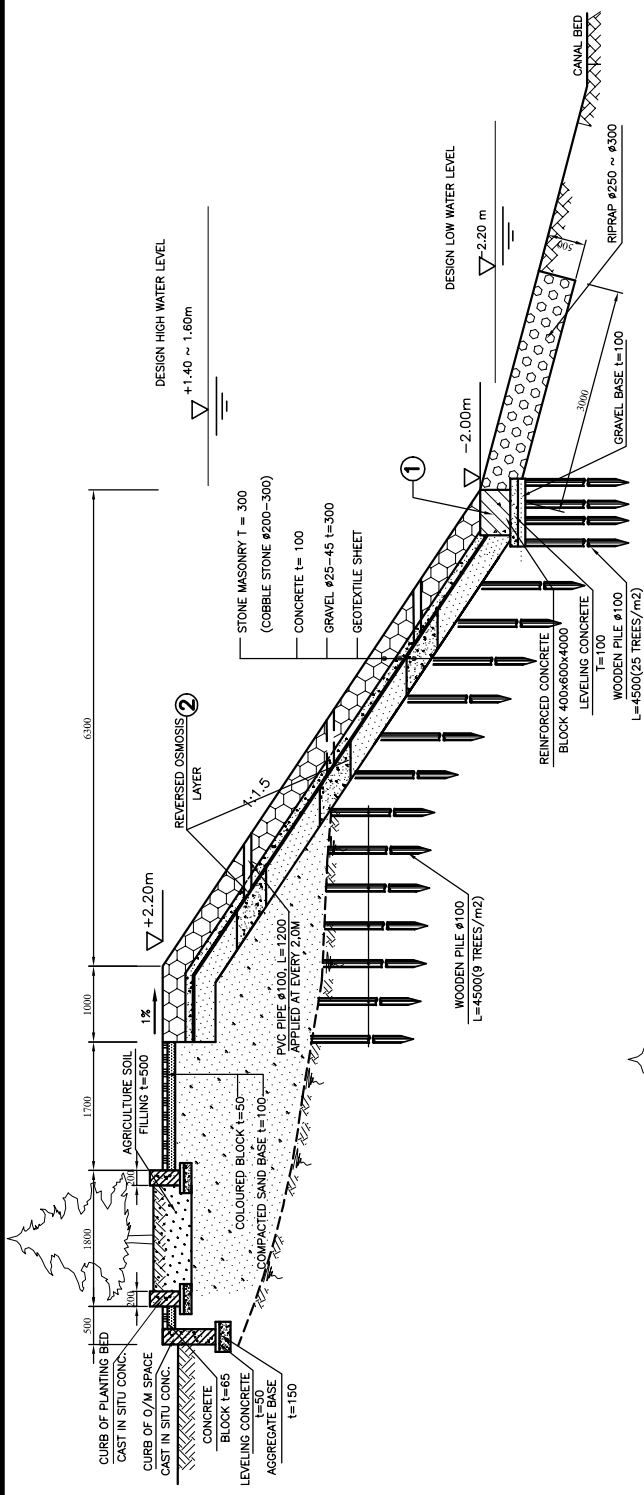
APPENDIX 3D: TYPICAL BOX CULVERT AND OUTLET



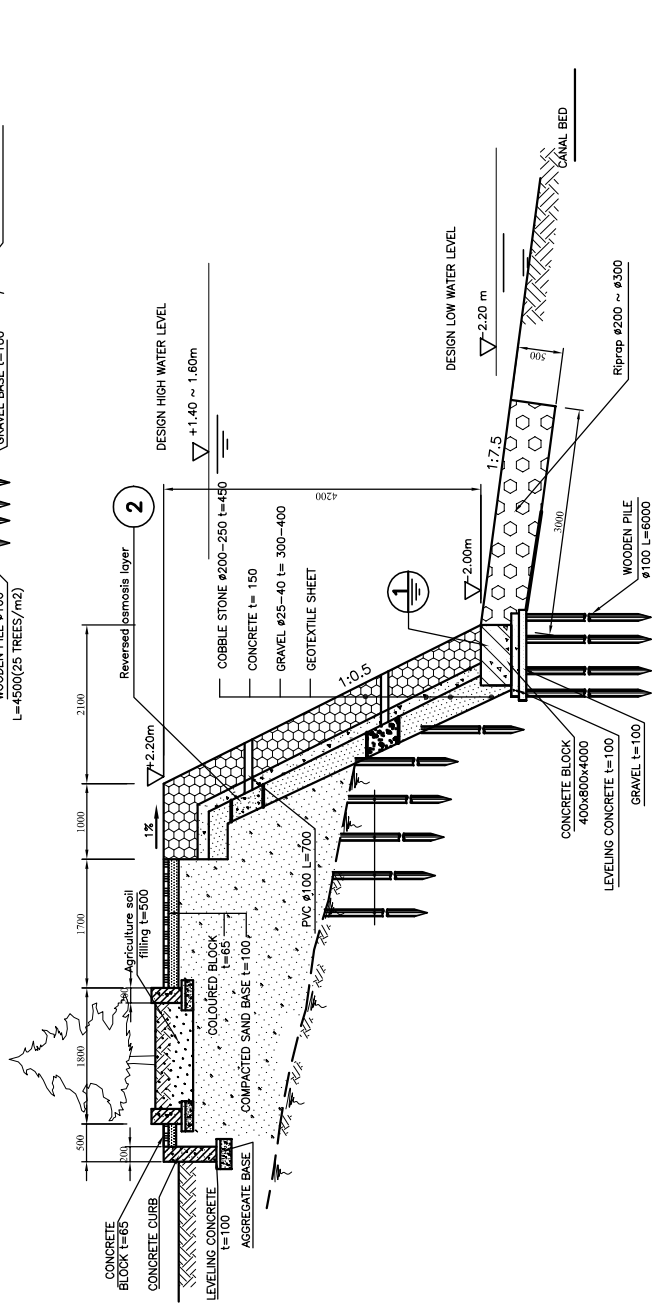
APPENDIX 3A: TAU HU - BEN NGHE CANAL IMPROVEMENT



APPENDIX 3B: LONGITUDINAL PROFILE OF TAU HU - BEN NGHE CANAL



TYPE A
SCALE: 1/50



TYPE B
SCALE: 1/50

APPENDIX 3C: TYPICAL REVETMENTS FOR TAU HU - BEN NGHE CANAL

