2. 協議議事録 (M/D)

MINUTES OF DISCUSSIONS

ON

THE JAPANESE PROJECT-TYPE TECHNICAL COOPERATION FOR

MODERNIZATION OF INDUSTRIAL PROPERTY ADMINISTRATION PROJECT

IN

THE SOCIALIST REPUBLIC OF VIETNAM

The Japanese implementation Study Team (hereinafter referred to as "the Team") organized by Japan International Cooperation Agency and headed by Mr. Sato (hereinafter referred to as "JICA") and the Vietnamese Authorities concerned signed the Record of Discussions on the Japanese Technical Cooperation for the Modernization of Industrial Property Administration Project (hereinafter referred to as "the R/D").

The following Minutes of Discussions are intended to record the understanding reached between both sides in regard to the provisions stipulated in the R/D.

During its stay in the Socialist Republic of Vietnam, the Team exchanged views and had a series of discussions with the authorities concerned of the Government of the Socialist Republic of Vietnam.

As a result of the discussions, both sides came to reach a common understanding concerning the matters referred to in the document attached hereto.

Hanoi, December 15, 1999

Tatsuo Sato

Leader

Implementation Study Team

Japan International Cooperation Agency

Japan

Duong Duc Ung Director General

Foreign Economic Relations Department

Ministry of Planning and Investment

The Socialist Republic of Vietnam

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Pham Dinh Chuong

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National Office of Industrial Property

Ministry of Science, Technology and

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Director General

Department of International Relations

Ministry of Science. Technology and

Environment

The Socialist Republic of Vietnam

1 (M/D)

ATTACHED DOCUMENT

1. Name of the Project

Both sides confirmed that the name of the Project is "Modernization of Industrial Property Administration Project in the Socialist Republic of Vietnam".

2. Agency concerned of the Project

The "National Office of Industrial Property of the Socialist Republic of Vietnam" (hereinafter referred to as "NOIP") will bear overall responsibility for the implementation of the Project under the supervision of the "Ministry of Science, Technology and Environment" (hereinafter referred to as "MOSTE").

The present organization chart of MOSTE is as shown in ANNEX 1-1.

The present organization chart of NOIP is as shown in ANNEX 1-2.

3. Administration of the Project

The Director General of NOIP, as the Project Director, will bear overall responsibility for the administration and management of the Project. The Director of Japan/Vietnam Industrial Property Project Management Unit (hereinafter referred to as "J/V IP PMU", as the Project Manager, will be responsible for the implementation and technical matters of the Project.

J/V IP PMU was established to ensure sound coordination between divisions within NOIP so that smooth and effective implementation of the Project can be expected. The responsibilities of the J/V IP PMU are as follows:

- To organize the acquisition of necessary knowledge on automation by Vietnamese counterpart members from Japanese experts and make use of the knowledge in the implementation of the Project:
- To organize the coordination between divisions in NOIP to identify and assess the needs for automation with a view to establishing general concept of automation at NOIP
- To organize the receiving and exploitation of the equipment provided to NOIP by the Project
- To fulfill other tasks assigned by the leadership of NOIP

The organization chart for the administration of the Project is as shown in ANNEX 2.

4. Duration of the Project

Both sides reconfirmed that the duration of the Japanese technical cooperation for the Project will be four (4) years from the date of April 1, 2000.

5. Site of the Project

The Project will be implemented at NOIP in Hanoi. Present location of NOIP and the floor plan of the Project is shown in ANNEX 10-1 and ANNEX 10-2, respectively.

Address: 384 - 386 Nguyen Trai Road, Hanoi, Vietnam

Tel.: 84-4-5580249 (J/V.IP PMU) 84-4-5588217 (International Relations Division)

Fax: 84-4-8588449, 84-4-8584002

2 (M/D)

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6. Master Plan of the Project

Both sides reconfirmed the objectives of the Project agreed in the R/D as follows and reviewed Activities of the Project as follows:

(1) Overall Goal, Purpose, Outputs and Activities of the Project

1) Overall Goal

NOIP is able to grant Industrial Property rights more promptly with increased accuracy.

2) Project Purpose

The Industrial Property administration process is facilitated in NOIP.

3) Outputs of the Project

- 0. Project operation unit will be enhanced and operated efficiently.
- 1. The appropriate machinery and equipment to integrate the Industrial Property information will be set, operated and maintained appropriately.
- 2. The maintenance staff of computer system will be developed to conduct proper administration.
- 3. The management staff of Industrial Property administration will be developed to conduct proper administration.
- 4. The application, formality examination, substantial examination, registration, publication, licensing and legislation staff will be developed to conduct proper administration.

4) Activities of the Project

- 0-1 Allocate appropriate personnel and facilities to the project operation unit
- 0-2 Make an operational plan of the staff section
- 1-1 Make a plan to install necessary machinery and equipment
- 1-2 Select the necessary machinery and equipment
- 1-3 Procure and install machinery and equipment
- 1-4 Make operation manuals for the machinery and equipment
- 1-5 Make a plan to practical use of network
- 1-6 Set up the LAN for networking
- 2-1 Analyze procedure of Industrial Property administration
- 2-2 Make the procedure flow of Industrial Property administration
- 2-3 Make the system function through proto-typing measure
- 2-4 Select necessary functions and data for database
- 2-5 Design a basic plan for the database and network
- 2-6 Store data to the database
- 2-7 Inspect the database software and the network
- 2-8 Test the database function and the network capacity

3 (M/D)

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- 2-8 Test the database function and the network capacity
- 2-9 Make manual for database management
- 2-10 Test the system function
- 2-11 Make manuals for system operation
- 2-12 Transfer administration job to the computerized system
- 2-13 Make report to operation status of the system
- 2-14 Evaluate the status of system operation and its use
- 2-15 Make manuals for Industrial Property administration system
- 2-16 Conduct training courses of terminal operation for system users
- 2-17 Conduct training course of administration process using the system regularly
- 3-1 Analyze procedure of Industrial Property administration
- 3-2 Make procedure flow of Industrial Property administration
- 3-3 Manage the legal procedure period
- 3-4 Manage the period of handling in NOIP
- 3-5 Make manuals for system operation
- 3-6 Transfer administration job to the computerized system
- 3-7 Make report to operation status of the system
- 3-8 Evaluate the status of system operation and its use
- 3-9 Make manuals for Industrial Property administration system
- 3-10 Conduct training courses of terminal operation for system users
- 3-11 Conduct training course of administration process using the system regularly
- 4-1 Analyze procedure of Industrial Property administration
- 4-2 Make procedure flow of Industrial Property administration
- 4-3 Entry and update the Industrial Property data
- 4-4 Operate the Industrial Property administration system
- 4-5 Make notification using system
- 4-6 Make document using system
- 4-7 Manage annual fees using system
- 4-8 Define index for search (Number and Classification)
- 4-9 Handle office work using the system

(2) Project Cycle Management (PCM)

Both sides confirmed that Project planning, monitoring and evaluation method entitled Project Cycle Management (hereinafter referred to as "PCM") will be applied to the Project to monitor and evaluate the level of achievement. The PCM will also enhance communication for smooth implementation of the Project. For application of the PCM, a worksheet called Project Design Matrix (hereinafter referred to as "PDM") is required and is prepared as shown in ANNEX 3. However, PDM should be reviewed continuously since it is the common reference and communication tool among people concerned of the Project.

(3) Plan of Operations (PO)

The Team and the Vietnamese side discussed the details of technology transfer in the

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above fields and drafted Plan of Operations (PO) and Annual Plan of Operations (APO) as shown in ANNEX 4-1 and ANNEX 4-2, respectively.

7. Scope of Technology Transfer

(1) Field of Technology Transfer

Both sides confirmed that the technology transfer from the Japanese experts to the Vietnamese counterpart personnel during the Project at NOIP in Hanoi would be made in the following fields:

- 1) Construction of Administration Database to Record Contents from Application to Registration.
- 2) Construction of Administration Database to Utilize Contents from Application to Registration.
- 3) Development of Administrative System for procedures from Application to Registration.
- 4) Development of Practical Use System of data accumulated by the Data Base.
- 5) Development of Accessible System to the Data Base.

(2) Methodology of Technology Transfer

The technology transfer would be conducted through the daily on-the-job training.

(3) Target Group

The initial target group of the Project is the counterparts as listed in ANNEX 11.

8. Measures to be taken by the Japanese Side

In accordance with Article II of the R/D, the Project will be carried out under the framework of the Japanese Project-Type Cooperation Scheme which is the combination of the following three (3) components.

(1) Dispatch of Japanese experts

Both sides agreed that the following Japanese experts would be dispatched according to the plan in the Tentative Schedule of Implementation (hereinafter referred to as the "TSI") as shown in ANNEX 5. Application form for the Long-term experts should be submitted in Form A1 to the Government of Japan by the Vietnamese side at least two (2) months prior to their scheduled arrival in the Socialist Republic of Vietnam.

(Long-term experts)

- 1) Chief Advisor
- 2) Coordinator
- 3) Industrial Property administration
- 4) Computer System

(Short-term experts)

Both sides agreed that the Short-term experts in specific fields would be dispatched to support the Long-term experts' technology transfer as necessity arises. Application form

5 (M/D)

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for Short-term experts should be submitted in Form A1 to the Government of Japan at least two (2) months prior to their assignment.

(2) Training of the Vietnamese Counterpart Personnel in Japan

Both sides confirmed that certain number of Vietnamese counterparts will be received for training in Japan during the cooperation period in order to support the Long-term experts' technical transfer. The candidates for the training will be selected from the counterparts after consultation among the Project members. The team requested the Vietnamese side and the latter agreed that the counterparts may apply for other training courses conducted by the JICA. However, sufficient consultation should be held between the Japanese experts and the Vietnamese side to avoid any inconveniences for the smooth implementation of the Project. Application form for the official nomination should be submitted in Form A2A3 to the Government of Japan at least six (6) months before the training starts.

1) Number A certain number (about two (2) to three (3) persons yearly)

2) Term Approximately three (3) weeks to three (3) months

3) Fields Industrial Property administration

(3) Provision of Machinery and Equipment

In accordance with ANNEX III of the R/D, the Vietnamese side requested the provisions of machinery, equipment and other materials for the Project (hereinafter referred to as "the Equipment") to the Government of Japan. The list of necessary machinery and equipment for the Project, allocation plan for PCs and Printers, and plan of LAN equipment are as shown in ANNEX 6-1, 6-2 and 6-3, respectively.

The Team explained that the actual provision would be subject to the budget appropriation of the Government of Japan. The Team also explained and the Vietnamese side agreed that the responsibility and the costs necessary for domestic transport, installation, operation and maintenance of the Equipment should be borne by the Vietnamese side. Application should be made in Form A4 to the Government of Japan by the Vietnamese side immediately after the R/D is signed.

In the course of discussions, the following were especially considered and emphasized:

- 1) minimum necessity to pursue the Project purpose
- 2) local costs to be borne by the Vietnamese side
- 3) maintenance capacity of NOIP
- 4) efficient integration with the existing facilities as shown in ANNEX 7
- 5) priority for the machinery and equipment

9. Measures to be taken by the Vietnamese Side

In accordance with the Provisions of Article of III of the R/D, the Vietnamese side will take the following measures.

(1) Appropriation of Local Costs

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It is indispensable for a successful implementation of the Project that the Vietnamese side allocates proper amount of budget and shoulder the local costs or operating expenses for the Project. However, the both sides understood that the Vietnamese side has difficulty in financial conditions, therefore, the Japanese side would consider to bear expenses of means of transport and travel allowances for the Japanese experts for official travel within the Socialist Republic of Vietnam.

The Cost Sharing List has been agreed by both sides and shown in ANNEX 8.

The Vietnamese side presented the recent figures of the annual budget of NOIP as shown in ANNEX 9-1 and budget of NOIP and for the Project in 2000 shown in ANNEX 9-2.

(2) Preparation of the Building and Facilities for the Project

The buildings and facilities necessary for the implementation of the Project will be fully prepared by the Vietnamese side. Properly furnished office for Japanese experts including utilities of direct dialing international telephone line and access points to internet provider will also be prepared before the commencement of the Project.

(3) Procurement of Machinery, Equipment and Materials

Both sides reconfirmed that the Vietnamese side will supply or replace at its own expense machinery, equipment, instruments, vehicles, tools, spare parts and any other materials necessary for the implementation of the Project other than those provided by JICA.

(4) Assignment of Counterpart Personnel

For the successful implementation of the Project, in accordance with ANNEX V of the R/D, the Vietnamese side will provide the services of the Vietnamese counterpart personnel as necessary. The Assignment Plan of Counterpart Personnel is as listed in ANNEX 11. Should the allocation of counterpart personnel be changed for either personal or administrative reasons, the Vietnamese side will immediately take necessary measures to assign supplementary number of personnel as counterparts for the Project.

(5) Privileges, Exemptions and Benefits to the Japanese experts

Both side reconfirmed that the Vietnamese side will grant in the Socialist Republic of Vietnam privileges, exemptions and benefits to the Japanese experts and their families no less favorable than those accorded to experts of third countries or international organizations working in the Socialist Republic of Vietnam.

10. Joint Coordinating Committee for the Project

Both sides reconfirmed that the Joint Coordinating Committee, composed of members appointed by both sides, will be established for smooth implementation of the Project, and convened at least once a year. Its functions and composition are described in ANNEX 12.

In addition to the annual committee to meet, the Team especially emphasized that daily communication between Japanese experts and the Vietnamese counterparts is important. Therefore, a meeting should be organized regularly to identify the achievement of the Project.

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11. Joint Evaluation

In accordance with the provisions of Article V of the R/D, the evaluation of the Project will be conducted jointly by the two governments through JICA and the Vietnamese side approximately at the middle and six month before the termination of the cooperation period, in order to examine the level of achievement of the objectives of the Project. Other evaluations may be conducted as and when necessity arises during and after the cooperation period to monitor the progress and sustainment of the objectives of the Project.

In this regard, both sides agreed that the monitoring report on the progress of the Project prepared jointly by the Japanese experts and the Vietnamese counterparts would be submitted to the Project Director and the Resident Representative of the JICA Vietnamese Office regularly. Furthermore, both sides agreed to use Five (5) Basic Evaluation Components for evaluation as described in ANNEX 13.

13. Sustainability of the Project

The Vietnamese side will take necessary measures to ensure that the self-reliant operation of the Project will be sustained during and after the period of the Japanese technical cooperation, through the full and active involvement in the Project by all related authorities and institutions so that the technologies and knowledge acquired by the Vietnamese counterpart personnel through the Project will ultimately contribute to economic and social development of the Socialist Republic of Vietnam.

14. Others

- (1) Both sides agreed that common language used in any activities of the Project should be English.
- (2) A list of attendance in the discussions is shown in ANNEX 14.
- (3) Both sides agreed that the understanding of the items other than those mentioned above had no changes with the ones mutually confirmed in the Minutes of Discussions signed on January 28, 1999 and August 19, 1999.

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LIST OF ANNEXES

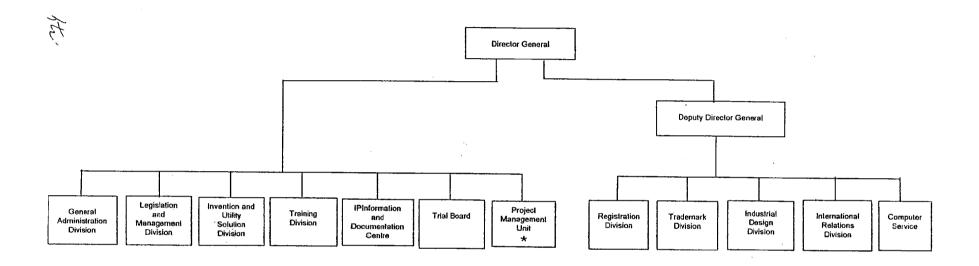
| ANNEX 1-1 | Organization Chart of MOSTE |
|------------|---|
| ANNEX1-2 | Organization Chart of NOIP |
| ANNEX 2 | Organization Chart for the Administration of the Project |
| ANNEX 3 | Project Design Matrix (PDM) |
| ANNEX 4-1 | Plan of Operations (PO) |
| ANNEX 4-2 | Annual Plan of Operations (APO) |
| ANNEX 5 | Tentative Schedule of Implementation (TSI) |
| ANNEX 6-1 | List of Necessary Machinery and Equipment for the Project |
| ANNEX 6-2 | Allocation Plan for PCs and Printers |
| ANNEX 6-3 | Plan of LAN equipment |
| ANNEX 7 | List of Existing Machinery and Equipment of NOIP for the Project |
| ANNEX 8 | Cost Sharing List |
| ANNEX 9-1 | Annual Budget of NOIP from 1997 to 1999 |
| ANNEX 9-2 | Budget of NOIP and for the Project in 2000 |
| ANNEX 10-1 | Present Location Map of NOIP |
| ANNEX 10-2 | Floor Plan of the Project |
| ANNEX 11 | List of Counterparts |
| ANNEX 12 | Provisional Functions and Composition of Joint Coordinating Committee |
| ANNEX 13 | The Five Basic Evaluation Components |
| ANNEX 14 | List of Attendants at the Discussions |

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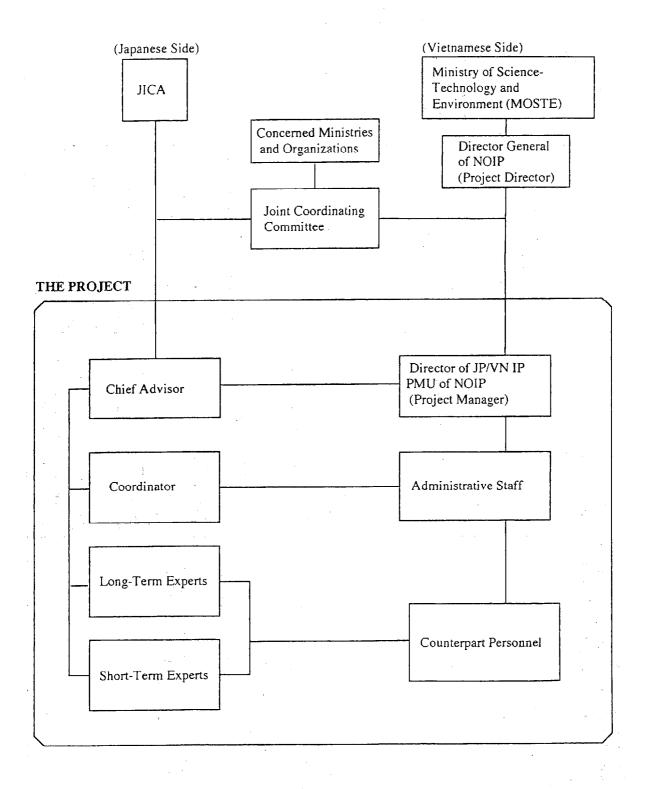
ANNEX 1-2 Organization Chart of NOIP



The Director General of the NOIP, as the Project Director, will bear overall responsibility for the administration and management of the Project

The Director of Japan/Metnam Industrial Property Project Management Unit, as the Project Manager, will be responsible for the implementation and technical matters of the Project

ANNEX 2 Organization Chart for the Administration of the Project



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ANNEX 3 Project Design Matrix

Modernization of Industrial Property Administration Project Target Group: The staff of National Office of Industrial Property

| Narrative Summary | Verifiable Indicators | Means of Verification | Important Assumptions |
|--|---|--|--|
| < Overall Goal > | | | <u> </u> |
| The NOIP is able to grant IP rights more promptly with increased accuracy | Increase number of IP application processed | NOIP record (Comparison of number of application received and the registered) | |
| < Project Purpose > | | | |
| The IP administration process is facilitated in the NOIP | 1. Reduction in processing time of IP application | 1. NOIP records | a. Examination ability of examiners will be maintained |
| | 2. Efficiency of IP administration process | 2. Evaluation and interview with NOIP staff and management | b. Current policies with emphasis on protection of 1P rights will continue |
| | | | c. Budgetary situation will not get worse rapidly |
| < Results / Outputs > | 0-1. Personnel number, budget, control ability of | 0-1. Organization chart, administration record, | |
| 0. Project operation unit will be enhanced and operated efficiently | management staff | accounting record, personnel record | a. C/P will remain at NOIP |
| I The appropriate and it | 1-1 Contents and number of equipment installed | I I December of the state of th | |
| The appropriate machinery and equipment to integrate the IP information will be set, operated and maintained appropriately | 1-2 Contents and number of equipment instance | 1-1 Property record, operation & maintenance record | · |
| information will be set, operated and maintained appropriately | The second of manages of the pea | 1-2 List of manuals and manual themselves | |
| 2. The maintenance staff of computer system will be developed to | | | |
| conduct proper administration | 2-1. Ability of office work analysis | 2-1. Document of office work analysis | |
| | 2-2. Ability of making job flow charts of IP administration | 2-2. List of the flow charts | |
| 3. The management staff of IP administration will be developed to | 2-3. Ability of making database design and function | 2-3. Definition document of database design and function design | |
| conduct adequate administration | design | 2-4. Definition document of network design | |
| | 2-4. Ability of making network design | 2-5. Record of database and network operation | |
| 4. The application, formality examination, substantial examination, | | | |
| registration, publication, licensing, and legislation staff will be | 3-1. Ability of making integrated flow charts of | 3-1. Document of integrated flow chart of 1P | |
| developed to conduct proper administration | system design for IP administration 3-2. Ability of database control and procedure control | administration | |
| | for IP administration | 3-2. Record of database and network operation3-3. Project record, evaluation and interview to | |
| · | 3-3. Number of training courses to NOIP staff by | NOIP staff | · |
| | C/P | | |
| | 1 | 4-1. Number of entry-fields and print-outs by | |
| | 4-1. Number of jobs processed by IP administration | the system | |
| | system 4-2. Contents and number of manuals developed | 4-2. List of manuals and manuals themselves | |
| (*IP stands for Industrial Property.) | 4-3. Number of users of IP administration system | 4-3. Operation record | |
| | administration system | , | |



| Activities > 0-1 Allocate appropriate personnel and facilities to the project operation unit | Input | s | a. Machinery and equipment |
|---|---|--|---|
| U-2 Make an operational plan of the staff section | Vietnamese Side | Japanese Side | provided by the Japanese side will |
| 1-2 Select the necessary machinery and equipment 1-3 Procure and install machinery and equipment 1-4 Make operation manuals for the machinery and equipment 1-5 Make a plan to practical use of network 1-6 Set up the LAN for networking 2-1 Analyze procedure of IP administration 2-2 Make the procedure flow of IP administration 2-3 Make the system function through proto-typing measure 2-4 Select necessary functions and data for database 2-5 Design a basic plan for the database and network 2-6 Store data to the database 2-7 Inspect the database software and the network 2-8 Test the database function and the network capacity 2-9 Make manual for database management | 1 Local cost Necessary budget for the implementation of the Project 2 Allocation of C/P and necessary personnel (1)Administrative C/P (2)Management C/P (3)Maintenance C/P 3 Land, buildings, rooms and facilities for Japanese experts 4 Machinery and equipment Purchase necessary machinery and equipments and its maintenance | 1 Dispatch of Japanese experts (1) Long-term experts a. Chief advisor b. Project coordinator c. Industrial Property Administration d. Computer System (2) Short-term experts Appropriate number of the experts will be attached as necessity arises 2 C/P training in Japan About 1 to 3 Vietnamese C/P will be accepted for training in Japan | Obtain easy custom clearance. Precondition > a. Necessity of modernizing IP administration will not be decreased. |
| 2-11 Make manuals for system operation | equipments and its manitematee | 3 Provision of machinery and equipmen | |
| 2-12 Transfer administration job to the computerized system 2-13 Make report to operation status of the system 2-14 Evaluate the status of system operation and its use | | | |
| 2-15 Make manuals for IP administration system 2-16 Conduct training courses of terminal operation for system users 2-17 Conduct training course of administration process using the system regularly | | | |
| 3-1 Analyze procedure of IP administration 3-2 Make procedure flow of IP administration 3-3 Manage the legal procedure period | | | |
| 3-4 Manage the period of handling in NOIP 3-5 Make manuals for system operation 3-6 Transfer administration job to the computerized system 3-7 Make report to operation status of the system | | | |
| 3-8 Evaluate the status of system operation and its use 3-9 Make manuals for IP administration system 3-10 Conduct training courses of terminal operation for system users | | | |
| 3-11 Conduct training course of administration process using the system regularly 4-1 Analyze procedure of IP administration 4-2 Make procedure flow of IP administration 4-3 Entry and update the IP data 4-4 Operate the IP administration system 4-5 Make notification using system 4-6 Make document using system 4-7 Manage annual fees using system (Number and Classification) 4-8 Define index for search | | | |



ANNEX 4-1 Plan of Operation (PO)

MODERNIZATION OF INDUSTRIAL PROPERTY ADMINISTRATION PROJECT IN THE SOCIALIST REPUBLIC OF VIETNAM

| Calendar Year | | 2000 |) | | 20 | 001 | | | 20 | 002 | | | 2 | 003 | | Т | Responsible | | |
|---|--------|------|-----|----|---------------|----------|--|--|----------|--|----|--|--|--------------|----------|--------------|-------------|-------|--|
| Fiscal Year | | 20 | 000 | | | 20 | 01 | | | 20 | 02 | - | Γ | | 003 | | Person in | Input | Remrks |
| Quarter | I | П | 111 | ΙV | T | III | 111 | ΙV | Τ | II | HI | IV | 1 | 111 | III | ΙV | | | 1 |
| Activities | | | | | | | | | | | | 1 | | 1 | 1 | 1 | | | |
| 0 Project operation unit will be enhanced and operated efficiently | | | | | | | | : | | | | | | | | | | | |
| 0-t Allocate appropriate personnel and facilities to the project operation unit | _ | _ | - | _ | - | - | _ | - | - | - | _ | - | - | - | - | - | PD | | |
| 0-2 Make an operational plan of the staff section | E | _ | Ε | Ξ | Ξ | = | _ | Ξ | = | Ξ | E | Ε | Ε | 1= | E | = | PD | | |
| The appropriate machinery and equipment to integrate the industrial property information will be set, operated and maintained appropriately | | | | | | | | | | | | | | | | | | | |
| I-1 Make a plan to install necessary machinery and equipment | _ | | | | | | | | | | | | Г | 1 | 1 | 1 | C/P | CE | |
| 1-2 Select the necessary machinery and equipment | | _ | | | | | | | | | | | | | † | T | C/P | CE | |
| 1-3 Procure and install machinery and equipment | | - | = | _ | | | | | | | | 1 | | 1 | +- | \top | C/P | CE | |
| 1-4 Make operation manuals for the machinery and equipment | | | | _ | | | $\overline{}$ | | | | | 1 | | 1 | 1 | +- | C/P | CE | |
| 1-5 Make a plan to practical use of network | | _ | = | | | | | | | _ | | | \vdash | ╁ | 1- | 1- | C/P | CE | |
| 1-6 Set up the LAN.for networking | | | | Ξ | | | | | | | | | | | | | C/P | CE | |
| | | | _ | | | L_ | | | | | | | | $oxed{oxed}$ | | \mathbf{I} | | | |
| 2 The maintenance staff of computer system will be developed to conduct proper administration | | | | | | | | | | | | | | | | | | | |
| 2-1 Analyze procedure of IP administration | _ | _ | _ | = | _ | - | _ | | | | | | | - | ╁ | 十 | C/P | AE | |
| 2-2 Make the procedure flow of IP administration | = | | _ | _ | | | | | <u> </u> | | | | | + | T | †- | C/P | ΔE | |
| 2-3 Make the system function through proto-typing measure | | | | _ | | | | | | _ | | | <u> </u> | 1- | t^- | \top | C/P | CE | |
| 2-4 Select necessary functions and data for database | - | _ | = | | | | | | _ | | | | Г | \top | † | 1- | C/P | CI: | |
| 2-5 Design a basic plan for the database and network | — | - | = | | | | | | | | | 1 | | 1 | 1 | +- | C/P | CE | |
| 2-6 Store data to the database | | | | | _ | = | _ | _ | _ | = | = | - | - | 1= | 1- | 1= | C/P | CE | |
| 2-7 Inspect the database software and the network | | | | _ | | | | | | | | | | 1 | T | †- | C/P | CE | |
| 2-8 Test the database function and the network capacity | | | | _ | | - | | | | _ | | | | 1- | T | + | C/P | CE | |
| 2-9 Make manual for database management | | | _ | | = | | | | | | | \vdash | 一 | 1 | \vdash | 1- | C/P | CE | |
| 2-10 Test the system function | \Box | | | _ | $\overline{}$ | \vdash | - | | | | | | | 1 | 1 | 1- | C/P | CE | - |

Note: (1) The Japanese fiscal year starts in April and ends in March.

(2) Above Schedule is subject to change in accordance with the progress of the Project

PD: Project Director, PM: Project Manager, C/P: Counterpart

CA: Chief Advisor, CE: Computer-system Expert, AE: IP Administration Expert, SE: Short-Term Expert



ANNEX 4-1 Plan of Operation (PO)

MODERNIZATION OF INDUSTRIAL PROPERTY ADMINISTRATION PROJECT IN THE SOCIALIST REPUBLIC OF VIETNAM

| Calendar Year | | 2000 | | | 20 | 01 | | | 20 | 02 | | | 20 | 03 | | | Responsible | | |
|---|--|---|--|--|--|----------------|--|---|--|--|--|--|------------------|--|--|--|------------------|--------------|-------------|
| Piscal Year | | 20 | 00 | | | 20 | 01 | | | 20 | 02 | | | 20 | | | Person in | Input | Remrks |
| Quarter | Ì | li . | iii : | ΪV | ì | 11 | | ΪV | - | = | Ш | IV | _ | 11 | III | IV | Project Team | | |
| Activities | | | | | | | | | | | | | | | | | | | |
| 2-11 Make manuals for system operation | | | | | _ | | | | | | | | | | | | | CE | |
| 2-12 Transfer administration job to the computerized system | | | | <u> </u> | _ | - | ı, | 1 | 1 | ı | 1 | | Ī | - | | | C/P | CE | |
| 2-13 Make report to operation status of the system | | | | ı | | 1 | ı | _ | 1 | ı | ı | 1 | 1 | _ | | 1 | C/P | CE | |
| 2-14 Evaluate the status of system operation and its use | | | | | | | | | _ | | | | | | _ | | C/P | CE | |
| 2-15 Make manuals for IP administration system | | | | 1 | _ | | | | _ | | | | - | | | _ | C/P | CE | |
| 2-16 Conduct training courses of terminal operation for system users | | | | _ | | <u> </u> | | | L | | | | | _ | | ī | C/P | CE | |
| 2-17 Conduct training course of administration process using the system | | | | | Ì | | | | | | | 1 | | | | | G /D | (31) | |
| regularly | | | | | ļ | = | ļ | _ | | | | | | _ | | | C/P | CE | |
| | | ļ | Щ. | | <u> </u> | | | | | | | | | | | | ļ | | |
| 3 The management staff of Industrial Property will be developed to | | | | | l | | | ļ | | | | | | | | | | | |
| conduct adequate administration | | 1 | | 1 | ĺ | 1 | | ì | 1 | i ' | ļ ' | | | | ŀ | | 1 | · | |
| 3-1 Analyze procedure of IP administration | _ | - | | | - | | ├─ | | \vdash | ├ | | ┝ | l · | - | | - | C/P | ΛE | |
| 3-2 Make procedure flow of IP administration | - | - | | | ├─ | - | \vdash | | | | | ┢─ | - | _ | | | C/P | ΔE | |
| 3-3 Manage the legal procedure period | ├ | - | | | | ╁ | | | | - | | | | - | | | C/P | ΔE | |
| 3-4 Manage the period of handling in NOIP | ├ | 1— | | | ├─ | | - | | ╁─ | - - | | ┢ | | <u> </u> | | \vdash | C/P | ΔE | |
| 3-5 Make manuals for system operation | ├─ | ├- | - | | ├─ | | | ╁ | ┢─ | _ | \vdash | ├ | | - | \vdash | | C/P | ΔE | |
| 3-6 Transfer administration job to the computerized system | ├ | - | | | ⊢ | ╁ | | ╫ | | _ | _ | - | <u> </u> | | _ - | | C/l ³ | ΔE | <u> </u> |
| 3-7 Make report to operation status of the system | | ├ | | | | ├ | - | | | | | _ | = | - | - - - - - - - - - - | _ | C/P | AE | |
| 3-8 Evaluate the status of system operation and its use | | | | | — | — | - | - | - | | | - | \vdash | - | | - | C/P | ΛE | |
| 3-9 Make manuals for IP administration system | | ├ | ├─ | | ├ | ╁─ | ┢╾ | | | _ | ├ | - | _ | ┝ | \vdash | _ | C/P | AE. | |
| 3-10 Conduct training courses of terminal operation for system users | \vdash | | ├ | ⊢ | ╁─ | | | 1 | | | - | | _ | ⊢ | - | | C/P | AE | |
| 3-11 Conduct training course of administration process using the system | | | | | ╁ | ┼ | ⊢ | ┼ | - | - | - | - | - | | \vdash | - | 571 | - | |
| regularly | 1 | | ۱. | 1 | | 1 | 1 | 1 | l | | Í | 1 | _ | | İ | l | C/P | ΛE | |
| regulary | | - | ┰ | | 1- | 1 | | | \vdash | | \vdash | | | | 1 | | | | |
| | | T | | | | 1 | 1- | \vdash | | | | | 1 | - | <u> </u> | 1 | l | | |
| 4 The application, formality examination, substantial examination, | | 1 | 1 | ' | | | | | | | | - | 1 | | 1 | | 1 | | |
| registration, publication, licensing, and legislation staff will be | | | | | | | | 1 | | | | | | | | l | 1 . | 1 | |
| developed to conduct proper administration | | | | | | | | | | 1 | | | | İ | | | | | 1 |
| 1-1 Analyze procedure of IP administration | = | _ | - | = | | 1 | 1 | † | - | \vdash | † | | | | | <u> </u> | C/P | ΔE | |
| 1-2 Make procedure flow of IP administration | - | | - | - | +- | \vdash | 1 | \vdash | = | | t^- | † | 1 | | | 1 | C/P | ΔE | <u> </u> |
| 1 2 waste procedure now of it authinistration | ــــــــــــــــــــــــــــــــــــــ | ــــــــــــــــــــــــــــــــــــــ | ــــــــــــــــــــــــــــــــــــــ | ـــــ | | Ц. | | | I | ــــــــــــــــــــــــــــــــــــــ | ٠ | ┺ | LC. | | | | 1-1. | | |

Note: (1) The Japanese fiscal year starts in April and ends in March.

(2) Above Schedule is subject to change in accordance with the progress of the Project.

PD: Project Director, PM: Project Manager, C/P: Counterpart

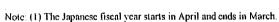
CA: Chief Advisor, CE: Computer-system Expert, AE: IP Administration Expert, SE: Short-Term Expert



ANNEX 4-1 Plan of Operation (PO)

MODERNIZATION OF INDUSTRIAL PROPERTY ADMINISTRATION PROJECT IN THE SOCIALIST REPUBLIC OF VIETNAM

| Calendar Year | | 2000 |) | L | 20 | 100 | | | 20 | 02 | | | 20 | 03 | | | Responsible | | |
|---|----|------|-----|----------|----|-----|-----|----|----|----|----------|----|----|----|-----|------------|--------------|-------|--------|
| Fiscal Year | | 20 |)00 | | | 20 | 001 | | | 20 | 002 | | | 20 | 003 | | Person in | Input | Remrks |
| Quarter | 1 | - 11 | lH | IV | | П | 111 | IV | I | 11 | 111 | IV | | 11 | 111 | ΪV | Project Team | 1. | Į |
| Activities | | | | | | | | | | | | | | | | | | | |
| 4-3 Entry and update the IP data | | | | | | _ | _ | | _ | | _ | _ | _ | - | | - | C/P | CE | |
| 4-4 Operate the IP administration system | | | | | - | _ | | | _ | - | <u> </u> | | _ | 1 | _ | I - | C/P | CE | |
| 4-5 Make notification using system | | | | | | | | | | | _ | | | | | Г | C/P | CE | |
| 4-6 Make document using system | | | | <u> </u> | | | | | | _ | | | | | L | | C/P | CE | |
| 4-7 Manage annual fees using system | | | Ì | | L | | | | | | | | | | | | C/P | CE | |
| 4-8 Define index for search (Number and Classification) |]- | _ | - | | | | | | - | | | | Г | | | | C/P | CE | |
| 1-9 Handle office work using the system | _ | | | | E | E | E | E | E | - | _ | = | = | 1 | | - | C/P | CE | |
| | | | | <u>L</u> | | | | | | | | | | | | | | | |



⁽²⁾ Above Schedule is subject to change in accordance with the progress of the Project.

PD: Project Director, PM: Project Manager, C/P: Counterpart

CA: Chief Advisor, CE: Computer-system Expert, AE: 1P Administration Expert, SE: Short-Term Expert

Secure the stable working using IP

administration system

AE.

CE

Note: (1) The Japanese Ascal year starts in April and ends in March.

1.8 Define index for search (Number and Classification)

1. L Analyze procedure of IP administration

1.2 Make procedure flow of IP administration

Chabove Schedule is subject to change in accordance with the progress of the Project.

PD: Project Director, PM: Project Manager, C/P: Counterpart

CA: Chief Advisor, CE: Computer-system Expert, AE: IP Administration Expert, SE: Short-Term Expert

ANNEX 5 Tentative Schedule of Implementation (TSI)

| Japanese Fiscal Year | 98 | 199 | | | | 2(8)() | | | | 1 | 20 K | 2001 | | - | 2(X) | 2 | _ | ~ | [Y 1] } | | _ | 200 | |
|--|-----|-----|---|------|--------------|--------|------|--------|-----------------|--------|-------|-------------|----------|--------|------|--------|--------------|--------------|----------|----------|---|-----|----------------|
| | Ň | I | n | . [] | W | 1 | Π | Πı | il | | | - | N/ | | | | 1 ; | - | 111 | | 1 | | - - |
| Term of Technical Cooperation | | | | 1. | , | | | | | | | | | | | | | - | | | - | | |
| The Japanese Side | | ! | | | | | | | | | | | | | | | | | | | | | |
| I Dispatch of Mission (1) Preliminary Study (2) Supplementary Study (3) Implementation Study | - | 1 | | ! | | | | | | | | | | | | | | | | | | - | |
| (4) Technical Guidance (5) Evaluation | | 1 | | : | | | | | ĺ | | | | - | _ | | | | _ | • | | | | |
| Il Dispatch of Long-Tenn Experts | | | | | | | | | | | | | | | | | | | | | | | |
| (1) Chief Advisor | 1 1 | | | | | - | | | | | | | + | | | | | | | | - | | |
| (2) Coordinator | | | | | | _ | | | | | | | \dashv | | | | + | | | | 1 | | |
| (3) Expert of Industrial Property Administration | | | | | | | | | | | | - | + | | | | - | | | | | | |
| (4) Expert of Computer System | | | | | | | | | | | | | + | | | | | | | <u> </u> | | | |
| Dispatch of Shon-Term Expens | | : | ; | (Sho |) - 11-11 | enn (| ехре | erts c | l spean l | seific | field | ds wi | | : disp | atch | ed. if | nere I | ssary |) | | | | |
| N Training of C P Personnel in Japan | | | | | | (\ L | стіа | in na | l unbe | rof(| TP w | vill h | l Kac | cepte | d m | .lapar | l Lana | ually | 1 | | | | |
| V Provision of Machinery and Equipment | | i | | | | | | | | | | | - | | | iness. | | | | | | | |
| The Vietnamese Side | | | | | | | | | | | | | | | | | | | | | | | |
| I Local Cost | | | | | | | | | _ | | | | 1 | | | | <u> </u> | | | | | | |
| II Building and Facilities | | ! | 1 | - | _ | | | | - | | | | | | | | | | | | | | |
| Machinery, Equipment and Materials | | | | | | | | | _ | | | | - | | | | | | | _ | | | |
| Allocation of C/P personnel and necessary staff | | | | | | | | | | | | | | | | | | | | | | | |

the

NOTE:
1 The Japanese fiscal year starts in April and ends in March
2 The original terms of the services of the respective long term experts are shown by the solid line

| No | Material Name | Qty | Note |
|---------------|--|------|---|
| 1 | Server for Administration DB of Patent | 1 | Support for Office work and Examination of |
| . 1 | Software for Administration DB of Patent | 1 | Patent, Utility Solution and Industrial Design |
| 2 | Server for Administration DB of Trademark | 1 | Support for Office work and Examination of |
| <u>ئ</u> ا | Software for Administration DB of Trademark | 1 | Trademark |
| | Server for Administration DB of Image Documents | 1 | Support for handling of Image data |
| 3 | Software for Administration DB of Image Documents | 1 | Support for nationing of image data |
| . 4 | Server for Communication Control | 1 | For Communication Control |
| 4 | Software for Communication Control | 1 | To Communication Control |
| 5 | Server for System Development | 1 | For System Development |
| | Software for System Development | 1 | Tot System Bevelopment |
| 6 | HUB | 19 | Build up for Local Area Network (Switching HUB: 4, 16ports HUB: 15) |
| 7 | Cable | 178 | Build up for Local Area Network (100m×2, 50m×9, 30m×6, 20m×161) |
| 8 | Uninterruptible Power Supply (UPS) | 61 | For Power Failure (For 5 servers, For 56 PCs) |
| 9 | lmage Scanner | 5 | Input for Documents, Input for Specification (Color Scanner) |
| 10 | Personal Computer (PC) | - 56 | Input for Data, For Reference of Administration DB, etc. (PC with Barcode Reader) |
| 11 | Software for PC | 56 | Input for Data, For Reference of Administration DB, etc. |
| 12 | Printer | 46 | For Office work, For Statistics, etc. (Laser Printer: 40, Color Printer: 4, Barcode Printer: 2) |
| 13 | Support software for Office work and Search | l | For Office work and Search, etc. |
| 14 | Power Supply for the System | lset | To stabilize voltage and generate electric power. |

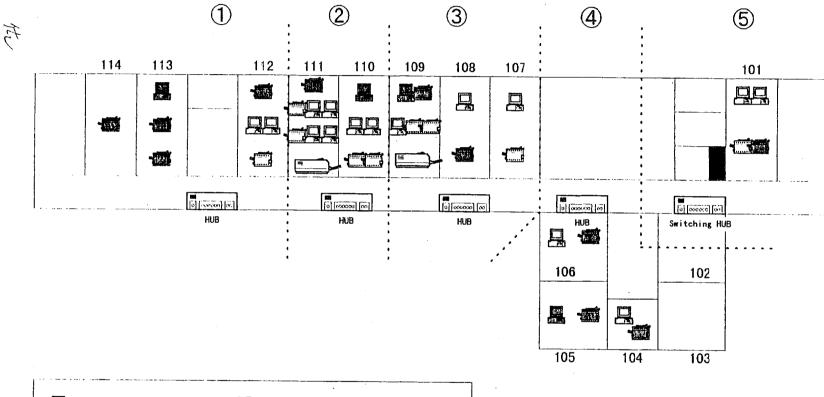
ANNEX 6-2 Allocation Plan for PCs and Printers

| Division | Tasks | Staff | PCs | Printers |
|--|--|-------|-----|----------|
| Director General | General supervision and management of the overall work of NOIP | 1 | 1 | 1 |
| Deputy Director General | General supervision and management of the overall work of NOIP | 1 | 1 | 0 |
| Administration Division | Fee collection, mail service, maintenance work, logistics Financial management | . 4 | 2 | 1 |
| Registration Division | Communication with applicants (guiding applicants, informing them of the status of their applications etc.) | 3 | 1 | 2 |
| | Inputting bibliographic data (P, US, TM, ID, AO) | 2 | 2 | 2 |
| | Inputting images (P, US, TM, ID, AO) | 2 | 2 | 2 |
| | Classification, formality examination of trademarks, notification to applicants | 3 | 1 | 1 |
| | Checking data, inputting specifications (P, US), amending list of goods/services (TM), printing certificates and other documents | 4 | 4 | 4 |
| | Registering, making change of owner, address; renewal; observing the status of patents, certificates | 2 | 1 | 1 |
| Inventions & Utility Solution Division | Amending data, selecting data for publication of applications, notification to applicants, communicating with applicants, preparing correspondence with WIPO concerning PCT applications | 3 | 2 | 2 |
| | Preparing abstracts for publication, classification, reading and understanding specifications, searching for the purpose of substantive examination | 20 | 9 | 8 |
| Trademark Division | Amending data, selecting data for publication of applications | 1 | 1 | 1 |
| , | Preparing correspondence with WIPO concerning international TM applications | 1 | 1 | 1 |
| | Searching for the purpose of substantive examination, issuing notice to applicants | 12 | 4 | 2 |



| Division | Tasks | Staff | PCs | Printers |
|--|--|-------|-----|----------|
| Industrial Design Division | Amending data, selecting data for publication of applications, communicating with applicants | 2 | 1 | 1 |
| | Searching for the purpose of the substantive examination, issuing notice to applicants | 6 | 3 | 1 |
| Legislation & Management | Inputting data on licences and assignments of rights, selecting data for publication, communicating with applicants, updating data on laws and regulations | 6 | 1 | 1 |
| Division | | 2 | 1 | 0 |
| Information Center | Preparing layouts for Gazette, statistical data | 6 | 4 | 4 |
| | Searching at requests of the general public | 12 | 5 | 5 |
| Computer Service | Designing and administering network system and doing other administrative work | 2 | 2 | 1 |
| International Relations Division | Managing data on bilateral and multilateral co-operation, preparing correspondence | 5 | 2 | 0 |
| Training Division | Managing data on teaching materials | 3 | 0 | 1 |
| Trial Board | Inputting data on appeals, preparing documents and decisions | 2 | 1 | 0 |
| Counterparts | Working full-time for the Project | 3 | 3 | 3 |
| JP/VN IP PMU | Project Management | 1 | | |
| Others | | 16 | 0 | 0 |
| Total | | 128 | 56 | 46 |

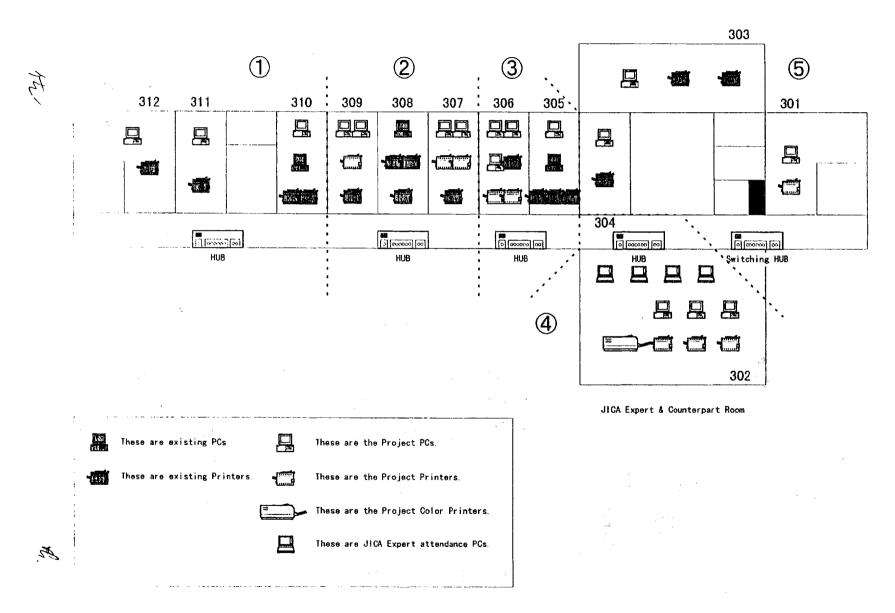
Abbreviations: P - Patent, US - Utility Solution, TM - Trademark, ID - Industrial Design, AO - Appellation of origin



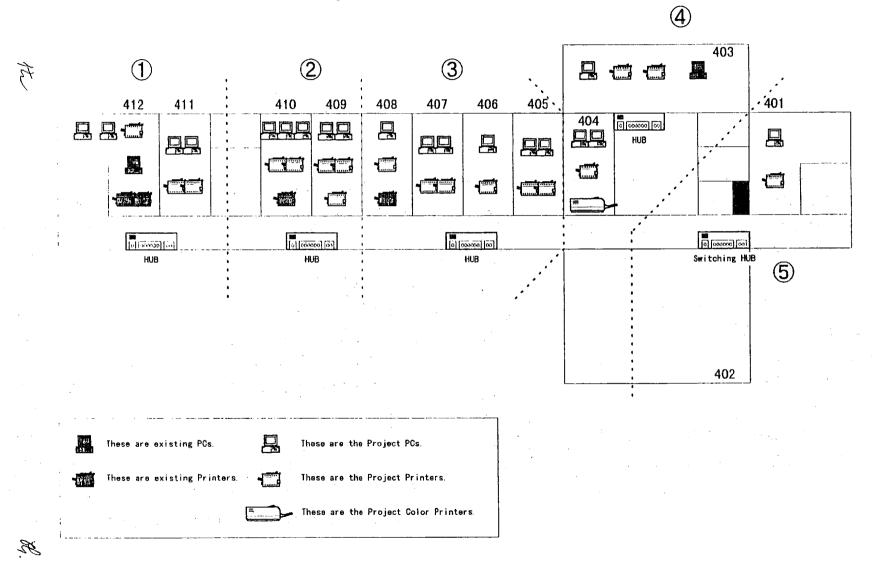


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ANNEX 7 List of Existing Machinery and Equipment of NOIP for the Project

| Division | Room | Equip- ment | Main Use (current) | Manufacturer | CPU | RAM (MB) | HDD (GB) | CD- ROM | os | Other Softwares |
|-----------------------------------|------|-----------------|---|------------------|--------------------------------|-------------|-------------|------------|-------|----------------------|
| General Administration Div. | 113 | PC Printer | Workstation of NOIP1 Connected to PC | Fujitsu HP | PentiumII-300 LaserJet6L | 64 | 5.2 | 1 | Win95 | MSOffice97,NortonATV |
| Registration Div. | 110 | PC Printer | Workstation of NOIP1 Connected to PC | Fujitsu HP | PentiumII-300 LaserJet4000 | 64 4 | 5.2 | 1 | Win95 | MSOffice97,NortonATV |
| International Relation Div. | 105 | PC Printer | Workstation of NOIP1 Connected to PC | Fujitsu HP | PentiumII-300 LaserJet6L | 64 | 5.2 | 1 | Win95 | MSOffice97,NortonATV |
| Legislation&Management Div. | 212 | PC Printer | Workstation of NOIP1 Connected to PC | Fujitsu HP | PentiumII-300 LaserJet6L | 64 | 5.2 | 1 | Win95 | MSOffice97,NortonATV |
| Training Div. | 209 | PC Printer | Workstation of NOIP1 Connected to PC | Fujitsu Epson | PentiumII-300 StylusColor85 | 64 | 5.2 | 1 | Win95 | MSOffice97,NortonATV |
| Computer Service | 206 | PC Printer | Workstation of NOIP1 Connected to PC | Fujitsu HP | PentiumII-300 LaserJet6L | 64 | 5.2 | 1 | Win95 | MSOffice97,NortonATV |
| Trademark Div. | 308 | PC Printer | Workstation of NOIP1 Connected to PC | Fujitsu HP | PentiumII-300 LaserJet6L | 64 | 5.2 | 1 | Win95 | MSOffice97,NortonATV |
| Industrial Design Div. | 310 | PC Printer | Workstation of NOIP1 Connected to PC | Fujitsu HP | Pentium/1-300 LaserJet6L | 64 1 | 5.2 | 1 | Win95 | MSOffice97,NortonATV |
| Trial Board | 305 | PC Printer | Workstation of NOIP1 Connected to PC | Fujitsu HP | PentiumII-300 LaserJet6L | 64 | 5.2 | 1 | Win95 | MSOffice97,NortonATV |
| Information&Documentation Center | 303 | PC Printer | Workstation of NOIP1 Connected to PC | Fujitsu HP | PentiumII-300 LaserJet6L | 64 | 8 | 1 | Win95 | MSOffice97,NortonATV |
| Invention&Utilities Solution Div. | 412 | PC - Printer | Workstation of NOIP1 Connected to PC | Fujitsu HP | PentiumII-300 LaserJet6L | 64 | 5.2 | 1 | Win95 | MSOffice97,NortonATV |



ANNEX 8 Cost Sharing List

| Transportation, if sent from Japan | Allocation |
|--|------------|
| 1. From Japan to a port of Vietnam | Japan |
| 2. Customs duty in Vietnam, preservation charge | Vietnam |
| | Vietnam |
| 3. From the port of Vietnam to NOIP | VICTIAIII |
| Installation and adjustment | |
| 1. Supervisors for installation and adjustment | Japan |
| 2. Workers for unpacking, installation and other labor | Vietnam |
| 3. Replacement of electric plugs/Power cable for the | Vietnam |
| equipment, if necessary | |
| Maintenance for computer system, air conditioning systems | Vietnam |
| and other | |
| Power supply | • |
| 1. Utility Power (commercial power, molded circuit breakers) | Vietnam |
| 2. Main power distribution board | Vietnam |
| 3. Power distribution board for servers, terminals, | Vietnam |
| LAN and air conditioners | |
| 4. Cabling routes for power supply | Vietnam |
| (cable duct, trench, cable rack, conduct pipe) | |
| 5. Cabling materials | Vietnam |
| 6. Power outlet receptacles | Vietnam |
| 7. Workers for installation of 1-6 | Vietnam |
| Local area network (LAN) | |
| 1. Cabling routes for LAN | Vietnam |
| (cable duct, trench, cable rack, conduct pipe) | |
| 2. LAN cable (backbone LAN, branch LAN) | Japan |
| 3. Workers for installation of 1-2 | Vietnam |
| | |
| Grounding works, if necessary | - |
| 1. Grounding materials | Vietnam |
| 2. Workers for grounding for equipment, LAN and others | Vietnam |
| Air conditioning facility, if necessary | Vietnam |
| Others | |
| (utilities, office supplies, office equipment such as furniture) | Vietnam |

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ANNEX 9-1 Annual Budget of NOIP from 1997 to 1999

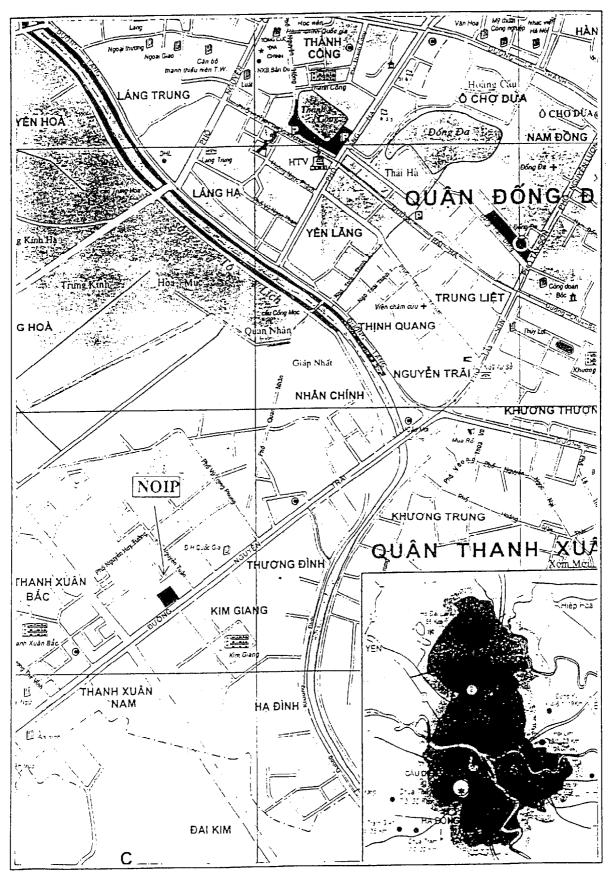
| Years | Subsidized by the Government (Million VNĐ) | | | Total |
|-------|--|--------------------------------|--|---------------|
| | Salary, Electricity, Telephone | Reconstruction and Maintenance | Purchase of Equipment and others | (Million VNĐ) |
| 1997 | 2,263 | 1,436 | 877 | 4,576 |
| 1998 | 4,490 | 527 | 1,472 | 6,489 |
| 1999 | 4,133 | 150 | 1,587 | 5,870 |

- The exchange rate in December 1998 is approximately 13,900 VND = 1 US\$
- The fiscal year in Vietnam starts in January and ends in December.

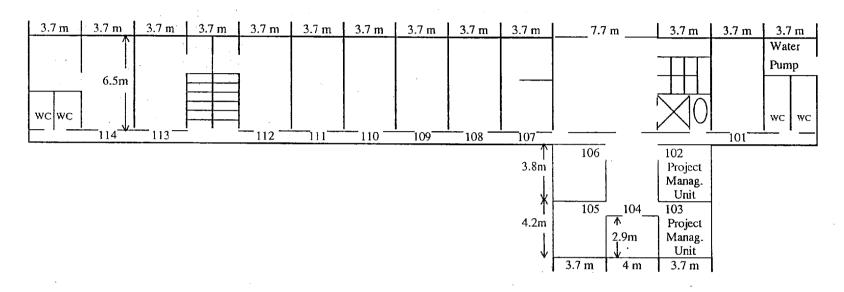
| | Subsidized b | Total | | |
|---------|--------------------------------------|--------------------------------|--|---------------|
| | Salary, Electricity, Telephone | Reconstruction and Maintenance | Purchase of Equipment and others | (Million VNĐ) |
| NOIP | 5555 | 335 | 956 | 6846 |
| Project | 450 | 90 | 181 | 721 |

- The exchange rate in December 1999 is approximately 14,000 VNĐ = 1 US\$ So the tentative budget of NOIP in 2000 approximately will be 489,000 USD, including 51,500 USD for Project
- The fiscal year in Vietnam starts in January and ends in December.

ANNEX 10-1 Present Location Map of NOIP

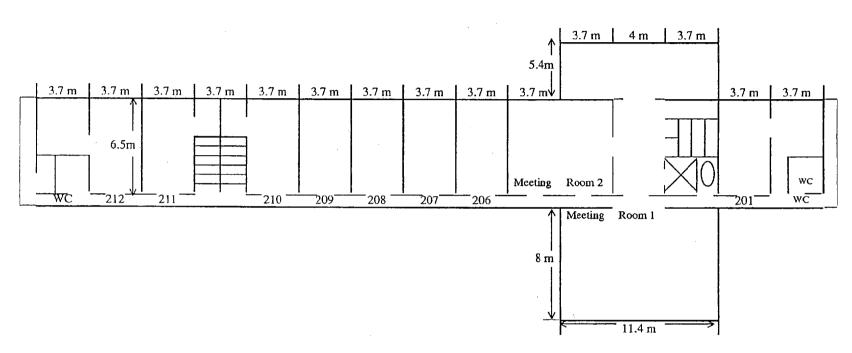


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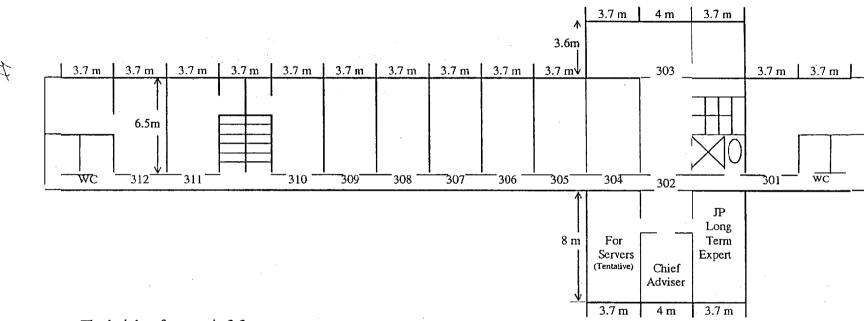
- The height of rooms is 3.63 m
- Electric switches are installed in 4 corners of rooms, at 0.3 m from the floor.
- Room No.101, 109, 110, 111, 112 belong to the Registration Division (No. 110 is the Application Receiving room)
- Room No. 102, 103, belong to the Japan/Vietnam IP Project Management Unit
- Room No. 107, 108, 113, 114 belong to the Administration Division (No. 108 is Counting and Fees Collecting room).

ANNEX 10-2 Floor Plan of the Project - Second Floor



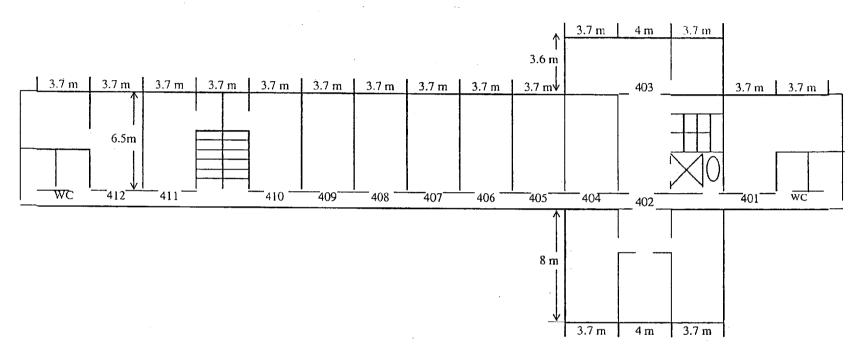
- The height of rooms is 3.3 m.
- Electric switches are installed in 4 corners of rooms, at 0.3m from the floor.
- Room No. 201 belong to the Administration Division
- Room No. 206 Computer Service
- Room No. 209 Training Division
- Room No. 211 Director General of NOIP
- Room No. 207, 208, 211, 212 belong to the Legislation and Management Division

ANNEX 10-2 Floor Plan of the Project - Third Floor



- The height of rooms is 3.3 m.
- Electric switches are installed in 4 corners of rooms, at 0.3 m from the floor.
- Room No.301, 303 belong to the IP Information and Documentation Center. (Room No. 303 is Reading room)
- Room No. 302 belongs to the Japan/Vietnam IP Project
- Room No. 304, 309, 310 belong to the Industrial Designs Division
- Room No. 305 Trial Board.
- Room No. 311 Deputy Director General of NOIP
- Room No.306, 307, 308, 312 belong to the Trademark Division





- The height of rooms is 3.3 m.
- Electric switches are installed in 4 corners of rooms, at 0.3m from the floor
- Room No. 401, 402, 403, 404, 405, 407 belong to the IP Information and Documentation Center (Room No. 401 is CD-ROM Storage, 402 is Microfiche Storage)
- Room No. 406, 408, 409, 410, 411, 412 belong to the Inventions and Utility Solutions Division

ANNEX 11 List of Counterparts

| Name | Name Position | |
|----------------------|---|------------------|
| Dr. Pham Dinh Chuong | Director General of NOIP | Project Director |
| Mr. Phan Phung Tuan | Director, JP/VN IP PMU | Project Manager |
| Mr. Duong Quang Binh | Head, Computer Service | Full-time |
| Mr. Nguyen Tuan Hung | Expert, IPI & D Center | Full-time |
| Ms. Doan Thieu Trang | Official, International Relation Division | Full-time |
| Mr. Phan Ngan Son | Deputy Director, I & US Division | Part-time |
| Mr. Tran Van Ngat | Expert, Industrial Design Division | Part-time |
| Mr. Nguyen Hung | Expert, Trademark Division | Part-time |
| Mr. Le Toan Thang | Expert, Registration Division | Part-time |
| Mr. Nguyen Huu Can | Official, Legislation and Management Division | Part-time |
| Mr. Do Le Van | Official, Administration Division | Part-time |

JP/VN IP PMU: Japan/Vietnam Industrial Property Management Unit

IPI & D Center: Industrial Property Information and Documentation Center

I & US Division: Invention and Utility Solutions Division

ANNEX 12 Provisional Functions and Composition of Joint Coordinating Committee

1. Functions

The joint coordinating committee will be held at least once a year and whenever necessity arises for the purpose of:

- 1) approving the Annual Plan of Operation (APO) of the Project in line with the Technical Cooperation Program (TCP) and Tentative Schedule of Implementation (TSI) in the framework of the Record of Discussions.
- 2) coordinating necessary actions to be taken by both sides;
- 3) reviewing the overall progress of the Project program as well as its achievement;
- 4) exchanging views on major issues arising from or in connection with the Project.

2. Composition

Chairperson
 Director General of NOIP

2) Committee Members

(Vietnamese Side)

- a. Representative(s) of NOIP
- b. Other personnel concerned with the Project decided by the Vietnamese Side (Japanese Side)
 - a. Chief Advisor
 - b. Coordinator
 - c. Japanese Experts designated by the Chief Advisor
 - d. Representative(s) of the JICA Office in the Socialist Republic of Vietnam
 - e. Other personnel concerned to be decided and dispatched by JICA, if necessary

Note: Official(s) of Embassy of Japan in the Socialist Republic of Vietnam as well as MOSTE may attend the committee as observer(s).

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ANNEX 13 The Five Basic Evaluation Components

I Five Basic Evaluation Components

The five basic components defined by JICA as mentioned below are in line with those used for the evaluation works by DAC and other international assistance organization.

Introduction of these components has enabled a consistent, well-balanced evaluation, which minimizes evaluator bias. Further, it allows us to share the results, knowledge and lessons with other aid organizations, since we are using common components and can discuss with them from the same viewpoints.

(1) Efficiency

Evaluate the method, procedure, term and cost of the project with a view to productivity.

(2) Effectiveness

Evaluate the results in comparison with the goals (or revised ones) defined at the initial or intermediate stage, and evaluate the attributes (factors and conditions) of the results.

(3) Impact

Evaluate the positive and negative effects of the project, extent of the effect and beneficiaries.

(4) Relevance

Preliminary evaluate whether the needs in the country have been correctly identified, and whether the design is consistent with the national and/or master plan.

(5) Sustainability

Evaluate the autonomy and sustainability of the project after the termination of cooperation, from the perspectives of operation, management, economy, finance and technology.

2 Relation between Five Basic Components and PDM

The five components are used for the evaluation and a selection of a project.

These components are directly connected to the elements of PDM as shown in the ANNEX 3 in the following page.

(1) Efficiency

The component "Efficiency" is a measure to qualitatively and quantitatively compare all resource (<u>input</u>) to the results (<u>output</u>) of the project in order to evaluate the economic efficiency of conversion from <u>input</u> to <u>output</u>.

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(2) Effectiveness

The component "Effectiveness" us a measure to evaluate whether the <u>project purpose</u> has been achieved or not, or to evaluate how much the <u>outputs</u> contributed to the achievement of the <u>project purpose</u>, or to evaluate whether or not the characteristics of the <u>outputs</u> were as expected.

(3) Impact

The component "Impact" is a foreseeable or unforeseeable, and a favorable or adverse effect of the project upon society. The evaluate impact, both the <u>overall goal</u> and <u>project purpose</u> should be referred to in the beginning of the evaluation. Evaluation with this components could lead to more than the confirmation as whether or not the <u>overall goal</u> have been obtained. Evaluation with this component requires comprehensive surveys in many cases.

(4) Relevance

The component "Relevance" is to comprehensively evaluate whether or not the project meets the overall goal, politics of both the donor and recipient, local needs and given priority levels. in order to decide whether the project should be continued, reformulated or terminated.

(5) Sustainability

The component "Sustainability" is to comprehensively evaluate how long the favorable effect as a result of the project can continue after the project has been terminated. Evaluation with this component is required to decide how much the local resources should continue to be used for the project, and to evaluate how much the country receiving the assistance has been considering important. According to OECD (1989), "Sustainability" is a component to be used for the final test of the success of a development project.

All five components are essential for any of the projects or programs. The five components give necessary information to the decision maker so that he/she can decide how to approach the next step. Since each of the five components build on the intervention strategy, they also lay the foundation for standardization in monitoring and information handling within and among organizations and agencies.

In practice, each of the five components should also contain project-specific information.

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ANNEX 14 List of Attendance in the Discussions

1. The Japanese side

(1) Preliminary Study Team

Mr. Tatsuo Sato Leader

Mr. Yoshiaki Mibu Industrial Property Administration

Mr. Shinichi Asami Computer System

Mr. Tomoyuki Uda Technical Transer Planning

Ms. Maki Omoto Project Management

Ms. Dao Thu Ngoc Interpreter

(2) JICA Expert to NOIP

Mr. Kazuo Hattori

(3) JICA Office in the Socialist Republic of Vietnam

Mr. Takanori Jibiki Resident Representative

Mr. Takashi Hatakeyama Deputy Resident Representative

2. The Vietnamese side

(1) Ministry of Planning and Investment (MPI)

Mr. Duong Duc Ung Director General, Foreign Economic Relations Department

Mr. Nguyen Xuan Tien Senior Expert, Foreign Economic Relations Department

(2) Ministry of Science, Technology and Environment (MOSTE)

Mr. Thach Can Director General, Department of International Relations

(3) National Office of Industrial Property (NOIP)

Dr. Pham Dinh Chuong Director General

Mr. Tran Viet Hung Deputy Director General

Mr. Phan Phung Tuan Director, JP/ VN IP PMU

Mr. Tran Quoc Khanh Director, International Relations Division

Mr. Mai Van Son Deputy Director, International Relations Division

Mr. Duong Quang Binh Head, Computer Service

Mr. Duong Quang Binh Head, Computer Service Mr. Nguyen Tuan Hung Official,

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